

The Originals: Classic Readings in Western Philosophy

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Introduction for Instructors

It is important for students not only to get an appreciation and understanding of philosophy but also to be exposed to the very words and ideas of those who have shaped our thinking over the centuries. Accordingly, the title of this collection hints at the facts that these readings are from the original sources and that these philosophers were the originators of many of the issues we still discuss today.

Major areas of philosophy covered here are: Ethics, Epistemology, Metaphysics, Philosophy of Religion, Ethics, Socio-Political Philosophy, and finally, Aesthetics.

Although I've chosen copyright free pieces that would be used in a typical Introduction to Philosophy class you may wish to personalize it (or modernize it) with supplementary readings. Furthermore, while you can obviously choose whatever texts you want to examine and in any order, each chapter is directly or indirectly connected to the next one. For example, we move from the basic issues in chapter one regarding knowledge and how one can know to consider claims about what we know to exist in chapter two. Then, when students are familiar with those topics we move on to consider the 'big question' that many students have (or at least often associate with philosophy), namely, "Does God exist?" Since religious beliefs are often tied to how one lives one's life, Ethics is the focus of the next chapter. We move from talking about the individual doing the right thing or good thing to presenting works regarding collective behaviour and the good of society. Lastly we examine the good as beauty.

The selections herein within these six fields are presented in chronological order so that a very rough timeline of intellectual thought is captured. Add to this that some of the philosophers are included more than once, you can also order your selections under the themes of 'Some Great thinkers in Western Philosophy' or 'An Incomplete History of Philosophy'.

Before jumping into the main chapters, both Russell's "The Value of Philosophy" and Plato's "Apology" are useful for students to get a good sense of what philosophy is and why we do it. Reading Plato may be a bit of a challenge for newcomers so I recommend you to have the students read "How to do philosophy".

It has been copied and used all over the world at various universities and libraries since I posted it online many years ago.

Lastly, if you want to drop me a line and let me know you're using this book, I'd enjoy hearing from you.

Best Regards,

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Dedication

This one is for Bob Clark, Lois Rugg, and Murray Doubt because they know that the most important thing about technological progress is not the bells and whistles and flashing lights but the people.

Contents

Acknowledgements	xi
How to Do Philosophy cc-by-nc	xii
Part I. The Value of Philosophy	
1. Plato - On Defending Philosophy	3
2. Bertrand Russell – On the Value of Philosophy	18
Part II. Epistemology	
3. Rene Descartes – On Doubt and Certainty	25
4. John Locke – On the Foundation of Knowledge	34
5. George Berkeley – On Materialism and Idealism	44
6. David Hume – On Empiricism	78
7. Immanuel Kant – On the Sources of Knowledge	85
8. William James - On Pragmatism	96
9. Bertrand Russell – On Truth and Falsehood	103
Part III. Metaphysics	
10. Plato – On the Allegory of the Cave	111
11. Plato – On Forms	116
12. Aristotle – On Categories	125
13. Aristotle - On Language and the Way Truth Works	129
14. Gottfried Wilhelm Leibniz – On Substances	135
15. David Hume – On Liberty and Necessity	140
Part IV. Philosophy of Religion	
16. St. Anselm – On the Ontological Proof of God's Existence	149
17. St. Thomas Aquinas – On the Five Ways to Prove God's Existence	152

18.	Blaise Pascal – On the Wager for God's Existence	154
19.	David Hume– On the Irrationality of Believing in Miracles	157
20.	Søren Kierkegaard – On Encountering Faith	168
21.	William James – On the Will to Believe	184
22.	William Paley – On The Teleological Argument	197

Part V. Ethics and Morality

23.	Plato – On Justice	207
24.	Aristotle - On Virtue	231
25.	David Hume – On the Foundations of Morals	251
26.	Immanuel Kant – On Moral Principles	262
27.	Jeremy Bentham - On the Principle of Utility	275
28.	John Stuart Mill – On Utilitarianism	282

Part VI. Socio-Political Philosophy

29.	Thomas Hobbes – On The Social Contract	299
30.	John Locke – On Property and the Formation of Societies	314
31.	Jean-Jacques Rousseau - On Inequality	330
32.	Mary Wollstonecraft – On the Rights of Women	348
33.	Karl Marx & Frederick Engels – On Communism	362
34.	Bertrand Russell – On Anti-Suffragist Arguments	380
35.	John Stuart Mill – On The Equality of Women	388

Part VII. Art and Aesthetics

36.	Plato – On the Value of Art and Imitation	417
37.	Aristotle – On Tragedy	432
38.	David Hume – On Opinion and Taste	438
39.	Immanuel Kant – On the Aesthetic Taste	449
40.	Edmund Burke – On the Sublime	465

	About the Editor	489
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	Works Cited	490
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Brenda Smith from the TRU library gave so much time to track down many of the works you find here. Moreover, if something was not available, she would find out why (e.g., the philosopher hadn't been dead long enough, or wasn't dead yet!). Her fine tuning of my original list saved me many hours of frustration. Indeed, it is because she was there at the very beginning of when this idea started forming in my head which such drive and positive thoughts that I decided to commit to it.

Amanda Coolidge at BCcampus was my guide. She walked me through the entire process and not only responded quickly and effectively to any questions I had but also responded in such a way that it made me smile every time I saw her name pop up at the top of my mail Inbox.

Dr. Jeff McLaughlin Ph.D.

Thompson Rivers University

Kamloops, BC, Dec 2017

How to Do Philosophy

Can you learn how to speak a foreign language, drive a car, make a meal or tie your shoes just by reading about it in a book? Obviously not.

You have to do it, and the more you practise the better you will become.

So too with philosophy.

Before you start reading the various chapters in this book you should have some familiarity with “doing” philosophy, which includes information on reading the original texts as well.

How to Attend Class

If you are a new university or college student, it can sometimes be difficult to get the most out of attending class without being overwhelmed. In what follows, I’m going to assume you are in a physical classroom with other students. If you’re taking a philosophy course online, you can just skip over this part.

A traditional classroom environment will find you sitting down, and your instructor standing at the front of the class lecturing. At one extreme, your approach to what you hear might be trying to write down every single word that your instructor utters. This is a bad strategy, since it doesn’t allow you any time to digest any of the material, and copious notetaking doesn’t distinguish between what is important and what isn’t. At the other extreme, you might just sit back and try to absorb what you hear. Of course, this is also problematic, for the moment you leave the room you’re almost guaranteed to forget everything since you have to move on to whatever else you have planned for the day.

Complicating the matter of trying to get the most out of a lecture is the issue of classroom dynamics. You might be in a class where no one talks, or there might be one person who monopolizes the time by constantly asking questions or making comments. In both situations, you may be apt to tune out and try to just make it through a very long hour. It may be a huge class or just a handful of students. The room might be hot or cold, or there might be someone sniffing and sneezing beside you. You might be in a bad mood, tired, hungover, sick, or just plain bored. Your instructor might be dynamic or dull. She might just read from the textbook or write on the blackboard and mumble with her back to the room. Still, you might luck out by having a vibrant instructor who motivates you and who presents you with handouts and other supporting materials. Since each course you take will be different from another, and each class in each course can be different from another, you want to be consistent in your own approach by creating a personal rhythm that works best for your needs, week in and week out.

Here then are some simple suggestions:

- Come with paper and pen (of course!), and don't forget to bring the textbook. Use a highlighter pen to identify key passages in the book that the instructor refers to, or jot in your own notes any that are significant. If you are not planning on keeping the textbook, or want to keep it clean, consider using a pencil to put light marks in it, or use Post-it[®] notes to "index" the relevant passages.
- If there is assigned reading, do it before class, not during! If you do not have an opportunity to read everything before class, try to at least glance at the material so that you are not completely lost as to the topic of the day.
- Read the materials after class as well. You may find that the lecture helped you get a clearer picture of what was being said in the book. This is especially the case with older works that are not written in modern English. Furthermore, in some classes, it may actually be more useful to read the text **after** the lecture so that if you didn't understand the lecture, it might make more sense to you from the book and vice versa.
- Ask yourself questions while you are reading. Do you agree with the author? Do you understand what he or she is saying? Do you even understand all the terminology?
- When taking class notes, be sure to capture the following details:
- Record the date and main theme of the lecture.
- For main points, feel free to use arrows or flow diagrams to link up the points to each other (or to previous lectures).
- Make reference of any pages or passages the instructor refers to.
- See how these notes fit in with the last lecture and how they might suggest where the lecture will be going next class.
- Jot down any due dates, etc.
- Make use of your classroom time. Ask questions but be aware that there are such things as "stupid questions." These include asking about information on the course outline (look at it yourself) and questions that show you haven't bothered to read the material. Instead, it is quite appropriate to raise your hand and say "I wasn't sure what the author meant by such and such on page 16, but it seems to me that he is saying . . ." (and state the issue in your own words) " . . . am I on the right track?" This shows a) you've been reading, b) you've been thinking about what you've been reading, and c) you are keen enough to want to know more. Do this sort of thinking and questioning before you start trying to impress everyone with your own views on the world. You need to deliberate upon or challenge the source material before you can try and show why your own argument is better. I guarantee you will impress the instructor too.
- This next point may sound simplistic, but don't be in a hurry to leave. Often, at the very end of class when people are picking up their books and putting on their coats, the instructor may shout out some important information such as the fact there is a quiz next week worth 95% of your mark.
- When studying for an exam, rewrite your notes, trying to put them into your own words. If you take copious remarks, you may want to consider summarizing them again. Highlight, or put asterisks (*) at, key points. Don't forget to put down objections and key terms, or to further defend the points that you have written down. Try to accomplish these tasks on the day of the lecture so that it remains fresh in your mind.

- Don't be afraid to compare your notes with someone else from the classroom.
- And don't be afraid to chat about any confusions, questions, or ideas you might have with your instructors during their office hours. That's what they are there for!

HOW TO READ PHILOSOPHY

As a student who is new to philosophy, the task of writing a philosophy paper is usually the first thing that you'll focus on—and dread. However, what will become a more immediate concern to you is getting through your philosophy text without getting disheartened and overwhelmed. It is often difficult for newcomers to make sense out of some of the articles that you are asked to read. The difficulties that you may discover are often simply due to your being unfamiliar with the writing styles of professional philosophers. In this brief section, I'll offer some ideas on how to work your way through the essays in this book. Two bits of advice though: First, don't read while lying down on a couch or in bed, since you'll probably want to drift off to sleep. Second, you will have to read each article more than once. Sorry, but as a film instructor of mine told me: "If a film isn't worth watching twice, it isn't worth watching once."

Part of your difficulty getting "used to" reading philosophy is that the styles that you will encounter can be quite different than what you are familiar with. Styles can differ depending on the author's intended audience (is it for laypersons or other philosophers?) and whether the article is a translated work (are you reading an English translation of a Greek text?). Even the century that the work is drawn from will affect your reading comfort level. As well, the particular school of thought that the author comes from can have significant impact on how the piece is presented (is the philosopher from the analytic or continental tradition?). Finally, the author's own personality and style will often come through in his/her writing. So, even though all philosophy papers have the intent to convince the reader of some claim or other, how the author conveys his/her views can vary considerably.

A philosopher's use of complicated phrases or sentences and the development of complex arguments, combined with your limited experience, requires that you develop an *active reading skill*. So, without further ado, here are a few tips on how to better understand and therefore appreciate philosophy papers.

I. UNDERSTANDING

First, skim over the article in order to get a general idea of what the author is trying to say. Pay attention to the title and subtitles, since they will often inform you of the area of inquiry. Pay attention to the opening paragraphs, since authors will sometimes offer summaries or overviews of their papers (e.g., "In this paper it will be argued that . . ."), or they will set the context of their paper (i.e., what area of concern their paper is in, what issue it will deal with, or even who it is in response to).

Working your way to the conclusion, you will want to make a note of it; this is what the author wants to convince you of. Underline it or highlight it (assuming it's your own copy and not the library's). Try and write the conclusion down on a piece of paper in your own words, since that will help you remember it. Now, go back to the beginning of the paper, and with the conclusion in mind, try and see how the author tries to take you there. In other words, think of the challenge as being akin to re-reading a murder mystery novel; it was fun to try and figure out who the murderer was, you saw clues here and there, and perhaps you were able to figure out some, but others eluded you. Now that you know who the culprit is, it can be fun to see how all the clues that you missed fit together. (This approach is one reason why I don't like Agatha Christie novels; it seemed to me that she never

provided enough clues, and the murderer only shows up in the last five pages—so most of the novel is irrelevant to its ending! Of course, I'm overstating my perception of her work, but you get the idea: It's no fun reading something or watching a movie when the author brings in a character right at the end with no previous connection to the story. Keep this in mind when you are planning your own essay!)

As you are reading each paragraph, you will find that the first and last sentences will often provide you with key elements of the author's thought process; here you may find a conclusion or premise of an argument or sub-argument. Now I should explain these terms so that you not only can analyze the essay you are reading, but can also create your own well-founded arguments later on.¹

What is important is that the author does in fact offer you a reason, any reason, for the conclusion; otherwise, they are just stating an opinion. If I said: "Universal health care is a good thing," all you can do is either just smile or say something like: "That's nice." For I have not given you anything more than a simple statement on what I believe. I've just given you an unsupported claim. Accordingly, while you may agree or disagree with my opinion, because I haven't stated any justification for my view you don't know what to make of it, and so you should never just accept it—even if you happen to agree. I must offer a defence of my position before you can determine if you should rationally accept or reject it. Even if you agree with the opinion, you may not agree with my reasoning, and that is just as important. Here's an example. I say: "I think capital punishment is wrong." You say: "I agree!" Then I say: "I think it's wrong *because* those murdering bastards should be tortured slowly instead!" Now, because you didn't wait to hear my reason, you have, or you have at least given me the appearance that you have, bought into my rather shocking perspective—but more than likely you would want to disagree with me. The moral of the story is that people can agree on the same points but for different reasons, and some of the reasons may be good and others may be bad. Another quick example: You and I both agree that the sum of $2 + 2$ is not 5. You (rightly) believe that $2 + 2$ does not equal 5 because it actually equals 4, while I (wrongly) believe that $2 + 2$ does not equal 5 because it equals "Tuesday." *You must consider both the premises and the conclusion before making a final judgement about whether the argument is a good one or not.*

In an argumentative essay such as those that you will be reading in this book, the paragraphs are an opportunity for the author to offer a somewhat self-contained argument. As noted earlier, each self-contained argument then may be intended to substantiate some larger position of the author. Premise and conclusion indicator words will often (but not always) help you distinguish the different parts of the arguments, as well as distinguish arguments from non-arguments. These useful words indicate or signal that there is a reason (or premise, evidence, justification, etc.) being offered in support of a viewpoint (or conclusion). Premise indicator words include: "Because," "Since," "Due to," "It follows from," etc. Conclusion indicators include: "Therefore," "Accordingly," "So," "Hence," "Thus," etc. Such words then will help you follow, and if necessary, reconstruct, the argument of the author. If there are no indicator words and you suspect that you are dealing with some part of an argument, try inserting an indicator word of your choice to see if it makes sense.

When trying to capture the author's argument, making notes in the margin is useful. For example, you might put a couple of words beside each paragraph that highlight the topic of the paragraph. Don't simply underline every word, since not everything the author will say will be significant and/or relevant to the main thesis. For example, the author might provide you with background factual information, editorial or introductory comments, and personal asides. See if the author defines the terms that he or she is using. This is important, since you want to make sure before you challenge their view that you actually *understand* their view. So, look for stipulative definitions whereby the author defines what he or she means when he or she uses a certain term (e.g., "By

‘universal health care’ I mean that everyone receives health care regardless of their ability to pay, regardless of where they live, and regardless of the amount of responsibility or ‘blame-worthiness’ that they have for causing their own injury or illness.”). See if the author offers distinctions between his or her views and those of other authors (e.g., “It is a mistake to believe that a dualist shares the same views with all anti-materialists.”). As well, look for the use of other writers’ ideas, either as supporting evidence or as positions that the author wants to refute (e.g., “In 1993, Simonson argued (rightly/wrongly) that . . .”). At a later date, you may want to look up those references or your own essay.

Next, try to put the main arguments (the premises and the conclusions) of the paper in your own words. Make sure that what you believe the author is arguing for is in fact what the author intended. This is a crucial step, because sometimes people will misinterpret what the author has written and then criticize them for the apparent views that they hold. This is known as committing the Straw Person Fallacy. Simply put, it is easy to criticize someone for something when in fact it is you, not they, who stated it!

Now notice the steps you have taken so far. 1) You’ve skimmed over the article to get a general sense of what it is about. 2) You’ve put the conclusion (or what you think is the conclusion) into your own words. 3) You’ve gone back to carefully re-read the article to draw out the various arguments that the author raises or rejects in his/her paper. Remember, not everything that the author says is going to be a positive thesis. They will often argue against other people at the same time, attempting to show why their opponent’s view is unsatisfactory and, subsequently, why their own views are right. 4) You’ve taken these points (many of which you’ve jotted down in the margins) and listed them on a piece of paper.

Take a moment to look at what you’ve got. Do you follow the flow of the paper? Perhaps you can draw arrows and diagrams connecting the various points. Do you understand what the author has said and why he/she has said it? If not, can you guess what you need to do? Yes, you should probably read it again, and if that fails, ask well-formed questions of you instructor or peers. For example, don’t just say “I don’t get it.” Try phrasing your question so that it not only includes information about where you are confused, but also includes your own possible answer: “On page 34, the author states X, but I don’t see how this fits with the conclusion Z. Is the author saying that X leads to Y and that Y leads to Z?”

Once you understand the article, only then can you go back and evaluate it.¹

II. EVALUATION

So, for the sake of argument, let’s assume that you have a reasonable grasp on what the author is trying to ultimately convince you of. Now the question is, is the author successful in that goal? No one is saying you must accept or reject every single point made. Some arguments can still survive, even if you’ve cast doubt on some of the premises. Perhaps you’ll like the argument in general but find a few weak areas that could be revised. Perhaps you’ll think the argument is seriously flawed from the start. Whatever you believe, you’ll ultimately have to convince others of the same.

Here is one approach that you can use to evaluate the author’s position. Let’s call it the “S-test.” Are the premises *Satisfactory* and do they *Sufficiently Support* the conclusion? First, you will want to isolate the premises that the author offers to defend his or her conclusions, and you will want to consider whether or not they are rationally acceptable. This means, amongst other things, that you will want to determine if each reason or premise has been defended in a deductively sound or inductively strong sub-argument.

A deductively sound argument is an argument that is deductively valid, and in which the premises are true. A deductively valid argument is one in which, *if* the premises are true, it would be impossible for the conclusion to be false. Notice that I have emphasized “if.” I am not saying that the premises are in fact true. We are only imagining that they are, for sake of analysis. You can have a valid but unsound argument, but not a sound invalid argument. Deductive arguments are about guaranteeing the certainty of the conclusion. For example, if all humans are mortal and Jeff is a human, it **MUST** follow that Jeff is mortal. Replace the subject and the predicates in this argument with unknowns (e.g., X, Y, Z), and you can see that the conclusion still must follow: All X are Y and Z is an X, then Z is an X, too.

Here’s another example. If I hold my breath for a long time, then I will pass out or gasp for air. I do attempt to hold my breath for a long time... Can you guess what happens? I will pass out or gasp for air! You might say “Well, that’s common knowledge.” True, but look at the structure of the argument. If I am eighteen feet tall, then I can levitate dolphins. I tell you that I am eighteen feet tall. What do you know? You know that I can levitate dolphins. For deductive validity, it doesn’t matter what the subject and the predicate are, since *it is the structure, not the content, that is important*. Soundness, on the other hand, is concerned with the content. First, the argument has to be checked for validity, and then we ask “Are the premises in fact true?” For if they are, we have a deductively sound argument. If they are not, then we just have a valid one. Thus, the “holding my breath” version of the “If A then B, A, therefore B” argument is sound, but the second version is only valid.

Inductive arguments are arguments that are evaluated in terms of “strength.” We use these types of arguments to make empirical predictions or generalizations. They do not guarantee the conclusion; rather, they provide a degree of rational persuasion for the conclusion to be considered true. For example, if eight out of ten doctors tell you that you have the flu, then odds are that you probably have the flu. If, during the autumn months, you’ve noticed that the weather has been turning cooler, then tomorrow will be cooler still. These are inductively strong arguments, since the premises are good indicators for the conclusion to be true. Still, they might be wrong. You may in fact have some rare disease that mimics flu-like symptoms. The weather might turn unseasonably hot tomorrow. But if you were to deny the rational strength of these arguments, then you would not be able to function in life, let alone in a philosophy class.

The challenge, then, when you are assessing someone else’s argument, is to determine if they have provided you with premises and conclusions that allow you to say they have given you good or bad arguments. Thus, arguments can go wrong in either two ways. First, the premises may be unsatisfactory, or second, they may not support the conclusion appropriately.

The premises can be determined to be satisfactory on any number of grounds. I hesitate to say “the premises are true,” because although it is quite reasonable to claim “No one has seen a unicorn lately,” I know some smart aleck will ask “How do you know for sure? Have you asked everyone?” Well, no. I haven’t. So I can’t know *for sure*, since I haven’t checked. I can’t know *for certain* that it’s true—though perhaps I can know for certain that this smart aleck is annoying...

If the premises are true by definition, or true by the meaning of the words alone, then we are safe. For example, claiming “Mammals give birth to their young alive” is true by definition. I don’t need to go and verify this claim by checking every mammal out there. Part of how we define “mammals” is by the fact that they give birth to their young alive. A claim such as “The square room next door has four corners” is known to be true by the very meaning of the word “square.” I don’t need to go next door to count the number of corners in the square room

to know that it has to be four. However, if the claim was “The room next door *is* square,” this *would* need to be verified.

The premises can be satisfactory by an appeal to common knowledge—not just common belief. There are lots of things that many people do believe or have believed that have turned out to be false: that the world was flat or that they will win this week’s lottery. There are lots of things that people believe that are controversial and thus open to debate: that slavery is acceptable or that flat taxation is just. And, finally, there are things that people believe that cannot be verified: that there is an afterlife or that if a tree falls in the forest it does (or does not) make a sound. In fact, what counts as “knowledge” will not be discussed here—for that, you should turn to the appropriate readings in the text.

The premises can be considered satisfactory if they have been successfully defended elsewhere by the author in a sub-argument, or in another article, or by another person. They can be considered satisfactory if they are supported by a *proper* appeal to authority. This means that the person to whom you are referring is indeed an expert in the relevant area and that the experts in that area generally agree about the claim being made.

If, for some reason, you don’t know if the premise is satisfactory, and you don’t have evidence to suggest that it is unsatisfactory, then you may wish to provisionally accept it and move on to evaluate the author’s other reasons (this is one reason why we hear people say “For argument’s sake, let’s assume that you’re right.”). However, if you don’t understand the argument, don’t use provisional acceptance as a way to justify your own intellectual laziness. Sometimes, understanding a point requires re-reading a particular paper or doing a bit of background investigation. For example, if the arguer keeps talking about another person’s argument, do you need to go find out for yourself what the original person said? What is the context of the argument, and do you need to familiarize yourself with details on the surrounding issues? Just as it is inappropriate to walk in on another person’s conversation and start arguing with them (e.g., Bob: “. . . and so as I was saying . . .” You, entering the room: “Hi Bob! You’re wrong!”), it is academically inappropriate to start arguing against an author before you get the full story. *If you have to, do some research!*

Research doesn’t have to be confined to the task of tracking down other lengthy books. You can try a philosophy encyclopaedia for good overviews of topics and philosophers. You can try a philosophy dictionary for help on terminology. You can talk to your peers. You can ask for directed assistance from your instructor, and so forth. Research in this sense is simply taking responsibility in finding out what you need to know in order to make a well-reasoned decision about the piece that you are evaluating.

Once you’ve determined whether the premises are themselves satisfactory, the next stage of your evaluation will involve determining if the premises support the conclusion. In other words, are they positively relevant to the conclusion? To be “positively relevant,” the truth of the premise will count towards the truth of the conclusion. For example, the premise “It is sunny and warm today” is positively relevant to the conclusion “I should wear shorts and a T-shirt if I want to avoid being uncomfortable today.” Whereas the premise “All ravens are black” is not relevant to the same conclusion (namely, “I should wear shorts and a T-shirt if I want to avoid being uncomfortable today.”).

Only after determining if the reasons support to the conclusion may you then consider whether or not the author has provided *sufficient* support for you to rationally accept the conclusion. That the suspect hated the victim *supports* the claim that he killed the victim, but it clearly isn’t *sufficient support*. However, that the suspect

voluntarily confessed to the crime, or that he left DNA and a home movie in which he is seen shooting the victim, would probably convince the jury.

When determining if there is an appropriate and strong relationship between premises and conclusions, there are a few things one should consider.

Imagine someone said “University courses are hard.” They would require extensive argumentation to try and convince you of this claim. In fact, they would fail to do this because:

The claim is ambiguous. Do they mean all university courses are hard, or that some university courses are hard?

Are they just claiming that all the courses that they have personally taken are hard?

Are they using their personal experience of university courses to try and support the claim that university courses in general (i.e., even the ones they haven’t taken) are difficult?

What do they mean by “hard?” Time consuming? Intellectually challenging? A combination of both?

After you point out these problems, you could then tell the person what he or she IS able to conclude based upon the evidence provided. Are you trying to draw a generalization? Does he or she want to claim “All university courses are . . .” or “Most are . . .” or “Some are . . .”? Depending upon the scope of the proposition, that is, the quantity that is being referred to (i.e., few, some, many, most, all) then the number of examples offered needs to be appropriate. Clearly, if one wants to claim that “Most birds are black,” then there will need to be more examples of black birds given, rather than fewer. But if the claim is “Some students are tall,” then just a handful of examples will suffice.

Backing away from a universal claim (e.g., “All dogs are friendly”) doesn’t necessarily mean that you are giving a weaker argument. Indeed, it may be stronger. For if you state that “All dogs are friendly,” then your opponent only needs to find one example of a dog that is not friendly to defeat your argument. However, if you said “Most dogs are friendly,” then that one unfriendly dog doesn’t hurt your position. You could respond: “I didn’t say ‘All dogs are friendly,’ nor did I say ‘THAT dog is friendly.’ I just said ‘most!’”

Another feature to watch for is the degree of certainty that is used in the proposition. Is the person claiming “I know for sure that there is a test next week,” or are they simply claiming “There might be a test next week”? The standard of the evidence for the former statement will be much more demanding than the latter. Again, you need to assess how much evidence there is to determine how strong a claim can be put forward. Obviously, you could (or may need to) weaken your claim, but then its persuasive effect will be lost. For example, which claim sounds more interesting: “The home team will win the championship,” or “There is a possibility that the home team might win the championship”? No one would probably (!) deny the second statement, because all the home team has to do is show up for the claim to be substantiated, so why waste your time (and theirs) arguing for such a point? So, while you may need to back down from being too confident in stating your conclusion, at the same time you don’t want to present a meek position when the evidence is clearly in your favour!

Finding satisfactory premises that supply sufficient support for a conclusion entails that you be actively engaged in critical thinking. And, as mentioned at the outset, you can’t just read about how to develop these skills, because *in order to learn, you have to do*.

HOW TO PLAN YOUR PHILOSOPHY PAPER

It's early in the semester, and yet your instructor (whose name you probably don't even know how to spell correctly yet) may be already talking about the first essay that isn't due for weeks, if not months, down the road. You might be tempted to wait until the very last minute to actually start writing it, but by then five other assignments from your other classes are also due. Not a smart move, but understandable. It's only human nature to try and avoid doing those things that we don't like, whether it's doing homework or going to the dentist. Even if you get a "B" on the paper, imagine what you could have gotten if you had spent more time on it!

What are the consequences of waiting until the very last minute? Well, on the positive side, you've managed to avoid doing something that you don't really want to do. But on the negative side, you'll lose a lot of sleep, skip a few early morning classes, be cranky and stressed, and ultimately submit a flawed piece of work that doesn't accurately represent what you think or what you are capable of. Oh, and you'll probably get a poor grade too.

What students often don't realize is that you really don't need to spend more time writing your paper, but you need to spend more time *planning* it.

Before we begin, let's be sure that we are on the same track. More often than not, a philosophy paper is a position paper or argumentative paper. It is not a "research paper." A pure research paper involves (among other things) establishing, discovering or describing facts, such as medical facts, historical facts, or geopolitical facts. A position paper is just that: a paper in which you take or explain a position or point of view. You are trying to convince your reader of the thesis that you put forward.

In order to successfully persuade the reader of your own views, your instructor will be checking to see whether you adequately grasp the material and its implications, whether you can critically analyze and evaluate the relevant issues, and whether you can reasonably defend your thesis.

A position paper should not be considered just an opportunity for stating your own opinions. Remember, opinions are philosophically uninteresting, since they simply are unsupported claims. They only tell the readers your personal attitude towards something, whereas what you want to do is rationally persuade someone that he or she should think the same way that you do. Although we are contrasting this process with a standard "research paper," we are not saying that you don't do any research for your project. Research is a key element to find out more about your topic as well as the different views and arguments that people have offered regarding it. You'll need to do research to first understand the topic, the surrounding issues, and implications. Then you'll need to do research to find out what other people think. Then you'll need to do research to support your own views. Doing all of this requires time—something you will sorely lack if you put the paper off until the last minute.

If there is any theme of this section, it is to stress the need to have enough time to devote to your project. Let's repeat that again: **GIVE YOUR ASSIGNMENT, YOUR TOPIC, AND YOUR READER THE TIME THEY DESERVE.**

You need time to reflect and conduct research; time to reflect some more and put your ideas down on paper. You need time to walk away from those ideas and time to revisit them. You need time to dig around in libraries and the Internet and then, armed with this additional input, alter, strengthen, and revise your work. You will then need more time to do the mechanical bits like editing, proofreading, and making sure that you have ink for your printer...

And, since time is important, let's get on to the main points, shall we?

1. Understand the nature of the assignment.

Your topic may be assigned to you, or you may be directed to choose a topic within certain parameters. Regardless of which approach is taken by your instructor, you must understand the topic and the assignment requirements, for although you might write a competent paper, it might completely miss the point! *Be sure you understand the instructions.* Are you asked to analyze a particular work or concept? Are you asked to summarize without evaluation? Are you asked to compare and contrast the positions of different philosophers or philosophies? How many words are required? Is it a short paper or a longer one? Whatever the length, be mindful to stay close to the established limits. A paper that is too short will indicate that you don't spend adequate time to sufficiently develop and explore complex ideas. A paper that is too long may suffer from repetition or may be "long winded" and defeat the purpose of the assignment (e.g., to be able to present material in a concise manner).

If you are unclear about the assigned essay topic, if you are unfamiliar with the topic background, or if you are unsure about the philosophical terminology, look to the reference section of your library for a philosophy dictionary or encyclopaedia. This reading will also help you frame the topic within a larger context, and has the potential to provide you with information to assist you when you actually start the formal writing process. *Do not simply turn to a standard dictionary, since those definitions will be inappropriate for your needs.* These "reportive" definitions are by their very nature brief (just try defining words like "justice" or "love" in four words or less!) and may suffer from a number of deficiencies such as being too broad (i.e., they include things in the definition that ought not to be included, such as broadly defining the word "chair" as "a piece of furniture"—this doesn't distinguish between a chair and a table) or too narrow (i.e., they exclude things that ought to be included, such as narrowly defining the word "chair" as "a piece of furniture made out of yellow plastic"—this doesn't recognize that some chairs made out of brown wood).

If you are required to come up with your own essay topic, you should pick one after considering the following four guidelines.

Pick something that is relevant.

It sounds obvious, but sometimes students will get off track quickly and choose a topic that isn't quite what the instructor wanted. This might be due to your not understanding the nature of the assignment or due to your choosing a topic that is too general or vague. It's wise to clear your topic with your instructor to see if you are on the right track. He or she will then be able to give you some further direction on what to do.

Pick something that you are interested in.

They say time flies when you are having fun... While some topics may seem easier than others, don't let your initial impressions be the overriding factor. If you are not interested in the topic, then the actual writing process will become more difficult since you don't have anything vested in the project.

Choose a topic that is "doable."

Essay topics like "The Philosophy of Aristotle," "What is Truth?" or "Science versus Religion" are far too broad in scope. When thinking about your topic, it is better that "the pond is small and deep, rather than wide and

shallow.” That’s a murky metaphor, but basically it means don’t bite off more than you can chew. You don’t want to touch on fifty different and disjointed points and say nothing substantial about any of them. Instead, you want to pick a manageable topic that allows you some room for an in-depth exploration of the particular issue. Are you keen on the topic of euthanasia? What aspect? Voluntary vs. non-voluntary? Active vs. passive? The role of family members as decision makers vs. the physician? Narrow your focus and develop your thoughts.

Pick something that you can find materials on.

While you may find a topic that interests you, you should check to see what sorts of resources are available. You might struggle with arguments and ideas if you can’t find more than two or three pieces that only mention your topic in passing. Don’t forget that content that you find on the World Wide Web can be posted by anyone (or any lobby group) and so may be biased, false, and misleading. Hence, the WWW may be worse than no resource at all. Consult with your university librarian or instructor for suitable databases and website resources.

2. Make preliminary notes about the topic from your own perspective.

Once you have tentatively chosen a topic and have an understanding of it, try putting some of your own thoughts down on paper. Put your comments down as potential areas that you may want to explore later on. Just because you have chosen a topic doesn’t necessarily mean that you already know what you think about it, let alone know what you want to say about it. To do this, try and answer the following questions. What do you think about the topic? What do you want to say? What troubles you about this topic? What do you like about it? What do you find interesting or confusing? Do you see it leading to particular or general consequences? Can you think of any examples that highlight any of your concerns, or which will highlight the claims being put forward by proponents of the particular position? Do you find that you seem to be in favour of one stance over another? Are you leaning in one direction but aren’t quite sure? Just put your thoughts down on paper. This doesn’t have to be any sort of formal presentation right now, and by no means do these precursory comments have to be well-developed or even consistent with each other. You don’t need to include every single point you’ve thought of, since some will just foster digressions. The challenge is to just get started. The mechanical process of putting pen to paper—even if you are not sure what you want to say—will help you get you going.

3. Conduct your first search for potential sources.

After you’ve selected your topic and put down a few thoughts about it, you need to find out what material is out there. While you might think that the Internet is the best place to go to see what sorts of resources are available, it isn’t the best place to start with. Look first to your own class text. It may contain a bibliography or a list of “recommended or further readings.” Does the author or editor have an introduction to the text or for each chapter? In the introduction, he or she might explicitly refer to other books or at least raise some discussion questions that can provide key terms that you can use for your searching. The book or article might mention other sources like journals or some other texts that you can go search for in your university’s library. Look at the footnotes or endnotes that are provided in the different resources.² These too will point you to other sources. Remember, each source, whether it’s an encyclopaedia, a journal, a book, an anthology, an index, a glossary of terms or a bibliography, has the potential to lead you to other sources. This process of using one reference to link to another is just the same as using hyperlinks on the World Wide Web. So, sit yourself down in the middle of the library stacks and start flipping through various journals and texts that you find on the shelves. You will be pleasantly surprised by what you can discover by just spending an hour digging around!³

I should point out that if you haven't taken a tour of your library yet, do so. Find out where things are. Find out how to look things up. Find out the locations of the reference books, the periodicals, and the photocopy machines. Ask questions. Ask for assistance. Scout out the place before wasting any more time, because otherwise you'll be doing this every time you have to return to the library to research a paper.

4. Get your preliminary sources together.

It's now time to get your readings together. You may find out later that some of the sources aren't appropriate or quite what you need, but for now, get a small collection together and start digging through them for applicability. Often, it doesn't take very long to figure out that a particular article is relevant or irrelevant to what you want. Read the table of contents, look at the author's introduction, and look at the index to see what key terms are mentioned frequently. Use those key terms to find other sources, and then use *those* sources to find others, and so on. If you look up a book on a library shelf, look at all the others on the same shelf. If you found a useful article in a journal, look at previous issues and later ones (perhaps someone has written a rebuttal to the piece you like!)

While you can rely on the fact that the library books or journals that you are using are "quality" works, given that they were selected by someone to include in the university collection, remember to critically evaluate any work that you are considering using as support for your own views. This is even more pressing when you turn to the World Wide Web, where anyone can publish anything online. Fortunately, many people have taken the time to put together websites that list various resources for you to use. Your instructor may be able to direct you to some of these.

5. Understand, and then critically reflect upon, the articles you've found.

Read the articles that you've selected. You need to be a bear (as in "Goldilocks and the Three Bears") about your research now. You don't want too many references that overwhelm the project because you can't tackle everything (remember the shallow pool metaphor from earlier?), and you don't want too few, because you don't want to just use the paper as a soapbox for your own ideas—no matter how marvellous they may be. You must understand the material before you can evaluate it. Make notes on your photocopies to capture ideas or quotes that you want to use, and don't plagiarize!⁴ Take time to digest and reflect upon the information.

6. Create an outline.

Go back to the ideas that you jotted down a while ago. Are there any common threads? Can you pull some of them together to form a roadmap of where you might want to go? Do the articles that you found offer new insights and leads? Do they answer any questions, or do they lead you to ask more? Think of this process as teamwork. Many others have been down the road you are traveling before you and can offer suggestions on where to turn and what to watch out for. Try to build on what they have done. Now is the time to create an outline of your arguments or, at a minimum, sketch out your ideas and construct an informal flow chart connecting this idea to that.

HOW TO WRITE YOUR PHILOSOPHY PAPER

The process of writing a good philosophy paper can begin when you are evaluating the works of others; that is, you can learn by example. Unfortunately, for a variety of reasons, not all "classics" are good candidates for you to follow. What follows here are just a few suggestions on how to write your own paper. Of course, any requirements or recommendations of your instructor will take precedence over these instructions.

<Your *title*

Although the first thing a reader will see is the title of your essay, the choice of title is perhaps best left for last. This is the case because a title should give a good indication as to the nature of the work—and you’ll have a better idea of what this is when the paper has been completed.

Why should the reader read your paper and not someone else’s? Make the title informative but not too specific—it’s a title, not a wordy thesis statement. Feel free to personalize the title, but don’t make it wildly outrageous!

Let’s imagine that you are writing a paper in epistemology. One possible title would be: “Truth.” Problematic? Definitely! “Truth” is far too generic, and a bit pompous to boot. How about: “The Correspondence Theory of Truth.” Better, but it is still too broad and it doesn’t provide the reader with a sense of the paper’s purpose. Consider instead: “The Correspondence Theory of Truth: A Defence.” This is even better, since it gives the reader an indication as to what you’re examining and hints at what your point of view will be. Of course, it’s not very sexy, but we leave that personalization up to you.

Your opening

Your opening paragraph(s) should set the stage for the rest of the paper. You are providing your reader with a contextual roadmap of what they can expect. It provides the reader with some indication as to why the topic is important, what the general problem is (or has been), and what your general thesis will be. If you have the space, you may wish to provide a brief glimpse of the main points you will be making—but be careful, because you don’t want to spend 1/3 of a short essay just explaining what the essay will be about. Just like your title, you may want to write the first paragraph last. This is due to the fact that you may not be quite sure what direction the paper will ultimately take and what the various arguments will be. Thus, instead of trying to force your paper to comply with the limits that you set out in a poor opening paragraph, just sketch the start of your paper to begin with and then jump right into the main text. Of course, the creation of an outline prior to this will benefit. Once you’ve written the first draft, then you can go back and tweak the opening paragraph.

Your text

While the opening sentence of each paragraph should be a new idea or an expansion of a previous one, it must flow naturally from the last sentence of the previous paragraph. Take care that you don’t jump around from point to point without warning the reader—otherwise, the reader will be lost as to where you are going and what you are trying to accomplish. There are many different approaches to writing your essay, and sometimes it just becomes a matter of what works best for you, the topic, and what your instructor wants. For example, you may want to present the issue, your views, and then the possible objections and your responses; or you may wish to develop these things all in tandem. That is, present an argument and a possible objection, and then resolve the criticism and move on.

The central sentences of each paragraph should provide details and expand the claim being made, while the final sentence will leave the reader with a strong sense of what this key point is, as well as set up the next paragraph. Paragraphs should not be overly long, however.

As a general rule, stronger arguments should be reserved for later on in your paper. Start with the more fragile

or less significant ones first, and then build up your case. You don't want to end on a weak note, since the last things you say will be the first things that the reader will remember. Don't be afraid to offer an *apparent* weak point—so long as you are able to recognize that it is a difficulty and are able to successfully respond to it. For example, let's say your claim is that "any form of euthanasia is immoral and it should never be an institutionalized practice because physicians are in the business of curing people, not killing them." One objection (and there would be many) might be the fact that this blanket prohibition means that there will be people who will be suffering needlessly: "Is it fair to force an elderly woman who is terminally ill to be in a constant state of pain until her death?" To this you might reply that not permitting euthanasia doesn't mean that we should stop caring for patients. Perhaps a new drug regimen can be put into practice to ease her pain, perhaps legalization of medicinal marijuana is needed, and so forth.

Your conclusion

Your conclusion should pull the pieces of your paper together for one final "send-off." This is the last chance you have to grab the reader. The conclusion is used to restate your thesis and main arguments with reference to the specific concerns of your paper, as well as to the general topic. It should complete what you started in such a fashion that the reader can walk away gaining some insight into what you were trying to do all along.

Your paper's characteristics

Let's assume you are writing a relatively long argumentative paper. When constructing your paper, be sure that:

- The course concepts and presentation of others' views are clear and accurate.
- You attempt to be original.
- Any use of others' words or ideas directly or indirectly are clearly cited (see "How to Cite Your Sources" below).
- The paper has correct spelling, punctuation, and diction and is expressed in appropriate formal language, including gender-neutral terminology.
- The paper is well-organized and you do not digress. This organization should also be made clear to the reader.
- The paper clearly presents the issue it will discuss, and selects appropriate aspects of that issue for discussion.
- The paper is not too broad in attempting to answer "every problem," but deals with select elements in depth.
- The arguments are presented clearly, logically, and understandably.
- The author takes a definite position on the issue.
- The paper gives appropriate and cogent reasons for the position taken.
- The paper considers the viewpoints of others.
- The paper gives appropriate reasons for rejecting these views.
- The paper considers reasonable objections to its own positive argument, including any that were presented in class or found in assigned readings.

- The arguments for rejecting these objections are clear and cogent.

Once you have composed the first draft (yes, you will require more than one draft of your paper!), WALK AWAY FROM YOUR ESSAY.

You need time to be able to shut off your goal-driven mind and re-examine your paper. This is because when you've been writing for extended periods of time you can lose your objectivity. For example, have you ever read one of your own essays over and over again and had a friend just glance at it once and spot typos that you never saw? This is because you are so used to what you have written and are so intimate with the ideas that you can skim over all the miscues. This is also why, when reading the paper, it may be clear as day to you but to someone else it makes no sense. The reason for this is that you know what you wanted to say, and you know what you mean and where you are going, but these things may not be adequately reflected by what actually appears in your paper. You want to avoid having to admit that "what I really meant to say here was . . ." Avoid it by coming back to your paper not as the writer of the piece, but as someone who is objective and disinterested. So, walk away and do something else.

8. Revisit and revise viciously!

By taking the time to clear your head (at least one good night's sleep!) you can return to your paper from a more objective point of view. You can see what you may have missed or what needs to be rewritten, deleted, or further defended. Often, reading the paper out loud can reveal any leaps of logic, incongruities, digressions, and basic presentation problems. When revisiting your paper, here are some of the things you should be checking for.

Do you offer a clear thesis and tell the reader where you are going to take them? Do you take them where you said you would in the most effective manner? Do you state your arguments? Do you offer a credible defence of them—not only by supplying your own reasons, but also the reasons of others? Do any of your claims that you use as justification require further justification themselves? Do you offer and consider other points of view? What have other people said both in favour and against the sorts of views that you are putting forward? Why should the reader accept your argument as opposed to the others that are out there (and which you may even discuss)? Do you consider their implications on your own position? Can you reasonably cast doubt on views that are inconsistent with your own? Can you see the implications of your view? Do you accept these implications? Do you see any weaknesses with your theory? Have you explicitly acknowledged any potential criticisms and attempted to meet them head on? Are these criticisms serious enough to require a wholesale review of your argument, or can you accept the weakness by altering your position within reasonable limits? Are there areas that are ambiguous or vague? Are there any inconsistencies? Have you committed any mistakes of reasoning?

9. Check your paper manually before handing it in.

You're almost done. After editing the content of your paper, and making sure that you have referenced correctly, check the mechanics. Run a spell-check program. If you haven't done so already, print off a copy of your paper and manually proofread it. Often, students will just do the former, but the spellchecker won't bring your attention to such errors as "These cent tents says dough not make scents."⁵ By looking at your essay on paper rather than on your computer screen, you may catch obvious errors, unconnected paragraphs, and poor transitions that you might miss if you are only viewing it on the screen.

Now do you see why we assign essays weeks in advance?

10. How to Cite Your Sources

Referencing is an essential skill that must be learned. I have never understood why some students felt the need or desire to plagiarize (i.e., intentionally or unintentionally use the ideas of someone else without giving them credit) when in fact I find citations a sign of good work. Providing a reference tells me that you've identified information as so important that you wish to use it. You are directing the reader to an external source that is important enough to say "Hey, I thought this was a good point." This tells me that you are thinking about the topic in a significant way—a way that is much more impressive than just writing down what "you" think. Accordingly, footnotes (which appear at the bottom of the relevant page) or endnotes (which appear at the end of the essay) are not just about giving proper credit. They also reveal your own level of intellectual sophistication.

Footnotes and endnotes can be used for two different purposes. The first is to give the specific information regarding the resource you are citing, and the second for commentary that does not fit in the main body of the paper but is still relevant and worth stating. For example, in a footnote you might provide the entire passage that you quoted from, or you might offer a general editorial remark about the author or the source.

Professional philosophers tend to use either the Chicago Style or the Modern Language Association (MLA) format for their referencing. Many instructors permit inclusion of reference citations within the body of the essay. For example:

When speaking within the confines of philosophy of mind, Dualists are not, I repeat, not those who wake up at sunrise and try and shoot their opponent after ten paces—although some might wish this were true. (Kirby 63)

However, I personally find that in-text citations can interrupt the flow of the essay. If I am thinking about the author's argument, inserting references can break the visual flow of the argument and, accordingly, my concentration. Also, if the author whom you are citing has more than one article published in the same year, this will cause confusion unless you now include part of the title in your citation (e.g., Sinnott, *Mind: I Know I Left Mine Somewhere* 223). This, in my view, only makes the distraction more pronounced. Given that I often make use of footnotes for *both* commentary and referencing, I prefer to just use footnotes for everything—but *this is merely a personal preference*. Please check with your instructor to see what format he or she expects.

Using footnotes or endnotes in Modern Language Association style is very easy. There are only four components: Author, Title, Publication Information, Page. Here are samples of the commonly used types of sources. Follow each example exactly (i.e., use italics, commas, etc. in the same way).

BOOK

Ryan Coke. *Metaphysicians*. (Peirce-Horton Publishing, 2017), p. 210.

ARTICLE IN ANTHOLOGY

Jane Grey. "Drinking Water Concerns." *Environmental Problems*, edited by Martin Smith and Debra Hans, 3rd ed., (Roughhat Sons and Daughters, 1999), p. 34.

ARTICLE IN JOURNAL

Jason Jefferson. "Righting Wrongs with Revolutionary Science." *Philosophy and New Scientific Affairs*, vol. 12, no. 1. (Jan.-Mar. 2007), p. 101.

FURTHER CITATION OF SAME AUTHOR – SAME SOURCE

Coke. *Metaphysicians*. p. 212.

CLASS NOTES

Jeff McLaughlin. "Philosophy 1100." 7 January 2018, Thompson Rivers University, Kamloops, BC. Lecture.

ONLINE REFERENCES

Information is the same as above, with additional remarks. No page reference is required. Note: The first date below refers to when the article was posted or last updated (if known), and the second is when you visited the website.

Jeff McLaughlin. "How to Do Philosophy." *Why or Why Not*, May 1999, <http://www.whyorwhynot.ca>. Accessed 12 June 2018.

BIBLIOGRAPHY

A bibliography is simply a list of works that you used. It is put after your essay or endnotes (when relevant). Put the author's last name first and keep the information the same as above (but drop the parentheses and page references):

Knight, Storey. *Epistemology and Personal Awareness*. New Gem Press, 2013.

Footnote or endnote citation or reference numbers are sequentially ordered: 1, 2, 3, 4, 5, 6, etc. Numbers are also superscript (small numbers placed above the text line). You need a new number for each separate reference. Thus, even if you cite the same author and the same page three times, you will have a different number for each use.

Long quotes must be separated from the body of your text, indented, and single spaced. Quotation marks are not used in this case, and the passage is followed with a citation number. For example: According to the *New York Tribune* journalist Jay J. Lee:

In the summer of the last year of the Great War, men and women back home started to return to their normal lives. Yet the world was not the same place as it had been. There were no able bodies to help rebuild Europe but in the USA there was no shortage of men, just jobs.

If you wish to delete some of the quoted text because it is irrelevant, use three dots (. . .) to signify that text is deleted. For example:

The original text:

She listed many household appliances including hot water tanks, dishwashers, clothes dryers, television sets that were considered expensive.

Your quotation:

“She listed many household appliances . . . that were considered expensive.”

If you need to add/change a word to clarify the meaning of the sentence, or capitalize or remove capitalization from the quote, use square brackets: []. For example:

“[The child] listed many household appliances . . . that were considered expensive.

In the following case, the original sentence started with “She,” but it is now part of a new sentence:

Even though Sarah was still quite young, “[s]he [was able to list] many household appliances . . . that were considered [to be] expensive.

Please remember that *e.g.*, (an abbreviation of the Latin “*exempli gratia*”) is used when you wish to give examples, and *i.e.*, (an abbreviation of the Latin “*id est*”) is used when you wish to rephrase or clarify the meaning of a term in other words. For example:

“There are many expensive (*i.e.*, cost over \$400.00) household appliances, (*e.g.*, television sets, hot water tanks, dishwashers).”

Never use “I feel” when you really mean to write “I think” or “I believe.” “I feel happy” is fine, but “I feel that truth is a correspondence of how the world really is, with what the person is claiming” suggests that you have an intuition or a “gut reaction” about what truth is. You are not going to persuade anyone to accept your views based upon what YOU feel. Besides, feelings are just sensations...

In fact, try to avoid using “I think” entirely, since first person usage is often redundant. If you write “I think abortion is wrong,” this provides no more information to the reader than stating “Abortion is wrong.” The reader already knows that *you* think abortion is wrong, because you’re the author of the essay! There’s no need to remind them of this fact. Moreover, dropping “I think” provides a subtle benefit to your argument. You are trying to persuade someone that abortion *is* wrong, not just that *you believe* that it is wrong. To do to the latter is to open yourself up to the obvious rebuttal that “what *you* write may lead *you* to believe abortion is wrong, but it sure doesn’t convince me.” Indeed, if I were to ask whether your statement was true or not, notice that the additional inclusion of “I think” changes what you originally intended. You write “I think truth is achieved by correspondence with the way the world is.” Is this statement true or false? True, of course, because you are only telling me what you think! Whether truth is achieved by correspondence with the way the world is has not been determined!

Finally, have some respect when putting your presentation together. Don’t just fold over the corner of your essay. Ask your instructor how they would like the submission. Do they want you to email it? If so, be sure they will be able to open the file. Buy a stapler tomorrow if you don’t own one. Don’t use that personalized letter paper covered in pink roses because “that’s all you had left.” Don’t use odd coloured ink or strange margins or font settings. Not being professional about how your work looks indicates how much you care or don’t care about what you are doing. Assuming that your instructor will even allow you to hand in such work that looks unprofessional, I don’t need to tell you how they will judge the level of respect that you are demonstrating to the material, the course, and to them.

PART I

The Value of Philosophy

Socrates is famous for saying “The unexamined life is not worth living.” To this we can also add that the unexamined world is not worth living *in*. The value of philosophy stems in part from the fact that human beings are (or should be) curious and philosophy helps shape both the questions and answers that have been raised over the centuries. We want to know things and some of us want to know a bit more than others. It’s not necessarily about figuring out what the meaning of life is (if there is such meaning) but about having an appreciation and understanding of what there is and having an appreciation and understanding that what *seems to be the case* need not be (in all senses of the expression).

The title of the first reading in this book is “Apology” but Socrates isn’t apologizing for annoying the powers that be. In fact the title comes from the Greek ‘Apologia’ which means ‘Defense’. Socrates is arguing for the necessity of challenging and examining the beliefs of others and this is what we continue to do over two thousand years later. Philosophy also provides lessons (both by example as much as by instruction) on how to think clearly and how to apply reason to not only the big issues that keep people up late at night but to the seemingly everyday sorts of things we ponder over as we go about our lives. Accordingly, gaining the skills to think clearly and objectively and to ask – and respond to – the famous (or perhaps infamous) ‘Why?’ type questions motivate the two dozen original thinkers here. They see both the forest and the trees and have led us deep into the woods as we continue our intellectual adventure.

1.

Plato - On Defending Philosophy

APOLOGY

How you have felt, O men of Athens, at hearing the speeches of my accusers, I cannot tell; but I know that their persuasive words almost made me forget who I was – such was the effect of them; and yet they have hardly spoken a word of truth. But many as their falsehoods were, there was one of them which quite amazed me; – I mean when they told you to be upon your guard, and not to let yourselves be deceived by the force of my eloquence. They ought to have been ashamed of saying this, because they were sure to be detected as soon as I opened my lips and displayed my deficiency; they certainly did appear to be most shameless in saying this, unless by the force of eloquence they mean the force of truth; for then I do indeed admit that I am eloquent. But in how different a way from theirs! Well, as I was saying, they have hardly uttered a word, or not more than a word, of truth; but you shall hear from me the whole truth: not, however, delivered after their manner, in a set oration duly ornamented with words and phrases. No indeed! but I shall use the words and arguments which occur to me at the moment; for I am certain that this is right, and that at my time of life I ought not to be appearing before you, O men of Athens, in the character of a juvenile orator – let no one expect this of me. And I must beg of you to grant me one favor, which is this – If you hear me using the same words in my defence which I have been in the habit of using, and which most of you may have heard in the agora, and at the tables of the money- changers, or anywhere else, I would ask you not to be surprised at this, and not to interrupt me. For I am more than seventy years of age, and this is the first time that I have ever appeared in a court of law, and I am quite a stranger to the ways of the place; and therefore I would have you regard me as if I were really a stranger, whom you would excuse if he spoke in his native tongue, and after the fashion of his country; – that I think is not an unfair request. Never mind the manner, which may or may not be good; but think only of the justice of my cause, and give heed to that: let the judge decide justly and the speaker speak truly.

And first, I have to reply to the older charges and to my first accusers, and then I will go to the later ones. For I have had many accusers, who accused me of old, and their false charges have continued during many years; and I am more afraid of them than of Anytus and his associates, who are dangerous, too, in their own way. But far more dangerous are these, who began when you were children, and took possession of your minds with their falsehoods, telling of one Socrates, a wise man, who speculated about the heaven above, and searched into the earth beneath, and made the worse appear the better cause. These are the accusers whom I dread; for they are the circulators of this rumor, and their hearers are too apt to fancy that speculators of this sort do not believe in the

gods. And they are many, and their charges against me are of ancient date, and they made them in days when you were impressible – in childhood, or perhaps in youth and the cause when heard went by default, for there was none to answer. And, hardest of all, their names I do not know and cannot tell; unless in the chance of a comic poet. But the main body of these slanderers who from envy and malice have wrought upon you – and there are some of them who are convinced themselves, and impart their convictions to others – all these, I say, are most difficult to deal with; for I cannot have them up here, and examine them, and therefore I must simply fight with shadows in my own defence, and examine when there is no one who answers. I will ask you then to assume with me, as I was saying, that my opponents are of two kinds – one recent, the other ancient; and I hope that you will see the propriety of my answering the latter first, for these accusations you heard long before the others, and much oftener.

Well, then, I will make my defence, and I will endeavor in the short time which is allowed to do away with this evil opinion of me which you have held for such a long time; and I hope I may succeed, if this be well for you and me, and that my words may find favor with you. But I know that to accomplish this is not easy – I quite see the nature of the task. Let the event be as God wills: in obedience to the law I make my defence.

I will begin at the beginning, and ask what the accusation is which has given rise to this slander of me, and which has encouraged Meletus to proceed against me. What do the slanderers say? They shall be my prosecutors, and I will sum up their words in an affidavit. “Socrates is an evil-doer, and a curious person, who searches into things under the earth and in heaven, and he makes the worse appear the better cause; and he teaches the aforesaid doctrines to others.” That is the nature of the accusation, and that is what you have seen yourselves in the comedy of Aristophanes; who has introduced a man whom he calls Socrates, going about and saying that he can walk in the air, and talking a deal of nonsense concerning matters of which I do not pretend to know either much or little – not that I mean to say anything disparaging of anyone who is a student of natural philosophy. I should be very sorry if Meletus could lay that to my charge. But the simple truth is, O Athenians, that I have nothing to do with these studies. Very many of those here present are witnesses to the truth of this, and to them I appeal. Speak then, you who have heard me, and tell your neighbors whether any of you have ever known me hold forth in few words or in many upon matters of this sort. . . . You hear their answer. And from what they say of this you will be able to judge of the truth of the rest.

As little foundation is there for the report that I am a teacher, and take money; that is no more true than the other. Although, if a man is able to teach, I honor him for being paid. There is Gorgias of Leontium, and Prodicus of Ceos, and Hippias of Elis, who go the round of the cities, and are able to persuade the young men to leave their own citizens, by whom they might be taught for nothing, and come to them, whom they not only pay, but are thankful if they may be allowed to pay them. There is actually a Parian philosopher residing in Athens, of whom I have heard; and I came to hear of him in this way: I met a man who has spent a world of money on the Sophists, Callias the son of Hipponicus, and knowing that he had sons, I asked him: “Callias,” I said, “if your two sons were foals or calves, there would be no difficulty in finding someone to put over them; we should hire a trainer of horses or a farmer probably who would improve and perfect them in their own proper virtue and excellence; but as they are human beings, whom are you thinking of placing over them? Is there anyone who understands human and political virtue? You must have thought about this as you have sons; is there anyone?” “There is,” he said. “Who is he?” said I, “and of what country? and what does he charge?” “Evenus the Parian,” he replied; “he is the man, and his charge is five minae.” Happy is Evenus, I said to myself, if he really has this wisdom, and teaches at

such a modest charge. Had I the same, I should have been very proud and conceited; but the truth is that I have no knowledge of the kind.

I dare say, Athenians, that someone among you will reply, “Why is this, Socrates, and what is the origin of these accusations of you: for there must have been something strange which you have been doing? All this great fame and talk about you would never have arisen if you had been like other men: tell us, then, why this is, as we should be sorry to judge hastily of you.” Now I regard this as a fair challenge, and I will endeavor to explain to you the origin of this name of “wise,” and of this evil fame. Please to attend then. And although some of you may think I am joking, I declare that I will tell you the entire truth. Men of Athens, this reputation of mine has come of a certain sort of wisdom which I possess. If you ask me what kind of wisdom, I reply, such wisdom as is attainable by man, for to that extent I am inclined to believe that I am wise; whereas the persons of whom I was speaking have a superhuman wisdom, which I may fail to describe, because I have it not myself; and he who says that I have, speaks falsely, and is taking away my character. And here, O men of Athens, I must beg you not to interrupt me, even if I seem to say something extravagant. For the word which I will speak is not mine. I will refer you to a witness who is worthy of credit, and will tell you about my wisdom – whether I have any, and of what sort and that witness shall be the god of Delphi. You must have known Chaerephon; he was early a friend of mine, and also a friend of yours, for he shared in the exile of the people, and returned with you. Well, Chaerephon, as you know, was very impetuous in all his doings, and he went to Delphi and boldly asked the oracle to tell him whether – as I was saying, I must beg you not to interrupt – he asked the oracle to tell him whether there was anyone wiser than I was, and the Pythian prophetess answered that there was no man wiser. Chaerephon is dead himself, but his brother, who is in court, will confirm the truth of this story.

Why do I mention this? Because I am going to explain to you why I have such an evil name. When I heard the answer, I said to myself, What can the god mean? and what is the interpretation of this riddle? for I know that I have no wisdom, small or great. What can he mean when he says that I am the wisest of men? And yet he is a god and cannot lie; that would be against his nature. After a long consideration, I at last thought of a method of trying the question. I reflected that if I could only find a man wiser than myself, then I might go to the god with a refutation in my hand. I should say to him, “Here is a man who is wiser than I am; but you said that I was the wisest.” Accordingly I went to one who had the reputation of wisdom, and observed to him – his name I need not mention; he was a politician whom I selected for examination – and the result was as follows: When I began to talk with him, I could not help thinking that he was not really wise, although he was thought wise by many, and wiser still by himself; and I went and tried to explain to him that he thought himself wise, but was not really wise; and the consequence was that he hated me, and his enmity was shared by several who were present and heard me. So I left him, saying to myself, as I went away: Well, although I do not suppose that either of us knows anything really beautiful and good, I am better off than he is – for he knows nothing, and thinks that he knows. I neither know nor think that I know. In this latter particular, then, I seem to have slightly the advantage of him. Then I went to another, who had still higher philosophical pretensions, and my conclusion was exactly the same. I made another enemy of him, and of many others besides him.

After this I went to one man after another, being not unconscious of the enmity which I provoked, and I lamented and feared this: but necessity was laid upon me – the word of God, I thought, ought to be considered first. And I said to myself, Go I must to all who appear to know, and find out the meaning of the oracle. And I swear to you, Athenians, by the dog I swear! – for I must tell you the truth – the result of my mission was just this: I found that the men most in repute were all but the most foolish; and that some inferior men were really wiser and better. I

will tell you the tale of my wanderings and of the “Herculean” labors, as I may call them, which I endured only to find at last the oracle irrefutable. When I left the politicians, I went to the poets; tragic, dithyrambic, and all sorts. And there, I said to myself, you will be detected; now you will find out that you are more ignorant than they are. Accordingly, I took them some of the most elaborate passages in their own writings, and asked what was the meaning of them – thinking that they would teach me something. Will you believe me? I am almost ashamed to speak of this, but still I must say that there is hardly a person present who would not have talked better about their poetry than they did themselves. That showed me in an instant that not by wisdom do poets write poetry, but by a sort of genius and inspiration; they are like diviners or soothsayers who also say many fine things, but do not understand the meaning of them. And the poets appeared to me to be much in the same case; and I further observed that upon the strength of their poetry they believed themselves to be the wisest of men in other things in which they were not wise. So I departed, conceiving myself to be superior to them for the same reason that I was superior to the politicians.

At last I went to the artisans, for I was conscious that I knew nothing at all, as I may say, and I was sure that they knew many fine things; and in this I was not mistaken, for they did know many things of which I was ignorant, and in this they certainly were wiser than I was. But I observed that even the good artisans fell into the same error as the poets; because they were good workmen they thought that they also knew all sorts of high matters, and this defect in them overshadowed their wisdom – therefore I asked myself on behalf of the oracle, whether I would like to be as I was, neither having their knowledge nor their ignorance, or like them in both; and I made answer to myself and the oracle that I was better off as I was.

This investigation has led to my having many enemies of the worst and most dangerous kind, and has given occasion also to many calumnies, and I am called wise, for my hearers always imagine that I myself possess the wisdom which I find wanting in others: but the truth is, O men of Athens, that God only is wise; and in this oracle he means to say that the wisdom of men is little or nothing; he is not speaking of Socrates, he is only using my name as an illustration, as if he said, He, O men, is the wisest, who, like Socrates, knows that his wisdom is in truth worth nothing. And so I go my way, obedient to the god, and make inquisition into the wisdom of anyone, whether citizen or stranger, who appears to be wise; and if he is not wise, then in vindication of the oracle I show him that he is not wise; and this occupation quite absorbs me, and I have no time to give either to any public matter of interest or to any concern of my own, but I am in utter poverty by reason of my devotion to the god.

There is another thing: young men of the richer classes, who have not much to do, come about me of their own accord; they like to hear the pretenders examined, and they often imitate me, and examine others themselves; there are plenty of persons, as they soon enough discover, who think that they know something, but really know little or nothing; and then those who are examined by them instead of being angry with themselves are angry with me: This confounded Socrates, they say; this villainous misleader of youth! – and then if somebody asks them, Why, what evil does he practise or teach? they do not know, and cannot tell; but in order that they may not appear to be at a loss, they repeat the ready-made charges which are used against all philosophers about teaching things up in the clouds and under the earth, and having no gods, and making the worse appear the better cause; for they do not like to confess that their pretence of knowledge has been detected – which is the truth: and as they are numerous and ambitious and energetic, and are all in battle array and have persuasive tongues, they have filled your ears with their loud and inveterate calumnies. And this is the reason why my three accusers, Meletus and Anytus and Lycon, have set upon me; Meletus, who has a quarrel with me on behalf of the poets; Anytus, on behalf of the craftsmen; Lycon, on behalf of the rhetoricians: and as I said at the beginning, I cannot expect to get rid of this

mass of calumny all in a moment. And this, O men of Athens, is the truth and the whole truth; I have concealed nothing, I have dissembled nothing. And yet I know that this plainness of speech makes them hate me, and what is their hatred but a proof that I am speaking the truth? – this is the occasion and reason of their slander of me, as you will find out either in this or in any future inquiry.

I have said enough in my defence against the first class of my accusers; I turn to the second class, who are headed by Meletus, that good and patriotic man, as he calls himself. And now I will try to defend myself against them: these new accusers must also have their affidavit read. What do they say? Something of this sort: That Socrates is a doer of evil, and corrupter of the youth, and he does not believe in the gods of the state, and has other new divinities of his own. That is the sort of charge; and now let us examine the particular counts. He says that I am a doer of evil, who corrupt the youth; but I say, O men of Athens, that Meletus is a doer of evil, and the evil is that he makes a joke of a serious matter, and is too ready at bringing other men to trial from a pretended zeal and interest about matters in which he really never had the smallest interest. And the truth of this I will endeavor to prove.

Come hither, Meletus, and let me ask a question of you. You think a great deal about the improvement of youth?

Yes, I do.

Tell the judges, then, who is their improver; for you must know, as you have taken the pains to discover their corrupter, and are citing and accusing me before them. Speak, then, and tell the judges who their improver is. Observe, Meletus, that you are silent, and have nothing to say. But is not this rather disgraceful, and a very considerable proof of what I was saying, that you have no interest in the matter? Speak up, friend, and tell us who their improver is.

The laws.

But that, my good sir, is not my meaning. I want to know who the person is, who, in the first place, knows the laws.

The judges, Socrates, who are present in court.

What do you mean to say, Meletus, that they are able to instruct and improve youth?

Certainly they are.

What, all of them, or some only and not others?

All of them.

By the goddess Here, that is good news! There are plenty of improvers, then. And what do you say of the audience, – do they improve them?

Yes, they do.

And the senators?

Yes, the senators improve them.

But perhaps the members of the citizen assembly corrupt them? – or do they too improve them? They improve them.

Then every Athenian improves and elevates them; all with the exception of myself; and I alone am their corrupter? Is that what you affirm?

That is what I stoutly affirm.

I am very unfortunate if that is true. But suppose I ask you a question: Would you say that this also holds true in the case of horses? Does one man do them harm and all the world good? Is not the exact opposite of this true? One man is able to do them good, or at least not many; – the trainer of horses, that is to say, does them good, and others who have to do with them rather injure them? Is not that true, Meletus, of horses, or any other animals? Yes, certainly. Whether you and Anytus say yes or no, that is no matter. Happy indeed would be the condition of youth if they had one corrupter only, and all the rest of the world were their improvers. And you, Meletus, have sufficiently shown that you never had a thought about the young: your carelessness is seen in your not caring about matters spoken of in this very indictment.

And now, Meletus, I must ask you another question: Which is better, to live among bad citizens, or among good ones? Answer, friend, I say; for that is a question which may be easily answered. Do not the good do their neighbors good, and the bad do them evil?

Certainly.

And is there anyone who would rather be injured than benefited by those who live with him? Answer, my good friend; the law requires you to answer – does anyone like to be injured?

Certainly not.

And when you accuse me of corrupting and deteriorating the youth, do you allege that I corrupt them intentionally or unintentionally?

Intentionally, I say.

But you have just admitted that the good do their neighbors good, and the evil do them evil. Now is that a truth which your superior wisdom has recognized thus early in life, and am I, at my age, in such darkness and ignorance as not to know that if a man with whom I have to live is corrupted by me, I am very likely to be harmed by him, and yet I corrupt him, and intentionally, too; – that is what you are saying, and of that you will never persuade me or any other human being. But either I do not corrupt them, or I corrupt them unintentionally, so that on either view of the case you lie. If my offence is unintentional, the law has no cognizance of unintentional offences: you ought to have taken me privately, and warned and admonished me; for if I had been better advised, I should have left off doing what I only did unintentionally – no doubt I should; whereas you hated to converse with me or teach me, but you indicted me in this court, which is a place not of instruction, but of punishment.

I have shown, Athenians, as I was saying, that Meletus has no care at all, great or small, about the matter. But still I should like to know, Meletus, in what I am affirmed to corrupt the young. I suppose you mean, as I infer from your indictment, that I teach them not to acknowledge the gods which the state acknowledges, but some other new divinities or spiritual agencies in their stead. These are the lessons which corrupt the youth, as you say.

Yes, that I say emphatically.

Then, by the gods, Meletus, of whom we are speaking, tell me and the court, in somewhat plainer terms, what you mean! for I do not as yet understand whether you affirm that I teach others to acknowledge some gods, and therefore do believe in gods and am not an entire atheist – this you do not lay to my charge; but only that they are not the same gods which the city recognizes – the charge is that they are different gods. Or, do you mean to say that I am an atheist simply, and a teacher of atheism?

I mean the latter – that you are a complete atheist.

That is an extraordinary statement, Meletus. Why do you say that? Do you mean that I do not believe in the godhead of the sun or moon, which is the common creed of all men?

I assure you, judges, that he does not believe in them; for he says that the sun is stone, and the moon earth.

Friend Meletus, you think that you are accusing Anaxagoras; and you have but a bad opinion of the judges, if you fancy them ignorant to such a degree as not to know that those doctrines are found in the books of Anaxagoras the Clazomenian, who is full of them. And these are the doctrines which the youth are said to learn of Socrates, when there are not unfrequently exhibitions of them at the theatre (price of admission one drachma at the most); and they might cheaply purchase them, and laugh at Socrates if he pretends to father such eccentricities. And so,

Meletus, you really think that I do not believe in any god?

I swear by Zeus that you believe absolutely in none at all.

You are a liar, Meletus, not believed even by yourself. For I cannot help thinking, O men of Athens, that Meletus is reckless and impudent, and that he has written this indictment in a spirit of mere wantonness and youthful bravado. Has he not compounded a riddle, thinking to try me? He said to himself: I shall see whether this wise Socrates will discover my ingenious contradiction, or whether I shall be able to deceive him and the rest of them. For he certainly does appear to me to contradict himself in the indictment as much as if he said that Socrates is guilty of not believing in the gods, and yet of believing in them – but this surely is a piece of fun.

I should like you, O men of Athens, to join me in examining what I conceive to be his inconsistency; and do you, Meletus, answer. And I must remind you that you are not to interrupt me if I speak in my accustomed manner.

Did ever man, Meletus, believe in the existence of human things, and not of human beings? .I wish, men of Athens, that he would answer, and not be always trying to get up an interruption. Did ever any man believe in horsemanship, and not in horses? or in flute-playing, and not in flute-players? No, my friend; I will answer to you and to the court, as you refuse to answer for yourself. There is no man who ever did. But now please to answer the next question: Can a man believe in spiritual and divine agencies, and not in spirits or demigods?

He cannot.

I am glad that I have extracted that answer, by the assistance of the court; nevertheless you swear in the indictment that I teach and believe in divine or spiritual agencies (new or old, no matter for that); at any rate, I believe in spiritual agencies, as you say and swear in the affidavit; but if I believe in divine beings, I must believe in spirits

or demigods; – is not that true? Yes, that is true, for I may assume that your silence gives assent to that. Now what are spirits or demigods? are they not either gods or the sons of gods? Is that true?

Yes, that is true.

But this is just the ingenious riddle of which I was speaking: the demigods or spirits are gods, and you say first that I don't believe in gods, and then again that I do believe in gods; that is, if I believe in demigods. For if the demigods are the illegitimate sons of gods, whether by the Nymphs or by any other mothers, as is thought, that, as all men will allow, necessarily implies the existence of their parents. You might as well affirm the existence of mules, and deny that of horses and asses. Such nonsense, Meletus, could only have been intended by you as a trial of me. You have put this into the indictment because you had nothing real of which to accuse me. But no one who has a particle of understanding will ever be convinced by you that the same man can believe in divine and superhuman things, and yet not believe that there are gods and demigods and heroes.

I have said enough in answer to the charge of Meletus: any elaborate defence is unnecessary; but as I was saying before, I certainly have many enemies, and this is what will be my destruction if I am destroyed; of that I am certain; – not Meletus, nor yet Anytus, but the envy and detraction of the world, which has been the death of many good men, and will probably be the death of many more; there is no danger of my being the last of them.

Someone will say: And are you not ashamed, Socrates, of a course of life which is likely to bring you to an untimely end? To him I may fairly answer: There you are mistaken: a man who is good for anything ought not to calculate the chance of living or dying; he ought only to consider whether in doing anything he is doing right or wrong – acting the part of a good man or of a bad. Whereas, according to your view, the heroes who fell at Troy were not good for much, and the son of Thetis above all, who altogether despised danger in comparison with disgrace; and when his goddess mother said to him, in his eagerness to slay Hector, that if he avenged his companion Patroclus, and slew Hector, he would die himself – “Fate,” as she said, “waits upon you next after Hector”; he, hearing this, utterly despised danger and death, and instead of fearing them, feared rather to live in dishonor, and not to avenge his friend. “Let me die next,” he replies, “and be avenged of my enemy, rather than abide here by the beaked ships, a scorn and a burden of the earth.” Had Achilles any thought of death and danger? For wherever a man's place is, whether the place which he has chosen or that in which he has been placed by a commander, there he ought to remain in the hour of danger; he should not think of death or of anything, but of disgrace. And this, O men of Athens, is a true saying.

Strange, indeed, would be my conduct, O men of Athens, if I who, when I was ordered by the generals whom you chose to command me at Potidaea and Amphipolis and Delium, remained where they placed me, like any other man, facing death; if, I say, now, when, as I conceive and imagine, God orders me to fulfil the philosopher's mission of searching into myself and other men, I were to desert my post through fear of death, or any other fear; that would indeed be strange, and I might justly be arraigned in court for denying the existence of the gods, if I disobeyed the oracle because I was afraid of death: then I should be fancying that I was wise when I was not wise. For this fear of death is indeed the pretence of wisdom, and not real wisdom, being the appearance of knowing the unknown; since no one knows whether death, which they in their fear apprehend to be the greatest evil, may not be the greatest good. Is there not here conceit of knowledge, which is a disgraceful sort of ignorance? And this is the point in which, as I think, I am superior to men in general, and in which I might perhaps fancy myself wiser than other men, – that whereas I know but little of the world below, I do not suppose that I know: but I do know that injustice and disobedience to a better, whether God or man, is evil and dishonorable, and I will never

fear or avoid a possible good rather than a certain evil. And therefore if you let me go now, and reject the counsels of Anytus, who said that if I were not put to death I ought not to have been prosecuted, and that if I escape now, your sons will all be utterly ruined by listening to my words – if you say to me, Socrates, this time we will not mind Anytus, and will let you off, but upon one condition, that are to inquire and speculate in this way any more, and that if you are caught doing this again you shall die; – if this was the condition on which you let me go, I should reply: Men of Athens, I honor and love you; but I shall obey God rather than you, and while I have life and strength I shall never cease from the practice and teaching of philosophy, exhorting anyone whom I meet after my manner, and convincing him, saying: O my friend, why do you who are a citizen of the great and mighty and wise city of Athens, care so much about laying up the greatest amount of money and honor and reputation, and so little about wisdom and truth and the greatest improvement of the soul, which you never regard or heed at all? Are you not ashamed of this? And if the person with whom I am arguing says: Yes, but I do care; I do not depart or let him go at once; I interrogate and examine and cross-examine him, and if I think that he has no virtue, but only says that he has, I reproach him with undervaluing the greater, and overvaluing the less. And this I should say to everyone whom I meet, young and old, citizen and alien, but especially to the citizens, inasmuch as they are my brethren. For this is the command of God, as I would have you know; and I believe that to this day no greater good has ever happened in the state than my service to the God. For I do nothing but go about persuading you all, old and young alike, not to take thought for your persons and your properties, but first and chiefly to care about the greatest improvement of the soul. I tell you that virtue is not given by money, but that from virtue come money and every other good of man, public as well as private. This is my teaching, and if this is the doctrine which corrupts the youth, my influence is ruinous indeed. But if anyone says that this is not my teaching, he is speaking an untruth. Wherefore, O men of Athens, I say to you, do as Anytus bids or not as Anytus bids, and either acquit me or not; but whatever you do, know that I shall never alter my ways, not even if I have to die many times.

Men of Athens, do not interrupt, but hear me; there was an agreement between us that you should hear me out. And I think that what I am going to say will do you good: for I have something more to say, at which you may be inclined to cry out; but I beg that you will not do this. I would have you know that, if you kill such a one as I am, you will injure yourselves more than you will injure me. Meletus and Anytus will not injure me: they cannot; for it is not in the nature of things that a bad man should injure a better than himself. I do not deny that he may, perhaps, kill him, or drive him into exile, or deprive him of civil rights; and he may imagine, and others may imagine, that he is doing him a great injury: but in that I do not agree with him; for the evil of doing as Anytus is doing – of unjustly taking away another man's life – is greater far. And now, Athenians, I am not going to argue for my own sake, as you may think, but for yours, that you may not sin against the God, or lightly reject his boon by condemning me. For if you kill me you will not easily find another like me, who, if I may use such a ludicrous figure of speech, am a sort of gadfly, given to the state by the God; and the state is like a great and noble steed who is tardy in his motions owing to his very size, and requires to be stirred into life. I am that gadfly which God has given the state and all day long and in all places am always fastening upon you, arousing and persuading and reproaching you. And as you will not easily find another like me, I would advise you to spare me. I dare say that you may feel irritated at being suddenly awakened when you are caught napping; and you may think that if you were to strike me dead, as Anytus advises, which you easily might, then you would sleep on for the remainder of your lives, unless God in his care of you gives you another gadfly. And that I am given to you by God is proved by this: that if I had been like other men, I should not have neglected all my own concerns, or patiently seen the neglect of them during all these years, and have been doing yours, coming to you individually, like a father or elder brother, exhorting you to regard virtue; this I say, would not be like human nature. And had I gained

anything, or if my exhortations had been paid, there would have been some sense in that: but now, as you will perceive, not even the impudence of my accusers dares to say that I have ever exacted or sought pay of anyone; they have no witness of that. And I have a witness of the truth of what I say; my poverty is a sufficient witness.

Someone may wonder why I go about in private, giving advice and busying myself with the concerns of others, but do not venture to come forward in public and advise the state. I will tell you the reason of this. You have often heard me speak of an oracle or sign which comes to me, and is the divinity which Meletus ridicules in the indictment. This sign I have had ever since I was a child. The sign is a voice which comes to me and always forbids me to do something which I am going to do, but never commands me to do anything, and this is what stands in the way of my being a politician. And rightly, as I think. For I am certain, O men of Athens, that if I had engaged in politics, I should have perished long ago and done no good either to you or to myself. And don't be offended at my telling you the truth: for the truth is that no man who goes to war with you or any other multitude, honestly struggling against the commission of unrighteousness and wrong in the state, will save his life; he who will really fight for the right, if he would live even for a little while, must have a private station and not a public one.

I can give you as proofs of this, not words only, but deeds, which you value more than words. Let me tell you a passage of my own life, which will prove to you that I should never have yielded to injustice from any fear of death, and that if I had not yielded I should have died at once. I will tell you a story – tasteless, perhaps, and commonplace, but nevertheless true. The only office of state which I ever held, O men of Athens, was that of senator; the tribe Antiochis, which is my tribe, had the presidency at the trial of the generals who had not taken up the bodies of the slain after the battle of Arginusae; and you proposed to try them all together, which was illegal, as you all thought afterwards; but at the time I was the only one of the Prytanes who was opposed to the illegality, and I gave my vote against you; and when the orators threatened to impeach and arrest me, and have me taken away, and you called and shouted, I made up my mind that I would run the risk, having law and justice with me, rather than take part in your injustice because I feared imprisonment and death. This happened in the days of the democracy. But when the oligarchy of the Thirty was in power, they sent for me and four others into the rotunda, and bade us bring Leon the Salaminian from Salamis, as they wanted to execute him. This was a specimen of the sort of commands which they were always giving with the view of implicating as many as possible in their crimes; and then I showed, not in words only, but in deed, that, if I may be allowed to use such an expression, I cared not a straw for death, and that my only fear was the fear of doing an unrighteous or unholy thing. For the strong arm of that oppressive power did not frighten me into doing wrong; and when we came out of the rotunda the other four went to Salamis and fetched Leon, but I went quietly home. For which I might have lost my life, had not the power of the Thirty shortly afterwards come to an end. And to this many will witness.

Now do you really imagine that I could have survived all these years, if I had led a public life, supposing that like a good man I had always supported the right and had made justice, as I ought, the first thing? No, indeed, men of Athens, neither I nor any other. But I have been always the same in all my actions, public as well as private, and never have I yielded any base compliance to those who are slanderously termed my disciples or to any other. For the truth is that I have no regular disciples: but if anyone likes to come and hear me while I am pursuing my mission, whether he be young or old, he may freely come. Nor do I converse with those who pay only, and not with those who do not pay; but anyone, whether he be rich or poor, may ask and answer me and listen to my words; and whether he turns out to be a bad man or a good one, that cannot be justly laid to my charge, as I never

taught him anything. And if anyone says that he has ever learned or heard anything from me in private which all the world has not heard, I should like you to know that he is speaking an untruth.

But I shall be asked, Why do people delight in continually conversing with you? I have told you already, Athenians, the whole truth about this: they like to hear the cross-examination of the pretenders to wisdom; there is amusement in this. And this is a duty which the God has imposed upon me, as I am assured by oracles, visions, and in every sort of way in which the will of divine power was ever signified to anyone. This is true, O Athenians; or, if not true, would be soon refuted. For if I am really corrupting the youth, and have corrupted some of them already, those of them who have grown up and have become sensible that I gave them bad advice in the days of their youth should come forward as accusers and take their revenge; and if they do not like to come themselves, some of their relatives, fathers, brothers, or other kinsmen, should say what evil their families suffered at my hands. Now is their time. Many of them I see in the court. There is Crito, who is of the same age and of the same deme with myself; and there is Critobulus his son, whom I also see. Then again there is Lysanias of Sphettus, who is the father of Aeschines – he is present; and also there is Antiphon of Cephisus, who is the father of Epignes; and there are the brothers of several who have associated with me. There is Nicostratus the son of Theosdotides, and the brother of Theodotus (now Theodotus himself is dead, and therefore he, at any rate, will not seek to stop him); and there is Paralus the son of Demodocus, who had a brother Theages; and Adeimantus the son of Ariston, whose brother Plato is present; and Aeantodorus, who is the brother of Apollodorus, whom I also see. I might mention a great many others, any of whom Meletus should have produced as witnesses in the course of his speech; and let him still produce them, if he has forgotten – I will make way for him. And let him say, if he has any testimony of the sort which he can produce. Nay, Athenians, the very opposite is the truth. For all these are ready to witness on behalf of the corrupter, of the destroyer of their kindred, as Meletus and Anytus call me; not the corrupted youth only – there might have been a motive for that – but their uncorrupted elder relatives. Why should they too support me with their testimony? Why, indeed, except for the sake of truth and justice, and because they know that I am speaking the truth, and that Meletus is lying.

Well, Athenians, this and the like of this is nearly all the defence which I have to offer. Yet a word more. Perhaps there may be someone who is offended at me, when he calls to mind how he himself, on a similar or even a less serious occasion, had recourse to prayers and supplications with many tears, and how he produced his children in court, which was a moving spectacle, together with a posse of his relations and friends; whereas I, who am probably in danger of my life, will do none of these things. Perhaps this may come into his mind, and he may be set against me, and vote in anger because he is displeased at this. Now if there be such a person among you, which I am far from affirming, I may fairly reply to him: My friend, I am a man, and like other men, a creature of flesh and blood, and not of wood or stone, as Homer says; and I have a family, yes, and sons. O Athenians, three in number, one of whom is growing up, and the two others are still young; and yet I will not bring any of them hither in order to petition you for an acquittal. And why not? Not from any self-will or disregard of you. Whether I am or am not afraid of death is another question, of which I will not now speak. But my reason simply is that I feel such conduct to be discreditable to myself, and you, and the whole state. One who has reached my years, and who has a name for wisdom, whether deserved or not, ought not to debase himself. At any rate, the world has decided that Socrates is in some way superior to other men. And if those among you who are said to be superior in wisdom and courage, and any other virtue, demean themselves in this way, how shameful is their conduct! I have seen men of reputation, when they have been condemned, behaving in the strangest manner: they seemed to fancy that they were going to suffer something dreadful if they died, and that they could be immortal if you only allowed them to live; and I think that they were a dishonor to the state, and that any stranger coming in would say

of them that the most eminent men of Athens, to whom the Athenians themselves give honor and command, are no better than women. And I say that these things ought not to be done by those of us who are of reputation; and if they are done, you ought not to permit them; you ought rather to show that you are more inclined to condemn, not the man who is quiet, but the man who gets up a doleful scene, and makes the city ridiculous.

But, setting aside the question of dishonor, there seems to be something wrong in petitioning a judge, and thus procuring an acquittal instead of informing and convincing him. For his duty is, not to make a present of justice, but to give judgment; and he has sworn that he will judge according to the laws, and not according to his own good pleasure; and neither he nor we should get into the habit of perjuring ourselves – there can be no piety in that. Do not then require me to do what I consider dishonorable and impious and wrong, especially now, when I am being tried for impiety on the indictment of Meletus. For if, O men of Athens, by force of persuasion and entreaty, I could overpower your oaths, then I should be teaching you to believe that there are no gods, and convict myself, in my own defence, of not believing in them. But that is not the case; for I do believe that there are gods, and in a far higher sense than that in which any of my accusers believe in them. And to you and to God I commit my cause, to be determined by you as is best for you and me.

The jury finds Socrates guilty.

Socrates' Proposal for his Sentence

There are many reasons why I am not grieved, O men of Athens, at the vote of condemnation. I expected it, and am only surprised that the votes are so nearly equal; for I had thought that the majority against me would have been far larger; but now, had thirty votes gone over to the other side, I should have been acquitted. And I may say that I have escaped Meletus. And I may say more; for without the assistance of Anytus and Lycon, he would not have had a fifth part of the votes, as the law requires, in which case he would have incurred a fine of a thousand drachmae, as is evident.

And so he proposes death as the penalty. And what shall I propose on my part, O men of Athens? Clearly that which is my due. And what is that which I ought to pay or to receive? What shall be done to the man who has never had the wit to be idle during his whole life; but has been careless of what the many care about – wealth, and family interests, and military offices, and speaking in the assembly, and magistracies, and plots, and parties. Reflecting that I was really too honest a man to follow in this way and live, I did not go where I could do no good to you or to myself; but where I could do the greatest good privately to everyone of you, thither I went, and sought to persuade every man among you that he must look to himself, and seek virtue and wisdom before he looks to his private interests, and look to the state before he looks to the interests of the state; and that this should be the order which he observes in all his actions. What shall be done to such a one? Doubtless some good thing, O men of Athens, if he has his reward; and the good should be of a kind suitable to him. What would be a reward suitable to a poor man who is your benefactor, who desires leisure that he may instruct you? There can be no more fitting reward than maintenance in the Prytaneum, O men of Athens, a reward which he deserves far more than the citizen who has won the prize at Olympia in the horse or chariot race, whether the chariots were drawn by two horses or by many. For I am in want, and he has enough; and he only gives you the appearance of happiness, and I give you the reality. And if I am to estimate the penalty justly, I say that maintenance in the Prytaneum is the just return.

Perhaps you may think that I am braving you in saying this, as in what I said before about the tears and prayers.

But that is not the case. I speak rather because I am convinced that I never intentionally wronged anyone, although I cannot convince you of that – for we have had a short conversation only; but if there were a law at Athens, such as there is in other cities, that a capital cause should not be decided in one day, then I believe that I should have convinced you; but now the time is too short. I cannot in a moment refute great slanders; and, as I am convinced that I never wronged another, I will assuredly not wrong myself. I will not say of myself that I deserve any evil, or propose any penalty. Why should I? Because I am afraid of the penalty of death which Meletus proposes? When I do not know whether death is a good or an evil, why should I propose a penalty which would certainly be an evil? Shall I say imprisonment? And why should I live in prison, and be the slave of the magistrates of the year – of the Eleven? Or shall the penalty be a fine, and imprisonment until the fine is paid? There is the same objection. I should have to lie in prison, for money I have none, and I cannot pay. And if I say exile (and this may possibly be the penalty which you will affix), I must indeed be blinded by the love of life if I were to consider that when you, who are my own citizens, cannot endure my discourses and words, and have found them so grievous and odious that you would fain have done with them, others are likely to endure me. No, indeed, men of Athens, that is not very likely. And what a life should I lead, at my age, wandering from city to city, living in ever-changing exile, and always being driven out! For I am quite sure that into whatever place I go, as here so also there, the young men will come to me; and if I drive them away, their elders will drive me out at their desire: and if I let them come, their fathers and friends will drive me out for their sakes.

Someone will say: Yes, Socrates, but cannot you hold your tongue, and then you may go into a foreign city, and no one will interfere with you? Now I have great difficulty in making you understand my answer to this. For if I tell you that this would be a disobedience to a divine command, and therefore that I cannot hold my tongue, you will not believe that I am serious; and if I say again that the greatest good of man is daily to converse about virtue, and all that concerning which you hear me examining myself and others, and that the life which is unexamined is not worth living – that you are still less likely to believe. And yet what I say is true, although a thing of which it is hard for me to persuade you. Moreover, I am not accustomed to think that I deserve any punishment. Had I money I might have proposed to give you what I had, and have been none the worse. But you see that I have none, and can only ask you to proportion the fine to my means. However, I think that I could afford a minae, and therefore I propose that penalty; Plato, Crito, Critobulus, and Apollodorus, my friends here, bid me say thirty minae, and they will be the sureties. Well then, say thirty minae, let that be the penalty; for that they will be ample security to you.

The jury condemns Socrates to death.

Socrates' Comments on his Sentence

Not much time will be gained, O Athenians, in return for the evil name which you will get from the detractors of the city, who will say that you killed Socrates, a wise man; for they will call me wise even although I am not wise when they want to reproach you. If you had waited a little while, your desire would have been fulfilled in the course of nature. For I am far advanced in years, as you may perceive, and not far from death. I am speaking now only to those of you who have condemned me to death. And I have another thing to say to them: You think that I was convicted through deficiency of words – I mean, that if I had thought fit to leave nothing undone, nothing unsaid, I might have gained an acquittal. Not so; the deficiency which led to my conviction was not of words – certainly not. But I had not the boldness or impudence or inclination to address you as you would have liked me to address you, weeping and wailing and lamenting, and saying and doing many things which you have been accustomed to hear from others, and which, as I say, are unworthy of me. But I thought that I ought not to do

anything common or mean in the hour of danger: nor do I now repent of the manner of my defence, and I would rather die having spoken after my manner, than speak in your manner and live. For neither in war nor yet at law ought any man to use every way of escaping death. For often in battle there is no doubt that if a man will throw away his arms, and fall on his knees before his pursuers, he may escape death; and in other dangers there are other ways of escaping death, if a man is willing to say and do anything. The difficulty, my friends, is not in avoiding death, but in avoiding unrighteousness; for that runs faster than death. I am old and move slowly, and the slower runner has overtaken me, and my accusers are keen and quick, and the faster runner, who is unrighteousness, has overtaken them. And now I depart hence condemned by you to suffer the penalty of death, and they, too, go their ways condemned by the truth to suffer the penalty of villainy and wrong; and I must abide by my award – let them abide by theirs. I suppose that these things may be regarded as fated, – and I think that they are well.

And now, O men who have condemned me, I would fain prophesy to you; for I am about to die, and that is the hour in which men are gifted with prophetic power. And I prophesy to you who are my murderers, that immediately after my death punishment far heavier than you have inflicted on me will surely await you. Me you have killed because you wanted to escape the accuser, and not to give an account of your lives. But that will not be as you suppose: far otherwise. For I say that there will be more accusers of you than there are now; accusers whom hitherto I have restrained: and as they are younger they will be more severe with you, and you will be more offended at them. For if you think that by killing men you can avoid the accuser censuring your lives, you are mistaken; that is not a way of escape which is either possible or honorable; the easiest and noblest way is not to be crushing others, but to be improving yourselves. This is the prophecy which I utter before my departure, to the judges who have condemned me.

Friends, who would have acquitted me, I would like also to talk with you about this thing which has happened, while the magistrates are busy, and before I go to the place at which I must die. Stay then awhile, for we may as well talk with one another while there is time. You are my friends, and I should like to show you the meaning of this event which has happened to me. O my judges – for you I may truly call judges – I should like to tell you of a wonderful circumstance. Hitherto the familiar oracle within me has constantly been in the habit of opposing me even about trifles, if I was going to make a slip or error about anything; and now as you see there has come upon me that which may be thought, and is generally believed to be, the last and worst evil. But the oracle made no sign of opposition, either as I was leaving my house and going out in the morning, or when I was going up into this court, or while I was speaking, at anything which I was going to say; and yet I have often been stopped in the middle of a speech; but now in nothing I either said or did touching this matter has the oracle opposed me. What do I take to be the explanation of this? I will tell you. I regard this as a proof that what has happened to me is a good, and that those of us who think that death is an evil are in error. This is a great proof to me of what I am saying, for the customary sign would surely have opposed me had I been going to evil and not to good.

Let us reflect in another way, and we shall see that there is great reason to hope that death is a good, for one of two things: either death is a state of nothingness and utter unconsciousness, or, as men say, there is a change and migration of the soul from this world to another. Now if you suppose that there is no consciousness, but a sleep like the sleep of him who is undisturbed even by the sight of dreams, death will be an unspeakable gain. For if a person were to select the night in which his sleep was undisturbed even by dreams, and were to compare with this the other days and nights of his life, and then were to tell us how many days and nights he had passed in the course of his life better and more pleasantly than this one, I think that any man, I will not say a private man, but even the great king, will not find many such days or nights, when compared with the others. Now if death is like

this, I say that to die is gain; for eternity is then only a single night. But if death is the journey to another place, and there, as men say, all the dead are, what good, O my friends and judges, can be greater than this? If indeed when the pilgrim arrives in the world below, he is delivered from the professors of justice in this world, and finds the true judges who are said to give judgment there, Minos and Rhadamanthus and Aeacus and Triptolemus, and other sons of God who were righteous in their own life, that pilgrimage will be worth making. What would not a man give if he might converse with Orpheus and Musaeus and Hesiod and Homer? Nay, if this be true, let me die again and again. I, too, shall have a wonderful interest in a place where I can converse with Palamedes, and Ajax the son of Telamon, and other heroes of old, who have suffered death through an unjust judgment; and there will be no small pleasure, as I think, in comparing my own sufferings with theirs. Above all, I shall be able to continue my search into true and false knowledge; as in this world, so also in that; I shall find out who is wise, and who pretends to be wise, and is not. What would not a man give, O judges, to be able to examine the leader of the great Trojan expedition; or Odysseus or Sisyphus, or numberless others, men and women too! What infinite delight would there be in conversing with them and asking them questions! For in that world they do not put a man to death for this; certainly not. For besides being happier in that world than in this, they will be immortal, if what is said is true.

Wherefore, O judges, be of good cheer about death, and know this of a truth – that no evil can happen to a good man, either in life or after death. He and his are not neglected by the gods; nor has my own approaching end happened by mere chance. But I see clearly that to die and be released was better for me; and therefore the oracle gave no sign. For which reason also, I am not angry with my accusers, or my condemners; they have done me no harm, although neither of them meant to do me any good; and for this I may gently blame them.

Still I have a favor to ask of them. When my sons are grown up, I would ask you, O my friends, to punish them; and I would have you trouble them, as I have troubled you, if they seem to care about riches, or anything, more than about virtue; or if they pretend to be something when they are really nothing, – then reprove them, as I have reproved you, for not caring about that for which they ought to care, and thinking that they are something when they are really nothing. And if you do this, I and my sons will have received justice at your hands.

The hour of departure has arrived, and we go our ways – I to die, and you to live. Which is better God only knows.

2.

Bertrand Russell – On the Value of Philosophy

The Value of Philosophy

...[It] will be well to consider...what is the value of philosophy and why it ought to be studied. It is the more necessary to consider this question, in view of the fact that many men, under the influence of science or of practical affairs, are inclined to doubt whether philosophy is anything better than innocent but useless trifling, hair-splitting distinctions, and controversies on matters concerning which knowledge is impossible.

This view of philosophy appears to result, partly from a wrong conception of the ends of life, partly from a wrong conception of the kind of goods which philosophy strives to achieve. Physical science, through the medium of inventions, is useful to innumerable people who are wholly ignorant of it; thus the study of physical science is to be recommended, not only, or primarily, because of the effect on the student, but rather because of the effect on mankind in general. This utility does not belong to philosophy. If the study of philosophy has any value at all for others than students of philosophy, it must be only indirectly, through its effects upon the lives of those who study it. It is in these effects, therefore, if anywhere, that the value of philosophy must be primarily sought.

But further, if we are not to fail in our endeavour to determine the value of philosophy, we must first free our minds from the prejudices of what are wrongly called “practical” men. The “practical” man, as this word is often used, is one who recognises only material needs, who realises that men must have food for the body, but is oblivious of the necessity of providing food for the mind. If all men were well off, if poverty and disease had been reduced to their lowest possible point, there would still remain much to be done to produce a valuable society; and even in the existing world the goods of the mind are at least as important as the goods of the body. It is exclusively among the goods of the mind that the value of philosophy is to be found; and only those who are not indifferent to these goods can be persuaded that the study of philosophy is not a waste of time.

Philosophy, like all other studies, aims primarily at knowledge. The knowledge it aims at is the kind of knowledge which gives unity and system to the body of the sciences, and the kind which results from a critical examination of the grounds of our convictions, prejudices, and beliefs. But it cannot be maintained that philosophy has had any very great measure of success in its attempts to provide definite answers to its questions. If you ask a mathematician, a mineralogist, a historian, or any other man of learning, what definite body of truths has been ascertained by his science, his answer will last as long as you are willing to listen. But if you put the same question to a philosopher, he will, if he is candid, have to confess that his study has not achieved positive results such

as have been achieved by other sciences. It is true that this is partly accounted for by the fact that, as soon as definite knowledge concerning any subject becomes possible, this subject ceases to be called philosophy, and becomes a separate science. The whole study of the heavens, which now belongs to astronomy, was once included in philosophy; Newton's great work was called "the mathematical principles of natural philosophy." Similarly, the study of the human mind, which was, until very lately, a part of philosophy, has now been separated from philosophy and has become the science of psychology. Thus, to a great extent, the uncertainty of philosophy is more apparent than real: those questions which are already capable of definite answers are placed in the sciences, while those only to which, at present, no definite answer can be given, remain to form the residue which is called philosophy.

This is, however, only a part of the truth concerning the uncertainty of philosophy. There are many questions—and among them those that are of the profoundest interest to our spiritual life—which, so far as we can see, must remain insoluble to the human intellect unless its powers become of quite a different order from what they are now. Has the universe any unity of plan or purpose, or is it a fortuitous concourse of atoms? Is consciousness a permanent part of the universe, giving hope of indefinite growth in wisdom, or is it a transitory accident on a small planet on which life must ultimately become impossible? Are good and evil of importance to the universe or only to man? Such questions are asked by philosophy, and variously answered by various philosophers. But it would seem that, whether answers be otherwise discoverable or not, the answers suggested by philosophy are none of them demonstrably true. Yet, however slight may be the hope of discovering an answer, it is part of the business of philosophy to continue the consideration of such questions, to make us aware of their importance, to examine all the approaches to them, and to keep alive that speculative interest in the universe which is apt to be killed by confining ourselves to definitely ascertainable knowledge.

Many philosophers, it is true, have held that philosophy could establish the truth of certain answers to such fundamental questions. They have supposed that what is of most importance in religious beliefs could be proved by strict demonstration to be true. In order to judge of such attempts, it is necessary to take a survey of human knowledge, and to form an opinion as to its methods and its limitations. On such a subject it would be unwise to pronounce dogmatically; but if the investigations of our previous chapters have not led us astray, we shall be compelled to renounce the hope of finding philosophical proofs of religious beliefs. We cannot, therefore, include as part of the value of philosophy any definite set of answers to such questions. Hence, once more, the value of philosophy must not depend upon any supposed body of definitely ascertainable knowledge to be acquired by those who study it.

The value of philosophy is, in fact, to be sought largely in its very uncertainty. The man who has no tincture of philosophy goes through life imprisoned in the prejudices derived from common sense, from the habitual beliefs of his age or his nation, and from convictions which have grown up in his mind without the co-operation or consent of his deliberate reason. To such a man the world tends to become definite, finite, obvious; common objects rouse no questions, and unfamiliar possibilities are contemptuously rejected. As soon as we begin to philosophise, on the contrary, we find, as we saw in our opening chapters, that even the most everyday things lead to problems to which only very incomplete answers can be given. Philosophy, though unable to tell us with certainty what is the true answer to the doubts which it raises, is able to suggest many possibilities which enlarge our thoughts and free them from the tyranny of custom. Thus, while diminishing our feeling of certainty as to what things are, it greatly increases our knowledge as to what they may be; it removes the somewhat arrogant

dogmatism of those who have never travelled into the region of liberating doubt, and it keeps alive our sense of wonder by showing familiar things in an unfamiliar aspect.

Apart from its utility in showing unsuspected possibilities, philosophy has a value—perhaps its chief value—through the greatness of the objects which it contemplates, and the freedom from narrow and personal aims resulting from this contemplation. The life of the instinctive man is shut up within the circle of his private interests: family and friends may be included, but the outer world is not regarded except as it may help or hinder what comes within the circle of instinctive wishes. In such a life there is something feverish and confined, in comparison with which the philosophic life is calm and free. The private world of instinctive interests is a small one, set in the midst of a great and powerful world which must, sooner or later, lay our private world in ruins. Unless we can so enlarge our interests as to include the whole outer world, we remain like a garrison in a beleaguered fortress, knowing that the enemy prevents escape and that ultimate surrender is inevitable. In such a life there is no peace, but a constant strife between the insistence of desire and the powerlessness of will. In one way or another, if our life is to be great and free, we must escape this prison and this strife.

One way of escape is by philosophic contemplation. Philosophic contemplation does not, in its widest survey, divide the universe into two hostile camps—friends and foes, helpful and hostile, good and bad—it views the whole impartially. Philosophic contemplation, when it is unalloyed, does not aim at proving that the rest of the universe is akin to man. All acquisition of knowledge is an enlargement of the Self, but this enlargement is best attained when it is not directly sought. It is obtained when the desire for knowledge is alone operative, by a study which does not wish in advance that its objects should have this or that character, but adapts the Self to the characters which it finds in its objects. This enlargement of Self is not obtained when, taking the Self as it is, we try to show that the world is so similar to this Self that knowledge of it is possible without any admission of what seems alien. The desire to prove this is a form of self-assertion, and like all self-assertion, it is an obstacle to the growth of Self which it desires, and of which the Self knows that it is capable. Self-assertion, in philosophic speculation as elsewhere, views the world as a means to its own ends; thus it makes the world of less account than Self, and the Self sets bounds to the greatness of its goods. In contemplation, on the contrary, we start from the not-Self, and through its greatness the boundaries of Self are enlarged; through the infinity of the universe the mind which contemplates it achieves some share in infinity.

For this reason greatness of soul is not fostered by those philosophies which assimilate the universe to Man. Knowledge is a form of union of Self and not-Self; like all union, it is impaired by dominion, and therefore by any attempt to force the universe into conformity with what we find in ourselves. There is a widespread philosophical tendency towards the view which tells us that man is the measure of all things, that truth is man-made, that space and time and the world of universals are properties of the mind, and that, if there be anything not created by the mind, it is unknowable and of no account for us. This view, if our previous discussions were correct, is untrue; but in addition to being untrue, it has the effect of robbing philosophic contemplation of all that gives it value, since it fetters contemplation to Self. What it calls knowledge is not a union with the not-Self, but a set of prejudices, habits, and desires, making an impenetrable veil between us and the world beyond. The man who finds pleasure in such a theory of knowledge is like the man who never leaves the domestic circle for fear his word might not be law.

The true philosophic contemplation, on the contrary, finds its satisfaction in every enlargement of the not-Self, in everything that magnifies the objects contemplated, and thereby the subject contemplating. Everything, in contemplation, that is personal or private, everything that depends upon habit, self-interest, or desire, distorts

the object, and hence impairs the union which the intellect seeks. By thus making a barrier between subject and object, such personal and private things become a prison to the intellect. The free intellect will see as God might see, without a *here* and *now*, without hopes and fears, without the trammels of customary beliefs and traditional prejudices, calmly, dispassionately, in the sole and exclusive desire of knowledge—knowledge as impersonal, as purely contemplative, as it is possible for man to attain. Hence also the free intellect will value more the abstract and universal knowledge into which the accidents of private history do not enter, than the knowledge brought by the senses, and dependent, as such knowledge must be, upon an exclusive and personal point of view and a body whose sense-organs distort as much as they reveal.

The mind which has become accustomed to the freedom and impartiality of philosophic contemplation will preserve something of the same freedom and impartiality in the world of action and emotion. It will view its purposes and desires as parts of the whole, with the absence of insistence that results from seeing them as infinitesimal fragments in a world of which all the rest is unaffected by any one man's deeds. The impartiality which, in contemplation, is the unalloyed desire for truth, is the very same quality of mind which, in action, is justice, and in emotion is that universal love which can be given to all, and not only to those who are judged useful or admirable. Thus contemplation enlarges not only the objects of our thoughts, but also the objects of our actions and our affections: it makes us citizens of the universe, not only of one walled city at war with all the rest. In this citizenship of the universe consists man's true freedom, and his liberation from the thralldom of narrow hopes and fears.

Thus, to sum up our discussion of the value of philosophy: Philosophy is to be studied, not for the sake of any definite answers to its questions, since no definite answers can, as a rule, be known to be true, but rather for the sake of the questions themselves; because these questions enlarge our conception of what is possible, enrich our intellectual imagination, and diminish the dogmatic assurance which closes the mind against speculation; but above all because, through the greatness of the universe which philosophy contemplates, the mind also is rendered great, and becomes capable of that union with the universe which constitutes its highest good.

PART II

Epistemology

There are many branches of philosophical inquiry and some of the core topics are presented here. Philosophy (from the Greek words “philos” and “sophia” meaning “love of wisdom”) poses questions and regardless of what questions we ask, there is an assumption that there can be an answer; a ‘truth of the matter’. Since truth is a key concept, in this chapter you’ll be introduced to some of the important readings by great thinkers in the area of Epistemology. Epistemology is the study of knowledge (“episteme” from the Greek meaning ‘knowledge’ and “logy” from the Greek meaning ‘discourse, theory, science’). Questions such as ‘What is knowledge?’ “What can we know?” and ‘What is truth?’ form its foundation.

Obviously you know many things. You also believe you know many things but which are actually not true. Separating what you *believe* and what you may be *justified* in believing from what you *know* is one of the challenges that makes philosophy an eternal process. You know your name and you know that “ $2 + 2 = 4$ ”. *You also know that you know* your name and that “ $2 + 2 = 4$ ”. Moreover, you also know that there are many things that you don’t know. (Are you still with me?) For example, you don’t know what tomorrow will bring although you may be justified in believing that certain things will happen and some of these beliefs will be true and sometimes they will be false. You don’t know what the weather will be like tomorrow but you can at least make an informed prediction. However, if you were right, did you possess the truth before it happened?

What do we mean when we say something is “true”? Do we mean that it corresponds to how the world really is? Yet how do we test for that? How do we objectively compare “what is” with “what we know it is” or even “what we think we know it is”? Maybe if we can’t compare and contrast we need to ensure that what we believe we know to be true fits with everything else that we believe to be true. Or maybe we should just doubt everything and remain skeptical about the possibility of knowing anything at all...

3.

Rene Descartes – On Doubt and Certainty

Meditations on First Philosophy

Meditation I

OF THE THINGS OF WHICH WE MAY DOUBT.

1. SEVERAL years have now elapsed since I first became aware that I had accepted, even from my youth, many false opinions for true, and that consequently what I afterward based on such principles was highly doubtful; and from that time I was convinced of the necessity of undertaking once in my life to rid myself of all the opinions I had adopted, and of commencing anew the work of building from the foundation, if I desired to establish a firm and abiding superstructure in the sciences. But as this enterprise appeared to me to be one of great magnitude, I waited until I had attained an age so mature as to leave me no hope that at any stage of life more advanced I should be better able to execute my design. On this account, I have delayed so long that I should henceforth consider I was doing wrong were I still to consume in deliberation any of the time that now remains for action. To-day, then, since I have opportunely freed my mind from all cares [and am happily disturbed by no passions], and since I am in the secure possession of leisure in a peaceable retirement, I will at length apply myself earnestly and freely to the general overthrow of all my former opinions.
2. But, to this end, it will not be necessary for me to show that the whole of these are false—a point, perhaps, which I shall never reach; but as even now my reason convinces me that I ought not the less carefully to withhold belief from what is not entirely certain and indubitable, than from what is manifestly false, it will be sufficient to justify the rejection of the whole if I shall find in each some ground for doubt. Nor for this purpose will it be necessary even to deal with each belief individually, which would be truly an endless labor; but, as the removal from below of the foundation necessarily involves the downfall of the whole edifice, I will at once approach the criticism of the principles on which all my former beliefs rested.
3. All that I have, up to this moment, accepted as possessed of the highest truth and certainty, I received either from or through the senses. I observed, however, that these sometimes misled us; and it is the part of prudence not to place absolute confidence in that by which we have even once been deceived.
4. But it may be said, perhaps, that, although the senses occasionally mislead us respecting minute objects, and such as are so far removed from us as to be beyond the reach of close observation, there are yet many other of

their informations (presentations), of the truth of which it is manifestly impossible to doubt; as for example, that I am in this place, seated by the fire, clothed in a winter dressing gown, that I hold in my hands this piece of paper, with other intimations of the same nature. But how could I deny that I possess these hands and this body, and withal escape being classed with persons in a state of insanity, whose brains are so disordered and clouded by dark bilious vapors as to cause them pertinaciously to assert that they are monarchs when they are in the greatest poverty; or clothed [in gold] and purple when destitute of any covering; or that their head is made of clay, their body of glass, or that they are gourds? I should certainly be not less insane than they, were I to regulate my procedure according to examples so extravagant.

5. Though this be true, I must nevertheless here consider that I am a man, and that, consequently, I am in the habit of sleeping, and representing to myself in dreams those same things, or even sometimes others less probable, which the insane think are presented to them in their waking moments. How often have I dreamt that I was in these familiar circumstances, that I was dressed, and occupied this place by the fire, when I was lying undressed in bed? At the present moment, however, I certainly look upon this paper with eyes wide awake; the head which I now move is not asleep; I extend this hand consciously and with express purpose, and I perceive it; the occurrences in sleep are not so distinct as all this. But I cannot forget that, at other times I have been deceived in sleep by similar illusions; and, attentively considering those cases, I perceive so clearly that there exist no certain marks by which the state of waking can ever be distinguished from sleep, that I feel greatly astonished; and in amazement I almost persuade myself that I am now dreaming.
6. Let us suppose, then, that we are dreaming, and that all these particulars—namely, the opening of the eyes, the motion of the head, the forth-putting of the hands—are merely illusions; and even that we really possess neither an entire body nor hands such as we see. Nevertheless it must be admitted at least that the objects which appear to us in sleep are, as it were, painted representations which could not have been formed unless in the likeness of realities; and, therefore, that those general objects, at all events, namely, eyes, a head, hands, and an entire body, are not simply imaginary, but really existent. For, in truth, painters themselves, even when they study to represent sirens and satyrs by forms the most fantastic and extraordinary, cannot bestow upon them natures absolutely new, but can only make a certain medley of the members of different animals; or if they chance to imagine something so novel that nothing at all similar has ever been seen before, and such as is, therefore, purely fictitious and absolutely false, it is at least certain that the colors of which this is composed are real. And on the same principle, although these general objects, viz. [a body], eyes, a head, hands, and the like, be imaginary, we are nevertheless absolutely necessitated to admit the reality at least of some other objects still more simple and universal than these, of which, just as of certain real colors, all those images of things, whether true and real, or false and fantastic, that are found in our consciousness (cogitatio), are formed.
7. To this class of objects seem to belong corporeal nature in general and its extension; the figure of extended things, their quantity or magnitude, and their number, as also the place in, and the time during, which they exist, and other things of the same sort.
8. We will not, therefore, perhaps reason illegitimately if we conclude from this that Physics, Astronomy, Medicine, and all the other sciences that have for their end the consideration of composite objects, are indeed of a doubtful character; but that Arithmetic, Geometry, and the other sciences of the same class, which regard merely the simplest and most general objects, and scarcely inquire whether or not these are really existent, contain somewhat that is certain and indubitable: for whether I am awake or dreaming, it remains true that two and three make five, and that a square has but four sides; nor does it seem possible that truths so apparent

can ever fall under a suspicion of falsity [or incertitude].

9. Nevertheless, the belief that there is a God who is all powerful, and who created me, such as I am, has, for a long time, obtained steady possession of my mind. How, then, do I know that he has not arranged that there should be neither earth, nor sky, nor any extended thing, nor figure, nor magnitude, nor place, providing at the same time, however, for [the rise in me of the perceptions of all these objects, and] the persuasion that these do not exist otherwise than as I perceive them? And further, as I sometimes think that others are in error respecting matters of which they believe themselves to possess a perfect knowledge, how do I know that I am not also deceived each time I add together two and three, or number the sides of a square, or form some judgment still more simple, if more simple indeed can be imagined? But perhaps Deity has not been willing that I should be thus deceived, for he is said to be supremely good. If, however, it were repugnant to the goodness of Deity to have created me subject to constant deception, it would seem likewise to be contrary to his goodness to allow me to be occasionally deceived; and yet it is clear that this is permitted.
10. Some, indeed, might perhaps be found who would be disposed rather to deny the existence of a Being so powerful than to believe that there is nothing certain. But let us for the present refrain from opposing this opinion, and grant that all which is here said of a Deity is fabulous: nevertheless, in whatever way it be supposed that I reach the state in which I exist, whether by fate, or chance, or by an endless series of antecedents and consequents, or by any other means, it is clear (since to be deceived and to err is a certain defect) that the probability of my being so imperfect as to be the constant victim of deception, will be increased exactly in proportion as the power possessed by the cause, to which they assign my origin, is lessened. To these reasonings I have assuredly nothing to reply, but am constrained at last to avow that there is nothing of all that I formerly believed to be true of which it is impossible to doubt, and that not through thoughtlessness or levity, but from cogent and maturely considered reasons; so that henceforward, if I desire to discover anything certain, I ought not the less carefully to refrain from assenting to those same opinions than to what might be shown to be manifestly false.
11. But it is not sufficient to have made these observations; care must be taken likewise to keep them in remembrance. For those old and customary opinions perpetually recur—long and familiar usage giving them the right of occupying my mind, even almost against my will, and subduing my belief; nor will I lose the habit of deferring to them and confiding in them so long as I shall consider them to be what in truth they are, viz, opinions to some extent doubtful, as I have already shown, but still highly probable, and such as it is much more reasonable to believe than deny. It is for this reason I am persuaded that I shall not be doing wrong, if, taking an opposite judgment of deliberate design, I become my own deceiver, by supposing, for a time, that all those opinions are entirely false and imaginary, until at length, having thus balanced my old by my new prejudices, my judgment shall no longer be turned aside by perverted usage from the path that may conduct to the perception of truth. For I am assured that, meanwhile, there will arise neither peril nor error from this course, and that I cannot for the present yield too much to distrust, since the end I now seek is not action but knowledge.
12. I will suppose, then, not that Deity, who is sovereignly good and the fountain of truth, but that some malignant demon, who is at once exceedingly potent and deceitful, has employed all his artifice to deceive me; I will suppose that the sky, the air, the earth, colors, figures, sounds, and all external things, are nothing better than the illusions of dreams, by means of which this being has laid snares for my credulity; I will consider myself as without hands, eyes, flesh, blood, or any of the senses, and as falsely believing that I am possessed of these; I will continue resolutely fixed in this belief, and if indeed by this means it be not

in my power to arrive at the knowledge of truth, I shall at least do what is in my power, viz., [suspend my judgment], and guard with settled purpose against giving my assent to what is false, and being imposed upon by this deceiver, whatever be his power and artifice. But this undertaking is arduous, and a certain indolence insensibly leads me back to my ordinary course of life; and just as the captive, who, perchance, was enjoying in his dreams an imaginary liberty, when he begins to suspect that it is but a vision, dreads awakening, and conspires with the agreeable illusions that the deception may be prolonged; so I, of my own accord, fall back into the train of my former beliefs, and fear to arouse myself from my slumber, lest the time of laborious wakefulness that would succeed this quiet rest, in place of bringing any light of day, should prove inadequate to dispel the darkness that will arise from the difficulties that have now been raised.

Meditation II

OF THE NATURE OF THE HUMAN MIND; AND THAT IT IS MORE EASILY KNOWN THAN THE BODY.

1. The Meditation of yesterday has filled my mind with so many doubts, that it is no longer in my power to forget them. Nor do I see, meanwhile, any principle on which they can be resolved; and, just as if I had fallen all of a sudden into very deep water, I am so greatly disconcerted as to be unable either to plant my feet firmly on the bottom or sustain myself by swimming on the surface. I will, nevertheless, make an effort, and try anew the same path on which I had entered yesterday, that is, proceed by casting aside all that admits of the slightest doubt, not less than if I had discovered it to be absolutely false; and I will continue always in this track until I shall find something that is certain, or at least, if I can do nothing more, until I shall know with certainty that there is nothing certain. Archimedes, that he might transport the entire globe from the place it occupied to another, demanded only a point that was firm and immovable; so, also, I shall be entitled to entertain the highest expectations, if I am fortunate enough to discover only one thing that is certain and indubitable.
2. I suppose, accordingly, that all the things which I see are false (fictitious); I believe that none of those objects which my fallacious memory represents ever existed; I suppose that I possess no senses; I believe that body, figure, extension, motion, and place are merely fictions of my mind. What is there, then, that can be esteemed true? Perhaps this only, that there is absolutely nothing certain.
3. But how do I know that there is not something different altogether from the objects I have now enumerated, of which it is impossible to entertain the slightest doubt? Is there not a God, or some being, by whatever name I may designate him, who causes these thoughts to arise in my mind? But why suppose such a being, for it may be I myself am capable of producing them? Am I, then, at least not something? But I before denied that I possessed senses or a body; I hesitate, however, for what follows from that? Am I so dependent on the body and the senses that without these I cannot exist? But I had the persuasion that there was absolutely nothing in the world, that there was no sky and no earth, neither minds nor bodies; was I not, therefore, at the same time, persuaded that I did not exist? Far from it; I assuredly existed, since I was persuaded. But there is I know not what being, who is possessed at once of the highest power and the deepest cunning, who is constantly employing all his ingenuity in deceiving me. Doubtless, then, I exist, since I am deceived; and, let him deceive me as he may, he can never bring it about that I am nothing, so long as I shall be conscious that I am something. So that it must, in fine, be maintained, all things being maturely and carefully considered, that this proposition (pronunciatum) I am, I exist, is necessarily true each time it is expressed by me, or conceived

in my mind.

4. But I do not yet know with sufficient clearness what I am, though assured that I am; and hence, in the next place, I must take care, lest perchance I inconsiderately substitute some other object in room of what is properly myself, and thus wander from truth, even in that knowledge (cognition) which I hold to be of all others the most certain and evident. For this reason, I will now consider anew what I formerly believed myself to be, before I entered on the present train of thought; and of my previous opinion I will retrench all that can in the least be invalidated by the grounds of doubt I have adduced, in order that there may at length remain nothing but what is certain and indubitable.
5. What then did I formerly think I was ? Undoubtedly I judged that I was a man. But what is a man ? Shall I say a rational animal ? Assuredly not; for it would be necessary forthwith to inquire into what is meant by animal, and what by rational, and thus, from a single question, I should insensibly glide into others, and these more difficult than the first; nor do I now possess enough of leisure to warrant me in wasting my time amid subtleties of this sort. I prefer here to attend to the thoughts that sprung up of themselves in my mind, and were inspired by my own nature alone, when I applied myself to the consideration of what I was. In the first place, then, I thought that I possessed a countenance, hands, arms, and all the fabric of members that appears in a corpse, and which I called by the name of body. It further occurred to me that I was nourished, that I walked, perceived, and thought, and all those actions I referred to the soul; but what the soul itself was I either did not stay to consider, or, if I did, I imagined that it was something extremely rare and subtile, like wind, or flame, or ether, spread through my grosser parts. As regarded the body, I did not even doubt of its nature, but thought I distinctly knew it, and if I had wished to describe it according to the notions I then entertained, I should have explained myself in this manner: By body I understand all that can be terminated by a certain figure; that can be comprised in a certain place, and so fill a certain space as therefrom to exclude every other body; that can be perceived either by touch, sight, hearing, taste, or smell; that can be moved in different ways, not indeed of itself, but by something foreign to it by which it is touched [and from which it receives the impression]; for the power of self-motion, as likewise that of perceiving and thinking, I held as by no means pertaining to the nature of body; on the contrary, I was somewhat astonished to find such faculties existing in some bodies.
6. But [as to myself, what can I now say that I am], since I suppose there exists an extremely powerful, and, if I may so speak, malignant being, whose whole endeavors are directed toward deceiving me ? Can I affirm that I possess any one of all those attributes of which I have lately spoken as belonging to the nature of body ? After attentively considering them in my own mind, I find none of them that can properly be said to belong to myself. To recount them were idle and tedious. Let us pass, then, to the attributes of the soul. The first mentioned were the powers of nutrition and walking; but, if it be true that I have no body, it is true likewise that I am capable neither of walking nor of being nourished. Perception is another attribute of the soul; but perception too is impossible without the body; besides, I have frequently, during sleep, believed that I perceived objects which I afterward observed I did not in reality perceive. Thinking is another attribute of the soul; and here I discover what properly belongs to myself. This alone is inseparable from me. I am—I exist: this is certain; but how often? As often as I think; for perhaps it would even happen, if I should wholly cease to think, that I should at the same time altogether cease to be. I now admit nothing that is not necessarily true. I am therefore, precisely speaking, only a thinking thing, that is, a mind (*mens sive animus*), understanding, or reason, terms whose signification was before unknown to me. I am, however, a real thing, and really existent; but what thing? The answer was, a thinking thing.

7. The question now arises, am I aught besides ? I will stimulate my imagination with a view to discover whether I am not still something more than a thinking being. Now it is plain I am not the assemblage of members called the human body; I am not a thin and penetrating air diffused through all these members, or wind, or flame, or vapor, or breath, or any of all the things I can imagine; for I supposed that all these were not, and, without changing the supposition, I find that I still feel assured of my existence. But it is true, perhaps, that those very things which I suppose to be non-existent, because they are unknown to me, are not in truth different from myself whom I know. This is a point I cannot determine, and do not now enter into any dispute regarding it. I can only judge of things that are known to me: I am conscious that I exist, and I who know that I exist inquire into what I am. It is, however, perfectly certain that the knowledge of my existence, thus precisely taken, is not dependent on things, the existence of which is as yet unknown to me: and consequently it is not dependent on any of the things I can feign in imagination. Moreover, the phrase itself, I frame an image (*effingo*), reminds me of my error; for I should in truth frame one if I were to imagine myself to be anything, since to imagine is nothing more than to contemplate the figure or image of a corporeal thing; but I already know that I exist, and that it is possible at the same time that all those images, and in general all that relates to the nature of body, are merely dreams [or chimeras]. From this I discover that it is not more reasonable to say, I will excite my imagination that I may know more distinctly what I am, than to express myself as follows: I am now awake, and perceive something real; but because my perception is not sufficiently clear, I will of express purpose go to sleep that my dreams may represent to me the object of my perception with more truth and clearness. And, therefore, I know that nothing of all that I can embrace in imagination belongs to the knowledge which I have of myself, and that there is need to recall with the utmost care the mind from this mode of thinking, that it may be able to know its own nature with perfect distinctness.
8. But what, then, am I ? A thinking thing, it has been said. But what is a thinking thing? It is a thing that doubts, understands, [*conceives*], affirms, denies, wills, refuses; that imagines also, and perceives.
9. Assuredly it is not little, if all these properties belong to my nature. But why should they not belong to it ? Am I not that very being who now doubts of almost everything; who, for all that, understands and conceives certain things; who affirms one alone as true, and denies the others; who desires to know more of them, and does not wish to be deceived; who imagines many things, sometimes even despite his will; and is likewise percipient of many, as if through the medium of the senses. Is there nothing of all this as true as that I am, even although I should be always dreaming, and although he who gave me being employed all his ingenuity to deceive me ? Is there also any one of these attributes that can be properly distinguished from my thought, or that can be said to be separate from myself ? For it is of itself so evident that it is I who doubt, I who understand, and I who desire, that it is here unnecessary to add anything by way of rendering it more clear. And I am as certainly the same being who imagines; for although it may be (as I before supposed) that nothing I imagine is true, still the power of imagination does not cease really to exist in me and to form part of my thought. In fine, I am the same being who perceives, that is, who apprehends certain objects as by the organs of sense, since, in truth, I see light, hear a noise, and feel heat. But it will be said that these presentations are false, and that I am dreaming. Let it be so. At all events it is certain that I seem to see light, hear a noise, and feel heat; this cannot be false, and this is what in me is properly called perceiving (*sentire*), which is nothing else than thinking.
10. From this I begin to know what I am with somewhat greater clearness and distinctness than heretofore. But, nevertheless, it still seems to me, and I cannot help believing, that corporeal things, whose images are

formed by thought [which fall under the senses], and are examined by the same, are known with much greater distinctness than that I know not what part of myself which is not imaginable; although, in truth, it may seem strange to say that I know and comprehend with greater distinctness things whose existence appears to me doubtful, that are unknown, and do not belong to me, than others of whose reality I am persuaded, that are known to me, and appertain to my proper nature; in a word, than myself. But I see clearly what is the state of the case. My mind is apt to wander, and will not yet submit to be restrained within the limits of truth. Let us therefore leave the mind to itself once more, and, according to it every kind of liberty [permit it to consider the objects that appear to it from without], in order that, having afterward withdrawn it from these gently and opportunely [and fixed it on the consideration of its being and the properties it finds in itself], it may then be the more easily controlled.

11. Let us now accordingly consider the objects that are commonly thought to be [the most easily, and likewise] the most distinctly known, viz, the bodies we touch and see; not, indeed, bodies in general, for these general notions are usually somewhat more confused, but one body in particular. Take, for example, this piece of wax; it is quite fresh, having been but recently taken from the beehive; it has not yet lost the sweetness of the honey it contained; it still retains somewhat of the odor of the flowers from which it was gathered; its color, figure, size, are apparent (to the sight); it is hard, cold, easily handled; and sounds when struck upon with the finger. In fine, all that contributes to make a body as distinctly known as possible, is found in the one before us. But, while I am speaking, let it be placed near the fire—what remained of the taste exhales, the smell evaporates, the color changes, its figure is destroyed, its size increases, it becomes liquid, it grows hot, it can hardly be handled, and, although struck upon, it emits no sound. Does the same wax still remain after this change? It must be admitted that it does remain; no one doubts it, or judges otherwise. What, then, was it I knew with so much distinctness in the piece of wax? Assuredly, it could be nothing of all that I observed by means of the senses, since all the things that fell under taste, smell, sight, touch, and hearing are changed, and yet the same wax remains.
12. It was perhaps what I now think, viz, that this wax was neither the sweetness of honey, the pleasant odor of flowers, the whiteness, the figure, nor the sound, but only a body that a little before appeared to me conspicuous under these forms, and which is now perceived under others. But, to speak precisely, what is it that I imagine when I think of it in this way? Let it be attentively considered, and, retrenching all that does not belong to the wax, let us see what remains. There certainly remains nothing, except something extended, flexible, and movable. But what is meant by flexible and movable? Is it not that I imagine that the piece of wax, being round, is capable of becoming square, or of passing from a square into a triangular figure? Assuredly such is not the case, because I conceive that it admits of an infinity of similar changes; and I am, moreover, unable to compass this infinity by imagination, and consequently this conception which I have of the wax is not the product of the faculty of imagination. But what now is this extension? Is it not also unknown? for it becomes greater when the wax is melted, greater when it is boiled, and greater still when the heat increases; and I should not conceive [clearly and] according to truth, the wax as it is, if I did not suppose that the piece we are considering admitted even of a wider variety of extension than I ever imagined, I must, therefore, admit that I cannot even comprehend by imagination what the piece of wax is, and that it is the mind alone (*mens*, Lat., *entendement*, F.) which perceives it. I speak of one piece in particular; for as to wax in general, this is still more evident. But what is the piece of wax that can be perceived only by the [understanding or] mind? It is certainly the same which I see, touch, imagine; and, in fine, it is the same which, from the beginning, I believed it to be. But (and this it is of moment to observe) the perception of it is neither an act of sight, of touch, nor of imagination, and never was either of these, though it might

formerly seem so, but is simply an intuition (*inspectio*) of the mind, which may be imperfect and confused, as it formerly was, or very clear and distinct, as it is at present, according as the attention is more or less directed to the elements which it contains, and of which it is composed.

13. But, meanwhile, I feel greatly astonished when I observe [the weakness of my mind, and] its proneness to error. For although, without at all giving expression to what I think, I consider all this in my own mind, words yet occasionally impede my progress, and I am almost led into error by the terms of ordinary language. We say, for example, that we see the same wax when it is before us, and not that we judge it to be the same from its retaining the same color and figure: whence I should forthwith be disposed to conclude that the wax is known by the act of sight, and not by the intuition of the mind alone, were it not for the analogous instance of human beings passing on in the street below, as observed from a window. In this case I do not fail to say that I see the men themselves, just as I say that I see the wax; and yet what do I see from the window beyond hats and cloaks that might cover artificial machines, whose motions might be determined by springs ? But I judge that there are human beings from these appearances, and thus I comprehend, by the faculty of judgment alone which is in the mind, what I believed I saw with my eyes.
14. The man who makes it his aim to rise to knowledge superior to the common, ought to be ashamed to seek occasions of doubting from the vulgar forms of speech: instead, therefore, of doing this, I shall proceed with the matter in hand, and inquire whether I had a clearer and more perfect perception of the piece of wax when I first saw it, and when I thought I knew it by means of the external sense itself, or, at all events, by the common sense (*sensus communis*), as it is called, that is, by the imaginative faculty; or whether I rather apprehend it more clearly at present, after having examined with greater care, both what it is, and in what way it can be known. It would certainly be ridiculous to entertain any doubt on this point. For what, in that first perception, was there distinct ? What did I perceive which any animal might not have perceived ? But when I distinguish the wax from its exterior forms, and when, as if I had stripped it of its vestments, I consider it quite naked, it is certain, although some error may still be found in my judgment, that I cannot, nevertheless, thus apprehend it without possessing a human mind.
15. But finally, what shall I say of the mind itself, that is, of myself ? for as yet I do not admit that I am anything but mind. What, then! I who seem to possess so distinct an apprehension of the piece of wax, do I not know myself, both with greater truth and certitude, and also much more distinctly and clearly? For if I judge that the wax exists because I see it, it assuredly follows, much more evidently, that I myself am or exist, for the same reason: for it is possible that what I see may not in truth be wax, and that I do not even possess eyes with which to see anything; but it cannot be that when I see, or, which comes to the same thing, when I think I see, I myself who think am nothing. So likewise, if I judge that the wax exists because I touch it, it will still also follow that I am; and if I determine that my imagination, or any other cause, whatever it be, persuades me of the existence of the wax, I will still draw the same conclusion. And what is here remarked of the piece of wax, is applicable to all the other things that are external to me. And further, if the [notion or] perception of wax appeared to me more precise and distinct, after that not only sight and touch, but many other causes besides, rendered it manifest to my apprehension, with how much greater distinctness must I now know myself, since all the reasons that contribute to the knowledge of the nature of wax, or of any body whatever, manifest still better the nature of my mind ? And there are besides so many other things in the mind itself that contribute to the illustration of its nature, that those dependent on the body, to which I have here referred, scarcely merit to be taken into account.
16. But, in conclusion, I find I have insensibly reverted to the point I desired; for, since it is now manifest to

me that bodies themselves are not properly perceived by the senses nor by the faculty of imagination, but by the intellect alone; and since they are not perceived because they are seen and touched, but only because they are understood [or rightly comprehended by thought], I readily discover that there is nothing more easily or clearly apprehended than my own mind. But because it is difficult to rid one's self so promptly of an opinion to which one has been long accustomed, it will be desirable to tarry for some time at this stage, that, by long continued meditation, I may more deeply impress upon my memory this new knowledge.

4.

John Locke – On the Foundation of Knowledge

An Essay Concerning Human Understanding

BOOK I –Neither Principles nor Ideas are Innate

CHAPTER I –No Innate Speculative Principles

1. The way shown how we come by any Knowledge, sufficient to prove it not innate.

It is an established opinion amongst some men, that there are in the understanding certain INNATE PRINCIPLES; some primary notions, KOIVAI EVVOIAI, characters, as it were stamped upon the mind of man; which the soul receives in its very first being, and brings into the world with it. It would be sufficient to convince unprejudiced readers of the falseness of this supposition, if I should only show (as I hope I shall in the following parts of this Discourse) how men, barely by the use of their natural faculties may attain to all the knowledge they have, without the help of any innate impressions; and may arrive at certainty, without any such original notions or principles. For I imagine any one will easily grant that it would be impertinent to suppose the ideas of colours innate in a creature to whom God hath given sight, and a power to receive them by the eyes from external objects: and no less unreasonable would it be to attribute several truths to the impressions of nature, and innate characters, when we may observe in ourselves faculties fit to attain as easy and certain knowledge of them as if they were originally imprinted on the mind.

But because a man is not permitted without censure to follow his own thoughts in the search of truth, when they lead him ever so little out of the common road, I shall set down the reasons that made me doubt of the truth of that opinion, as an excuse for my mistake, if I be in one; which I leave to be considered by those who, with me, dispose themselves to embrace truth wherever they find it.

2. General Assent the great Argument.

There is nothing more commonly taken for granted than that there are certain PRINCIPLES, both SPECULATIVE and PRACTICAL, (for they speak of both), universally agreed upon by all mankind: which therefore, they argue, must needs be the constant impressions which the souls of men receive in their first beings, and which they bring into the world with them, as necessarily and really as they do any of their inherent faculties.

3. Universal Consent proves nothing innate.

This argument, drawn from universal consent, has this misfortune in it, that if it were true in matter of fact, that there were certain truths wherein all mankind agreed, it would not prove them innate, if there can be any other way shown how men may come to that universal agreement, in the things they do consent in, which I presume may be done.

4. “What is is,” and “It is possible for the same Thing to be and not to be,” not universally assented to.

But, which is worse, this argument of universal consent, which is made use of to prove innate principles, seems to me a demonstration that there are none such: because there are none to which all mankind give an universal assent. I shall begin with the speculative, and instance in those magnified principles of demonstration, “Whatsoever is, is,” and “It is impossible for the same thing to be and not to be”; which, of all others, I think have the most allowed title to innate. These have so settled a reputation of maxims universally received, that it will no doubt be thought strange if any one should seem to question it. But yet I take liberty to say, that these propositions are so far from having an universal assent, that there are a great part of mankind to whom they are not so much as known . . .

BOOK II—OF IDEAS

CHAPTER I.—OF IDEAS IN GENERAL, AND THEIR ORIGINAL.

1. Idea is the Object of Thinking.

Every man being conscious to himself that he thinks; and that which his mind is applied about whilst thinking being the IDEAS that are there, it is past doubt that men have in their minds several ideas,—such as are those expressed by the words whiteness, hardness, sweetness, thinking, motion, man, elephant, army, drunkenness, and others: it is in the first place then to be inquired, HOW HE COMES BY THEM?

I know it is a received doctrine, that men have native ideas, and original characters, stamped upon their minds in their very first being. This opinion I have at large examined already; and, I suppose what I have said in the foregoing Book will be much more easily admitted, when I have shown whence the understanding may get all the ideas it has; and by what ways and degrees they may come into the mind;—for which I shall appeal to every one’s own observation and experience.

2. All Ideas come from Sensation or Reflection.

Let us then suppose the mind to be, as we say, white paper, void of all characters, without any ideas:—How comes it to be furnished? Whence comes it by that vast store which the busy and boundless fancy of man has painted on it with an almost endless variety? Whence has it all the MATERIALS of reason and knowledge? To this I answer, in one word, from EXPERIENCE. In that all our knowledge is founded; and from that it ultimately derives itself. Our observation employed either, about external sensible objects, or about the internal operations of our minds perceived and reflected on by ourselves, is that which supplies our understandings with all the MATERIALS of thinking. These two are the fountains of knowledge, from whence all the ideas we have, or can naturally have, do spring.

3. The Objects of Sensation one Source of Ideas

First, our Senses, conversant about particular sensible objects, do convey into the mind several distinct perceptions of things, according to those various ways wherein those objects do affect them. And thus we come by those IDEAS we have of yellow, white, heat, cold, soft, hard, bitter, sweet, and all those which we call sensible qualities; which when I say the senses convey into the mind, I mean, they from external objects convey into the mind what produces there those perceptions. This great source of most of the ideas we have, depending wholly upon our senses, and derived by them to the understanding, I call SENSATION.

4. The Operations of our Minds, the other Source of them.

Secondly, the other fountain from which experience furnisheth the understanding with ideas is,—the perception of the operations of our own mind within us, as it is employed about the ideas it has got;—which operations, when the soul comes to reflect on and consider, do furnish the understanding with another set of ideas, which could not be had from things without. And such are perception, thinking, doubting, believing, reasoning, knowing, willing, and all the different actings of our own minds;—which we being conscious of, and observing in ourselves, do from these receive into our understandings as distinct ideas as we do from bodies affecting our senses. This source of ideas every man has wholly in himself; and though it be not sense, as having nothing to do with external objects, yet it is very like it, and might properly enough be called INTERNAL SENSE. But as I call the other Sensation, so I call this REFLECTION, the ideas it affords being such only as the mind gets by reflecting on its own operations within itself. By reflection then, in the following part of this discourse, I would be understood to mean, that notice which the mind takes of its own operations, and the manner of them, by reason whereof there come to be ideas of these operations in the understanding. These two, I say, viz. external material things, as the objects of SENSATION, and the operations of our own minds within, as the objects of REFLECTION, are to me the only originals from whence all our ideas take their beginnings. The term OPERATIONS here I use in a large sense, as comprehending not barely the actions of the mind about its ideas, but some sort of passions arising sometimes from them, such as is the satisfaction or uneasiness arising from any thought.

5. All our Ideas are of the one or of the other of these.

The understanding seems to me not to have the least glimmering of any ideas which it doth not receive from one of these two. EXTERNAL OBJECTS furnish the mind with the ideas of sensible qualities, which are all those different perceptions they produce in us; and THE MIND furnishes the understanding with ideas of its own operations.

These, when we have taken a full survey of them, and their several modes, and the compositions made out of them we shall find to contain all our whole stock of ideas; and that we have nothing in our minds which did not come in one of these two ways. Let any one examine his own thoughts, and thoroughly search into his understanding; and then let him tell me, whether all the original ideas he has there, are any other than of the objects of his senses, or of the operations of his mind, considered as objects of his reflection. And how great a mass of knowledge soever he imagines to be lodged there, he will, upon taking a strict view, see that he has not any idea in his mind but what one of these two have imprinted;—though perhaps, with infinite variety compounded and enlarged by the understanding, as we shall see hereafter.

6. Observable in Children.

He that attentively considers the state of a child, at his first coming into the world, will have little reason to think him stored with plenty of ideas, that are to be the matter of his future knowledge. It is BY DEGREES he comes

to be furnished with them. And though the ideas of obvious and familiar qualities imprint themselves before the memory begins to keep a register of time or order, yet it is often so late before some unusual qualities come in the way, that there are few men that cannot recollect the beginning of their acquaintance with them. And if it were worth while, no doubt a child might be so ordered as to have but a very few, even of the ordinary ideas, till he were grown up to a man. But all that are born into the world, being surrounded with bodies that perpetually and diversely affect them, variety of ideas, whether care be taken of it or not, are imprinted on the minds of children. Light and colours are busy at hand everywhere, when the eye is but open; sounds and some tangible qualities fail not to solicit their proper senses, and force an entrance to the mind;—but yet, I think, it will be granted easily, that if a child were kept in a place where he never saw any other but black and white till he were a man, he would have no more ideas of scarlet or green, than he that from his childhood never tasted an oyster, or a pine-apple, has of those particular relishes.

7. Men are differently furnished with these, according to the different Objects they converse with.

Men then come to be furnished with fewer or more simple ideas from without, according as the objects they converse with afford greater or less variety; and from the operations of their minds within, according as they more or less reflect on them. For, though he that contemplates the operations of his mind, cannot but have plain and clear ideas of them; yet, unless he turn his thoughts that way, and considers them *ATTENTIVELY*, he will no more have clear and distinct ideas of all the operations of his mind, and all that may be observed therein, than he will have all the particular ideas of any landscape, or of the parts and motions of a clock, who will not turn his eyes to it, and with attention heed all the parts of it. The picture, or clock may be so placed, that they may come in his way every day; but yet he will have but a confused idea of all the parts they are made up of, till he applies himself with attention, to consider them each in particular.

8. Ideas of Reflection later, because they need Attention.

And hence we see the reason why it is pretty late before most children get ideas of the operations of their own minds; and some have not any very clear or perfect ideas of the greatest part of them all their lives. Because, though they pass there continually, yet, like floating visions, they make not deep impressions enough to leave in their mind clear, distinct, lasting ideas, till the understanding turns inward upon itself, reflects on its own operations, and makes them the objects of its own contemplation. Children when they come first into it, are surrounded with a world of new things which, by a constant sollicitation of their senses, draw the mind constantly to them; forward to take notice of new, and apt to be delighted with the variety of changing objects. Thus the first years are usually employed and diverted in looking abroad. Men's business in them is to acquaint themselves with what is to be found without; and so growing up in a constant attention to outward sensations, seldom make any considerable reflection on what passes within them, till they come to be of riper years; and some scarce ever at all.

9. The Soul begins to have Ideas when it begins to perceive.

To ask, at what *TIME* a man has first any ideas, is to ask, when he begins to perceive;—*HAVING IDEAS*, and *PERCEPTION*, being the same thing. I know it is an opinion, that the soul always thinks, and that it has the actual perception of ideas in itself constantly, as long as it exists; and that actual thinking is as inseparable from the soul as actual extension is from the body; which if true, to inquire after the beginning of a man's ideas is the same as

to inquire after the beginning of his soul. For, by this account, soul and its ideas, as body and its extension, will begin to exist both at the same time.

10. The Soul thinks not always; for this wants Proofs.

But whether the soul be supposed to exist antecedent to, or coeval with, or some time after the first rudiments of organization, or the beginnings of life in the body, I leave to be disputed by those who have better thought of that matter. I confess myself to have one of those dull souls, that doth not perceive itself always to contemplate ideas; nor can conceive it any more necessary for the soul always to think, than for the body always to move: the perception of ideas being (as I conceive) to the soul, what motion is to the body; not its essence, but one of its operations. And therefore, though thinking be supposed never so much the proper action of the soul, yet it is not necessary to suppose that it should be always thinking, always in action. That, perhaps, is the privilege of the infinite Author and Preserver of all things, who “never slumbers nor sleeps”; but is not competent to any finite being, at least not to the soul of man. We know certainly, by experience, that we *SOMETIMES* think; and thence draw this infallible consequence,—that there is something in us that has a power to think. But whether that substance *PERPETUALLY* thinks or no, we can be no further assured than experience informs us. For, to say that actual thinking is essential to the soul, and inseparable from it, is to beg what is in question, and not to prove it by reason;—which is necessary to be done, if it be not a self-evident proposition. But whether this, “That the soul always thinks,” be a self-evident proposition, that everybody assents to at first hearing, I appeal to mankind. It is doubted whether I thought at all last night or no. The question being about a matter of fact, it is begging it to bring, as a proof for it, an hypothesis, which is the very thing in dispute: by which way one may prove anything, and it is but supposing that all watches, whilst the balance beats, think, and it is sufficiently proved, and past doubt, that my watch thought all last night. But he that would not deceive himself, ought to build his hypothesis on matter of fact, and make it out by sensible experience, and not presume on matter of fact, because of his hypothesis, that is, because he supposes it to be so; which way of proving amounts to this, that I must necessarily think all last night, because another supposes I always think, though I myself cannot perceive that I always do so.

But men in love with their opinions may not only suppose what is in question, but allege wrong matter of fact. How else could any one make it an inference of mine, that a thing is not, because we are not sensible of it in our sleep? I do not say there is no *SOUL* in a man, because he is not sensible of it in his sleep; but I do say, he cannot *THINK* at any time, waking or sleeping, without being sensible of it. Our being sensible of it is not necessary to anything but to our thoughts; and to them it is; and to them it always will be necessary, till we can think without being conscious of it.

11. It is not always conscious of it.

I grant that the soul, in a waking man, is never without thought, because it is the condition of being awake. But whether sleeping without dreaming be not an affection of the whole man, mind as well as body, may be worth a waking man’s consideration; it being hard to conceive that anything should think and not be conscious of it. If the soul doth think in a sleeping man without being conscious of it, I ask whether, during such thinking, it has any pleasure or pain, or be capable of happiness or misery? I am sure the man is not; no more than the bed or earth he lies on. For to be happy or miserable without being conscious of it, seems to me utterly inconsistent and impossible. Or if it be possible that the *SOUL* can, whilst the body is sleeping, have its thinking, enjoyments, and concerns, its pleasures or pain, apart, which the *MAN* is not conscious of nor partakes in,—it is certain that Socrates asleep and Socrates awake is not the same person; but his soul when he sleeps, and Socrates the man,

consisting of body and soul, when he is waking, are two persons: since waking Socrates has no knowledge of, or concernment for that happiness or misery of his soul, which it enjoys alone by itself whilst he sleeps, without perceiving anything of it; no more than he has for the happiness or misery of a man in the Indies, whom he knows not. For, if we take wholly away all consciousness of our actions and sensations, especially of pleasure and pain, and the concernment that accompanies it, it will be hard to know wherein to place personal identity.

12. If a sleeping Man thinks without knowing it, the sleeping and waking Man are two Persons.

The soul, during sound sleep, thinks, say these men. Whilst it thinks and perceives, it is capable certainly of those of delight or trouble, as well as any other perceptions; and IT must necessarily be CONSCIOUS of its own perceptions. But it has all this apart: the sleeping MAN, it is plain, is conscious of nothing of all this. Let us suppose, then, the soul of Castor, while he is sleeping, retired from his body; which is no impossible supposition for the men I have here to do with, who so liberally allow life, without a thinking soul, to all other animals. These men cannot then judge it impossible, or a contradiction, that the body should live without the soul; nor that the soul should subsist and think, or have perception, even perception of happiness or misery, without the body. Let us then, I say, suppose the soul of Castor separated during his sleep from his body, to think apart. Let us suppose, too, that it chooses for its scene of thinking the body of another man, v. g. Pollux, who is sleeping without a soul. For, if Castor's soul can think, whilst Castor is asleep, what Castor is never conscious of, it is no matter what PLACE it chooses to think in. We have here, then, the bodies of two men with only one soul between them, which we will suppose to sleep and wake by turns; and the soul still thinking in the waking man, whereof the sleeping man is never conscious, has never the least perception. I ask, then, whether Castor and Pollux, thus with only one soul between them, which thinks and perceives in one what the other is never conscious of, nor is concerned for, are not two as distinct PERSONS as Castor and Hercules, or as Socrates and Plato were? And whether one of them might not be very happy, and the other very miserable? Just by the same reason, they make the soul and the man two persons, who make the soul think apart what the man is not conscious of. For, I suppose nobody will make identity of persons to consist in the soul's being united to the very same numerical particles of matter. For if that be necessary to identity, it will be impossible, in that constant flux of the particles of our bodies, that any man should be the same person two days, or two moments, together.

13. Impossible to convince those that sleep without dreaming, that they think.

Thus, methinks, every drowsy nod shakes their doctrine, who teach that the soul is always thinking. Those, at least, who do at any time SLEEP WITHOUT DREAMING, can never be convinced that their thoughts are sometimes for four hours busy without their knowing of it; and if they are taken in the very act, waked in the middle of that sleeping contemplation, can give no manner of account of it.

14. That men dream without remembering it, in vain urged.

It will perhaps be said,—That the soul thinks even in the soundest sleep, but the MEMORY retains it not. That the soul in a sleeping man should be this moment busy a thinking, and the next moment in a waking man not remember nor be able to recollect one jot of all those thoughts, is very hard to be conceived, and would need some better proof than bare assertion to make it be believed. For who can without any more ado, but being barely told so, imagine that the greatest part of men do, during all their lives, for several hours every day, think of something, which if they were asked, even in the middle of these thoughts, they could remember nothing at all of? Most men, I think, pass a great part of their sleep without dreaming. I once knew a man that was bred a scholar, and had no

bad memory, who told me he had never dreamed in his life, till he had that fever he was then newly recovered of, which was about the five or six and twentieth year of his age. I suppose the world affords more such instances: at least every one's acquaintance will furnish him with examples enough of such as pass most of their nights without dreaming.

15. Upon this Hypothesis, the Thoughts of a sleeping Man ought to be most rational.

To think often, and never to retain it so much as one moment, is a very useless sort of thinking; and the soul, in such a state of thinking, does very little, if at all, excel that of a looking-glass, which constantly receives variety of images, or ideas, but retains none; they disappear and vanish, and there remain no footsteps of them; the looking-glass is never the better for such ideas, nor the soul for, such thoughts. Perhaps it will be said, that in a waking MAN the materials of the body are employed, and made use of, in thinking; and that the memory of thoughts is retained by the impressions that are made on the brain, and the traces there left after such thinking; but that in the thinking of the SOUL, which is not perceived in a sleeping man, there the soul thinks apart, and making no use of the organs of the body, leaves no impressions on it, and consequently no memory of such thoughts. Not to mention again the absurdity of two distinct persons, which follows from this supposition, I answer, further,—That whatever ideas the mind can receive and contemplate without the help of the body, it is reasonable to conclude it can retain without the help of the body too; or else the soul, or any separate spirit, will have but little advantage by thinking. If it has no memory of its own thoughts; if it cannot lay them up for its own use, and be able to recall them upon occasion; if it cannot reflect upon what is past, and make use of its former experiences, reasonings, and contemplations, to what, purpose does it think? They who make the soul a thinking thing, at this rate, will not make it a much more noble being than those do whom they condemn, for allowing it to be nothing but the subtilist parts of matter. Characters drawn on dust, that the first breath of wind effaces; or impressions made on a heap of atoms, or animal spirits, are altogether as useful, and render the subject as noble, as the thoughts of a soul that perish in thinking; that, once out of sight, are gone for ever, and leave no memory of themselves behind them. Nature never makes excellent things for mean or no uses: and it is hardly to be conceived that our infinitely wise Creator should make so admirable a faculty as the power of thinking, that faculty which comes nearest the excellency of his own incomprehensible being, to be so idly and uselessly employed, at least a fourth part of its time here, as to think constantly, without remembering any of those thoughts, without doing any good to itself or others, or being any way useful to any other part of the creation. If we will examine it, we shall not find, I suppose, the motion of dull and senseless matter, any where in the universe, made so little use of and so wholly thrown away.

16. On this Hypothesis, the Soul must have Ideas not derived from Sensation or Reflection, of which there is no Appearance.

It is true, we have sometimes instances of perception whilst we are asleep, and retain the memory of those thoughts: but how extravagant and incoherent for the most part they are; how little conformable to the perfection and order of a rational being, those who are acquainted with dreams need not be told. This I would willingly be satisfied in,—whether the soul, when it thinks thus apart, and as it were separate from the body, acts less rationally than when conjointly with it, or no. If its separate thoughts be less rational, then these men must say, that the soul owes the perfection of rational thinking to the body: if it does not, it is a wonder that our dreams should be, for the most part, so frivolous and irrational; and that the soul should retain none of its more rational soliloquies and meditations.

17. If I think when I know it not, nobody else can know it.

Those who so confidently tell us that the soul always actually thinks, I would they would also tell us, what those ideas are that are in the soul of a child, before or just at the union with the body, before it hath received any by sensation. The dreams of sleeping men are, as I take it, all made up of the waking man's ideas; though for the most part oddly put together. It is strange, if the soul has ideas of its own that it derived not from sensation or reflection, (as it must have, if it thought before it received any impressions from the body,) that it should never, in its private thinking, (so private, that the man himself perceives it not,) retain any of them the very moment it wakes out of them, and then make the man glad with new discoveries. Who can find it reason that the soul should, in its retirement during sleep, have so many hours' thoughts, and yet never light on any of those ideas it borrowed not from sensation or reflection; or at least preserve the memory of none but such, which, being occasioned from the body, must needs be less natural to a spirit? It is strange the soul should never once in a man's whole life recall over any of its pure native thoughts, and those ideas it had before it borrowed anything from the body; never bring into the waking man's view any other ideas but what have a tang of the cask, and manifestly derive their original from that union. If it always thinks, and so had ideas before it was united, or before it received any from the body, it is not to be supposed but that during sleep it recollects its native ideas; and during that retirement from communicating with the body, whilst it thinks by itself, the ideas it is busied about should be, sometimes at least, those more natural and congenial ones which it had in itself, underived from the body, or its own operations about them: which, since the waking man never remembers, we must from this hypothesis conclude either that the soul remembers something that the man does not; or else that memory belongs only to such ideas as are derived from the body, or the mind's operations about them.

18. How knows any one that the Soul always thinks? For if it be not a self-evident Proposition, it needs Proof.

I would be glad also to learn from these men who so confidently pronounce that the human soul, or, which is all one, that a man always thinks, how they come to know it; nay, how they come to know that they themselves think, when they themselves do not perceive it. This, I am afraid, is to be sure without proofs, and to know without perceiving. It is, I suspect, a confused notion, taken up to serve an hypothesis; and none of those clear truths, that either their own evidence forces us to admit, or common experience makes it impudence to deny. For the most that can be said of it is, that it is possible the soul may always think, but not always retain it in memory. And I say, it is as possible that the soul may not always think; and much more probable that it should sometimes not think, than that it should often think, and that a long while together, and not be conscious to itself, the next moment after, that it had thought.

19. That a Man should be busy in Thinking, and yet not retain it the next moment, very improbable.

To suppose the soul to think, and the man not to perceive it, is, as has been said, to make two persons in one man. And if one considers well these men's way of speaking, one should be led into a suspicion that they do so. For those who tell us that the SOUL always thinks, do never, that I remember, say that a MAN always thinks. Can the soul think, and not the man? Or a man think, and not be conscious of it? This, perhaps, would be suspected of jargon in others. If they say the man thinks always, but is not always conscious of it, they may as well say his body is extended without having parts. For it is altogether as intelligible to say that a body is extended without parts, as that anything thinks without being conscious of it, or perceiving that it does so. They who talk thus may, with as much reason, if it be necessary to their hypothesis, say that a man is always hungry, but that he does not always feel it; whereas hunger consists in that very sensation, as thinking consists in being conscious that one thinks. If

they say that a man is always conscious to himself of thinking, I ask, How they know it? Consciousness is the perception of what passes in a man's own mind. Can another man perceive that I am conscious of anything, when I perceive it not myself? No man's knowledge here can go beyond his experience. Wake a man out of a sound sleep, and ask him what he was that moment thinking of. If he himself be conscious of nothing he then thought on, he must be a notable diviner of thoughts that can assure him that he was thinking. May he not, with more reason, assure him he was not asleep? This is something beyond philosophy; and it cannot be less than revelation, that discovers to another thoughts in my mind, when I can find none there myself. And they must needs have a penetrating sight who can certainly see that I think, when I cannot perceive it myself, and when I declare that I do not; and yet can see that dogs or elephants do not think, when they give all the demonstration of it imaginable, except only telling us that they do so. This some may suspect to be a step beyond the Rosicrucians; it seeming easier to make one's self invisible to others, than to make another's thoughts visible to me, which are not visible to himself. But it is but defining the soul to be "a substance that always thinks," and the business is done. If such definition be of any authority, I know not what it can serve for but to make many men suspect that they have no souls at all; since they find a good part of their lives pass away without thinking. For no definitions that I know, no suppositions of any sect, are of force enough to destroy constant experience; and perhaps it is the affectation of knowing beyond what we perceive, that makes so much useless dispute and noise in the world.

20. No ideas but from Sensation and Reflection, evident, if we observe Children.

I see no reason, therefore, to believe that the soul thinks before the senses have furnished it with ideas to think on; and as those are increased and retained, so it comes, by exercise, to improve its faculty of thinking in the several parts of it; as well as, afterwards, by compounding those ideas, and reflecting on its own operations, it increases its stock, as well as facility in remembering, imagining, reasoning, and other modes of thinking.

21. State of a child on the mother's womb.

He that will suffer himself to be informed by observation and experience, and not make his own hypothesis the rule of nature, will find few signs of a soul accustomed to much thinking in a new-born child, and much fewer of any reasoning at all. And yet it is hard to imagine that the rational soul should think so much, and not reason at all. And he that will consider that infants newly come into the world spend the greatest part of their time in sleep, and are seldom awake but when either hunger calls for the teat, or some pain (the most importunate of all sensations), or some other violent impression on the body, forces the mind to perceive and attend to it;—he, I say, who considers this, will perhaps find reason to imagine that a FOETUS in the mother's womb differs not much from the state of a vegetable, but passes the greatest part of its time without perception or thought; doing very little but sleep in a place where it needs not seek for food, and is surrounded with liquor, always equally soft, and near of the same temper; where the eyes have no light, and the ears so shut up are not very susceptible of sounds; and where there is little or no variety, or change of objects, to move the senses.

22. The mind thinks in proportion to the matter it gets from experience to think about.

Follow a child from its birth, and observe the alterations that time makes, and you shall find, as the mind by the senses comes more and more to be furnished with ideas, it comes to be more and more awake; thinks more, the more it has matter to think on. After some time it begins to know the objects which, being most familiar with it, have made lasting impressions. Thus it comes by degrees to know the persons it daily converses with, and distinguishes them from strangers; which are instances and effects of its coming to retain and distinguish the

ideas the senses convey to it. And so we may observe how the mind, BY DEGREES, improves in these; and ADVANCES to the exercise of those other faculties of enlarging, compounding, and abstracting its ideas, and of reasoning about them, and reflecting upon all these; of which I shall have occasion to speak more hereafter.

23. A man begins to have ideas when he first has sensation. What sensation is.

If it shall be demanded then, WHEN a man BEGINS to have any ideas, I think the true answer is,—WHEN HE FIRST HAS ANY SENSATION. For, since there appear not to be any ideas in the mind before the senses have conveyed any in, I conceive that ideas in the understanding are coeval with SENSATION; WHICH IS SUCH AN IMPRESSION OR MOTION MADE IN SOME PART OF THE BODY, AS MAKES IT BE TAKEN NOTICE OF IN THE UNDERSTANDING.

24. The Original of all our Knowledge.

The impressions then that are made on our sense by outward objects that are extrinsical to the mind; and its own operations about these impressions, reflected on by itself, as proper objects to be contemplated by it, are, I conceive, the original of all knowledge. Thus the first capacity of human intellect is,—that the mind is fitted to receive the impressions made on it; either through the senses by outward objects, or by its own operations when it reflects on them. This is the first step a man makes towards the discovery of anything, and the groundwork whereon to build all those notions which ever he shall have naturally in this world. All those sublime thoughts which tower above the clouds, and reach as high as heaven itself, take their rise and footing here: in all that great extent wherein the mind wanders, in those remote speculations it may seem to be elevated with, it stirs not one jot beyond those ideas which SENSE or REFLECTION have offered for its contemplation.

25. In the Reception of simple Ideas, the Understanding is for the most part passive.

In this part the understanding is merely passive; and whether or no it will have these beginnings, and as it were materials of knowledge, is not in its own power. For the objects of our senses do, many of them, obtrude their particular ideas upon our minds whether we will or not; and the operations of our minds will not let us be without, at least, some obscure notions of them. No man can be wholly ignorant of what he does when he thinks. These simple ideas, when offered to the mind, the understanding can no more refuse to have, nor alter when they are imprinted, nor blot them out and make new ones itself, than a mirror can refuse, alter, or obliterate the images or ideas which the objects set before it do therein produce. As the bodies that surround us do diversely affect our organs, the mind is forced to receive the impressions; and cannot avoid the perception of those ideas that are annexed to them.

5.

George Berkeley – On Materialism and Idealism

Three Dialogues between Hylas and Philonis

The First Dialogue

PHILONOUS. Good morrow, Hylas: I did not expect to find you abroad so early.

HYLAS. It is indeed something unusual; but my thoughts were so taken up with a subject I was discoursing of last night, that finding I could not sleep, I resolved to rise and take a turn in the garden.

PHIL. It happened well, to let you see what innocent and agreeable pleasures you lose every morning. Can there be a pleasanter time of the day, or a more delightful season of the year? That purple sky, those wild but sweet notes of birds, the fragrant bloom upon the trees and flowers, the gentle influence of the rising sun, these and a thousand nameless beauties of nature inspire the soul with secret transports; its faculties too being at this time fresh and lively, are fit for those meditations, which the solitude of a garden and tranquillity of the morning naturally dispose us to. But I am afraid I interrupt your thoughts: for you seemed very intent on something.

HYL. It is true, I was, and shall be obliged to you if you will permit me to go on in the same vein; not that I would by any means deprive myself of your company, for my thoughts always flow more easily in conversation with a friend, than when I am alone: but my request is, that you would suffer me to impart my reflexions to you.

PHIL. With all my heart, it is what I should have requested myself if you had not prevented me.

HYL. I was considering the odd fate of those men who have in all ages, through an affectation of being distinguished from the vulgar, or some unaccountable turn of thought, pretended either to believe nothing at all, or to believe the most extravagant things in the world. This however might be borne, if their paradoxes and scepticism did not draw after them some consequences of general disadvantage to mankind. But the mischief lieth here; that when men of less leisure see them who are supposed to have spent their whole time in the pursuits of knowledge professing an entire ignorance of all things, or advancing such notions as are repugnant to plain and commonly received principles, they will be tempted to entertain suspicions concerning the most important truths, which they had hitherto held sacred and unquestionable.

PHIL. I entirely agree with you, as to the ill tendency of the affected doubts of some philosophers, and fantastical

conceits of others. I am even so far gone of late in this way of thinking, that I have quitted several of the sublime notions I had got in their schools for vulgar opinions. And I give it you on my word; since this revolt from metaphysical notions to the plain dictates of nature and common sense, I find my understanding strangely enlightened, so that I can now easily comprehend a great many things which before were all mystery and riddle.

HYL. I am glad to find there was nothing in the accounts I heard of you.

PHIL. Pray, what were those?

HYL. You were represented, in last night's conversation, as one who maintained the most extravagant opinion that ever entered into the mind of man, to wit, that there is no such thing as MATERIAL SUBSTANCE in the world.

PHIL. That there is no such thing as what PHILOSOPHERS CALL MATERIAL SUBSTANCE, I am seriously persuaded: but, if I were made to see anything absurd or sceptical in this, I should then have the same reason to renounce this that I imagine I have now to reject the contrary opinion.

HYL. What I can anything be more fantastical, more repugnant to Common Sense, or a more manifest piece of Scepticism, than to believe there is no such thing as MATTER?

PHIL. Softly, good Hylas. What if it should prove that you, who hold there is, are, by virtue of that opinion, a greater sceptic, and maintain more paradoxes and repugnances to Common Sense, than I who believe no such thing?

HYL. You may as soon persuade me, the part is greater than the whole, as that, in order to avoid absurdity and Scepticism, I should ever be obliged to give up my opinion in this point.

PHIL. Well then, are you content to admit that opinion for true, which upon examination shall appear most agreeable to Common Sense, and remote from Scepticism?

HYL. With all my heart. Since you are for raising disputes about the plainest things in nature, I am content for once to hear what you have to say.

PHIL. Pray, Hylas, what do you mean by a SCEPTIC?

HYL. I mean what all men mean—one that doubts of everything.

PHIL. He then who entertains no doubts concerning some particular point, with regard to that point cannot be thought a sceptic.

HYL. I agree with you.

PHIL. Whether doth doubting consist in embracing the affirmative or negative side of a question?

HYL. In neither; for whoever understands English cannot but know that DOUBTING signifies a suspense between both.

PHIL. He then that denies any point, can no more be said to doubt of it, than he who affirmeth it with the same degree of assurance.

HYL. True.

PHIL. And, consequently, for such his denial is no more to be esteemed a sceptic than the other.

HYL. I acknowledge it.

PHIL. How cometh it to pass then, Hylas, that you pronounce me A SCEPTIC, because I deny what you affirm, to wit, the existence of Matter? Since, for aught you can tell, I am as peremptory in my denial, as you in your affirmation.

HYL. Hold, Philonous, I have been a little out in my definition; but every false step a man makes in discourse is not to be insisted on. I said indeed that a SCEPTIC was one who doubted of everything; but I should have added, or who denies the reality and truth of things.

PHIL. What things? Do you mean the principles and theorems of sciences? But these you know are universal intellectual notions, and consequently independent of Matter. The denial therefore of this doth not imply the denying them.

HYL. I grant it. But are there no other things? What think you of distrusting the senses, of denying the real existence of sensible things, or pretending to know nothing of them. Is not this sufficient to denominate a man a SCEPTIC?

PHIL. Shall we therefore examine which of us it is that denies the reality of sensible things, or professes the greatest ignorance of them; since, if I take you rightly, he is to be esteemed the greatest SCEPTIC?

HYL. That is what I desire.

PHIL. What mean you by Sensible Things?

HYL. Those things which are perceived by the senses. Can you imagine that I mean anything else?

PHIL. Pardon me, Hylas, if I am desirous clearly to apprehend your notions, since this may much shorten our inquiry. Suffer me then to ask you this farther question. Are those things only perceived by the senses which are perceived immediately? Or, may those things properly be said to be SENSIBLE which are perceived mediately, or not without the intervention of others?

HYL. I do not sufficiently understand you.

PHIL. In reading a book, what I immediately perceive are the letters; but mediately, or by means of these, are suggested to my mind the notions of God, virtue, truth, &c. Now, that the letters are truly sensible things, or perceived by sense, there is no doubt: but I would know whether you take the things suggested by them to be so too.

HYL. No, certainly: it were absurd to think GOD or VIRTUE sensible things; though they may be signified and suggested to the mind by sensible marks, with which they have an arbitrary connexion.

PHIL. It seems then, that by SENSIBLE THINGS you mean those only which can be perceived IMMEDIATELY by sense?

HYL. Right.

PHIL. Doth it not follow from this, that though I see one part of the sky red, and another blue, and that my reason doth thence evidently conclude there must be some cause of that diversity of colours, yet that cause cannot be said to be a sensible thing, or perceived by the sense of seeing?

HYL. It doth.

PHIL. In like manner, though I hear variety of sounds, yet I cannot be said to hear the causes of those sounds?

HYL. You cannot.

PHIL. And when by my touch I perceive a thing to be hot and heavy, I cannot say, with any truth or propriety, that I feel the cause of its heat or weight?

HYL. To prevent any more questions of this kind, I tell you once for all, that by SENSIBLE THINGS I mean those only which are perceived by sense; and that in truth the senses perceive nothing which they do not perceive IMMEDIATELY: for they make no inferences. The deducing therefore of causes or occasions from effects and appearances, which alone are perceived by sense, entirely relates to reason.

PHIL. This point then is agreed between us—That SENSIBLE THINGS ARE THOSE ONLY WHICH ARE IMMEDIATELY PERCEIVED BY SENSE. You will farther inform me, whether we immediately perceive by sight anything beside light, and colours, and figures; or by hearing, anything but sounds; by the palate, anything beside tastes; by the smell, beside odours; or by the touch, more than tangible qualities.

HYL. We do not.

PHIL. It seems, therefore, that if you take away all sensible qualities, there remains nothing sensible?

HYL. I grant it.

PHIL. Sensible things therefore are nothing else but so many sensible qualities, or combinations of sensible qualities?

HYL. Nothing else.

PHIL. HEAT then is a sensible thing?

HYL. Certainly.

PHIL. Doth the REALITY of sensible things consist in being perceived? or, is it something distinct from their being perceived, and that bears no relation to the mind?

HYL. To EXIST is one thing, and to be PERCEIVED is another.

PHIL. I speak with regard to sensible things only. And of these I ask, whether by their real existence you mean a subsistence exterior to the mind, and distinct from their being perceived?

HYL. I mean a real absolute being, distinct from, and without any relation to, their being perceived.

PHIL. Heat therefore, if it be allowed a real being, must exist without the mind?

HYL. It must.

PHIL. Tell me, Hylas, is this real existence equally compatible to all degrees of heat, which we perceive; or is there any reason why we should attribute it to some, and deny it to others? And if there be, pray let me know that reason.

HYL. Whatever degree of heat we perceive by sense, we may be sure the same exists in the object that occasions it.

PHIL. What! the greatest as well as the least?

HYL. I tell you, the reason is plainly the same in respect of both. They are both perceived by sense; nay, the greater degree of heat is more sensibly perceived; and consequently, if there is any difference, we are more certain of its real existence than we can be of the reality of a lesser degree.

PHIL. But is not the most vehement and intense degree of heat a very great pain?

HYL. No one can deny it.

PHIL. And is any unperceiving thing capable of pain or pleasure?

HYL. No, certainly.

PHIL. Is your material substance a senseless being, or a being endowed with sense and perception?

HYL. It is senseless without doubt.

PHIL. It cannot therefore be the subject of pain?

HYL. By no means.

PHIL. Nor consequently of the greatest heat perceived by sense, since you acknowledge this to be no small pain?

HYL. I grant it.

PHIL. What shall we say then of your external object; is it a material Substance, or no?

HYL. It is a material substance with the sensible qualities inhering in it.

PHIL. How then can a great heat exist in it, since you own it cannot in a material substance? I desire you would clear this point.

HYL. Hold, Philonous, I fear I was out in yielding intense heat to be a pain. It should seem rather, that pain is something distinct from heat, and the consequence or effect of it.

PHIL. Upon putting your hand near the fire, do you perceive one simple uniform sensation, or two distinct sensations?

HYL. But one simple sensation.

PHIL. Is not the heat immediately perceived?

HYL. It is.

PHIL. And the pain?

HYL. True.

PHIL. Seeing therefore they are both immediately perceived at the same time, and the fire affects you only with one simple or uncompounded idea, it follows that this same simple idea is both the intense heat immediately perceived, and the pain; and, consequently, that the intense heat immediately perceived is nothing distinct from a particular sort of pain.

HYL. It seems so.

PHIL. Again, try in your thoughts, Hylas, if you can conceive a vehement sensation to be without pain or pleasure.

HYL. I cannot.

PHIL. Or can you frame to yourself an idea of sensible pain or pleasure in general, abstracted from every particular idea of heat, cold, tastes, smells? &c.

HYL. I do not find that I can.

PHIL. Doth it not therefore follow, that sensible pain is nothing distinct from those sensations or ideas, in an intense degree?

HYL. It is undeniable; and, to speak the truth, I begin to suspect a very great heat cannot exist but in a mind perceiving it.

PHIL. What! are you then in that sceptical state of suspense, between affirming and denying?

HYL. I think I may be positive in the point. A very violent and painful heat cannot exist without the mind.

PHIL. It hath not therefore according to you, any REAL being?

HYL. I own it.

PHIL. Is it therefore certain, that there is no body in nature really hot?

HYL. I have not denied there is any real heat in bodies. I only say, there is no such thing as an intense real heat.

PHIL. But, did you not say before that all degrees of heat were equally real; or, if there was any difference, that the greater were more undoubtedly real than the lesser?

HYL. True: but it was because I did not then consider the ground there is for distinguishing between them, which I now plainly see. And it is this: because intense heat is nothing else but a particular kind of painful sensation;

and pain cannot exist but in a perceiving being; it follows that no intense heat can really exist in an unperceiving corporeal substance. But this is no reason why we should deny heat in an inferior degree to exist in such a substance.

PHIL. But how shall we be able to discern those degrees of heat which exist only in the mind from those which exist without it?

HYL. That is no difficult matter. You know the least pain cannot exist unperceived; whatever, therefore, degree of heat is a pain exists only in the mind. But, as for all other degrees of heat, nothing obliges us to think the same of them.

PHIL. I think you granted before that no unperceiving being was capable of pleasure, any more than of pain.

HYL. I did.

PHIL. And is not warmth, or a more gentle degree of heat than what causes uneasiness, a pleasure?

HYL. What then?

PHIL. Consequently, it cannot exist without the mind in an unperceiving substance, or body.

HYL. So it seems.

PHIL. Since, therefore, as well those degrees of heat that are not painful, as those that are, can exist only in a thinking substance; may we not conclude that external bodies are absolutely incapable of any degree of heat whatsoever?

HYL. On second thoughts, I do not think it so evident that warmth is a pleasure as that a great degree of heat is a pain.

PHIL. I do not pretend that warmth is as great a pleasure as heat is a pain. But, if you grant it to be even a small pleasure, it serves to make good my conclusion.

HYL. I could rather call it an **INDOLENCE**. It seems to be nothing more than a privation of both pain and pleasure. And that such a quality or state as this may agree to an unthinking substance, I hope you will not deny.

PHIL. If you are resolved to maintain that warmth, or a gentle degree of heat, is no pleasure, I know not how to convince you otherwise than by appealing to your own sense. But what think you of cold?

HYL. The same that I do of heat. An intense degree of cold is a pain; for to feel a very great cold, is to perceive a great uneasiness: it cannot therefore exist without the mind; but a lesser degree of cold may, as well as a lesser degree of heat.

PHIL. Those bodies, therefore, upon whose application to our own, we perceive a moderate degree of heat, must be concluded to have a moderate degree of heat or warmth in them; and those, upon whose application we feel a like degree of cold, must be thought to have cold in them.

HYL. They must.

PHIL. Can any doctrine be true that necessarily leads a man into an absurdity?

HYL. Without doubt it cannot.

PHIL. Is it not an absurdity to think that the same thing should be at the same time both cold and warm?

HYL. It is.

PHIL. Suppose now one of your hands hot, and the other cold, and that they are both at once put into the same vessel of water, in an intermediate state; will not the water seem cold to one hand, and warm to the other?

HYL. It will.

PHIL. Ought we not therefore, by your principles, to conclude it is really both cold and warm at the same time, that is, according to your own concession, to believe an absurdity?

HYL. I confess it seems so.

PHIL. Consequently, the principles themselves are false, since you have granted that no true principle leads to an absurdity.

HYL. But, after all, can anything be more absurd than to say, THERE IS NO HEAT IN THE FIRE?

PHIL. To make the point still clearer; tell me whether, in two cases exactly alike, we ought not to make the same judgment?

HYL. We ought.

PHIL. When a pin pricks your finger, doth it not rend and divide the fibres of your flesh?

HYL. It doth.

PHIL. And when a coal burns your finger, doth it any more?

HYL. It doth not.

PHIL. Since, therefore, you neither judge the sensation itself occasioned by the pin, nor anything like it to be in the pin; you should not, conformably to what you have now granted, judge the sensation occasioned by the fire, or anything like it, to be in the fire.

HYL. Well, since it must be so, I am content to yield this point, and acknowledge that heat and cold are only sensations existing in our minds. But there still remain qualities enough to secure the reality of external things.

PHIL. But what will you say, Hylas, if it shall appear that the case is the same with regard to all other sensible qualities, and that they can no more be supposed to exist without the mind, than heat and cold?

HYL. Then indeed you will have done something to the purpose; but that is what I despair of seeing proved.

PHIL. Let us examine them in order. What think you of TASTES, do they exist without the mind, or no?

HYL. Can any man in his senses doubt whether sugar is sweet, or wormwood bitter?

PHIL. Inform me, Hylas. Is a sweet taste a particular kind of pleasure or pleasant sensation, or is it not?

HYL. It is.

PHIL. And is not bitterness some kind of uneasiness or pain?

HYL. I grant it.

PHIL. If therefore sugar and wormwood are unthinking corporeal substances existing without the mind, how can sweetness and bitterness, that is, Pleasure and pain, agree to them?

HYL. Hold, Philonous, I now see what it was delude time. You asked whether heat and cold, sweetness at were not particular sorts of pleasure and pain; to which simply, that they were. Whereas I should have thus distinguished: those qualities, as perceived by us, are pleasures or pain existing in the external objects. We must not therefore conclude absolutely, that there is no heat in the fire, or sweetness in the sugar, but only that heat or sweetness, as perceived by us, are not in the fire or sugar. What say you to this?

PHIL. I say it is nothing to the purpose. Our discourse proceeded altogether concerning sensible things, which you defined to be, THE THINGS WE IMMEDIATELY PERCEIVE BY OUR SENSES. Whatever other qualities, therefore, you speak of as distinct from these, I know nothing of them, neither do they at all belong to the point in dispute. You may, indeed, pretend to have discovered certain qualities which you do not perceive, and assert those insensible qualities exist in fire and sugar. But what use can be made of this to your present purpose, I am at a loss to conceive. Tell me then once more, do you acknowledge that heat and cold, sweetness and bitterness (meaning those qualities which are perceived by the senses), do not exist without the mind?

HYL. I see it is to no purpose to hold out, so I give up the cause as to those mentioned qualities. Though I profess it sounds oddly, to say that sugar is not sweet.

PHIL. But, for your farther satisfaction, take this along with you: that which at other times seems sweet, shall, to a distempered palate, appear bitter. And, nothing can be plainer than that divers persons perceive different tastes in the same food; since that which one man delights in, another abhors. And how could this be, if the taste was something really inherent in the food?

HYL. I acknowledge I know not how.

PHIL. In the next place, ODOURS are to be considered. And, with regard to these, I would fain know whether what hath been said of tastes doth not exactly agree to them? Are they not so many pleasing or displeasing sensations?

HYL. They are.

PHIL. Can you then conceive it possible that they should exist in an unperceiving thing?

HYL. I cannot.

PHIL. Or, can you imagine that filth and ordure affect those brute animals that feed on them out of choice, with the same smells which we perceive in them?

HYL. By no means.

PHIL. May we not therefore conclude of smells, as of the other forementioned qualities, that they cannot exist in any but a perceiving substance or mind?

HYL. I think so.

PHIL. Then as to SOUNDS, what must we think of them: are they accidents really inherent in external bodies, or not?

HYL. That they inhere not in the sonorous bodies is plain from hence: because a bell struck in the exhausted receiver of an air-pump sends forth no sound. The air, therefore, must be thought the subject of sound.

PHIL. What reason is there for that, Hylas?

HYL. Because, when any motion is raised in the air, we perceive a sound greater or lesser, according to the air's motion; but without some motion in the air, we never hear any sound at all.

PHIL. And granting that we never hear a sound but when some motion is produced in the air, yet I do not see how you can infer from thence, that the sound itself is in the air.

HYL. It is this very motion in the external air that produces in the mind the sensation of SOUND. For, striking on the drum of the ear, it causeth a vibration, which by the auditory nerves being communicated to the brain, the soul is thereupon affected with the sensation called SOUND.

PHIL. What! is sound then a sensation?

HYL. I tell you, as perceived by us, it is a particular sensation in the mind.

PHIL. And can any sensation exist without the mind?

HYL. No, certainly.

PHIL. How then can sound, being a sensation, exist in the air, if by the AIR you mean a senseless substance existing without the mind?

HYL. You must distinguish, Philonous, between sound as it is perceived by us, and as it is in itself; or (which is the same thing) between the sound we immediately perceive, and that which exists without us. The former, indeed, is a particular kind of sensation, but the latter is merely a vibrative or undulatory motion the air.

PHIL. I thought I had already obviated that distinction, by answer I gave when you were applying it in a like case before. But, to say no more of that, are you sure then that sound is really nothing but motion?

HYL. I am.

PHIL. Whatever therefore agrees to real sound, may with truth be attributed to motion?

HYL. It may.

PHIL. It is then good sense to speak of MOTION as of a thing that is LOUD, SWEET, ACUTE, or GRAVE.

HYL. I see you are resolved not to understand me. Is it not evident those accidents or modes belong only to sensible sound, or SOUND in the common acceptation of the word, but not to sound in the real and philosophic sense; which, as I just now told you, is nothing but a certain motion of the air?

PHIL. It seems then there are two sorts of sound—the one vulgar, or that which is heard, the other philosophical and real?

HYL. Even so.

PHIL. And the latter consists in motion?

HYL. I told you so before.

PHIL. Tell me, Hylas, to which of the senses, think you, the idea of motion belongs? to the hearing?

HYL. No, certainly; but to the sight and touch.

PHIL. It should follow then, that, according to you, real sounds may possibly be SEEN OR FELT, but never HEARD.

HYL. Look you, Philonous, you may, if you please, make a jest of my opinion, but that will not alter the truth of things. I own, indeed, the inferences you draw me into sound something oddly; but common language, you know, is framed by, and for the use of the vulgar: we must not therefore wonder if expressions adapted to exact philosophic notions seem uncouth and out of the way.

PHIL. Is it come to that? I assure you, I imagine myself to have gained no small point, since you make so light of departing from common phrases and opinions; it being a main part of our inquiry, to examine whose notions are widest of the common road, and most repugnant to the general sense of the world. But, can you think it no more than a philosophical paradox, to say that REAL SOUNDS ARE NEVER HEARD, and that the idea of them is obtained by some other sense? And is there nothing in this contrary to nature and the truth of things?

HYL. To deal ingenuously, I do not like it. And, after the concessions already made, I had as well grant that sounds too have no real being without the mind.

PHIL. And I hope you will make no difficulty to acknowledge the same of COLOURS.

HYL. Pardon me: the case of colours is very different. Can anything be plainer than that we see them on the objects?

PHIL. The objects you speak of are, I suppose, corporeal Substances existing without the mind?

HYL. They are.

PHIL. And have true and real colours inhering in them?

HYL. Each visible object hath that colour which we see in it.

PHIL. How! is there anything visible but what we perceive by sight?

HYL. There is not.

PHIL. And, do we perceive anything by sense which we do not perceive immediately?

HYL. How often must I be obliged to repeat the same thing? I tell you, we do not.

PHIL. Have patience, good Hylas; and tell me once more, whether there is anything immediately perceived by the senses, except sensible qualities. I know you asserted there was not; but I would now be informed, whether you still persist in the same opinion.

HYL. I do.

PHIL. Pray, is your corporeal substance either a sensible quality, or made up of sensible qualities?

HYL. What a question that is! who ever thought it was?

PHIL. My reason for asking was, because in saying, EACH VISIBLE OBJECT HATH THAT COLOUR WHICH WE SEE IN IT, you make visible objects to be corporeal substances; which implies either that corporeal substances are sensible qualities, or else that there is something besides sensible qualities perceived by sight: but, as this point was formerly agreed between us, and is still maintained by you, it is a clear consequence, that your CORPOREAL SUBSTANCE is nothing distinct from SENSIBLE QUALITIES.

HYL. You may draw as many absurd consequences as you please, and endeavour to perplex the plainest things; but you shall never persuade me out of my senses. I clearly understand my own meaning.

PHIL. I wish you would make me understand it too. But, since you are unwilling to have your notion of corporeal substance examined, I shall urge that point no farther. Only be pleased to let me know, whether the same colours which we see exist in external bodies, or some other.

HYL. The very same.

PHIL. What! are then the beautiful red and purple we see on yonder clouds really in them? Or do you imagine they have in themselves any other form than that of a dark mist or vapour?

HYL. I must own, Philonous, those colours are not really in the clouds as they seem to be at this distance. They are only apparent colours.

PHIL. APPARENT call you them? how shall we distinguish these apparent colours from real?

HYL. Very easily. Those are to be thought apparent which, appearing only at a distance, vanish upon a nearer approach.

PHIL. And those, I suppose, are to be thought real which are discovered by the most near and exact survey.

HYL. Right.

PHIL. Is the nearest and exactest survey made by the help of a microscope, or by the naked eye?

HYL. By a microscope, doubtless.

PHIL. But a microscope often discovers colours in an object different from those perceived by the unassisted sight. And, in case we had microscopes magnifying to any assigned degree, it is certain that no object whatsoever, viewed through them, would appear in the same colour which it exhibits to the naked eye.

HYL. And what will you conclude from all this? You cannot argue that there are really and naturally no colours on objects: because by artificial managements they may be altered, or made to vanish.

PHIL. I think it may evidently be concluded from your own concessions, that all the colours we see with our naked eyes are only apparent as those on the clouds, since they vanish upon a more close and accurate inspection which is afforded us by a microscope. Then' as to what you say by way of prevention: I ask you whether the real and natural state of an object is better discovered by a very sharp and piercing sight, or by one which is less sharp?

HYL. By the former without doubt.

PHIL. Is it not plain from DIOPTRICS that microscopes make the sight more penetrating, and represent objects as they would appear to the eye in case it were naturally endowed with a most exquisite sharpness?

HYL. It is.

PHIL. Consequently the microscopical representation is to be thought that which best sets forth the real nature of the thing, or what it is in itself. The colours, therefore, by it perceived are more genuine and real than those perceived otherwise.

HYL. I confess there is something in what you say.

PHIL. Besides, it is not only possible but manifest, that there actually are animals whose eyes are by nature framed to perceive those things which by reason of their minuteness escape our sight. What think you of those inconceivably small animals perceived by glasses? must we suppose they are all stark blind? Or, in case they see, can it be imagined their sight hath not the same use in preserving their bodies from injuries, which appears in that of all other animals? And if it hath, is it not evident they must see particles less than their own bodies; which will present them with a far different view in each object from that which strikes our senses? Even our own eyes do not always represent objects to us after the same manner. In the jaundice every one knows that all things seem yellow. Is it not therefore highly probable those animals in whose eyes we discern a very different texture from that of ours, and whose bodies abound with different humours, do not see the same colours in every object that we do? From all which, should it not seem to follow that all colours are equally apparent, and that none of those which we perceive are really inherent in any outward object?

HYL. It should.

PHIL. The point will be past all doubt, if you consider that, in case colours were real properties or affections inherent in external bodies, they could admit of no alteration without some change wrought in the very bodies themselves: but, is it not evident from what hath been said that, upon the use of microscopes, upon a change happening in the burnouts of the eye, or a variation of distance, without any manner of real alteration in the thing

itself, the colours of any object are either changed, or totally disappear? Nay, all other circumstances remaining the same, change but the situation of some objects, and they shall present different colours to the eye. The same thing happens upon viewing an object in various degrees of light. And what is more known than that the same bodies appear differently coloured by candle-light from what they do in the open day? Add to these the experiment of a prism which, separating the heterogeneous rays of light, alters the colour of any object, and will cause the whitest to appear of a deep blue or red to the naked eye. And now tell me whether you are still of opinion that every body hath its true real colour inhering in it; and, if you think it hath, I would fain know farther from you, what certain distance and position of the object, what peculiar texture and formation of the eye, what degree or kind of light is necessary for ascertaining that true colour, and distinguishing it from apparent ones.

HYL. I own myself entirely satisfied, that they are all equally apparent, and that there is no such thing as colour really inhering in external bodies, but that it is altogether in the light. And what confirms me in this opinion is, that in proportion to the light colours are still more or less vivid; and if there be no light, then are there no colours perceived. Besides, allowing there are colours on external objects, yet, how is it possible for us to perceive them? For no external body affects the mind, unless it acts first on our organs of sense. But the only action of bodies is motion; and motion cannot be communicated otherwise than by impulse. A distant object therefore cannot act on the eye; nor consequently make itself or its properties perceivable to the soul. Whence it plainly follows that it is immediately some contiguous substance, which, operating on the eye, occasions a perception of colours: and such is light.

PHIL. How is light then a substance?

HYL. . I tell you, Philonous, external light is nothing but a thin fluid substance, whose minute particles being agitated with a brisk motion, and in various manners reflected from the different surfaces of outward objects to the eyes, communicate different motions to the optic nerves; which, being propagated to the brain, cause therein various impressions; and these are attended with the sensations of red, blue, yellow, &c.

PHIL. It seems then the light doth no more than shake the optic nerves.

HYL. Nothing else.

PHIL. And consequent to each particular motion of the nerves, the mind is affected with a sensation, which is some particular colour.

HYL. Right.

PHIL. And these sensations have no existence without the mind.

HYL. They have not.

PHIL. How then do you affirm that colours are in the light; since by LIGHT you understand a corporeal substance external to the mind?

HYL. Light and colours, as immediately perceived by us, I grant cannot exist without the mind. But in themselves they are only the motions and configurations of certain insensible particles of matter.

PHIL. Colours then, in the vulgar sense, or taken for the immediate objects of sight, cannot agree to any but a perceiving substance.

HYL. That is what I say.

PHIL. Well then, since you give up the point as to those sensible qualities which are alone thought colours by all mankind beside, you may hold what you please with regard to those invisible ones of the philosophers. It is not my business to dispute about THEM; only I would advise you to bethink yourself, whether, considering the inquiry we are upon, it be prudent for you to affirm—THE RED AND BLUE WHICH WE SEE ARE NOT REAL COLOURS, BUT CERTAIN UNKNOWN MOTIONS AND FIGURES WHICH NO MAN EVER DID OR CAN SEE ARE TRULY SO. Are not these shocking notions, and are not they subject to as many ridiculous inferences, as those you were obliged to renounce before in the case of sounds?

HYL. I frankly own, Philonous, that it is in vain to longer. Colours, sounds, tastes, in a word all those termed SECONDARY QUALITIES, have certainly no existence without the mind. But by this acknowledgment I must not be supposed to derogate, the reality of Matter, or external objects; seeing it is no more than several philosophers maintain, who nevertheless are the farthest imaginable from denying Matter. For the clearer understanding of this, you must know sensible qualities are by philosophers divided into PRIMARY and SECONDARY. The former are Extension, Figure, Solidity, Gravity, Motion, and Rest; and these they hold exist really in bodies. The latter are those above enumerated; or, briefly, ALL SENSIBLE QUALITIES BESIDE THE PRIMARY; which they assert are only so many sensations or ideas existing nowhere but in the mind. But all this, I doubt not, you are apprised of. For my part, I have been a long time sensible there was such an opinion current among philosophers, but was never thoroughly convinced of its truth until now.

PHIL. You are still then of opinion that EXTENSION and FIGURES are inherent in external unthinking substances?

HYL. I am.

PHIL. But what if the same arguments which are brought against Secondary Qualities will hold good against these also?

HYL. Why then I shall be obliged to think, they too exist only in the mind.

PHIL. Is it your opinion the very figure and extension which you perceive by sense exist in the outward object or material substance?

HYL. It is.

PHIL. Have all other animals as good grounds to think the same of the figure and extension which they see and feel?

HYL. Without doubt, if they have any thought at all.

PHIL. Answer me, Hylas. Think you the senses were bestowed upon all animals for their preservation and well-being in life? or were they given to men alone for this end?

HYL. I make no question but they have the same use in all other animals.

PHIL. If so, is it not necessary they should be enabled by them to perceive their own limbs, and those bodies which are capable of harming them?

HYL. Certainly.

PHIL. A mite therefore must be supposed to see his own foot, and things equal or even less than it, as bodies of some considerable dimension; though at the same time they appear to you scarce discernible, or at best as so many visible points?

HYL. I cannot deny it.

PHIL. And to creatures less than the mite they will seem yet larger?

HYL. They will.

PHIL. Insomuch that what you can hardly discern will to another extremely minute animal appear as some huge mountain?

HYL. All this I grant.

PHIL. Can one and the same thing be at the same time in itself of different dimensions?

HYL. That were absurd to imagine.

PHIL. But, from what you have laid down it follows that both the extension by you perceived, and that perceived by the mite itself, as likewise all those perceived by lesser animals, are each of them the true extension of the mite's foot; that is to say, by your own principles you are led into an absurdity.

HYL. There seems to be some difficulty in the point.

PHIL. Again, have you not acknowledged that no real inherent property of any object can be changed without some change in the thing itself?

HYL. I have.

PHIL. But, as we approach to or recede from an object, the visible extension varies, being at one distance ten or a hundred times greater than another. Doth it not therefore follow from hence likewise that it is not really inherent in the object?

HYL. I own I am at a loss what to think.

PHIL. Your judgment will soon be determined, if you will venture to think as freely concerning this quality as you have done concerning the rest. Was it not admitted as a good argument, that neither heat nor cold was in the water, because it seemed warm to one hand and cold to the other?

HYL. It was.

PHIL. Is it not the very same reasoning to conclude, there is no extension or figure in an object, because to one eye it shall seem little, smooth, and round, when at the same time it appears to the other, great, uneven, and regular?

HYL. The very same. But does this latter fact ever happen?

PHIL. You may at any time make the experiment, by looking with one eye bare, and with the other through a microscope.

HYL. I know not how to maintain it; and yet I am loath to give up EXTENSION, I see so many odd consequences following upon such a concession.

PHIL. Odd, say you? After the concessions already made, I hope you will stick at nothing for its oddness. But, on the other hand, should it not seem very odd, if the general reasoning which includes all other sensible qualities did not also include extension? If it be allowed that no idea, nor anything like an idea, can exist in an unperceiving substance, then surely it follows that no figure, or mode of extension, which we can either perceive, or imagine, or have any idea of, can be really inherent in Matter; not to mention the peculiar difficulty there must be in conceiving a material substance, prior to and distinct from extension to be the SUBSTRATUM of extension. Be the sensible quality what it will—figure, or sound, or colour, it seems alike impossible it should subsist in that which doth not perceive it.

HYL. I give up the point for the present, reserving still a right to retract my opinion, in case I shall hereafter discover any false step in my progress to it.

PHIL. That is a right you cannot be denied. Figures and extension being despatched, we proceed next to MOTION. Can a real motion in any external body be at the same time very swift and very slow?

HYL. It cannot.

PHIL. Is not the motion of a body swift in a reciprocal proportion to the time it takes up in describing any given space? Thus a body that describes a mile in an hour moves three times faster than it would in case it described only a mile in three hours.

HYL. I agree with you.

PHIL. And is not time measured by the succession of ideas in our minds?

HYL. It is.

PHIL. And is it not possible ideas should succeed one another twice as fast in your mind as they do in mine, or in that of some spirit of another kind?

HYL. I own it.

PHIL. Consequently the same body may to another seem to perform its motion over any space in half the time that it doth to you. And the same reasoning will hold as to any other proportion: that is to say, according to your principles (since the motions perceived are both really in the object) it is possible one and the same body shall be really moved the same way at once, both very swift and very slow. How is this consistent either with common sense, or with what you just now granted?

HYL. I have nothing to say to it.

PHIL. Then as for SOLIDITY; either you do not mean any sensible quality by that word, and so it is beside our inquiry: or if you do, it must be either hardness or resistance. But both the one and the other are plainly relative to our senses: it being evident that what seems hard to one animal may appear soft to another, who hath greater force and firmness of limbs. Nor is it less plain that the resistance I feel is not in the body.

HYL. I own the very SENSATION of resistance, which is all you immediately perceive, is not in the body; but the CAUSE of that sensation is.

PHIL. But the causes of our sensations are not things immediately perceived, and therefore are not sensible. This point I thought had been already determined.

HYL. I own it was; but you will pardon me if I seem a little embarrassed: I know not how to quit my old notions.

PHIL. To help you out, do but consider that if EXTENSION be once acknowledged to have no existence without the mind, the same must necessarily be granted of motion, solidity, and gravity; since they all evidently suppose extension. It is therefore superfluous to inquire particularly concerning each of them. In denying extension, you have denied them all to have any real existence.

HYL. I wonder, Philonous, if what you say be true, why those philosophers who deny the Secondary Qualities any real existence should yet attribute it to the Primary. If there is no difference between them, how can this be accounted for?

PHIL. It is not my business to account for every opinion of the philosophers. But, among other reasons which may be assigned for this, it seems probable that pleasure and pain being rather annexed to the former than the latter may be one. Heat and cold, tastes and smells, have something more vividly pleasing or disagreeable than the ideas of extension, figure, and motion affect us with. And, it being too visibly absurd to hold that pain or pleasure can be in an unperceiving substance, men are more easily weaned from believing the external existence of the Secondary than the Primary Qualities. You will be satisfied there is something in this, if you recollect the difference you made between an intense and more moderate degree of heat; allowing the one a real existence, while you denied it to the other. But, after all, there is no rational ground for that distinction; for, surely an indifferent sensation is as truly a SENSATION as one more pleasing or painful; and consequently should not any more than they be supposed to exist in an unthinking subject.

HYL. It is just come into my head, Philonous, that I have somewhere heard of a distinction between absolute and sensible extension. Now, though it be acknowledged that GREAT and SMALL, consisting merely in the relation which other extended beings have to the parts of our own bodies, do not really inhere in the substances themselves; yet nothing obliges us to hold the same with regard to ABSOLUTE EXTENSION, which is something abstracted from GREAT and SMALL, from this or that particular magnitude or figure. So likewise as to motion; SWIFT and SLOW are altogether relative to the succession of ideas in our own minds. But, it doth not follow, because those modifications of motion exist not without the mind, that therefore absolute motion abstracted from them doth not.

PHIL. Pray what is it that distinguishes one motion, or one part of extension, from another? Is it not something sensible, as some degree of swiftness or slowness, some certain magnitude or figure peculiar to each?

HYL. I think so.

PHIL. These qualities, therefore, stripped of all sensible properties, are without all specific and numerical differences, as the schools call them.

HYL. They are.

PHIL. That is to say, they are extension in general, and motion in general.

HYL. Let it be so.

PHIL. But it is a universally received maxim that EVERYTHING WHICH EXISTS IS PARTICULAR. How then can motion in general, or extension in general, exist in any corporeal substance?

HYL. I will take time to solve your difficulty.

PHIL. But I think the point may be speedily decided. Without doubt you can tell whether you are able to frame this or that idea. Now I am content to put our dispute on this issue. If you can frame in your thoughts a distinct ABSTRACT IDEA of motion or extension, divested of all those sensible modes, as swift and slow, great and small, round and square, and the like, which are acknowledged to exist only in the mind, I will then yield the point you contend for. But if you cannot, it will be unreasonable on your side to insist any longer upon what you have no notion of.

HYL. To confess ingenuously, I cannot.

PHIL. Can you even separate the ideas of extension and motion from the ideas of all those qualities which they who make the distinction term SECONDARY?

HYL. What! is it not an easy matter to consider extension and motion by themselves, abstracted from all other sensible qualities? Pray how do the mathematicians treat of them?

PHIL. I acknowledge, Hylas, it is not difficult to form general propositions and reasonings about those qualities, without mentioning any other; and, in this sense, to consider or treat of them abstractedly. But, how doth it follow that, because I can pronounce the word MOTION by itself, I can form the idea of it in my mind exclusive of body? or, because theorems may be made of extension and figures, without any mention of GREAT or SMALL, or any other sensible mode or quality, that therefore it is possible such an abstract idea of extension, without any particular size or figure, or sensible quality, should be distinctly formed, and apprehended by the mind? Mathematicians treat of quantity, without regarding what other sensible qualities it is attended with, as being altogether indifferent to their demonstrations. But, when laying aside the words, they contemplate the bare ideas, I believe you will find, they are not the pure abstracted ideas of extension.

HYL. But what say you to PURE INTELLECT? May not abstracted ideas be framed by that faculty?

PHIL. Since I cannot frame abstract ideas at all, it is plain I cannot frame them by the help of PURE INTELLECT; whatsoever faculty you understand by those words. Besides, not to inquire into the nature of pure intellect and its spiritual objects, as VIRTUE, REASON, GOD, or the like, thus much seems manifest—that sensible things are only to be perceived by sense, or represented by the imagination. Figures, therefore, and extension, being originally

perceived by sense, do not belong to pure intellect: but, for your farther satisfaction, try if you can frame the idea of any figure, abstracted from all particularities of size, or even from other sensible qualities.

HYL. Let me think a little—I do not find that I can.

PHIL. And can you think it possible that should really exist in nature which implies a repugnancy in its conception?

HYL. By no means.

PHIL. Since therefore it is impossible even for the mind to disunite the ideas of extension and motion from all other sensible qualities, doth it not follow, that where the one exist there necessarily the other exist likewise?

HYL. It should seem so.

PHIL. Consequently, the very same arguments which you admitted as conclusive against the Secondary Qualities are, without any farther application of force, against the Primary too. Besides, if you will trust your senses, is it not plain all sensible qualities coexist, or to them appear as being in the same place? Do they ever represent a motion, or figure, as being divested of all other visible and tangible qualities?

HYL. You need say no more on this head. I am free to own, if there be no secret error or oversight in our proceedings hitherto, that all sensible qualities are alike to be denied existence without the mind. But, my fear is that I have been too liberal in my former concessions, or overlooked some fallacy or other. In short, I did not take time to think.

PHIL. For that matter, Hylas, you may take what time you please in reviewing the progress of our inquiry. You are at liberty to recover any slips you might have made, or offer whatever you have omitted which makes for your first opinion.

HYL. One great oversight I take to be this—that I did not sufficiently distinguish the OBJECT from the SENSATION. Now, though this latter may not exist without the mind, yet it will not thence follow that the former cannot.

PHIL. What object do you mean? the object of the senses?

HYL. The same.

PHIL. It is then immediately perceived?

HYL. Right.

PHIL. Make me to understand the difference between what is immediately perceived and a sensation.

HYL. The sensation I take to be an act of the mind perceiving; besides which, there is something perceived; and this I call the OBJECT. For example, there is red and yellow on that tulip. But then the act of perceiving those colours is in me only, and not in the tulip.

PHIL. What tulip do you speak of? Is it that which you see?

HYL. The same.

PHIL. And what do you see beside colour, figure, and extension?

HYL. Nothing.

PHIL. What you would say then is that the red and yellow are coexistent with the extension; is it not?

HYL. That is not all; I would say they have a real existence without the mind, in some unthinking substance.

PHIL. That the colours are really in the tulip which I see is manifest. Neither can it be denied that this tulip may exist independent of your mind or mine; but, that any immediate object of the senses,—that is, any idea, or combination of ideas— should exist in an unthinking substance, or exterior to ALL minds, is in itself an evident contradiction. Nor can I imagine how this follows from what you said just now, to wit, that the red and yellow were on the tulip you SAW, since you do not pretend to SEE that unthinking substance.

HYL. You have an artful way, Philonous, of diverting our inquiry from the subject.

PHIL. I see you have no mind to be pressed that way. To return then to your distinction between SENSATION and OBJECT; if I take you right, you distinguish in every perception two things, the one an action of the mind, the other not.

HYL. True.

PHIL. And this action cannot exist in, or belong to, any unthinking thing; but, whatever beside is implied in a perception may?

HYL. That is my meaning.

PHIL. So that if there was a perception without any act of the mind, it were possible such a perception should exist in an unthinking substance?

HYL. I grant it. But it is impossible there should be such a perception.

PHIL. When is the mind said to be active?

HYL. When it produces, puts an end to, or changes, anything.

PHIL. Can the mind produce, discontinue, or change anything, but by an act of the will?

HYL. It cannot.

PHIL. The mind therefore is to be accounted ACTIVE in its perceptions so far forth as VOLITION is included in them?

HYL. It is.

PHIL. In plucking this flower I am active; because I do it by the motion of my hand, which was consequent upon my volition; so likewise in applying it to my nose. But is either of these smelling?

HYL. NO.

PHIL. I act too in drawing the air through my nose; because my breathing so rather than otherwise is the effect of my volition. But neither can this be called SMELLING: for, if it were, I should smell every time I breathed in that manner?

HYL. True.

PHIL. Smelling then is somewhat consequent to all this?

HYL. It is.

PHIL. But I do not find my will concerned any farther. Whatever more there is—as that I perceive such a particular smell, or any smell at all—this is independent of my will, and therein I am altogether passive. Do you find it otherwise with you, Hylas?

HYL. No, the very same.

PHIL. Then, as to seeing, is it not in your power to open your eyes, or keep them shut; to turn them this or that way?

HYL. Without doubt.

PHIL. But, doth it in like manner depend on YOUR will that in looking on this flower you perceive WHITE rather than any other colour? Or, directing your open eyes towards yonder part of the heaven, can you avoid seeing the sun? Or is light or darkness the effect of your volition?

HYL. No, certainly.

PHIL. You are then in these respects altogether passive?

HYL. I am.

PHIL. Tell me now, whether SEEING consists in perceiving light and colours, or in opening and turning the eyes?

HYL. Without doubt, in the former.

PHIL. Since therefore you are in the very perception of light and colours altogether passive, what is become of that action you were speaking of as an ingredient in every sensation? And, doth it not follow from your own concessions, that the perception of light and colours, including no action in it, may exist in an unperceiving substance? And is not this a plain contradiction?

HYL. I know not what to think of it.

PHIL. Besides, since you distinguish the ACTIVE and PASSIVE in every perception, you must do it in that of pain. But how is it possible that pain, be it as little active as you please, should exist in an unperceiving substance? In short, do but consider the point, and then confess ingenuously, whether light and colours, tastes, sounds, &c. are not all equally passions or sensations in the soul. You may indeed call them EXTERNAL OBJECTS, and give

them in words what subsistence you please. But, examine your own thoughts, and then tell me whether it be not as I say?

HYL. I acknowledge, Philonous, that, upon a fair observation of what passes in my mind, I can discover nothing else but that I am a thinking being, affected with variety of sensations; neither is it possible to conceive how a sensation should exist in an unperceiving substance. But then, on the other hand, when I look on sensible things in a different view, considering them as so many modes and qualities, I find it necessary to suppose a MATERIAL SUBSTRATUM, without which they cannot be conceived to exist.

PHIL. MATERIAL SUBSTRATUM call you it? Pray, by which of your senses came you acquainted with that being?

HYL. It is not itself sensible; its modes and qualities only being perceived by the senses.

PHIL. I presume then it was by reflexion and reason you obtained the idea of it?

HYL. I do not pretend to any proper positive IDEA of it. However, I conclude it exists, because qualities cannot be conceived to exist without a support.

PHIL. It seems then you have only a relative NOTION of it, or that you conceive it not otherwise than by conceiving the relation it bears to sensible qualities?

HYL. Right.

PHIL. Be pleased therefore to let me know wherein that relation consists.

HYL. Is it not sufficiently expressed in the term SUBSTRATUM, or SUBSTANCE?

PHIL. If so, the word SUBSTRATUM should import that it is spread under the sensible qualities or accidents?

HYL. True.

PHIL. And consequently under extension?

HYL. I own it.

PHIL. It is therefore somewhat in its own nature entirely distinct from extension?

HYL. I tell you, extension is only a mode, and Matter is something that supports modes. And is it not evident the thing supported is different from the thing supporting?

PHIL. So that something distinct from, and exclusive of, extension is supposed to be the SUBSTRATUM of extension?

HYL. Just so.

PHIL. Answer me, Hylas. Can a thing be spread without extension? or is not the idea of extension necessarily included in SPREADING?

HYL. It is.

PHIL. Whatsoever therefore you suppose spread under anything must have in itself an extension distinct from the extension of that thing under which it is spread?

HYL. It must.

PHIL. Consequently, every corporeal substance, being the SUBSTRATUM of extension, must have in itself another extension, by which it is qualified to be a SUBSTRATUM: and so on to infinity. And I ask whether this be not absurd in itself, and repugnant to what you granted just now, to wit, that the SUBSTRATUM was something distinct from and exclusive of extension?

HYL. Aye but, Philonous, you take me wrong. I do not mean that Matter is SPREAD in a gross literal sense under extension. The word SUBSTRATUM is used only to express in general the same thing with SUBSTANCE.

PHIL. Well then, let us examine the relation implied in the term SUBSTANCE. Is it not that it stands under accidents?

HYL. The very same.

PHIL. But, that one thing may stand under or support another, must it not be extended?

HYL. It must.

PHIL. Is not therefore this supposition liable to the same absurdity with the former?

HYL. You still take things in a strict literal sense. That is not fair, Philonous.

PHIL. I am not for imposing any sense on your words: you are at liberty to explain them as you please. Only, I beseech you, make me understand something by them. You tell me Matter supports or stands under accidents. How! is it as your legs support your body?

HYL. No; that is the literal sense.

PHIL. Pray let me know any sense, literal or not literal, that you understand it in.—How long must I wait for an answer, Hylas?

HYL. I declare I know not what to say. I once thought I understood well enough what was meant by Matter's supporting accidents. But now, the more I think on it the less can I comprehend it: in short I find that I know nothing of it.

PHIL. It seems then you have no idea at all, neither relative nor positive, of Matter; you know neither what it is in itself, nor what relation it bears to accidents?

HYL. I acknowledge it.

PHIL. And yet you asserted that you could not conceive how qualities or accidents should really exist, without conceiving at the same time a material support of them?

HYL. I did.

PHIL. That is to say, when you conceive the real existence of qualities, you do withal conceive Something which you cannot conceive?

HYL. It was wrong, I own. But still I fear there is some fallacy or other. Pray what think you of this? It is just come into my head that the ground of all our mistake lies in your treating of each quality by itself. Now, I grant that each quality cannot singly subsist without the mind. Colour cannot without extension, neither can figure without some other sensible quality. But, as the several qualities united or blended together form entire sensible things, nothing hinders why such things may not be supposed to exist without the mind.

PHIL. Either, Hylas, you are jesting, or have a very bad memory. Though indeed we went through all the qualities by name one after another, yet my arguments or rather your concessions, nowhere tended to prove that the Secondary Qualities did not subsist each alone by itself; but, that they were not AT ALL without the mind. Indeed, in treating of figure and motion we concluded they could not exist without the mind, because it was impossible even in thought to separate them from all secondary qualities, so as to conceive them existing by themselves. But then this was not the only argument made use of upon that occasion. But (to pass by all that hath been hitherto said, and reckon it for nothing, if you will have it so) I am content to put the whole upon this issue. If you can conceive it possible for any mixture or combination of qualities, or any sensible object whatever, to exist without the mind, then I will grant it actually to be so.

HYL. If it comes to that the point will soon be decided. What more easy than to conceive a tree or house existing by itself, independent of, and unperceived by, any mind whatsoever? I do at this present time conceive them existing after that manner.

PHIL. How say you, Hylas, can you see a thing which is at the same time unseen?

HYL. No, that were a contradiction.

PHIL. Is it not as great a contradiction to talk of CONCEIVING a thing which is UNCONCEIVED?

HYL. It is.

PHIL. The, tree or house therefore which you think of is conceived by you?

HYL. How should it be otherwise?

PHIL. And what is conceived is surely in the mind?

HYL. Without question, that which is conceived is in the mind.

PHIL. How then came you to say, you conceived a house or tree existing independent and out of all minds whatsoever?

HYL. That was I own an oversight; but stay, let me consider what led me into it.—It is a pleasant mistake enough. As I was thinking of a tree in a solitary place, where no one was present to see it, methought that was to conceive a tree as existing unperceived or unthought of; not considering that I myself conceived it all the while. But now I plainly see that all I can do is to frame ideas in my own mind. I may indeed conceive in my own thoughts the

idea of a tree, or a house, or a mountain, but that is all. And this is far from proving that I can conceive them EXISTING OUT OF THE MINDS OF ALL SPIRITS.

PHIL. You acknowledge then that you cannot possibly conceive how any one corporeal sensible thing should exist otherwise than in the mind?

HYL. I do.

PHIL. And yet you will earnestly contend for the truth of that which you cannot so much as conceive?

HYL. I profess I know not what to think; but still there are some scruples remain with me. Is it not certain I SEE THINGS at a distance? Do we not perceive the stars and moon, for example, to be a great way off? Is not this, I say, manifest to the senses?

PHIL. Do you not in a dream too perceive those or the like objects?

HYL. I do.

PHIL. And have they not then the same appearance of being distant?

HYL. They have.

PHIL. But you do not thence conclude the apparitions in a dream to be without the mind?

HYL. By no means.

PHIL. You ought not therefore to conclude that sensible objects are without the mind, from their appearance, or manner wherein they are perceived.

HYL. I acknowledge it. But doth not my sense deceive me in those cases?

PHIL. By no means. The idea or thing which you immediately perceive, neither sense nor reason informs you that it actually exists without the mind. By sense you only know that you are affected with such certain sensations of light and colours, &c. And these you will not say are without the mind.

HYL. True: but, beside all that, do you not think the sight suggests something of OUTNESS OR DISTANCE?

PHIL. Upon approaching a distant object, do the visible size and figure change perpetually, or do they appear the same at all distances?

HYL. They are in a continual change.

PHIL. Sight therefore doth not suggest, or any way inform you, that the visible object you immediately perceive exists at a distance, or will be perceived when you advance farther onward; there being a continued series of visible objects succeeding each other during the whole time of your approach.

HYL. It doth not; but still I know, upon seeing an object, what object I shall perceive after having passed over a certain distance: no matter whether it be exactly the same or no: there is still something of distance suggested in the case.

PHIL. Good Hylas, do but reflect a little on the point, and then tell me whether there be any more in it than this: from the ideas you actually perceive by sight, you have by experience learned to collect what other ideas you will (according to the standing order of nature) be affected with, after such a certain succession of time and motion.

HYL. Upon the whole, I take it to be nothing else.

PHIL. Now, is it not plain that if we suppose a man born blind was on a sudden made to see, he could at first have no experience of what may be SUGGESTED by sight?

HYL. It is.

PHIL. He would not then, according to you, have any notion of distance annexed to the things he saw; but would take them for a new set of sensations, existing only in his mind?

HYL. It is undeniable.

PHIL. But, to make it still more plain: is not DISTANCE a line turned endwise to the eye?

HYL. It is.

PHIL. And can a line so situated be perceived by sight?

HYL. It cannot.

PHIL. Doth it not therefore follow that distance is not properly and immediately perceived by sight?

HYL. It should seem so.

PHIL. Again, is it your opinion that colours are at a distance?

HYL. It must be acknowledged they are only in the mind.

PHIL. But do not colours appear to the eye as coexisting in the same place with extension and figures?

HYL. They do.

PHIL. How can you then conclude from sight that figures exist without, when you acknowledge colours do not; the sensible appearance being the very same with regard to both?

HYL. I know not what to answer.

PHIL. But, allowing that distance was truly and immediately perceived by the mind, yet it would not thence follow it existed out of the mind. For, whatever is immediately perceived is an idea: and can any idea exist out of the mind?

HYL. To suppose that were absurd: but, inform me, Philonous, can we perceive or know nothing beside our ideas?

PHIL. As for the rational deducing of causes from effects, that is beside our inquiry. And, by the senses you can best tell whether you perceive anything which is not immediately perceived. And I ask you, whether the things

immediately perceived are other than your own sensations or ideas? You have indeed more than once, in the course of this conversation, declared yourself on those points; but you seem, by this last question, to have departed from what you then thought.

HYL. To speak the truth, Philonous, I think there are two kinds of objects:—the one perceived immediately, which are likewise called IDEAS; the other are real things or external objects, perceived by the mediation of ideas, which are their images and representations. Now, I own ideas do not exist without the mind; but the latter sort of objects do. I am sorry I did not think of this distinction sooner; it would probably have cut short your discourse.

PHIL. Are those external objects perceived by sense or by some other faculty?

HYL. They are perceived by sense.

PHIL. How? Is there any thing perceived by sense which is not immediately perceived?

HYL. Yes, Philonous, in some sort there is. For example, when I look on a picture or statue of Julius Caesar, I may be said after a manner to perceive him (though not immediately) by my senses.

PHIL. It seems then you will have our ideas, which alone are immediately perceived, to be pictures of external things: and that these also are perceived by sense, inasmuch as they have a conformity or resemblance to our ideas?

HYL. That is my meaning.

PHIL. And, in the same way that Julius Caesar, in himself invisible, is nevertheless perceived by sight; real things, in themselves imperceptible, are perceived by sense.

HYL. In the very same.

PHIL. Tell me, Hylas, when you behold the picture of Julius Caesar, do you see with your eyes any more than some colours and figures, with a certain symmetry and composition of the whole?

HYL. Nothing else.

PHIL. And would not a man who had never known anything of Julius Caesar see as much?

HYL. He would.

PHIL. Consequently he hath his sight, and the use of it, in as perfect a degree as you?

HYL. I agree with you.

PHIL. Whence comes it then that your thoughts are directed to the Roman emperor, and his are not? This cannot proceed from the sensations or ideas of sense by you then perceived; since you acknowledge you have no advantage over him in that respect. It should seem therefore to proceed from reason and memory: should it not?

HYL. It should.

PHIL. Consequently, it will not follow from that instance that anything is perceived by sense which is not,

immediately perceived. Though I grant we may, in one acceptation, be said to perceive sensible things mediately by sense: that is, when, from a frequently perceived connexion, the immediate perception of ideas by one sense SUGGESTS to the mind others, perhaps belonging to another sense, which are wont to be connected with them. For instance, when I hear a coach drive along the streets, immediately I perceive only the sound; but, from the experience I have had that such a sound is connected with a coach, I am said to hear the coach. It is nevertheless evident that, in truth and strictness, nothing can be HEARD BUT SOUND; and the coach is not then properly perceived by sense, but suggested from experience. So likewise when we are said to see a red-hot bar of iron; the solidity and heat of the iron are not the objects of sight, but suggested to the imagination by the colour and figure which are properly perceived by that sense. In short, those things alone are actually and strictly perceived by any sense, which would have been perceived in case that same sense had then been first conferred on us. As for other things, it is plain they are only suggested to the mind by experience, grounded on former perceptions. But, to return to your comparison of Caesar's picture, it is plain, if you keep to that, you must hold the real things, or archetypes of our ideas, are not perceived by sense, but by some internal faculty of the soul, as reason or memory. I would therefore fain know what arguments you can draw from reason for the existence of what you call REAL THINGS OR MATERIAL OBJECTS. Or, whether you remember to have seen them formerly as they are in themselves; or, if you have heard or read of any one that did.

HYL. I see, Philonous, you are disposed to raillery; but that will never convince me.

PHIL. My aim is only to learn from you the way to come at the knowledge of MATERIAL BEINGS. Whatever we perceive is perceived immediately or mediately: by sense, or by reason and reflexion. But, as you have excluded sense, pray shew me what reason you have to believe their existence; or what MEDIUM you can possibly make use of to prove it, either to mine or your own understanding.

HYL. To deal ingenuously, Philonous, now I consider the point, I do not find I can give you any good reason for it. But, thus much seems pretty plain, that it is at least possible such things may really exist. And, as long as there is no absurdity in supposing them, I am resolved to believe as I did, till you bring good reasons to the contrary.

PHIL. What! Is it come to this, that you only BELIEVE the existence of material objects, and that your belief is founded barely on the possibility of its being true? Then you will have me bring reasons against it: though another would think it reasonable the proof should lie on him who holds the affirmative. And, after all, this very point which you are now resolved to maintain, without any reason, is in effect what you have more than once during this discourse seen good reason to give up. But, to pass over all this; if I understand you rightly, you say our ideas do not exist without the mind, but that they are copies, images, or representations, of certain originals that do?

HYL. You take me right.

PHIL. They are then like external things?

HYL. They are.

PHIL. Have those things a stable and permanent nature, independent of our senses; or are they in a perpetual change, upon our producing any motions in our bodies—suspending, exerting, or altering, our faculties or organs of sense?

HYL. Real things, it is plain, have a fixed and real nature, which remains the same notwithstanding any change

in our senses, or in the posture and motion of our bodies; which indeed may affect the ideas in our minds, but it were absurd to think they had the same effect on things existing without the mind.

PHIL. How then is it possible that things perpetually fleeting and variable as our ideas should be copies or images of anything fixed and constant? Or, in other words, since all sensible qualities, as size, figure, colour, &c., that is, our ideas, are continually changing, upon every alteration in the distance, medium, or instruments of sensation; how can any determinate material objects be properly represented or painted forth by several distinct things, each of which is so different from and unlike the rest? Or, if you say it resembles some one only of our ideas, how shall we be able to distinguish the true copy from all the false ones?

HYL. I profess, Philonous, I am at a loss. I know not what to say to this.

PHIL. But neither is this all. Which are material objects in themselves—perceptible or imperceptible?

HYL. Properly and immediately nothing can be perceived but ideas. All material things, therefore, are in themselves insensible, and to be perceived only by our ideas.

PHIL. Ideas then are sensible, and their archetypes or originals insensible?

HYL. Right.

PHIL. But how can that which is sensible be like that which is insensible? Can a real thing, in itself INVISIBLE, be like a COLOUR; or a real thing, which is not AUDIBLE, be like a SOUND? In a word, can anything be like a sensation or idea, but another sensation or idea?

HYL. I must own, I think not.

PHIL. Is it possible there should be any doubt on the point? Do. you not perfectly know your own ideas?

HYL. I know them perfectly; since what I do not perceive or know can be no part of my idea.

PHIL. Consider, therefore, and examine them, and then tell me if there be anything in them which can exist without the mind: or if you can conceive anything like them existing without the mind.

HYL. Upon inquiry, I find it is impossible for me to conceive or understand how anything but an idea can be like an idea. And it is most evident that NO IDEA CAN EXIST WITHOUT THE MIND.

PHIL. You are therefore, by your principles, forced to deny the REALITY of sensible things; since you made it to consist in an absolute existence exterior to the mind. That is to say, you are a downright sceptic. So I have gained my point, which was to shew your principles led to Scepticism.

HYL. For the present I am, if not entirely convinced, at least silenced.

PHIL. I would fain know what more you would require in order to a perfect conviction. Have you not had the liberty of explaining yourself all manner of ways? Were any little slips in discourse laid hold and insisted on? Or were you not allowed to retract or reinforce anything you had offered, as best served your purpose? Hath not everything you could say been heard and examined with all the fairness imaginable? In a word have you not in every point been convinced out of your own mouth? And, if you can at present discover any flaw in any of your

former concessions, or think of any remaining subterfuge, any new distinction, colour, or comment whatsoever, why do you not produce it?

HYL. A little patience, Philonous. I am at present so amazed to see myself ensnared, and as it were imprisoned in the labyrinths you have drawn me into, that on the sudden it cannot be expected I should find my way out. You must give me time to look about me and recollect myself.

PHIL. Hark; is not this the college bell?

HYL. It rings for prayers.

PHIL. We will go in then, if you please, and meet here again tomorrow morning. In the meantime, you may employ your thoughts on this morning's discourse, and try if you can find any fallacy in it, or invent any new means to extricate yourself.

HYL. Agreed.

The Second Dialogue

HYL. I beg your pardon, Philonous, for not meeting you sooner. All this morning my head was so filled with our late conversation that I had not leisure to think of the time of the day, or indeed of anything else.

PHILONOUS. I am glad you were so intent upon it, in hopes if there were any mistakes in your concessions, or fallacies in my reasonings from them, you will now discover them to me.

HYL. I assure you I have done nothing ever since I saw you but search after mistakes and fallacies, and, with that view, have minutely examined the whole series of yesterday's discourse: but all in vain, for the notions it led me into, upon review, appear still more clear and evident; and, the more I consider them, the more irresistibly do they force my assent.

PHIL. And is not this, think you, a sign that they are genuine, that they proceed from nature, and are conformable to right reason? Truth and beauty are in this alike, that the strictest survey sets them both off to advantage; while the false lustre of error and disguise cannot endure being reviewed, or too nearly inspected.

HYL. I own there is a great deal in what you say. Nor can any one be more entirely satisfied of the truth of those odd consequences, so long as I have in view the reasonings that lead to them. But, when these are out of my thoughts, there seems, on the other hand, something so satisfactory, so natural and intelligible, in the modern way of explaining things that, I profess, I know not how to reject it.

PHIL. I know not what way you mean.

HYL. I mean the way of accounting for our sensations or ideas.

PHIL. How is that?

HYL. It is supposed the soul makes her residence in some part of the brain, from which the nerves take their rise, and are thence extended to all parts of the body; and that outward objects, by the different impressions they make on the organs of sense, communicate certain vibrative motions to the nerves; and these being filled with spirits

propagate them to the brain or seat of the soul, which, according to the various impressions or traces thereby made in the brain, is variously affected with ideas.

PHIL. And call you this an explication of the manner whereby we are affected with ideas?

HYL. Why not, Philonous? Have you anything to object against it?

PHIL. I would first know whether I rightly understand your hypothesis. You make certain traces in the brain to be the causes or occasions of our ideas. Pray tell me whether by the BRAIN you mean any sensible thing.

HYL. What else think you I could mean?

PHIL. Sensible things are all immediately perceivable; and those things which are immediately perceivable are ideas; and these exist only in the mind. Thus much you have, if I mistake not, long since agreed to.

HYL. I do not deny it.

PHIL. The brain therefore you speak of, being a sensible thing, exists only in the mind. Now, I would fain know whether you think it reasonable to suppose that one idea or thing existing in the mind occasions all other ideas. And, if you think so, pray how do you account for the origin of that primary idea or brain itself?

HYL. I do not explain the origin of our ideas by that brain which is perceivable to sense—this being itself only a combination of sensible ideas—but by another which I imagine.

PHIL. But are not things imagined as truly IN THE MIND as things perceived?

HYL. I must confess they are.

PHIL. It comes, therefore, to the same thing; and you have been all this while accounting for ideas by certain motions or impressions of the brain; that is, by some alterations in an idea, whether sensible or imaginable it matters not.

HYL. I begin to suspect my hypothesis.

PHIL. Besides spirits, all that we know or conceive are our own ideas. When, therefore, you say all ideas are occasioned by impressions in the brain, do you conceive this brain or no? If you do, then you talk of ideas imprinted in an idea causing that same idea, which is absurd. If you do not conceive it, you talk unintelligibly, instead of forming a reasonable hypothesis.

HYL. I now clearly see it was a mere dream. There is nothing in it.

PHIL. You need not be much concerned at it; for after all, this way of explaining things, as you called it, could never have satisfied any reasonable man. What connexion is there between a motion in the nerves, and the sensations of sound or colour in the mind? Or how is it possible these should be the effect of that?

HYL. But I could never think it had so little in it as now it seems to have.

PHIL. Well then, are you at length satisfied that no sensible things have a real existence; and that you are in truth an arrant sceptic?

HYL. It is too plain to be denied.

PHIL. Look! are not the fields covered with a delightful verdure? Is there not something in the woods and groves, in the rivers and clear springs, that soothes, that delights, that transports the soul? At the prospect of the wide and deep ocean, or some huge mountain whose top is lost in the clouds, or of an old gloomy forest, are not our minds filled with a pleasing horror? Even in rocks and deserts is there not an agreeable wildness? How sincere a pleasure is it to behold the natural beauties of the earth! To preserve and renew our, relish for them, is not the veil of night alternately drawn over her face, and doth she not change her dress with the seasons? How aptly are the elements disposed! What variety and use in the meanest productions of nature! What delicacy, what beauty, what contrivance, in animal and vegetable bodies I How exquisitely are all things suited, as well to their particular ends, as to constitute opposite parts of the whole I And, while they mutually aid and support, do they not also set off and illustrate each other? Raise now your thoughts from this ball of earth to all those glorious luminaries that adorn the high arch of heaven. The motion and situation of the planets, are they not admirable for use and order? Were those (miscalled ERRATIC) globes once known to stray, in their repeated journeys through the pathless void? Do they not measure areas round the sun ever proportioned to the times? So fixed, so immutable are the laws by which the unseen Author of nature actuates the universe. How vivid and radiant is the lustre of the fixed stars! How magnificent and rich that negligent profusion with which they appear to be scattered throughout the whole azure vault! Yet, if you take the telescope, it brings into your sight a new host of stars that escape the naked eye. Here they seem contiguous and minute, but to a nearer view immense orbs of light at various distances, far sunk in the abyss of space. Now you must call imagination to your aid. The feeble narrow sense cannot descry innumerable worlds revolving round the central fires; and in those worlds the energy of an all-perfect Mind displayed in endless forms. But, neither sense nor imagination are big enough to comprehend the boundless extent, with all its glittering furniture. Though the labouring mind exert and strain each power to its utmost reach, there still stands out ungrasped a surplusage immeasurable. Yet all the vast bodies that compose this mighty frame, how distant and remote soever, are by some secret mechanism, some Divine art and force, linked in a mutual dependence and intercourse with each other; even with this earth, which was almost slipt from my thoughts and lost in the crowd of worlds. Is not the whole system immense, beautiful, glorious beyond expression and beyond thought! What treatment, then, do those philosophers deserve, who would deprive these noble and delightful scenes of all REALITY? How should those Principles be entertained that lead us to think all the visible beauty of the creation a false imaginary glare? To be plain, can you expect this Scepticism of yours will not be thought extravagantly absurd by all men of sense?

HYL. Other men may think as they please; but for your part you have nothing to reproach me with. My comfort is, you are as much a sceptic as I am.

PHIL. There, Hylas, I must beg leave to differ from you.

HYL. What! Have you all along agreed to the premises, and do you now deny the conclusion, and leave me to maintain those paradoxes by myself which you led me into? This surely is not fair.

PHIL. I deny that I agreed with you in those notions that led to Scepticism. You indeed said the REALITY of sensible things consisted in AN ABSOLUTE EXISTENCE OUT OF THE MINDS OF SPIRITS, or distinct from

their being perceived. And pursuant to this notion of reality, YOU are obliged to deny sensible things any real existence: that is, according to your own definition, you profess yourself a sceptic. But I neither said nor thought the reality of sensible things was to be defined after that manner. To me it is evident for the reasons you allow of, that sensible things cannot exist otherwise than in a mind or spirit. Whence I conclude, not that they have no real existence, but that, seeing they depend not on my thought, and have all existence distinct from being perceived by me, THERE MUST BE SOME OTHER MIND WHEREIN THEY EXIST. As sure, therefore, as the sensible world really exists, so sure is there an infinite omnipresent Spirit who contains and supports it.

HYL. What! This is no more than I and all Christians hold; nay, and all others too who believe there is a God, and that He knows and comprehends all things.

PHIL. Aye, but here lies the difference. Men commonly believe that all things are known or perceived by God, because they believe the being of a God; whereas I, on the other side, immediately and necessarily conclude the being of a God, because all sensible things must be perceived by Him.

6.

David Hume – On Empiricism

An Enquiry Concerning Human Understanding

Sect.IV. Sceptical Doubts concerning the Operations of the Understanding

PART I.

All the objects of human reason or enquiry may naturally be divided into two kinds, to wit, Relations of Ideas, and Matters of Fact. Of the first kind are the sciences of Geometry, Algebra, and Arithmetic; and in short, every affirmation which is either intuitively or demonstratively certain. That the square of the hypotenuse is equal to the square of the two sides, is a proposition which expresses a relation between these figures. That three times five is equal to the half of thirty, expresses a relation between these numbers. Propositions of this kind are discoverable by the mere operation of thought, without dependence on what is anywhere existent in the universe. Though there never were a circle or triangle in nature, the truths demonstrated by Euclid would for ever retain their certainty and evidence.

Matters of fact, which are the second objects of human reason, are not ascertained in the same manner; nor is our evidence of their truth, however great, of a like nature with the foregoing. The contrary of every matter of fact is still possible; because it can never imply a contradiction, and is conceived by the mind with the same facility and distinctness, as if ever so conformable to reality. That the sun will not rise tomorrow is no less intelligible a proposition, and implies no more contradiction than the affirmation, that it will rise. We should in vain, therefore, attempt to demonstrate its falsehood. Were it demonstratively false, it would imply a contradiction, and could never be distinctly conceived by the mind.

It may, therefore, be a subject worthy of curiosity, to enquire what is the nature of that evidence which assures us of any real existence and matter of fact, beyond the present testimony of our senses, or the records of our memory. This part of philosophy, it is observable, has been little cultivated, either by the ancients or moderns; and therefore our doubts and errors, in the prosecution of so important an enquiry, may be the more excusable; while we march through such difficult paths without any guide or direction. They may even prove useful, by exciting curiosity, and destroying that implicit faith and security, which is the bane of all reasoning and free enquiry. The discovery

of defects in the common philosophy, if any such there be, will not, I presume, be a discouragement, but rather an incitement, as is usual, to attempt something more full and satisfactory than has yet been proposed to the public.

All reasonings concerning matter of fact seem to be founded on the relation of Cause and Effect. By means of that relation alone we can go beyond the evidence of our memory and senses. If you were to ask a man, why he believes any matter of fact, which is absent; for instance, that his friend is in the country, or in France; he would give you a reason; and this reason would be some other fact; as a letter received from him, or the knowledge of his former resolutions and promises. A man finding a watch or any other machine in a desert island, would conclude that there had once been men in that island. All our reasonings concerning fact are of the same nature. And here it is constantly supposed that there is a connexion between the present fact and that which is inferred from it. Were there nothing to bind them together, the inference would be entirely precarious. The hearing of an articulate voice and rational discourse in the dark assures us of the presence of some person: Why? because these are the effects of the human make and fabric, and closely connected with it. If we anatomize all the other reasonings of this nature, we shall find that they are founded on the relation of cause and effect, and that this relation is either near or remote, direct or collateral. Heat and light are collateral effects of fire, and the one effect may justly be inferred from the other.

If we would satisfy ourselves, therefore, concerning the nature of that evidence, which assures us of matters of fact, we must enquire how we arrive at the knowledge of cause and effect.

I shall venture to affirm, as a general proposition, which admits of no exception, that the knowledge of this relation is not, in any instance, attained by reasonings a priori; but arises entirely from experience, when we find that any particular objects are constantly conjoined with each other. Let an object be presented to a man of ever so strong natural reason and abilities; if that object be entirely new to him, he will not be able, by the most accurate examination of its sensible qualities, to discover any of its causes or effects. Adam, though his rational faculties be supposed, at the very first, entirely perfect, could not have inferred from the fluidity and transparency of water that it would suffocate him, or from the light and warmth of fire that it would consume him. No object ever discovers, by the qualities which appear to the senses, either the causes which produced it, or the effects which will arise from it; nor can our reason, unassisted by experience, ever draw any inference concerning real existence and matter of fact.

This proposition, that causes and effects are discoverable, not by reason but by experience, will readily be admitted with regard to such objects, as we remember to have once been altogether unknown to us; since we must be conscious of the utter inability, which we then lay under, of foretelling what would arise from them. Present two smooth pieces of marble to a man who has no tincture of natural philosophy; he will never discover that they will adhere together in such a manner as to require great force to separate them in a direct line, while they make so small a resistance to a lateral pressure. Such events, as bear little analogy to the common course of nature, are also readily confessed to be known only by experience; nor does any man imagine that the explosion of gunpowder, or the attraction of a loadstone, could ever be discovered by arguments a priori. In like manner, when an effect is supposed to depend upon an intricate machinery or secret structure of parts, we make no difficulty in attributing all our knowledge of it to experience. Who will assert that he can give the ultimate reason, why milk or bread is proper nourishment for a man, not for a lion or a tiger?

But the same truth may not appear, at first sight, to have the same evidence with regard to events, which have become familiar to us from our first appearance in the world, which bear a close analogy to the whole course

of nature, and which are supposed to depend on the simple qualities of objects, without any secret structure of parts. We are apt to imagine that we could discover these effects by the mere operation of our reason, without experience. We fancy, that were we brought on a sudden into this world, we could at first have inferred that one billiard-ball would communicate motion to another upon impulse; and that we needed not to have waited for the event, in order to pronounce with certainty concerning it. Such is the influence of custom, that, where it is strongest, it not only covers our natural ignorance, but even conceals itself, and seems not to take place, merely because it is found in the highest degree.

But to convince us that all the laws of nature, and all the operations of bodies without exception, are known only by experience, the following reflections may, perhaps, suffice. Were any object presented to us, and were we required to pronounce concerning the effect, which will result from it, without consulting past observation; after what manner, I beseech you, must the mind proceed in this operation? It must invent or imagine some event, which it ascribes to the object as its effect; and it is plain that this invention must be entirely arbitrary. The mind can never possibly find the effect in the supposed cause, by the most accurate scrutiny and examination. For the effect is totally different from the cause, and consequently can never be discovered in it. Motion in the second billiard-ball is a quite distinct event from motion in the first; nor is there anything in the one to suggest the smallest hint of the other. A stone or piece of metal raised into the air, and left without any support, immediately falls: but to consider the matter *a priori*, is there anything we discover in this situation which can beget the idea of a downward, rather than an upward, or any other motion, in the stone or metal?

And as the first imagination or invention of a particular effect, in all natural operations, is arbitrary, where we consult not experience; so must we also esteem the supposed tie or connexion between the cause and effect, which binds them together, and renders it impossible that any other effect could result from the operation of that cause. When I see, for instance, a billiard-ball moving in a straight line towards another; even suppose motion in the second ball should by accident be suggested to me, as the result of their contact or impulse; may I not conceive, that a hundred different events might as well follow from that cause? May not both these balls remain at absolute rest? May not the first ball return in a straight line, or leap off from the second in any line or direction? All these suppositions are consistent and conceivable. Why then should we give the preference to one, which is no more consistent or conceivable than the rest? All our reasonings *a priori* will never be able to show us any foundation for this preference.

In a word, then, every effect is a distinct event from its cause. It could not, therefore, be discovered in the cause, and the first invention or conception of it, *a priori*, must be entirely arbitrary. And even after it is suggested, the conjunction of it with the cause must appear equally arbitrary; since there are always many other effects, which, to reason, must seem fully as consistent and natural. In vain, therefore, should we pretend to determine any single event, or infer any cause or effect, without the assistance of observation and experience.

Hence we may discover the reason why no philosopher, who is rational and modest, has ever pretended to assign the ultimate cause of any natural operation, or to show distinctly the action of that power, which produces any single effect in the universe. It is confessed, that the utmost effort of human reason is to reduce the principles, productive of natural phenomena, to a greater simplicity, and to resolve the many particular effects into a few general causes, by means of reasonings from analogy, experience, and observation. But as to the causes of these general causes, we should in vain attempt their discovery; nor shall we ever be able to satisfy ourselves, by any particular explication of them. These ultimate springs and principles are totally shut up from human curiosity and enquiry. Elasticity, gravity, cohesion of parts, communication of motion by impulse; these are probably the

ultimate causes and principles which we shall ever discover in nature; and we may esteem ourselves sufficiently happy, if, by accurate enquiry and reasoning, we can trace up the particular phenomena to, or near to, these general principles. The most perfect philosophy of the natural kind only staves off our ignorance a little longer: as perhaps the most perfect philosophy of the moral or metaphysical kind serves only to discover larger portions of it. Thus the observation of human blindness and weakness is the result of all philosophy, and meets us at every turn, in spite of our endeavours to elude or avoid it.

Nor is geometry, when taken into the assistance of natural philosophy, ever able to remedy this defect, or lead us into the knowledge of ultimate causes, by all that accuracy of reasoning for which it is so justly celebrated. Every part of mixed mathematics proceeds upon the supposition that certain laws are established by nature in her operations; and abstract reasonings are employed, either to assist experience in the discovery of these laws, or to determine their influence in particular instances, where it depends upon any precise degree of distance and quantity. Thus, it is a law of motion, discovered by experience, that the moment or force of any body in motion is in the compound ratio or proportion of its solid contents and its velocity; and consequently, that a small force may remove the greatest obstacle or raise the greatest weight, if, by any contrivance or machinery, we can increase the velocity of that force, so as to make it an overmatch for its antagonist. Geometry assists us in the application of this law, by giving us the just dimensions of all the parts and figures which can enter into any species of machine; but still the discovery of the law itself is owing merely to experience, and all the abstract reasonings in the world could never lead us one step towards the knowledge of it. When we reason a priori, and consider merely any object or cause, as it appears to the mind, independent of all observation, it never could suggest to us the notion of any distinct object, such as its effect; much less, show us the inseparable and inviolable connexion between them. A man must be very sagacious who could discover by reasoning that crystal is the effect of heat, and ice of cold, without being previously acquainted with the operation of these qualities.

PART II.

But we have not yet attained any tolerable satisfaction with regard to the question first proposed. Each solution still gives rise to a new question as difficult as the foregoing, and leads us on to farther enquiries. When it is asked, What is the nature of all our reasonings concerning matter of fact? the proper answer seems to be, that they are founded on the relation of cause and effect. When again it is asked, What is the foundation of all our reasonings and conclusions concerning that relation? it may be replied in one word, Experience. But if we still carry on our sifting humour, and ask, What is the foundation of all conclusions from experience? this implies a new question, which may be of more difficult solution and explication. Philosophers, that give themselves airs of superior wisdom and sufficiency, have a hard task when they encounter persons of inquisitive dispositions, who push them from every corner to which they retreat, and who are sure at last to bring them to some dangerous dilemma. The best expedient to prevent this confusion, is to be modest in our pretensions; and even to discover the difficulty ourselves before it is objected to us. By this means, we may make a kind of merit of our very ignorance.

I shall content myself, in this section, with an easy task, and shall pretend only to give a negative answer to the question here proposed. I say then, that, even after we have experience of the operations of cause and effect, our conclusions from that experience are not founded on reasoning, or any process of the understanding. This answer we must endeavour both to explain and to defend.

It must certainly be allowed, that nature has kept us at a great distance from all her secrets, and has afforded

us only the knowledge of a few superficial qualities of objects; while she conceals from us those powers and principles on which the influence of those objects entirely depends. Our senses inform us of the colour, weight, and consistence of bread; but neither sense nor reason can ever inform us of those qualities which fit it for the nourishment and support of a human body. Sight or feeling conveys an idea of the actual motion of bodies; but as to that wonderful force or power, which would carry on a moving body for ever in a continued change of place, and which bodies never lose but by communicating it to others; of this we cannot form the most distant conception. But notwithstanding this ignorance of natural powers[6] and principles, we always presume, when we see like sensible qualities, that they have like secret powers, and expect that effects, similar to those which we have experienced, will follow from them. If a body of like colour and consistence with that bread, which we have formerly eat, be presented to us, we make no scruple of repeating the experiment, and foresee, with certainty, like nourishment and support. Now this is a process of the mind or thought, of which I would willingly know the foundation. It is allowed on all hands that there is no known connexion between the sensible qualities and the secret powers; and consequently, that the mind is not led to form such a conclusion concerning their constant and regular conjunction, by anything which it knows of their nature. As to past Experience, it can be allowed to give direct and certain information of those precise objects only, and that precise period of time, which fell under its cognizance: but why this experience should be extended to future times, and to other objects, which for aught we know, may be only in appearance similar; this is the main question on which I would insist. The bread, which I formerly eat, nourished me; that is, a body of such sensible qualities was, at that time, endued with such secret powers: but does it follow, that other bread must also nourish me at another time, and that like sensible qualities must always be attended with like secret powers? The consequence seems nowise necessary. At least, it must be acknowledged that there is here a consequence drawn by the mind; that there is a certain step taken; a process of thought, and an inference, which wants to be explained. These two propositions are far from being the same, I have found that such an object has always been attended with such an effect, and I foresee, that other objects, which are, in appearance, similar, will be attended with similar effects. I shall allow, if you please, that the one proposition may justly be inferred from the other: I know, in fact, that it always is inferred. But if you insist that the inference is made by a chain of reasoning, I desire you to produce that reasoning. The connexion between these propositions is not intuitive. There is required a medium, which may enable the mind to draw such an inference, if indeed it be drawn by reasoning and argument. What that medium is, I must confess, passes my comprehension; and it is incumbent on those to produce it, who assert that it really exists, and is the origin of all our conclusions concerning matter of fact.

This negative argument must certainly, in process of time, become altogether convincing, if many penetrating and able philosophers shall turn their enquiries this way and no one be ever able to discover any connecting proposition or intermediate step, which supports the understanding in this conclusion. But as the question is yet new, every reader may not trust so far to his own penetration, as to conclude, because an argument escapes his enquiry, that therefore it does not really exist. For this reason it may be requisite to venture upon a more difficult task; and enumerating all the branches of human knowledge, endeavour to show that none of them can afford such an argument.

All reasonings may be divided into two kinds, namely, demonstrative reasoning, or that concerning relations of ideas, and moral reasoning, or that concerning matter of fact and existence. That there are no demonstrative arguments in the case seems evident; since it implies no contradiction that the course of nature may change, and that an object, seemingly like those which we have experienced, may be attended with different or contrary effects. May I not clearly and distinctly conceive that a body, falling from the clouds, and which, in all other respects,

resembles snow, has yet the taste of salt or feeling of fire? Is there any more intelligible proposition than to affirm, that all the trees will flourish in December and January, and decay in May and June? Now whatever is intelligible, and can be distinctly conceived, implies no contradiction, and can never be proved false by any demonstrative argument or abstract reasoning a priori.

If we be, therefore, engaged by arguments to put trust in past experience, and make it the standard of our future judgement, these arguments must be probable only, or such as regard matter of fact and real existence, according to the division above mentioned. But that there is no argument of this kind, must appear, if our explication of that species of reasoning be admitted as solid and satisfactory. We have said that all arguments concerning existence are founded on the relation of cause and effect; that our knowledge of that relation is derived entirely from experience; and that all our experimental conclusions proceed upon the supposition that the future will be conformable to the past. To endeavour, therefore, the proof of this last supposition by probable arguments, or arguments regarding existence, must be evidently going in a circle, and taking that for granted, which is the very point in question.

In reality, all arguments from experience are founded on the similarity which we discover among natural objects, and by which we are induced to expect effects similar to those which we have found to follow from such objects. And though none but a fool or madman will ever pretend to dispute the authority of experience, or to reject that great guide of human life, it may surely be allowed a philosopher to have so much curiosity at least as to examine the principle of human nature, which gives this mighty authority to experience, and makes us draw advantage from that similarity which nature has placed among different objects. From causes which appear similar we expect similar effects. This is the sum of all our experimental conclusions. Now it seems evident that, if this conclusion were formed by reason, it would be as perfect at first, and upon one instance, as after ever so long a course of experience. But the case is far otherwise. Nothing so like as eggs; yet no one, on account of this appearing similarity, expects the same taste and relish in all of them. It is only after a long course of uniform experiments in any kind, that we attain a firm reliance and security with regard to a particular event. Now where is that process of reasoning which, from one instance, draws a conclusion, so different from that which it infers from a hundred instances that are nowise different from that single one? This question I propose as much for the sake of information, as with an intention of raising difficulties. I cannot find, I cannot imagine any such reasoning. But I keep my mind still open to instruction, if any one will vouchsafe to bestow it on me.

Should it be said that, from a number of uniform experiments, we infer a connexion between the sensible qualities and the secret powers; this, I must confess, seems the same difficulty, couched in different terms. The question still recurs, on what process of argument this inference is founded? Where is the medium, the interposing ideas, which join propositions so very wide of each other? It is confessed that the colour, consistence, and other sensible qualities of bread appear not, of themselves, to have any connexion with the secret powers of nourishment and support. For otherwise we could infer these secret powers from the first appearance of these sensible qualities, without the aid of experience; contrary to the sentiment of all philosophers, and contrary to plain matter of fact. Here, then, is our natural state of ignorance with regard to the powers and influence of all objects. How is this remedied by experience? It only shows us a number of uniform effects, resulting from certain objects, and teaches us that those particular objects, at that particular time, were endowed with such powers and forces. When a new object, endowed with similar sensible qualities, is produced, we expect similar powers and forces, and look for a like effect. From a body of like colour and consistence with bread we expect like nourishment and support. But this surely is a step or progress of the mind, which wants to be explained. When a man says, I have

found, in all past instances, such sensible qualities conjoined with such secret powers; And when he says, Similar sensible qualities will always be conjoined with similar secret powers, he is not guilty of a tautology, nor are these propositions in any respect the same. You say that the one proposition is an inference from the other. But you must confess that the inference is not intuitive; neither is it demonstrative: Of what nature is it, then? To say it is experimental, is begging the question. For all inferences from experience suppose, as their foundation, that the future will resemble the past, and that similar powers will be conjoined with similar sensible qualities. If there be any suspicion that the course of nature may change, and that the past may be no rule for the future, all experience becomes useless, and can give rise to no inference or conclusion. It is impossible, therefore, that any arguments from experience can prove this resemblance of the past to the future; since all these arguments are founded on the supposition of that resemblance. Let the course of things be allowed hitherto ever so regular; that alone, without some new argument or inference, proves not that, for the future, it will continue so. In vain do you pretend to have learned the nature of bodies from your past experience. Their secret nature, and consequently all their effects and influence, may change, without any change in their sensible qualities. This happens sometimes, and with regard to some objects: Why may it not happen always, and with regard to all objects? What logic, what process of argument secures you against this supposition? My practice, you say, refutes my doubts. But you mistake the purport of my question. As an agent, I am quite satisfied in the point; but as a philosopher, who has some share of curiosity, I will not say scepticism, I want to learn the foundation of this inference. No reading, no enquiry has yet been able to remove my difficulty, or give me satisfaction in a matter of such importance. Can I do better than propose the difficulty to the public, even though, perhaps, I have small hopes of obtaining a solution? We shall at least, by this means, be sensible of our ignorance, if we do not augment our knowledge.

I must confess that a man is guilty of unpardonable arrogance who concludes, because an argument has escaped his own investigation, that therefore it does not really exist. I must also confess that, though all the learned, for several ages, should have employed themselves in fruitless search upon any subject, it may still, perhaps, be rash to conclude positively that the subject must, therefore, pass all human comprehension. Even though we examine all the sources of our knowledge, and conclude them unfit for such a subject, there may still remain a suspicion, that the enumeration is not complete, or the examination not accurate. But with regard to the present subject, there are some considerations which seem to remove all this accusation of arrogance or suspicion of mistake.

It is certain that the most ignorant and stupid peasants- nay infants, nay even brute beasts- improve by experience, and learn the qualities of natural objects, by observing the effects which result from them. When a child has felt the sensation of pain from touching the flame of a candle, he will be careful not to put his hand near any candle; but will expect a similar effect from a cause which is similar in its sensible qualities and appearance. If you assert, therefore, that the understanding of the child is led into this conclusion by any process of argument or ratiocination, I may justly require you to produce that argument; nor have you any pretence to refuse so equitable a demand. You cannot say that the argument is abstruse, and may possibly escape your enquiry; since you confess that it is obvious to the capacity of a mere infant. If you hesitate, therefore, a moment, or if, after reflection, you produce any intricate or profound argument, you, in a manner, give up the question, and confess that it is not reasoning which engages us to suppose the past resembling the future, and to expect similar effects from causes which are, to appearance, similar. This is the proposition which I intended to enforce in the present section. If I be right, I pretend not to have made any mighty discovery. And if I be wrong, I must acknowledge myself to be indeed a very backward scholar; since I cannot now discover an argument which, it seems, was perfectly familiar to me long before I was out of my cradle.

7.

Immanuel Kant – On the Sources of Knowledge

The Critique of Pure Reason

INTRODUCTION

I. Of the difference between pure and empirical knowledge.

THAT all our knowledge begins with experience there can be no doubt. For how is it possible that the faculty of cognition should be awakened into exercise otherwise than by means of objects which affect our senses, and partly of themselves produce representations, partly rouse our powers of understanding into activity, to compare, to connect, or to separate these, and so to convert the raw material of our sensuous impressions into a knowledge of objects, which is called experience? In respect of time, therefore, no knowledge of ours is antecedent to experience, but begins with it.

But, though all our knowledge begins with experience, it by no means follows that all arises out of experience. For, on the contrary, it is quite possible that our empirical knowledge is a compound of that which we receive through impressions, and that which the faculty of cognition supplies from itself (sensuous impressions giving merely the *occasion*), an addition which we cannot distinguish from the original element given by sense, till long practice has made us attentive to, and skilful in separating it. It is, therefore, a question which requires close investigation, and not to be answered at first sight,—whether there exists a knowledge altogether independent of experience, and even of all sensuous impressions? Knowledge of this kind is called *a priori*, in contradistinction to empirical knowledge, which has its sources *a posteriori*, that is, in experience.

But the expression, “*a priori*,” is not as yet definite enough adequately to indicate the whole meaning of the question above started. For, in speaking of knowledge which has its sources in experience, we are wont to say, that this or that may be known *a priori*, because we do not derive this knowledge immediately from experience, but from a general rule, which, however, we have itself borrowed from experience. Thus, if a man undermined his house, we say, “he might know *a priori* that it would have fallen;” that is, he needed not to have waited for the experience that it did actually fall. But still, *a priori*, he could not know even this much. For, that bodies are heavy, and, consequently, that they fall when their supports are taken away, must have been known to him previously, by means of experience.

By the term “knowledge *a priori*,” therefore, we shall in the sequel understand, not such as is independent of this or that kind of experience, but such as is absolutely so of *all* experience. Opposed to this is empirical knowledge, or that which is possible only *a posteriori*, that is, through experience. Knowledge *a priori* is either pure or impure. Pure knowledge *a priori* is that with which no empirical element is mixed up. For example, the proposition, “Every change has a cause,” is a proposition *a priori*, but impure, because change is a conception which can only be derived from experience.

II. The human intellect, even in an unphilosophical state, is in possession of certain cognitions *a priori*.

THE question now is as to a *criterion*, by which we may securely distinguish a pure from an empirical cognition. Experience no doubt teaches us that this or that object is constituted in such and such a manner, but not that it could not possibly exist otherwise. Now, in the first place, if we have a proposition which contains the idea of necessity in its very conception, it is a judgment *a priori*; if, moreover, it is not derived from any other proposition, unless from one equally involving the idea of necessity, it is absolutely *a priori*. Secondly, an empirical judgment never exhibits strict and absolute, but only assumed and comparative universality (by induction); therefore, the most we can say is,—so far as we have hitherto observed, there is no exception to this or that rule. If, on the other hand, a judgment carries with it strict and absolute universality, that is, admits of no possible exception, it is not derived from experience, but is valid absolutely *a priori*.

Empirical universality is, therefore, only an arbitrary extension of validity, from that which may be predicated of a proposition valid in most cases, to that which is asserted of a proposition which holds good in all; as, for example, in the affirmation, “All bodies are heavy.” When, on the contrary, strict universality characterizes a judgment, it necessarily indicates another peculiar source of knowledge, namely, a faculty of cognition *a priori*. Necessity and strict universality, therefore, are infallible tests for distinguishing pure from empirical knowledge, and are inseparably connected with each other. But as in the use of these criteria the empirical limitation is sometimes more easily detected than the contingency of the judgment, or the unlimited universality which we attach to a judgment is often a more convincing proof than its necessity, it may be advisable to use the criteria separately, each being by itself infallible.

Now, that in the sphere of human cognition we have judgments which are necessary, and in the strictest sense universal, consequently pure *a priori*, it will be an easy matter to show. If we desire an example from the sciences, we need only take any proposition in mathematics. If we cast our eyes upon the commonest operations of the understanding, the proposition, “every change must have a cause,” will amply serve our purpose. In the latter case, indeed, the conception of a cause so plainly involves the conception of a necessity of connection with an effect, and of a strict universality of the law, that the very notion of a cause would entirely disappear, were we to derive it, like Hume, from a frequent association of what happens with that which precedes; and the habit thence originating of connecting representations—the necessity inherent in the judgment being therefore merely subjective. Besides, without seeking for such examples of principles existing *a priori* in cognition, we might easily show that such principles are the indispensable basis of the possibility of experience itself, and consequently prove their existence *a priori*. For whence could our experience itself acquire certainty, if all the rules on which it depends were themselves empirical, and consequently fortuitous? No one, therefore, can admit the validity of the use of such rules as first principles. But, for the present, we may content ourselves with having established the

fact, that we do possess and exercise a faculty of pure *a priori* cognition; and, secondly, with having pointed out the proper tests of such cognition, namely, universality and necessity.

Not only in judgments, however, but even in conceptions, is an *a priori* origin manifest. For example, if we take away by degrees from our conceptions of a body all that can be referred to mere sensuous experience—colour, hardness or softness, weight, even impenetrability—the body will then vanish; but the space which it occupied still remains, and this it is utterly impossible to annihilate in thought. Again, if we take away, in like manner, from our empirical conception of any object, corporeal or incorporeal, all properties which mere experience has taught us to connect with it, still we cannot think away those through which we cogitate it as substance, or adhering to substance, although our conception of substance is more determined than that of an object. Compelled, therefore, by that necessity with which the conception of substance forces itself upon us, we must confess that it has its seat in our faculty of cognition *a priori*.

III. Philosophy stands in need of a science which shall determine the possibility, principles, and extent of human knowledge *a priori*

OF far more importance than all that has been above said, is the consideration that certain of our cognitions rise completely above the sphere of all possible experience, and by means of conceptions, to which there exists in the whole extent of experience no corresponding object, seem to extend the range of our judgments beyond its bounds. And just in this transcendental or supersensible sphere, where experience affords us neither instruction nor guidance, lie the investigations of *Reason*, which, on account of their importance, we consider far preferable to, and as having a far more elevated aim than, all that the understanding can achieve within the sphere of sensuous phenomena. So high a value do we set upon these investigations, that even at the risk of error, we persist in following them out, and permit neither doubt nor disregard nor indifference to restrain us from the pursuit. These unavoidable problems of mere pure reason are GOD, FREEDOM (of will), and IMMORTALITY. The science which, with all its preliminaries, has for its especial object the solution of these problems is named metaphysics—a science which is at the very outset dogmatical, that is, it confidently takes upon itself the execution of this task without any previous investigation of the ability or inability of reason for such an undertaking.

Now the safe ground of experience being thus abandoned, it seems nevertheless natural that we should hesitate to erect a building with the cognitions we possess, without knowing whence they come, and on the strength of principles, the origin of which is undiscovered. Instead of thus trying to build without a foundation, it is rather to be expected that we should long ago have put the question, how the understanding can arrive at these *a priori* cognitions, and what is the extent, validity, and worth which they may possess? We say, this is natural enough, meaning by the word natural, that which is consistent with a just and reasonable way of thinking; but if we understand by the term, that which usually happens, nothing indeed could be more natural and more comprehensible than that this investigation should be left long unattempted. For one part of our pure knowledge, the science of mathematics, has been long firmly established, and thus leads us to form flattering expectations with regard to others, though these may be of quite a different nature. Besides, when we get beyond the bounds of experience, we are of course safe from opposition in that quarter; and the charm of widening the range of our knowledge is so great that, unless we are brought to a standstill by some evident contradiction, we hurry on undoubtingly in our course. This, however, may be avoided, if we are sufficiently cautious in the construction of our fictions, which are not the less fictions on that account.

Mathematical science affords us a brilliant example, how far, independently of all experience, we may carry our *a priori* knowledge. It is true that the mathematician occupies himself with objects and cognitions only in so far as they can be represented by means of intuition. But this circumstance is easily overlooked, because the said intuition can itself be given *a priori*, and therefore is hardly to be distinguished from a mere pure conception. Deceived by such a proof of the power of reason, we can perceive no limits to the extension of our knowledge. The light dove cleaving in free flight the thin air, whose resistance it feels, might imagine that her movements would be far more free and rapid in airless space. Just in the same way did Plato, abandoning the world of sense because of the narrow limits it sets to the understanding, venture upon the wings of ideas beyond it, into the void space of pure intellect. He did not reflect that he made no real progress by all his efforts; for he met with no resistance which might serve him for a support, as it were, whereon to rest, and on which he might apply his powers, in order to let the intellect acquire momentum for its progress. It is, indeed, the common fate of human reason in speculation, to finish the imposing edifice of thought as rapidly as possible, and then for the first time to begin to examine whether the foundation is a solid one or no. Arrived at this point, all sorts of excuses are sought after, in order to console us for its want of stability, or rather, indeed, to enable us to dispense altogether with so late and dangerous an investigation. But what frees us during the process of building from all apprehension or suspicion, and flatters us into the belief of its solidity, is this. A great part, perhaps the greatest part, of the business of our reason consists in the analysis of the conceptions which we already possess of objects. By this means we gain a multitude of cognitions, which although really nothing more than elucidations or explanations of that which (though in a confused manner) was already thought in our conceptions, are, at least in respect of their form, prized as new introspections; whilst, so far as regards their matter or content, we have really made no addition to our conceptions, but only disinvolved them. But as this process does furnish real *a priori* knowledge, which has a sure progress and useful results, reason, deceived by this, slips in, without being itself aware of it, assertions of a quite different kind; in which, to given conceptions it adds others, *a priori* indeed, but entirely foreign to them, without our knowing how it arrives at these, and, indeed, without such a question ever suggesting itself. I shall therefore at once proceed to examine the difference between these two modes of knowledge.

IV. Of the difference between analytical and synthetical judgments.

IN all judgments wherein the relation of a subject to the predicate is cogitated (I mention affirmative judgments only here; the application to negative will be very easy), this relation is possible in two different ways. Either the predicate B belongs to the subject A, as somewhat which is contained (though covertly) in the conception A; or the predicate B lies completely out of the conception A, although it stands in connection with it. In the first instance, I term the judgment analytical, in the second, synthetical. Analytical judgments (affirmative) are therefore those in which the connection of the predicate with the subject is cogitated through identity; those in which this connection is cogitated without identity, are called synthetical judgments. The former may be called *explicative*, the latter *augmentative*[1] judgments; because the former add in the predicate nothing to the conception of the subject, but only analyse it into its constituent conceptions, which were thought already in the subject, although in a confused manner; the latter add to our conceptions of the subject a predicate which was not contained in it, and which no analysis could ever have discovered therein. For example, when I say, "all bodies are extended," this is an analytical judgment. For I need not go beyond the conception of *body* in order to find extension connected with it, but merely analyse the conception, that is, become conscious of the manifold properties which I think in that conception, in order to discover this predicate in it: it is therefore an analytical judgment. On the other hand,

when I say, “all bodies are heavy,” the predicate is something totally different from that which I think in the mere conception of a body. By the addition of such a predicate, therefore, it becomes a synthetical judgment.

Judgments of experience, as such, are always synthetical. For it would be absurd to think of grounding an analytical judgment on experience, because in forming such a judgment I need not go out of the sphere of my conceptions, and therefore recourse to the testimony of experience is quite unnecessary. That “bodies are extended” is not an empirical judgment, but a proposition which stands firm *a priori*. For before addressing myself to experience, I already have in my conception all the requisite conditions for the judgment, and I have only to extract the predicate from the conception, according to the principle of contradiction, and thereby at the same time become conscious of the necessity of the judgment, a necessity which I could never learn from experience. On the other hand, though at first I do not at all include the predicate of weight in my conception of body in general, that conception still indicates an object of experience, a part of the totality of experience, to which I can still add other parts; and this I do when I recognize by observation that bodies are heavy. I can cognize beforehand by analysis the conception of body through the characteristics of extension, impenetrability, shape, etc., all which are cogitated in this conception. But now I extend my knowledge, and looking back on experience from which I had derived this conception of body, I find weight at all times connected with the above characteristics, and therefore I synthetically add to my conceptions this as a predicate, and say, “all bodies are heavy.” Thus it is experience upon which rests the possibility of the synthesis of the predicate of weight with the conception of body, because both conceptions, although the one is not contained in the other, still belong to one another (only contingently, however), as parts of a whole, namely, of experience, which is itself a synthesis of intuitions.

But to synthetical judgments *a priori*, such aid is entirely wanting. If I go out of and beyond the conception A, in order to recognize another B as connected with it, what foundation have I to rest on, whereby to render the synthesis possible? I have here no longer the advantage of looking out in the sphere of experience for what I want. Let us take, for example, the proposition, “everything that happens has a cause.” In the conception of *something that happens*, I indeed think an existence which a certain time antecedes, and from this I can derive analytical judgments. But the conception of a cause lies quite out of the above conception, and indicates something entirely different from “that which happens,” and is consequently not contained in that conception. How then am I able to assert concerning the general conception—“that which happens”—something entirely different from that conception, and to recognize the conception of cause although not contained in it, yet as belonging to it, and even necessarily? what is here the unknown = X, upon which the understanding rests when it believes it has found, out of the conception A a foreign predicate B, which it nevertheless considers to be connected with it? It cannot be experience, because the principle adduced annexes the two representations, cause and effect, to the representation existence, not only with universality, which experience cannot give, but also with the expression of necessity, therefore completely *a priori* and from pure conceptions. Upon such synthetical, that is augmentative propositions, depends the whole aim of our speculative knowledge *a priori*; for although analytical judgments are indeed highly important and necessary, they are so, only to arrive at that clearness of conceptions which is requisite for a sure and extended synthesis, and this alone is a real acquisition.

V. In all theoretical sciences of reason, synthetical judgments; *a priori* are contained as principles.

MATHEMATICAL judgments are always synthetical. Hitherto this fact, though incontestably true and very important in its consequences, seems to have escaped the analysts of the human mind, nay, to be in complete opposition to all their conjectures. For as it was found that mathematical conclusions all proceed according to

the principle of contradiction (which the nature of every apodeictic certainty requires), people became persuaded that the fundamental principles of the science also were recognized and admitted in the same way. But the notion is fallacious; for although a synthetical proposition can certainly be discerned by means of the principle of contradiction, this is possible only when another synthetical proposition precedes, from which the latter is deduced, but never of itself.

Before all, be it observed, that proper mathematical propositions are always judgments *a priori*, and not empirical, because they carry along with them the conception of necessity, which cannot be given by experience. If this be demurred to, it matters not; I will then limit my assertion to *pure* mathematics, the very conception of which implies that it consists of knowledge altogether non-empirical and *a priori*.

We might, indeed at first suppose that the proposition $7 + 5 = 12$ is a merely analytical proposition, following (according to the principle of contradiction) from the conception of a sum of seven and five. But if we regard it more narrowly, we find that our conception of the sum of seven and five contains nothing more than the uniting of both sums into one, whereby it cannot at all be cogitated what this single number is which embraces both. The conception of twelve is by no means obtained by merely cogitating the union of seven and five; and we may analyse our conception of such a possible sum as long as we will, still we shall never discover in it the notion of twelve. We must go beyond these conceptions, and have recourse to an intuition which corresponds to one of the two,—our five fingers, for example, or like Segner in his “Arithmetic,” five points, and so by degrees, add the units contained in the five given in the intuition, to the conception of seven. For I first take the number 7, and, for the conception of 5 calling in the aid of the fingers of my hand as objects of intuition, I add the units, which I before took together to make up the number 5, gradually now by means of the material image my hand, to the number 7, and by this process, I at length see the number 12 arise. That 7 should be added to 5, I have certainly cogitated in my conception of a sum $= 7 + 5$, but not that this sum was equal to 12. Arithmetical propositions are therefore always synthetical, of which we may become more clearly convinced by trying large numbers. For it will thus become quite evident that, turn and twist our conceptions as we may, it is impossible, without having recourse to intuition, to arrive at the sum total or product by means of the mere analysis of our conceptions. Just as little is any principle of pure geometry analytical. “A straight line between two points is the shortest,” is a synthetical proposition. For my conception of *straight*, contains no notion of *quantity*, but is merely *qualitative*. The conception of the *shortest* is therefore fore wholly an addition, and by no analysis can it be extracted from our conception of a straight line. Intuition must therefore here lend its aid, by means of which, and thus only, our synthesis is possible.

Some few principles preposited by geometers are, indeed, really analytical, and depend on the principle of contradiction. They serve, however, like identical propositions, as links in the chain of method, not as principles,—for example, $a = a$, the whole is equal to itself, or $(a + b) > a$, the whole is greater than its part. And yet even these principles themselves, though they derive their validity from pure conceptions, are only admitted in mathematics because they can be presented in intuition. What causes us here commonly to believe that the predicate of such apodeictic judgments is already contained in our conception, and that the judgment is therefore analytical, is merely the equivocal nature of the expression. We must join in thought a certain predicate to a given conception, and this necessity cleaves already to the conception. But the question is, not what we must join in thought to the given conception, but what we really think therein, though only obscurely, and then it becomes manifest that the predicate pertains to these conceptions, necessarily indeed, yet not as thought in the conception itself, but by virtue of an intuition, which must be added to the conception.

The science of Natural Philosophy (Physics) contains in itself synthetical judgments *a priori*, as principles. I shall adduce two propositions. For instance, the proposition, “in all changes of the material world, the quantity of matter remains unchanged;” or, that, “in all communication of motion, action and re-action must always be equal.” In both of these, not only is the necessity, and therefore their origin *a priori* clear, but also that they are synthetical propositions. For in the conception of matter, I do not cogitate its permanency, but merely its presence in space, which it fills. I therefore really go out of and beyond the conception of matter, in order to think on to it something *a priori*, which I did not think in it. The proposition is therefore not analytical, but synthetical, and nevertheless conceived *a priori*; and so it is with regard to the other propositions of the pure part of natural philosophy.

As to metaphysics, even if we look upon it merely as an attempted science, yet, from the nature of human reason, an indispensable one, we find that it must contain synthetical propositions *a priori*. It is not merely the duty of metaphysics to dissect, and thereby analytically to illustrate the conceptions which we form *a priori* of things; but we seek to widen the range of our *a priori* knowledge. For this purpose, we must avail ourselves of such principles as add something to the original conception—something not identical with, nor contained in it, and by means of synthetical judgments *a priori*, leave far behind us the limits of experience; for example, in the proposition, “the world must have a beginning,” and such like. Thus metaphysics, according to the proper aim of the science, consists merely of synthetical propositions *a priori*.

VI. The universal problem of pure reason.

IT is extremely advantageous to be able to bring a number of investigations under the formula of a single problem. For in this manner, we not only facilitate our own labour, inasmuch as we define it clearly to ourselves, but also render it more easy for others to decide whether we have done justice to our undertaking. The proper problem of pure reason, then, is contained in the question: “How are synthetical judgments *a priori* possible?”

That metaphysical science has hitherto remained in so vacillating a state of uncertainty and contradiction, is only to be attributed to the fact that this great problem, and perhaps even the difference between analytical and synthetical judgments, did not sooner suggest itself to philosophers. Upon the solution of this problem, or upon sufficient proof of the impossibility of synthetical knowledge *a priori*, depends the existence or downfall of the science of metaphysics. Among philosophers, David Hume came the nearest of all to this problem; yet it never acquired in his mind sufficient precision, nor did he regard the question in its universality. On the contrary, he stopped short at the synthetical proposition of the connection of an effect with its cause (*principium causalitatis*), insisting that such proposition *a priori* was impossible. According to his conclusions, then, all that we term metaphysical science is a mere delusion, arising from the fancied insight of reason into that which is in truth borrowed from experience, and to which habit has given the appearance of necessity. Against this assertion, destructive to all pure philosophy, he would have been guarded, had he had our problem before his eyes in its universality. For he would then have perceived that, according to his own argument, there likewise could not be any pure mathematical science, which assuredly cannot exist without synthetical propositions *a priori*,—an absurdity from which his good understanding must have saved him.

In the solution of the above problem is at the same time comprehended the possibility of the use of pure reason in the foundation and construction of all sciences which contain theoretical knowledge *a priori* of objects, that is to say, the answer to the following questions:

How is pure mathematical science possible?

How is pure natural science possible?

Respecting these sciences, as they do certainly exist, it may with propriety be asked, *how* they are possible?—for that they must be possible is shown by the fact of their really existing.[2] But as to metaphysics, the miserable progress it has hitherto made, and the fact that of no one system yet brought forward, far as regards its true aim, can it be said that this science really exists, leaves any one at liberty to doubt with reason the very possibility of its existence.

Yet, in a certain sense, this kind of knowledge must unquestionably be looked upon as *given*; in other words, metaphysics must be considered as really existing, if not as a science, nevertheless as a natural disposition of the human mind (*metaphysica naturalis*). For human reason, without any instigations imputable to the mere vanity of great knowledge, unceasingly progresses, urged on by its own feeling of need, towards such questions as cannot be answered by any empirical application of reason, or principles derived therefrom; and so there has ever really existed in every man some system of metaphysics. It will always exist, so soon as reason awakes to the exercise of its power of speculation. And now the question arises—How is metaphysics, as a natural disposition, possible? In other words, how, from the nature of universal human reason, do those questions arise which pure reason proposes to itself, and which it is impelled by its own feeling of need to answer as well as it can?

But as in all the attempts hitherto made to answer the questions which reason is prompted by its very nature to propose to itself, for example, whether the world had a beginning, or has existed from eternity, it has always met with unavoidable contradictions, we must not rest satisfied with the mere natural disposition of the mind to metaphysics, that is, with the existence of the faculty of pure reason, whence, indeed, some sort of metaphysical system always arises; but it must be possible to arrive at certainty in regard to the question whether we know or do not know the things of which metaphysics treats. We must be able to arrive at a decision on the subjects of its questions, or on the ability or inability of reason to form any judgment respecting them; and therefore either to extend with confidence the bounds of our pure reason, or to set strictly defined and safe limits to its action. This last question, which arises out of the above universal problem, would properly run thus: How is metaphysics possible as a science?

Thus, the critique of reason leads at last, naturally and necessarily, to science; and, on the other hand, the dogmatical use of reason without criticism leads to groundless assertions, against which others equally specious can always be set, thus ending unavoidably in scepticism.

Besides, this science cannot be of great and formidable prolixity, because it has not to do with objects of reason, the variety of which is inexhaustible, but merely with Reason herself and her problems; problems which arise out of her own bosom, and are not proposed to her by the nature of outward things, but by her own nature. And when once Reason has previously become able completely to understand her own power in regard to objects which she meets with in experience, it will be easy to determine securely the extent and limits of her attempted application to objects beyond the confines of experience.

We may and must, therefore, regard the attempts hitherto made to establish metaphysical science dogmatically as non-existent. For what of analysis, that is, mere dissection of conceptions, is contained in one or other, is not the aim of, but only a preparation for metaphysics proper, which has for its object the extension, by means of synthesis, of our *a priori* knowledge. And for this purpose, mere analysis is of course useless, because it only shows what is contained in these conceptions, but not how we arrive, *a priori*, at them; and this it is her duty

to show, in order to be able afterwards to determine their valid use in regard to all objects of experience, to all knowledge in general. But little self-denial, indeed, is needed to give up these pretensions, seeing the undeniable, and in the dogmatic mode of procedure, inevitable contradictions of Reason with herself, have long since ruined the reputation of every system of metaphysics that has appeared up to this time. It will require more firmness to remain undeterred by difficulty from within, and opposition from without, from endeavouring, by a method quite opposed to all those hitherto followed, to further the growth and fruitfulness of a science indispensable to human reason—a science from which every branch it has borne may be cut away, but whose roots remain indestructible.

VII. Idea and division of a particular science, under the name of a Critique of Pure Reason.

FROM all that has been said, there results the idea of a particular science, which may be called the *Critique of Pure Reason*. For reason is the faculty which furnishes us with the principles of knowledge *a priori*. Hence, pure reason is the faculty which contains the principles of cognizing anything absolutely *a priori*. An Organon of pure reason would be a compendium of those principles according to which alone all pure cognitions *a priori* can be obtained. The completely extended application of such an organon would afford us a system of pure reason. As this, however, is demanding a great deal, and it is yet doubtful whether any extension of our knowledge be here possible, or, if so, in what cases; we can regard a science of the mere criticism of pure reason, its sources and limits, as the *propaedeutic* to a system of pure reason. Such a science must not be called a doctrine, but only a critique of pure reason; and its use, in regard to speculation, would be only negative, not to enlarge the bounds of, but to purify, our reason, and to shield it against error,—which alone is no little gain. I apply the term *transcendental* to all knowledge which is not so much occupied with objects as with the mode of our cognition of these objects, so far as this mode of cognition is possible *a priori*. A system of such conceptions would be called *Transcendental Philosophy*. But this, again, is still beyond the bounds of our present essay. For as such a science must contain a complete exposition not only of our synthetical *a priori*, but of our analytical *a priori* knowledge, it is of too wide a range for our present purpose, because we do not require to carry our analysis any farther than is necessary to understand, in their full extent, the principles of synthesis *a priori*, with which alone we have to do. This investigation, which we cannot properly call a doctrine, but only a transcendental critique, because it aims not at the enlargement, but at the correction and guidance, of our knowledge, and is to serve as a touchstone of the worth or worthlessness of all knowledge *a priori*, is the sole object of our present essay. Such a critique is consequently, as far as possible, a preparation for an organon; and if this new organon should be found to fail, at least for a canon of pure reason, according to which the complete system of the philosophy of pure reason, whether it extend or limit the bounds of that reason, might one day be set forth both analytically and synthetically. For that this is possible, nay, that such a system is not of so great extent as to preclude the hope of its ever being completed, is evident. For we have not here to do with the nature of outward objects, which is infinite, but solely with the mind, which judges of the nature of objects, and, again, with the mind only in respect of its cognition *a priori*. And the object of our investigations, as it is not to be sought without, but, altogether within, ourselves, cannot remain concealed, and in all probability is limited enough to be completely surveyed and fairly estimated, according to its worth or worthlessness. Still less let the reader here expect a critique of books and systems of pure reason; our present object is exclusively a critique of the faculty of pure reason itself. Only when we make this critique our foundation, do we possess a pure touchstone for estimating the philosophical value of ancient and modern writings on this subject; and without this criterion, the incompetent historian or judge decides upon and corrects the groundless assertions of others with his own, which have themselves just as little foundation.

Transcendental philosophy is the idea of a science, for which the Critique of Pure Reason must sketch the whole

plan architectonically, that is, from principles, with a full guarantee for the validity and stability of all the parts which enter into the building. It is the system of all the principles of pure reason. If this Critique itself does not assume the title of transcendental philosophy, it is only because, to be a complete system, it ought to contain a full analysis of all human knowledge *a priori*. Our critique must, indeed, lay before us a complete enumeration of all the radical conceptions which constitute the said pure knowledge. But from the complete analysis of these conceptions themselves, as also from a complete investigation of those derived from them, it abstains with reason; partly because it would be deviating from the end in view to occupy itself with this analysis, since this process is not attended with the difficulty and insecurity to be found in the synthesis, to which our critique is entirely devoted, and partly because it would be inconsistent with the unity of our plan to burden this essay with the vindication of the completeness of such an analysis and deduction, with which, after all, we have at present nothing to do. This completeness of the analysis of these radical conceptions, as well as of the deduction from the conceptions *a priori* which may be given by the analysis, we can, however, easily attain, provided only that we are in possession of all these radical conceptions, which are to serve as principles of the synthesis, and that in respect of this main purpose nothing is wanting.

To the Critique of Pure Reason, therefore, belongs all that constitutes transcendental philosophy; and it is the complete idea of transcendental philosophy, but still not the science itself; because it only proceeds so far with the analysis as is necessary to the power of judging completely of our synthetical knowledge *a priori*.

The principal thing we must attend to, in the division of the parts of a science like this, is: that no conceptions must enter it which contain aught empirical; in other words, that the knowledge *a priori* must be completely pure. Hence, although the highest principles and fundamental conceptions of morality are certainly cognitions *a priori*, yet they do not belong to transcendental philosophy; because though they certainly do not lay the conceptions of pain, pleasure, desires, inclinations, (which are all of empirical origin), at the foundation of its precepts, yet still into the conception of duty,—as an obstacle to be overcome, or as an incitement which should not be made into a motive,—these empirical conceptions must necessarily enter, in the construction of a system of pure morality. Transcendental philosophy is consequently a philosophy of the pure and merely speculative reason. For all that is practical, so far as it contains motives, relates to feelings, and these belong to empirical sources of cognition.

If we wish to divide this science from the universal point of view of a science in general, it ought to comprehend, first, a *Doctrine of the Elements*, and, secondly, a *Doctrine of the Method* of pure reason. Each of these main divisions will have its subdivisions, the separate reasons for which we cannot here particularize. Only so much seems necessary, by way of introduction of premonition, that there are two sources of human knowledge (which probably spring from a common, but to us unknown root), namely, sense and understanding. By the former, objects are *given* to us; by the latter, *thought*. So far as the faculty of sense may contain representations *a priori*, which form the conditions under which objects are given, in so far it belongs to transcendental philosophy. The transcendental doctrine of sense must form the first part of our science of elements, because the conditions under which alone the objects of human knowledge are given must precede those under which they are thought.

Notes

1. That is, judgments which really add to, and do not merely analyse or explain the conceptions which make up the sum of our knowledge.—*Tr.*
2. As to the existence of pure natural science, or physics, perhaps many may still express doubts. But we have

only to look at the different propositions which are commonly treated of at the commencement of proper (empirical) physical science—those, for example, relating to the permanence of the same quantity of matter, the *vis inertiae*, the equality of action and reaction, &c.—to be soon convinced that they form a science of pure physics (*ysica pura*, or *rationalis*), which well deserves to be separately exposed as a special science, in its whole extent, whether that be great or confined.

8.

William James - On Pragmatism

What Pragmatism Means

Lecture II

. . . [T]ruth is ONE SPECIES OF GOOD, and not, as is usually supposed, a category distinct from good, and co-ordinate with it. THE TRUE IS THE NAME OF WHATEVER PROVES ITSELF TO BE GOOD IN THE WAY OF BELIEF, AND GOOD, TOO, FOR DEFINITE, ASSIGNABLE REASONS. Surely you must admit this, that if there were NO good for life in true ideas, or if the knowledge of them were positively disadvantageous and false ideas the only useful ones, then the current notion that truth is divine and precious, and its pursuit a duty, could never have grown up or become a dogma. In a world like that, our duty would be to SHUN truth, rather. But in this world, just as certain foods are not only agreeable to our taste, but good for our teeth, our stomach and our tissues; so certain ideas are not only agreeable to think about, or agreeable as supporting other ideas that we are fond of, but they are also helpful in life's practical struggles. If there be any life that it is really better we should lead, and if there be any idea which, if believed in, would help us to lead that life, then it would be really BETTER FOR US to believe in that idea, UNLESS, INDEED, BELIEF IN IT INCIDENTALLY CLASHED WITH OTHER GREATER VITAL BENEFITS.

'What would be better for us to believe'! This sounds very like a definition of truth. It comes very near to saying 'what we OUGHT to believe': and in THAT definition none of you would find any oddity. Ought we ever not to believe what it is BETTER FOR US to believe? And can we then keep the notion of what is better for us, and what is true for us, permanently apart?

Pragmatism says no, and I fully agree with her. Probably you also agree, so far as the abstract statement goes, but with a suspicion that if we practically did believe everything that made for good in our own personal lives, we should be found indulging all kinds of fancies about this world's affairs, and all kinds of sentimental superstitions about a world hereafter. Your suspicion here is undoubtedly well founded, and it is evident that something happens when you pass from the abstract to the concrete, that complicates the situation.

I said just now that what is better for us to believe is true UNLESS THE BELIEF INCIDENTALLY CLASHES WITH SOME OTHER VITAL BENEFIT. Now in real life what vital benefits is any particular belief of ours most liable to clash with? What indeed except the vital benefits yielded by OTHER BELIEFS when these prove

incompatible with the first ones? In other words, the greatest enemy of any one of our truths may be the rest of our truths. Truths have once for all this desperate instinct of self-preservation and of desire to extinguish whatever contradicts them. My belief in the Absolute, based on the good it does me, must run the gauntlet of all my other beliefs. Grant that it may be true in giving me a moral holiday. Nevertheless, as I conceive it,—and let me speak now confidentially, as it were, and merely in my own private person,—it clashes with other truths of mine whose benefits I hate to give up on its account. It happens to be associated with a kind of logic of which I am the enemy, I find that it entangles me in metaphysical paradoxes that are unacceptable, etc., etc.. But as I have enough trouble in life already without adding the trouble of carrying these intellectual inconsistencies, I personally just give up the Absolute. I just TAKE my moral holidays; or else as a professional philosopher, I try to justify them by some other principle.

If I could restrict my notion of the Absolute to its bare holiday- giving value, it wouldn't clash with my other truths. But we cannot easily thus restrict our hypotheses. They carry supernumerary features, and these it is that clash so. My disbelief in the Absolute means then disbelief in those other supernumerary features, for I fully believe in the legitimacy of taking moral holidays.

You see by this what I meant when I called pragmatism a mediator and reconciler and said, borrowing the word from Papini, that he unstiffens our theories. She has in fact no prejudices whatever, no obstructive dogmas, no rigid canons of what shall count as proof. She is completely genial. She will entertain any hypothesis, she will consider any evidence. It follows that in the religious field she is at a great advantage both over positivistic empiricism, with its anti-theological bias, and over religious rationalism, with its exclusive interest in the remote, the noble, the simple, and the abstract in the way of conception.

In short, she widens the field of search for God. Rationalism sticks to logic and the empyrean. Empiricism sticks to the external senses. Pragmatism is willing to take anything, to follow either logic or the senses, and to count the humblest and most personal experiences. She will count mystical experiences if they have practical consequences. She will take a God who lives in the very dirt of private fact-if that should seem a likely place to find him.

Her only test of probable truth is what works best in the way of leading us, what fits every part of life best and combines with the collectivity of experience's demands, nothing being omitted. If theological ideas should do this, if the notion of God, in particular, should prove to do it, how could pragmatism possibly deny God's existence? She could see no meaning in treating as 'not true' a notion that was pragmatically so successful. What other kind of truth could there be, for her, than all this agreement with concrete reality?

In my last lecture I shall return again to the relations of pragmatism with religion. But you see already how democratic she is. Her manners are as various and flexible, her resources as rich and endless, and her conclusions as friendly as those of mother nature.

Lecture VI

. . . I fully expect to see the pragmatist view of truth run through the classic stages of a theory's career. First, you know, a new theory is attacked as absurd; then it is admitted to be true, but obvious and insignificant; finally it is seen to be so important that its adversaries claim that they themselves discovered it. Our doctrine of truth is at present in the first of these three stages, with symptoms of the second stage having begun in certain quarters. I wish that this lecture might help it beyond the first stage in the eyes of many of you.

Truth, as any dictionary will tell you, is a property of certain of our ideas. It means their ‘agreement,’ as falsity means their disagreement, with ‘reality.’ Pragmatists and intellectualists both accept this definition as a matter of course. They begin to quarrel only after the question is raised as to what may precisely be meant by the term ‘agreement,’ and what by the term ‘reality,’ when reality is taken as something for our ideas to agree with.

In answering these questions the pragmatists are more analytic and painstaking, the intellectualists more offhand and irreflective. The popular notion is that a true idea must copy its reality. Like other popular views, this one follows the analogy of the most usual experience. Our true ideas of sensible things do indeed copy them. Shut your eyes and think of yonder clock on the wall, and you get just such a true picture or copy of its dial. But your idea of its ‘works’ (unless you are a clock-maker) is much less of a copy, yet it passes muster, for it in no way clashes with the reality. Even tho it should shrink to the mere word ‘works,’ that word still serves you truly; and when you speak of the ‘time-keeping function’ of the clock, or of its spring’s ‘elasticity,’ it is hard to see exactly what your ideas can copy.

You perceive that there is a problem here. Where our ideas cannot copy definitely their object, what does agreement with that object mean? Some idealists seem to say that they are true whenever they are what God means that we ought to think about that object. Others hold the copy-view all through, and speak as if our ideas possessed truth just in proportion as they approach to being copies of the Absolute’s eternal way of thinking.

These views, you see, invite pragmatistic discussion. But the great assumption of the intellectualists is that truth means essentially an inert static relation. When you’ve got your true idea of anything, there’s an end of the matter. You’re in possession; you KNOW; you have fulfilled your thinking destiny. You are where you ought to be mentally; you have obeyed your categorical imperative; and nothing more need follow on that climax of your rational destiny. Epistemologically you are in stable equilibrium.

Pragmatism, on the other hand, asks its usual question. “Grant an idea or belief to be true,” it says, “what concrete difference will its being true make in anyone’s actual life? How will the truth be realized? What experiences will be different from those which would obtain if the belief were false? What, in short, is the truth’s cash-value in experiential terms?”

The moment pragmatism asks this question, it sees the answer: TRUE IDEAS ARE THOSE THAT WE CAN ASSIMILATE, VALIDATE, CORROBORATE AND VERIFY. FALSE IDEAS ARE THOSE THAT WE CANNOT. That is the practical difference it makes to us to have true ideas; that, therefore, is the meaning of truth, for it is all that truth is known-as.

This thesis is what I have to defend. The truth of an idea is not a stagnant property inherent in it. Truth HAPPENS to an idea. It BECOMES true, is MADE true by events. Its verity is in fact an event, a process: the process namely of its verifying itself, its veri- FICATION. Its validity is the process of its valid-ATION.

But what do the words verification and validation themselves pragmatically mean? They again signify certain practical consequences of the verified and validated idea. It is hard to find any one phrase that characterizes these consequences better than the ordinary agreement-formula—just such consequences being what we have in mind whenever we say that our ideas ‘agree’ with reality. They lead us, namely, through the acts and other ideas which they instigate, into or up to, or towards, other parts of experience with which we feel all the while-such feeling being among our potentialities—that the original ideas remain in agreement. The connexions and transitions come

to us from point to point as being progressive, harmonious, satisfactory. This function of agreeable leading is what we mean by an idea's verification. . . .

. . . Let me begin by reminding you of the fact that the possession of true thoughts means everywhere the possession of invaluable instruments of action; and that our duty to gain truth, so far from being a blank command from out of the blue, or a 'stunt' self-imposed by our intellect, can account for itself by excellent practical reasons.

The importance to human life of having true beliefs about matters of fact is a thing too notorious. We live in a world of realities that can be infinitely useful or infinitely harmful. Ideas that tell us which of them to expect count as the true ideas in all this primary sphere of verification, and the pursuit of such ideas is a primary human duty. The possession of truth, so far from being here an end in itself, is only a preliminary means towards other vital satisfactions. If I am lost in the woods and starved, and find what looks like a cow-path, it is of the utmost importance that I should think of a human habitation at the end of it, for if I do so and follow it, I save myself. The true thought is useful here because the house which is its object is useful. The practical value of true ideas is thus primarily derived from the practical importance of their objects to us. Their objects are, indeed, not important at all times. I may on another occasion have no use for the house; and then my idea of it, however verifiable, will be practically irrelevant, and had better remain latent. Yet since almost any object may some day become temporarily important, the advantage of having a general stock of extra truths, of ideas that shall be true of merely possible situations, is obvious. We store such extra truths away in our memories, and with the overflow we fill our books of reference. Whenever such an extra truth becomes practically relevant to one of our emergencies, it passes from cold-storage to do work in the world, and our belief in it grows active. You can say of it then either that 'it is useful because it is true' or that 'it is true because it is useful.' Both these phrases mean exactly the same thing, namely that here is an idea that gets fulfilled and can be verified. True is the name for whatever idea starts the verification-process, useful is the name for its completed function in experience. True ideas would never have been singled out as such, would never have acquired a class-name, least of all a name suggesting value, unless they had been useful from the outset in this way.

From this simple cue pragmatism gets her general notion of truth as something essentially bound up with the way in which one moment in our experience may lead us towards other moments which it will be worth while to have been led to. Primarily, and on the common-sense level, the truth of a state of mind means this function of A LEADING THAT IS WORTH WHILE. When a moment in our experience, of any kind whatever, inspires us with a thought that is true, that means that sooner or later we dip by that thought's guidance into the particulars of experience again and make advantageous connexion with them. This is a vague enough statement, but I beg you to retain it, for it is essential.

Our experience meanwhile is all shot through with regularities. One bit of it can warn us to get ready for another bit, can 'intend' or be 'significant of' that remoter object. The object's advent is the significance's verification. Truth, in these cases, meaning nothing but eventual verification, is manifestly incompatible with waywardness on our part. Woe to him whose beliefs play fast and loose with the order which realities follow in his experience: they will lead him nowhere or else make false connexions.

By 'realities' or 'objects' here, we mean either things of common sense, sensibly present, or else common-sense relations, such as dates, places, distances, kinds, activities. Following our mental image of a house along the cow-path, we actually come to see the house; we get the image's full verification. SUCH SIMPLY AND FULLY VERIFIED LEADINGS ARE CERTAINLY THE ORIGINALS AND PROTOTYPES OF THE TRUTH-

PROCESS. Experience offers indeed other forms of truth- process, but they are all conceivable as being primary verifications arrested, multiplied or substituted one for another

Take, for instance, yonder object on the wall. You and I consider it to be a ‘clock’, altho no one of us has seen the hidden works that make it one. We let our notion pass for true without attempting to verify. If truths mean verification-process essentially ought we then to call such unverified truths as this abortive? No, for they form the overwhelmingly large number of the truths we live by. Indirect as well as direct verifications pass muster. Where circumstantial evidence is sufficient, we can go without eye- witnessing. Just as we here assume Japan to exist without ever having been there, because it WORKS to do so, everything we know conspiring with the belief, and nothing interfering, so we assume that thing to be a clock. We USE it as a clock, regulating the length of our lecture by it. The verification of the assumption here means its leading to no frustration or contradiction. VerifiABILITY of wheels and weights and pendulum is as good as verification. For one truth-process completed there are a million in our lives that function in this state of nascency. They turn us TOWARDS direct verification; lead us into the SURROUNDINGS of the objects they envisage; and then, if everything runs on harmoniously, we are so sure that verification is possible that we omit it, and are usually justified by all that happens.

Truth lives, in fact, for the most part on a credit system. Our thoughts and beliefs ‘pass,’ so long as nothing challenges them, just as bank-notes pass so long as nobody refuses them. But this all points to direct face-to-face verifications somewhere, without which the fabric of truth collapses like a financial system with no cash- basis whatever. You accept my verification of one thing, I yours of another. We trade on each other’s truth. But beliefs verified concretely by SOMEBODY are the posts of the whole superstructure.

Another great reason—beside economy of time—for waiving complete verification in the usual business of life is that all things exist in kinds and not singly. Our world is found once for all to have that peculiarity. So that when we have once directly verified our ideas about one specimen of a kind, we consider ourselves free to apply them to other specimens without verification. A mind that habitually discerns the kind of thing before it, and acts by the law of the kind immediately, without pausing to verify, will be a ‘true’ mind in ninety-nine out of a hundred emergencies, proved so by its conduct fitting everything it meets, and getting no refutation.

INDIRECTLY OR ONLY POTENTIALLY VERIFYING PROCESSES MAY THUS BE TRUE AS WELL AS FULL

VERIFICATION-PROCESSES. They work as true processes would work, give us the same advantages, and claim our recognition for the same reasons. . . .

. . . Our account of truth is an account of truths in the plural, of processes of leading, realized in rebus, and having only this quality in common, that they PAY. They pay by guiding us into or towards some part of a system that dips at numerous points into sense- percepts, which we may copy mentally or not, but with which at any rate we are now in the kind of commerce vaguely designated as verification. Truth for us is simply a collective name for verification-processes, just as health, wealth, strength, etc., are names for other processes connected with life, and also pursued because it pays to pursue them. Truth is MADE, just as health, wealth and strength are made, in the course of experience.

Here rationalism is instantaneously up in arms against us. I can imagine a rationalist to talk as follows:

“Truth is not made,” he will say; “it absolutely obtains, being a unique relation that does not wait upon any

process, but shoots straight over the head of experience, and hits its reality every time. Our belief that yon thing on the wall is a clock is true already, altho no one in the whole history of the world should verify it. The bare quality of standing in that transcendent relation is what makes any thought true that possesses it, whether or not there be verification. You pragmatists put the cart before the horse in making truth's being reside in verification-processes. These are merely signs of its being, merely our lame ways of ascertaining after the fact, which of our ideas already has possessed the wondrous quality. The quality itself is timeless, like all essences and natures. Thoughts partake of it directly, as they partake of falsity or of irrelevancy. It can't be analyzed away into pragmatic consequences."

The whole plausibility of this rationalist tirade is due to the fact to which we have already paid so much attention. In our world, namely, abounding as it does in things of similar kinds and similarly associated, one verification serves for others of its kind, and one great use of knowing things is to be led not so much to them as to their associates, especially to human talk about them. The quality of truth, obtaining *ante rem*, pragmatically means, then, the fact that in such a world innumerable ideas work better by their indirect or possible than by their direct and actual verification. Truth *ante rem* means only verifiability, then; or else it is a case of the stock rationalist trick of treating the NAME of a concrete phenomenal reality as an independent prior entity, and placing it behind the reality as its explanation. . . .

. . . In the case of 'wealth' we all see the fallacy. We know that wealth is but a name for concrete processes that certain men's lives play a part in, and not a natural excellence found in Messrs. Rockefeller and Carnegie, but not in the rest of us.

Like wealth, health also lives in rebus. It is a name for processes, as digestion, circulation, sleep, etc., that go on happily, tho in this instance we are more inclined to think of it as a principle and to say the man digests and sleeps so well BECAUSE he is so healthy

With 'strength' we are, I think, more rationalistic still, and decidedly inclined to treat it as an excellence pre-existing in the man and explanatory of the herculean performances of his muscles.

With 'truth' most people go over the border entirely and treat the rationalistic account as self-evident. But really all these words in TH are exactly similar. Truth exists *ante rem* just as much and as little as the other things do.

The scholastics, following Aristotle, made much of the distinction between habit and act. Health in *actu* means, among other things, good sleeping and digesting. But a healthy man need not always be sleeping, or always digesting, any more than a wealthy man need be always handling money, or a strong man always lifting weights. All such qualities sink to the status of 'habits' between their times of exercise; and similarly truth becomes a habit of certain of our ideas and beliefs in their intervals of rest from their verifying activities. But those activities are the root of the whole matter and the condition of there being any habit to exist in the intervals.

'The true,' to put it very briefly, is only the expedient in the way of our thinking, just as 'the right' is only the expedient in the way of our behaving. Expedient in almost any fashion; and expedient in the long run and on the whole of course; for what meets expediently all the experience in sight won't necessarily meet all farther experiences equally satisfactorily. Experience, as we know, has ways of BOILING OVER, and making us correct our present formulas.

The 'absolutely' true, meaning what no farther experience will ever alter, is that ideal vanishing-point towards which we imagine that all our temporary truths will some day converge. It runs on all fours with the perfectly wise

man, and with the absolutely complete experience; and, if these ideals are ever realized, they will all be realized together. Meanwhile we have to live to-day by what truth we can get to-day, and be ready to-morrow to call it falsehood. Ptolemaic astronomy, euclidean space, Aristotelian logic, scholastic metaphysics, were expedient for centuries, but human experience has boiled over those limits, and we now call these things only relatively true, or true within those borders of experience. 'Absolutely' they are false; for we know that those limits were casual, and might have been transcended by past theorists just as they are by present thinkers. . .

9.

Bertrand Russell – On Truth and Falsehood

TRUTH AND FALSEHOOD

OUR knowledge of truths, unlike our knowledge of things, has an opposite, namely *error*. So far as things are concerned, we may know them or not know them, but there is no positive state of mind which can be described as erroneous knowledge of things, so long, at any rate, as we confine ourselves to knowledge by acquaintance. Whatever we are acquainted with must be something: we may draw wrong inferences from our acquaintance, but the acquaintance itself cannot be deceptive. Thus there is no dualism as regards acquaintance. But as regards knowledge of truths, there is a dualism. We may believe what is false as well as what is true. We know that on very many subjects different people hold different and incompatible opinions: hence some beliefs must be erroneous. Since erroneous beliefs are often held just as strongly as true beliefs, it becomes a difficult question how they are to be distinguished from true beliefs. How are we to know, in a given case, that our belief is not erroneous? This is a question of the very greatest difficulty, to which no completely satisfactory answer is possible. There is, however, a preliminary question which is rather less difficult, and that is: What do we *mean* by truth and falsehood? It is this preliminary question which is to be considered in this chapter.

In this chapter we are not asking how we can know whether a belief is true or false: we are asking what is meant by the question whether a belief is true or false. It is to be hoped that a clear answer to this question may help us to obtain an answer to the question what beliefs are true, but for the present we ask only “What is truth?” and “What is falsehood?” not “What beliefs are true?” and “What beliefs are false?” It is very important to keep these different questions entirely separate, since any confusion between them is sure to produce an answer which is not really applicable to either.

There are three points to observe in the attempt to discover the nature of truth, three requisites which any theory must fulfil.

(1) Our theory of truth must be such as to admit of its opposite, falsehood. A good many philosophers have failed adequately to satisfy this condition: they have constructed theories according to which all our thinking ought to have been true, and have then had the greatest difficulty in finding a place for falsehood. In this respect our theory of belief must differ from our theory of acquaintance, since in the case of acquaintance it was not necessary to take account of any opposite.

(2) It seems fairly evident that if there were no beliefs there could be no falsehood, and no truth either, in the

sense in which truth is correlative to falsehood. If we imagine a world of mere matter, there would be no room for falsehood in such a world, and although it would contain what may be called “facts,” it would not contain any truths, in the sense in which truths are things of the same kind as falsehoods. In fact, truth and falsehood are properties of beliefs and statements: hence a world of mere matter, since it would contain no beliefs or statements, would also contain no truth or falsehood.

(3) But, as against what we have just said, it is to be observed that the truth or falsehood of a belief always depends upon something which lies outside the belief itself. If I believe that Charles I. died on the scaffold, I believe truly, not because of any intrinsic quality of my belief, which could be discovered by merely examining the belief, but because of an historical event which happened two and a half centuries ago. If I believe that Charles I. died in his bed, I believe falsely: no degree of vividness in my belief, or of care in arriving at it, prevents it from being false, again because of what happened long ago, and not because of any intrinsic property of my belief. Hence, although truth and falsehood are properties of beliefs, they are properties dependent upon the relations of the beliefs to other things, not upon any internal quality of the beliefs.

The third of the above requisites leads us to adopt the view—which has on the whole been commonest among philosophers—that truth consists in some form of correspondence between belief and fact. It is, however, by no means an easy matter to discover a form of correspondence to which there are no irrefutable objections. By this partly—and partly by the feeling that, if truth consists in a correspondence of thought with something outside thought, thought can never know when truth has been attained—many philosophers have been led to try to find some definition of truth which shall not consist in relation to something wholly outside belief. The most important attempt at a definition of this sort is the theory that truth consists in *coherence*. It is said that the mark of falsehood is failure to cohere in the body of our beliefs, and that it is the essence of a truth to form part of the completely rounded system which is The Truth.

There is, however, a great difficulty in this view, or rather two great difficulties. The first is that there is no reason to suppose that only *one* coherent body of beliefs is possible. It may be that, with sufficient imagination, a novelist might invent a past for the world that would perfectly fit on to what we know, and yet be quite different from the real past. In more scientific matters, it is certain that there are often two or more hypotheses which account for all the known facts on some subject, and although, in such cases, men of science endeavour to find facts which will rule out all the hypotheses except one, there is no reason why they should always succeed.

In philosophy, again, it seems not uncommon for two rival hypotheses to be both able to account for all the facts. Thus, for example, it is possible that life is one long dream, and that the outer world has only that degree of reality that the objects of dreams have; but although such a view does not seem inconsistent with known facts, there is no reason to prefer it to the common-sense view, according to which other people and things do really exist. Thus coherence as the definition of truth fails because there is no proof that there can be only one coherent system.

The other objection to this definition of truth is that it assumes the meaning of “coherence” known, whereas, in fact, “coherence” presupposes the truth of the laws of logic. Two propositions are coherent when both may be true, and are incoherent when one at least must be false. Now in order to know whether two propositions can both be true, we must know such truths as the law of contradiction. For example, the two propositions “this tree is a beech” and “this tree is not a beech,” are not coherent, because of the law of contradiction. But if the law of contradiction itself were subjected to the test of coherence, we should find that, if we choose to suppose it false,

nothing will any longer be incoherent with anything else. Thus the laws of logic supply the skeleton or framework within which the test of coherence applies, and they themselves cannot be established by this test.

For the above two reasons, coherence cannot be accepted as giving the *meaning* of truth, though it is often a most important *test* of truth after a certain amount of truth has become known.

Hence we are driven back to *correspondence with fact* as constituting the nature of truth. It remains to define precisely what we mean by “fact,” and what is the nature of the correspondence which must subsist between belief and fact, in order that belief may be true.

In accordance with our three requisites, we have to seek a theory of truth which (1) allows truth to have an opposite, namely falsehood, (2) makes truth a property of beliefs, but (3) makes it a property wholly dependent upon the relation of the beliefs to outside things.

The necessity of allowing for falsehood makes it impossible to regard belief as a relation of the mind to a single object, which could be said to be what is believed. If belief were so regarded, we should find that, like acquaintance, it would not admit of the opposition of truth and falsehood, but would have to be always true. This may be made clear by examples. Othello believes falsely that Desdemona loves Cassio. We cannot say that this belief consists in a relation to a single object, “Desdemona’s love for Cassio,” for if there were such an object, the belief would be true. There is in fact no such object, and therefore Othello cannot have any relation to such an object. Hence his belief cannot possibly consist in a relation to this object.

It might be said that his belief is a relation to a different object, namely “that Desdemona loves Cassio”; but it is almost as difficult to suppose that there is such an object as this, when Desdemona does not love Cassio, as it was to suppose that there is “Desdemona’s love for Cassio.” Hence it will be better to seek for a theory of belief which does not make it consist in a relation of the mind to a single object.

It is common to think of relations as though they always held between *two* terms, but in fact this is not always the case. Some relations demand three terms, some four, and so on. Take, for instance, the relation “between.” So long as only two terms come in, the relation “between” is impossible: three terms are the smallest number that render it possible. York is between London and Edinburgh; but if London and Edinburgh were the only places in the world, there could be nothing which was between one place and another. Similarly *jealousy* requires three people: there can be no such relation that does not involve three at least. Such a proposition as “A wishes B to promote C’s marriage with D” involves a relation of four terms; that is to say, A and B and C and D all come in, and the relation involved cannot be expressed otherwise than in a form involving all four. Instances might be multiplied indefinitely, but enough has been said to show that there are relations which require more than two terms before they can occur.

The relation involved in *judging* or *believing* must, if falsehood is to be duly allowed for, be taken to be a relation between several terms, not between two. When Othello believes that Desdemona loves Cassio, he must not have before his mind a single object, “Desdemona’s love for Cassio,” or “that Desdemona loves Cassio,” for that would require that there should be objective falsehoods, which subsist independently of any minds; and this, though not logically refutable, is a theory to be avoided if possible. Thus it is easier to account for falsehood if we take judgment to be a relation in which the mind and the various objects concerned all occur severally; that is to say, Desdemona and loving and Cassio must all be terms in the relation which subsists when Othello believes that Desdemona loves Cassio. This relation, therefore, is a relation of four terms, since Othello also is one of the terms

of the relation. When we say that it is a relation of four terms, we do not mean that Othello has a certain relation to Desdemona, and has the same relation to loving and also to Cassio. This may be true of some other relation than believing; but believing, plainly, is not a relation which Othello has to *each* of the three terms concerned, but to *all* of them together: there is only one example of the relation of believing involved, but this one example knits together four terms. Thus the actual occurrence, at the moment when Othello is entertaining his belief, is that the relation called “believing” is knitting together into one complex whole the four terms Othello, Desdemona, loving, and Cassio. What is called belief or judgment is nothing but this relation of believing or judging, which relates a mind to several things other than itself. An *act* of belief or of judgment is the occurrence between certain terms at some particular time, of the relation of believing or judging.

We are now in a position to understand what it is that distinguishes a true judgment from a false one. For this purpose we will adopt certain definitions. In every act of judgment there is a mind which judges, and there are terms concerning which it judges. We will call the mind the *subject* in the judgment, and the remaining terms the *objects*. Thus, when Othello judges that Desdemona loves Cassio, Othello is the subject, while the objects are Desdemona and loving and Cassio. The subject and the objects together are called the *constituents* of the judgment. It will be observed that the relation of judging has what is called a “sense” or “direction.” We may say, metaphorically, that it puts its objects in a certain *order*, which we may indicate by means of the order of the words in the sentence. (In an inflected language, the same thing will be indicated by inflections, *e.g.* by the difference between nominative and accusative.) Othello’s judgment that Cassio loves Desdemona differs from his judgment that Desdemona loves Cassio, in spite of the fact that it consists of the same constituents, because the relation of judging places the constituents in a different order in the two cases. Similarly, if Cassio judges that Desdemona loves Othello, the constituents of the judgment are still the same, but their order is different. This property of having a “sense” or “direction” is one which the relation of judging shares with all other relations. The “sense” of relations is the ultimate source of order and series and a host of mathematical concepts; but we need not concern ourselves further with this aspect.

We spoke of the relation called “judging” or “believing” as knitting together into one complex whole the subject and the objects. In this respect, judging is exactly like every other relation. Whenever a relation holds between two or more terms, it unites the terms into a complex whole. If Othello loves Desdemona, there is such a complex whole as “Othello’s love for Desdemona.” The terms united by the relation may be themselves complex, or may be simple, but the whole which results from their being united must be complex. Wherever there is a relation which relates certain terms, there is a complex object formed of the union of those terms; and conversely, wherever there is a complex object, there is a relation which relates its constituents. When an act of believing occurs, there is a complex, in which “believing” is the uniting relation, and subject and objects are arranged in a certain order by the “sense” of the relation of believing. Among the objects, as we saw in considering “Othello believes that Desdemona loves Cassio,” one must be a relation—in this instance, the relation “loving.” But this relation, as it occurs in the act of believing, is not the relation which creates the unity of the complex whole consisting of the subject and the objects. The relation “loving,” as it occurs in the act of believing, is one of the objects—it is a brick in the structure, not the cement. The cement is the relation “believing.” When the belief is *true*, there is another complex unity, in which the relation which was one of the objects of the belief relates the other objects. Thus, *e.g.*, if Othello believes *truly* that Desdemona loves Cassio, then there is a complex unity, “Desdemona’s love for Cassio,” which is composed exclusively of the *objects* of the belief, in the same order as they had in the belief, with the relation which was one of the objects occurring now as the cement that binds together the other objects of the belief. On the other hand, when a belief is *false*, there is no such complex unity composed only of

the objects of the belief. If Othello believes *falsely* that Desdemona loves Cassio, then there is no such complex unity as “Desdemona’s love for Cassio.”

Thus a belief is *true* when it *corresponds* to a certain associated complex, and *false* when it does not. Assuming, for the sake of definiteness, that the objects of the belief are two terms and a relation, the terms being put in a certain order by the “sense” of the believing, then if the two terms in that order are united by the relation into a complex, the belief is true; if not, it is false. This constitutes the definition of truth and falsehood that we were in search of. Judging or believing is a certain complex unity of which a mind is a constituent; if the remaining constituents, taken in the order which they have in the belief, form a complex unity, then the belief is true; if not, it is false.

Thus although truth and falsehood are properties of beliefs, yet they are in a sense extrinsic properties, for the condition of the truth of a belief is something not involving beliefs, or (in general) any mind at all, but only the *objects* of the belief. A mind, which believes, believes truly when there is a *corresponding* complex not involving the mind, but only its objects. This correspondence ensures truth, and its absence entails falsehood. Hence we account simultaneously for the two facts that beliefs (*a*) depend on minds for their *existence*, (*b*) do not depend on minds for their *truth*.

We may restate our theory as follows: If we take such a belief as “Othello believes that Desdemona loves Cassio,” we will call Desdemona and Cassio the *object-terms*, and loving the *object-relation*. If there is a complex unity “Desdemona’s love for Cassio,” consisting of the object-terms related by the object-relation in the same order as they have in the belief, then this complex unity is called the *fact corresponding to the belief*. Thus a belief is true when there is a corresponding fact, and is false when there is no corresponding fact.

It will be seen that minds do not *create* truth or falsehood. They create beliefs, but when once the beliefs are created, the mind cannot make them true or false, except in the special case where they concern future things which are within the power of the person believing, such as catching trains. What makes a belief true is a *fact*, and this fact does not (except in exceptional cases) in any way involve the mind of the person who has the belief.

Having now decided what we *mean* by truth and falsehood, we have next to consider what ways there are of knowing whether this or that belief is true or false. This consideration will occupy the next chapter.

PART III

Metaphysics

Metaphysics is the branch of philosophy that is concerned with the nature of existence. Aristotle referred to it as ‘first philosophy’ and it deals with such fundamental topics as cause and effect, freewill and determinism, mind and matter, space and time. We start this section presenting various relevant arguments on these sorts of issues with Plato’s famous Allegory of the Cave. (Plato was a student of Socrates. Socrates never wrote anything down so it was up to Plato to record his teachings.) Plato’s allegory presents us with the very vividly constructed argument that what we think is real is but a shadow of what actually is: Ordinary people are in a cave and chained facing a wall. There they can only see shadow puppets that are cast upon the wall by people moving in front of a fire. Since those who are chained know of nothing else, these shadows are taken to be how the world really is. One person – a philosopher no less – escapes these chains and exits the cave. There, they wait for their eyes to adjust to the blinding sun light and they are finally able to see how the world really is. It is their task to take that knowledge and educate those who are trapped below freeing them from falsehoods. It’s a beautiful picture of what we philosophers do.

Plato moves on to discuss what actually exists in the world (‘Forms’ or what we might call ‘universal properties’) while his own student Aristotle will later propose that the world is made up of different stuff...

10.

Plato – On the Allegory of the Cave

Republic

Book VII

SOCRATES – GLAUCON

AND NOW, I SAID, let me show in a figure how far our nature is enlightened or unenlightened:—Behold! human beings living in a underground den, which has a mouth open towards the light and reaching all along the den; here they have been from their childhood, and have their legs and necks chained so that they cannot move, and can only see before them, being prevented by the chains from turning round their heads. Above and behind them a fire is blazing at a distance, and between the fire and the prisoners there is a raised way; and you will see, if you look, a low wall built along the way, like the screen which marionette players have in front of them, over which they show the puppets.

I see.

And do you see, I said, men passing along the wall carrying all sorts of vessels, and statues and figures of animals made of wood and stone and various materials, which appear over the wall? Some of them are talking, others silent.

You have shown me a strange image, and they are strange prisoners.

Like ourselves, I replied; and they see only their own shadows, or the shadows of one another, which the fire throws on the opposite wall of the cave?

True, he said; how could they see anything but the shadows if they were never allowed to move their heads?

And of the objects which are being carried in like manner they would only see the shadows?

Yes, he said.

And if they were able to converse with one another, would they not suppose that they were naming what was actually before them?

Very true.

And suppose further that the prison had an echo which came from the other side, would they not be sure to fancy when one of the passers-by spoke that the voice which they heard came from the passing shadow?

No question, he replied.

To them, I said, the truth would be literally nothing but the shadows of the images.

That is certain.

And now look again, and see what will naturally follow if the prisoners are released and disabused of their error. At first, when any of them is liberated and compelled suddenly to stand up and turn his neck round and walk and look towards the light, he will suffer sharp pains; the glare will distress him, and he will be unable to see the realities of which in his former state he had seen the shadows; and then conceive some one saying to him, that what he saw before was an illusion, but that now, when he is approaching nearer to being and his eye is turned towards more real existence, he has a clearer vision, -what will be his reply?

And you may further imagine that his instructor is pointing to the objects as they pass and requiring him to name them, -will he not be perplexed? Will he not fancy that the shadows which he formerly saw are truer than the objects which are now shown to him?

Far truer.

And if he is compelled to look straight at the light, will he not have a pain in his eyes which will make him turn away to take and take in the objects of vision which he can see, and which he will conceive to be in reality clearer than the things which are now being shown to him?

True, he now

And suppose once more, that he is reluctantly dragged up a steep and rugged ascent, and held fast until he's forced into the presence of the sun himself, is he not likely to be pained and irritated? When he approaches the light his eyes will be dazzled, and he will not be able to see anything at all of what are now called realities.

Not all in a moment, he said.

He will require to grow accustomed to the sight of the upper world. And first he will see the shadows best, next the reflections of men and other objects in the water, and then the objects themselves; then he will gaze upon the light of the moon and the stars and the spangled heaven; and he will see the sky and the stars by night better than the sun or the light of the sun by day?

Certainly.

Last of he will be able to see the sun, and not mere reflections of him in the water, but he will see him in his own proper place, and not in another; and he will contemplate him as he is.

Certainly.

He will then proceed to argue that this is he who gives the season and the years, and is the guardian of all that is in the visible world, and in a certain way the cause of all things which he and his fellows have been accustomed to behold?

Clearly, he said, he would first see the sun and then reason about him.

And when he remembered his old habitation, and the wisdom of the den and his fellow-prisoners, do you not suppose that he would felicitate himself on the change, and pity them?

Certainly, he would.

And if they were in the habit of conferring honours among themselves on those who were quickest to observe the passing shadows and to remark which of them went before, and which followed after, and which were together; and who were therefore best able to draw conclusions as to the future, do you think that he would care for such honours and glories, or envy the possessors of them? Would he not say with Homer,

Better to be the poor servant of a poor master,

and to endure anything, rather than think as they do and live after their manner?

Yes, he said, I think that he would rather suffer anything than entertain these false notions and live in this miserable manner.

Imagine once more, I said, such an one coming suddenly out of the sun to be replaced in his old situation; would he not be certain to have his eyes full of darkness?

To be sure, he said.

And if there were a contest, and he had to compete in measuring the shadows with the prisoners who had never moved out of the den, while his sight was still weak, and before his eyes had become steady (and the time which would be needed to acquire this new habit of sight might be very considerable) would he not be ridiculous? Men would say of him that up he went and down he came without his eyes; and that it was better not even to think of ascending; and if any one tried to loose another and lead him up to the light, let them only catch the offender, and they would put him to death.

No question, he said.

This entire allegory, I said, you may now append, dear Glaucon, to the previous argument; the prison-house is the world of sight, the light of the fire is the sun, and you will not misapprehend me if you interpret the journey upwards to be the ascent of the soul into the intellectual world according to my poor belief, which, at your desire, I have expressed whether rightly or wrongly God knows. But, whether true or false, my opinion is that in the world of knowledge the idea of good appears last of all, and is seen only with an effort; and, when seen, is also inferred to be the universal author of all things beautiful and right, parent of light and of the lord of light in this visible world, and the immediate source of reason and truth in the intellectual; and that this is the power upon which he who would act rationally, either in public or private life must have his eye fixed.

I agree, he said, as far as I am able to understand you.

Moreover, I said, you must not wonder that those who attain to this beatific vision are unwilling to descend to human affairs; for their souls are ever hastening into the upper world where they desire to dwell; which desire of theirs is very natural, if our allegory may be trusted.

Yes, very natural.

And is there anything surprising in one who passes from divine contemplations to the evil state of man, misbehaving himself in a ridiculous manner; if, while his eyes are blinking and before he has become accustomed to the surrounding darkness, he is compelled to fight in courts of law, or in other places, about the images or the shadows of images of justice, and is endeavouring to meet the conceptions of those who have never yet seen absolute justice?

Anything but surprising, he replied.

Any one who has common sense will remember that the bewilderments of the eyes are of two kinds, and arise from two causes, either from coming out of the light or from going into the light, which is true of the mind's eye, quite as much as of the bodily eye; and he who remembers this when he sees any one whose vision is perplexed and weak, will not be too ready to laugh; he will first ask whether that soul of man has come out of the brighter light, and is unable to see because unaccustomed to the dark, or having turned from darkness to the day is dazzled by excess of light. And he will count the one happy in his condition and state of being, and he will pity the other; or, if he have a mind to laugh at the soul which comes from below into the light, there will be more reason in this than in the laugh which greets him who returns from above out of the light into the den.

That, he said, is a very just distinction.

But then, if I am right, certain professors of education must be wrong when they say that they can put a knowledge into the soul which was not there before, like sight into blind eyes.

They undoubtedly say this, he replied.

Whereas, our argument shows that the power and capacity of learning exists in the soul already; and that just as the eye was unable to turn from darkness to light without the whole body, so too the instrument of knowledge can only by the movement of the whole soul be turned from the world of becoming into that of being, and learn by degrees to endure the sight of being, and of the brightest and best of being, or in other words, of the good.

Very true.

And must there not be some art which will effect conversion in the easiest and quickest manner; not implanting the faculty of sight, for that exists already, but has been turned in the wrong direction, and is looking away from the truth?

Yes, he said, such an art may be presumed.

And whereas the other so-called virtues of the soul seem to be akin to bodily qualities, for even when they are not originally innate they can be implanted later by habit and exercise, the virtue of wisdom more than anything else

contains a divine element which always remains, and by this conversion is rendered useful and profitable; or, on the other hand, hurtful and useless. Did you never observe the narrow intelligence flashing from the keen eye of a clever rogue—how eager he is, how clearly his paltry soul sees the way to his end; he is the reverse of blind, but his keen eyesight is forced into the service of evil, and he is mischievous in proportion to his cleverness.

Very true, he said.

But what if there had been a circumcision of such natures in the days of their youth; and they had been severed from those sensual pleasures, such as eating and drinking, which, like leaden weights, were attached to them at their birth, and which drag them down and turn the vision of their souls upon the things that are below—if, I say, they had been released from these impediments and turned in the opposite direction, the very same faculty in them would have seen the truth as keenly as they see what their eyes are turned to now.

Very likely.

Yes, I said; and there is another thing which is likely, or rather a necessary inference from what has preceded, that neither the uneducated and uninformed of the truth, nor yet those who never make an end of their education, will be able ministers of State; not the former, because they have no single aim of duty which is the rule of all their actions, private as well as public; nor the latter, because they will not act at all except upon compulsion, fancying that they are already dwelling apart in the islands of the blest.

Very true, he replied.

Then, I said, the business of us who are the founders of the State will be to compel the best minds to attain that knowledge which we have already shown to be the greatest of all—they must continue to ascend until they arrive at the good; but when they have ascended and seen enough we must not allow them to do as they do now.

What do you mean?

I mean that they remain in the upper world: but this must not be allowed; they must be made to descend again among the prisoners in the den, and partake of their labours and honours, whether they are worth having or not.

But is not this unjust? he said; ought we to give them a worse life, when they might have a better?

You have again forgotten, my friend, I said, the intention of the legislator, who did not aim at making any one class in the State happy above the rest; the happiness was to be in the whole State, and he held the citizens together by persuasion and necessity, making them benefactors of the State, and therefore benefactors of one another; to this end he created them, not to please themselves, but to be his instruments in binding up the State.

11.

Plato – On Forms

Parmenides

We had come from our home at Clazomenae to Athens, and met Adeimantus and Glaucon in the Agora. Welcome, Cephalus, said Adeimantus, taking me by the hand; is there anything which we can do for you in Athens?

Yes; that is why I am here; I wish to ask a favour of you. What may that be? he said.

I want you to tell me the name of your half brother, which I have forgotten; he was a mere child when I last came hither from Clazomenae, but that was a long time ago; his father's name, if I remember rightly, was Pyrilampes?

Yes, he said, and the name of our brother, Antiphon; but why do you ask?

Let me introduce some countrymen of mine, I said; they are lovers of philosophy, and have heard that Antiphon was intimate with a certain Pythodorus, a friend of Zeno, and remembers a conversation which took place between Socrates, Zeno, and Parmenides many years ago, Pythodorus having often recited it to him.

Quite true.

And could we hear it? I asked.

Nothing easier, he replied; when he was a youth he made a careful study of the piece; at present his thoughts run in another direction; like his grandfather Antiphon he is devoted to horses. But, if that is what you want, let us go and look for him; he dwells at Melita, which is quite near, and he has only just left us to go home.

Accordingly we went to look for him; he was at home, and in the act of giving a bridle to a smith to be fitted. When he had done with the smith, his brothers told him the purpose of our visit; and he saluted me as an acquaintance whom he remembered from my former visit, and we asked him to repeat the dialogue. At first he was not very willing, and complained of the trouble, but at length he consented. He told us that Pythodorus had described to him the appearance of Parmenides and Zeno; they came to Athens, as he said, at the great Panathenaea; the former was, at the time of his visit, about 65 years old, very white with age, but well favoured. Zeno was nearly 40 years of age, tall and fair to look upon; in the days of his youth he was reported to have been beloved by Parmenides. He said that they lodged with Pythodorus in the Ceramicus, outside the wall, whither Socrates, then a very young

man, came to see them, and many others with him; they wanted to hear the writings of Zeno, which had been brought to Athens for the first time on the occasion of their visit. These Zeno himself read to them in the absence of Parmenides, and had very nearly finished when Pythodorus entered, and with him Parmenides and Aristoteles who was afterwards one of the Thirty, and heard the little that remained of the dialogue. Pythodorus had heard Zeno repeat them before.

When the recitation was completed, Socrates requested that the first thesis of the first argument might be read over again, and this having been done, he said: What is your meaning, Zeno? Do you maintain that if being is many, it must be both like and unlike, and that this is impossible, for neither can the like be unlike, nor the unlike like—is that your position?

Just so, said Zeno.

And if the unlike cannot be like, or the like unlike, then according to you, being could not be many; for this would involve an impossibility. In all that you say have you any other purpose except to disprove the being of the many? And is not each division of your treatise intended to furnish a separate proof of this, there being in all as many proofs of the not-being of the many as you have composed arguments? Is that your meaning, or have I misunderstood you?

No, said Zeno; you have correctly understood my general purpose.

I see, Parmenides, said Socrates, that Zeno would like to be not only one with you in friendship but your second self in his writings too; he puts what you say in another way, and would fain make believe that he is telling us something which is new. For you, in your poems, say The All is one, and of this you adduce excellent proofs; and he on the other hand says There is no many; and on behalf of this he offers overwhelming evidence. You affirm unity, he denies plurality. And so you deceive the world into believing that you are saying different things when really you are saying much the same. This is a strain of art beyond the reach of most of us.

Yes, Socrates, said Zeno. But although you are as keen as a Spartan hound in pursuing the track, you do not fully apprehend the true motive of the composition, which is not really such an artificial work as you imagine; for what you speak of was an accident; there was no pretence of a great purpose; nor any serious intention of deceiving the world. The truth is, that these writings of mine were meant to protect the arguments of Parmenides against those who make fun of him and seek to show the many ridiculous and contradictory results which they suppose to follow from the affirmation of the one. My answer is addressed to the partisans of the many, whose attack I return with interest by retorting upon them that their hypothesis of the being of many, if carried out, appears to be still more ridiculous than the hypothesis of the being of one. Zeal for my master led me to write the book in the days of my youth, but some one stole the copy; and therefore I had no choice whether it should be published or not; the motive, however, of writing, was not the ambition of an elder man, but the pugnacity of a young one. This you do not seem to see, Socrates; though in other respects, as I was saying, your notion is a very just one.

I understand, said Socrates, and quite accept your account. But tell me, Zeno, do you not further think that there is an idea of likeness in itself, and another idea of unlikeness, which is the opposite of likeness, and that in these two, you and I and all other things to which we apply the term many, participate—things which participate in likeness become in that degree and manner like; and so far as they participate in unlikeness become in that degree unlike, or both like and unlike in the degree in which they participate in both? And may not all things partake of both opposites, and be both like and unlike, by reason of this participation?—Where is the wonder? Now if a person

could prove the absolute like to become unlike, or the absolute unlike to become like, that, in my opinion, would indeed be a wonder; but there is nothing extraordinary, Zeno, in showing that the things which only partake of likeness and unlikeness experience both. Nor, again, if a person were to show that all is one by partaking of one, and at the same time many by partaking of many, would that be very astonishing. But if he were to show me that the absolute one was many, or the absolute many one, I should be truly amazed. And so of all the rest: I should be surprised to hear that the natures or ideas themselves had these opposite qualities; but not if a person wanted to prove of me that I was many and also one. When he wanted to show that I was many he would say that I have a right and a left side, and a front and a back, and an upper and a lower half, for I cannot deny that I partake of multitude; when, on the other hand, he wants to prove that I am one, he will say, that we who are here assembled are seven, and that I am one and partake of the one. In both instances he proves his case. So again, if a person shows that such things as wood, stones, and the like, being many are also one, we admit that he shows the coexistence of the one and many, but he does not show that the many are one or the one many; he is uttering not a paradox but a truism. If however, as I just now suggested, some one were to abstract simple notions of like, unlike, one, many, rest, motion, and similar ideas, and then to show that these admit of admixture and separation in themselves, I should be very much astonished. This part of the argument appears to be treated by you, Zeno, in a very spirited manner; but, as I was saying, I should be far more amazed if any one found in the ideas themselves which are apprehended by reason, the same puzzle and entanglement which you have shown to exist in visible objects.

While Socrates was speaking, Pythodorus thought that Parmenides and Zeno were not altogether pleased at the successive steps of the argument; but still they gave the closest attention, and often looked at one another, and smiled as if in admiration of him. When he had finished, Parmenides expressed their feelings in the following words:

Socrates, he said, I admire the bent of your mind towards philosophy; tell me now, was this your own distinction between ideas in themselves and the things which partake of them? and do you think that there is an idea of likeness apart from the likeness which we possess, and of the one and many, and of the other things which Zeno mentioned?

I think that there are such ideas, said Socrates.

Parmenides proceeded: And would you also make absolute ideas of the just and the beautiful and the good, and of all that class?

Yes, he said, I should.

And would you make an idea of man apart from us and from all other human creatures, or of fire and water?

I am often undecided, Parmenides, as to whether I ought to include them or not.

And would you feel equally undecided, Socrates, about things of which the mention may provoke a smile?—I mean such things as hair, mud, dirt, or anything else which is vile and paltry; would you suppose that each of these has an idea distinct from the actual objects with which we come into contact, or not?

Certainly not, said Socrates; visible things like these are such as they appear to us, and I am afraid that there would be an absurdity in assuming any idea of them, although I sometimes get disturbed, and begin to think that there

is nothing without an idea; but then again, when I have taken up this position, I run away, because I am afraid that I may fall into a bottomless pit of nonsense, and perish; and so I return to the ideas of which I was just now speaking, and occupy myself with them.

Yes, Socrates, said Parmenides; that is because you are still young; the time will come, if I am not mistaken, when philosophy will have a firmer grasp of you, and then you will not despise even the meanest things; at your age, you are too much disposed to regard the opinions of men. But I should like to know whether you mean that there are certain ideas of which all other things partake, and from which they derive their names; that similars, for example, become similar, because they partake of similarity; and great things become great, because they partake of greatness; and that just and beautiful things become just and beautiful, because they partake of justice and beauty?

Yes, certainly, said Socrates that is my meaning.

Then each individual partakes either of the whole of the idea or else of a part of the idea? Can there be any other mode of participation?

There cannot be, he said.

Then do you think that the whole idea is one, and yet, being one, is in each one of the many?

Why not, Parmenides? said Socrates.

Because one and the same thing will exist as a whole at the same time in many separate individuals, and will therefore be in a state of separation from itself.

Nay, but the idea may be like the day which is one and the same in many places at once, and yet continuous with itself; in this way each idea may be one and the same in all at the same time.

I like your way, Socrates, of making one in many places at once. You mean to say, that if I were to spread out a sail and cover a number of men, there would be one whole including many—is not that your meaning?

I think so.

And would you say that the whole sail includes each man, or a part of it only, and different parts different men?

The latter.

Then, Socrates, the ideas themselves will be divisible, and things which participate in them will have a part of them only and not the whole idea existing in each of them?

That seems to follow.

Then would you like to say, Socrates, that the one idea is really divisible and yet remains one?

Certainly not, he said.

Suppose that you divide absolute greatness, and that of the many great things, each one is great in virtue of a portion of greatness less than absolute greatness—is that conceivable?

No.

Or will each equal thing, if possessing some small portion of equality less than absolute equality, be equal to some other thing by virtue of that portion only?

Impossible.

Or suppose one of us to have a portion of smallness; this is but a part of the small, and therefore the absolutely small is greater; if the absolutely small be greater, that to which the part of the small is added will be smaller and not greater than before.

How absurd!

Then in what way, Socrates, will all things participate in the ideas, if they are unable to participate in them either as parts or wholes?

Indeed, he said, you have asked a question which is not easily answered.

Well, said Parmenides, and what do you say of another question?

What question?

I imagine that the way in which you are led to assume one idea of each kind is as follows: You see a number of great objects, and when you look at them there seems to you to be one and the same idea (or nature) in them all; hence you conceive of greatness as one.

Very true, said Socrates.

And if you go on and allow your mind in like manner to embrace in one view the idea of greatness and of great things which are not the idea, and to compare them, will not another greatness arise, which will appear to be the source of all these?

It would seem so.

Then another idea of greatness now comes into view over and above absolute greatness, and the individuals which partake of it; and then another, over and above all these, by virtue of which they will all be great, and so each idea instead of being one will be infinitely multiplied.

But may not the ideas, asked Socrates, be thoughts only, and have no proper existence except in our minds, Parmenides? For in that case each idea may still be one, and not experience this infinite multiplication.

And can there be individual thoughts which are thoughts of nothing?

Impossible, he said.

The thought must be of something?

Yes.

Of something which is or which is not?

Of something which is.

Must it not be of a single something, which the thought recognizes as attaching to all, being a single form or nature?

Yes.

And will not the something which is apprehended as one and the same in all, be an idea?

From that, again, there is no escape.

Then, said Parmenides, if you say that everything else participates in the ideas, must you not say either that everything is made up of thoughts, and that all things think; or that they are thoughts but have no thought?

The latter view, Parmenides, is no more rational than the previous one. In my opinion, the ideas are, as it were, patterns fixed in nature, and other things are like them, and resemblances of them—what is meant by the participation of other things in the ideas, is really assimilation to them.

But if, said he, the individual is like the idea, must not the idea also be like the individual, in so far as the individual is a resemblance of the idea? That which is like, cannot be conceived of as other than the like of like.

Impossible.

And when two things are alike, must they not partake of the same idea?

They must.

And will not that of which the two partake, and which makes them alike, be the idea itself?

Certainly.

Then the idea cannot be like the individual, or the individual like the idea; for if they are alike, some further idea of likeness will always be coming to light, and if that be like anything else, another; and new ideas will be always arising, if the idea resembles that which partakes of it?

Quite true.

The theory, then, that other things participate in the ideas by resemblance, has to be given up, and some other mode of participation devised?

It would seem so.

Do you see then, Socrates, how great is the difficulty of affirming the ideas to be absolute?

Yes, indeed.

And, further, let me say that as yet you only understand a small part of the difficulty which is involved if you make of each thing a single idea, parting it off from other things.

What difficulty? he said.

There are many, but the greatest of all is this:—If an opponent argues that these ideas, being such as we say they ought to be, must remain unknown, no one can prove to him that he is wrong, unless he who denies their existence be a man of great ability and knowledge, and is willing to follow a long and laborious demonstration; he will remain unconvinced, and still insist that they cannot be known.

What do you mean, Parmenides? said Socrates.

In the first place, I think, Socrates, that you, or any one who maintains the existence of absolute essences, will admit that they cannot exist in us.

No, said Socrates; for then they would be no longer absolute.

True, he said; and therefore when ideas are what they are in relation to one another, their essence is determined by a relation among themselves, and has nothing to do with the resemblances, or whatever they are to be termed, which are in our sphere, and from which we receive this or that name when we partake of them. And the things which are within our sphere and have the same names with them, are likewise only relative to one another, and not to the ideas which have the same names with them, but belong to themselves and not to them.

What do you mean? said Socrates.

I may illustrate my meaning in this way, said Parmenides:—A master has a slave; now there is nothing absolute in the relation between them, which is simply a relation of one man to another. But there is also an idea of mastership in the abstract, which is relative to the idea of slavery in the abstract. These natures have nothing to do with us, nor we with them; they are concerned with themselves only, and we with ourselves. Do you see my meaning?

Yes, said Socrates, I quite see your meaning.

And will not knowledge—I mean absolute knowledge—answer to absolute truth?

Certainly.

And each kind of absolute knowledge will answer to each kind of absolute being?

Yes.

But the knowledge which we have, will answer to the truth which we have; and again, each kind of knowledge which we have, will be a knowledge of each kind of being which we have?

Certainly.

But the ideas themselves, as you admit, we have not, and cannot have?

No, we cannot.

And the absolute natures or kinds are known severally by the absolute idea of knowledge?

Yes.

And we have not got the idea of knowledge?

No.

Then none of the ideas are known to us, because we have no share in absolute knowledge?

I suppose not.

Then the nature of the beautiful in itself, and of the good in itself, and all other ideas which we suppose to exist absolutely, are unknown to us?

It would seem so.

I think that there is a stranger consequence still.

What is it?

Would you, or would you not say, that absolute knowledge, if there is such a thing, must be a far more exact knowledge than our knowledge; and the same of beauty and of the rest?

Yes.

And if there be such a thing as participation in absolute knowledge, no one is more likely than God to have this most exact knowledge?

Certainly.

But then, will God, having absolute knowledge, have a knowledge of human things?

Why not?

Because, Socrates, said Parmenides, we have admitted that the ideas are not valid in relation to human things; nor human things in relation to them; the relations of either are limited to their respective spheres.

Yes, that has been admitted.

And if God has this perfect authority, and perfect knowledge, his authority cannot rule us, nor his knowledge know us, or any human thing; just as our authority does not extend to the gods, nor our knowledge know anything which is divine, so by parity of reason they, being gods, are not our masters, neither do they know the things of men.

Yet, surely, said Socrates, to deprive God of knowledge is monstrous.

These, Socrates, said Parmenides, are a few, and only a few of the difficulties in which we are involved if ideas

really are and we determine each one of them to be an absolute unity. He who hears what may be said against them will deny the very existence of

them—and even if they do exist, he will say that they must of necessity be unknown to man; and he will seem to have reason on his side, and as we were remarking just now, will be very difficult to convince; a man must be gifted with very considerable ability before he can learn that everything has a class and an absolute essence; and still more remarkable will he be who discovers all these things for himself, and having thoroughly investigated them is able to teach them to others.

I agree with you, Parmenides, said Socrates; and what you say is very much to my mind.

And yet, Socrates, said Parmenides, if a man, fixing his attention on these and the like difficulties, does away with ideas of things and will not admit that every individual thing has its own determinate idea which is always one and the same, he will have nothing on which his mind can rest; and so he will utterly destroy the power of reasoning, as you seem to me to have particularly noted.

Very true, he said.

But, then, what is to become of philosophy? Whither shall we turn, if the ideas are unknown?

Aristotle – On Categories

Categories

Chapter 4

OF things not complex enunciated, each signifies either Substance, or Quantity, or Quality, or Relation, or Where, or When, or Position, or Possession, or Action, or Passion. But Substance is, (to speak generally,) as “man,” “horse;” Quantity, as “two” or “three cubits;” Quality, as “white,” a “grammatical thing;” Relation, as “a double,” “a half,” “greater;” Where, as “in the Forum,” “in the Lyceum;” When, as “yesterday,” “last year;” Position, as “he reclines,” “he sits;” Possession, as “he is shod,” “he is armed;” Action, as “he cuts,” “he burns;” Being acted upon, as “he is cut,” “he is burnt.” Now each of the above, considered by itself, is predicated neither affirmatively nor negatively, but from the connexion of these with each other, affirmation or negation arises. For every affirmation or negation appears to be either true or false, but of things enunciated without any connexion, none is either true or false, as “man,” “white,” “runs,” “conquers.”

Chapter 5

SUBSTANCE, in its strictest, first, and chief sense, is that which is neither predicated of any subject, nor is in any; as “a certain man” or “a certain horse.” But secondary substances are they, in which as species, those primarily-named substances are inherent, that is to say, both these and the genera of these species; as “a certain man” exists in “man,” as in a species, but the genus of this species is “animal;” these, therefore, are termed secondary substances, as both “man” and “animal.” But it is evident, from what has been said, that of those things which are predicated of a subject, both the name and the definition must be predicated of the subject, as “man” is predicated of “some certain man,” as of a subject, and the name, at least, is predicated, for you will predicate “man” of “some certain man,” and the definition of man will be predicated of “some certain man,” for “a certain man” is both “man” and “animal;” wherefore both the name and the definition will be predicated of a subject. But of things which are in a subject for the most part, neither the name nor the definition is predicated of the subject, yet with some, there is nothing to prevent the name from being sometimes predicated of the subject, though the definition cannot be so; as “whiteness” being in a body, as in a subject, is predicated of the subject, (for the body is termed “white,”) but the definition of “whiteness” can never be predicated of body. All other things, however, are either predicated of primary substances, as of subjects, or are inherent in them as in subjects; this, indeed, is

evident, from several obvious instances, thus “animal” is predicated of “man,” and therefore is also predicated of some “certain man,” for if it were predicated of no “man” particularly, neither could it be of “man” universally. Again, “colour” is in “body,” therefore also is it in “some certain body,” for if it were not in “some one” of bodies singularly, it could not be in “body” universally; so that all other things are either predicated of primary substances as of subjects, or are inherent in them as in subjects; if therefore the primal substances do not exist, it is impossible that any one of the rest should exist.

But of secondary substances, species is more substance than genus; for it is nearer to the primary substance, and if any one explain what the primary substance is, he will explain it more clearly and appropriately by giving the species, rather than the genus; as a person defining “a certain man” would do so more clearly, by giving “man” than “animal,” for the former is more the peculiarity of “a certain man,” but the latter is more common. In like manner, whoever explains what “a certain tree” is, will define it in a more known and appropriate manner, by introducing “tree” than “plant.” Besides the primary substances, because of their predicates; subjection to all other things, and these last being either predicated of them, or being in them, are for this reason, especially, termed substances. Yet the same relation as the primary substances bear to all other things, does species bear to genus, for species is subjected to genus since genera are predicated of species, but species are not reciprocally predicated of genera, whence the species is rather substance than the genus.

Of species themselves, however, as many as are not genera, are not more substance, one than another, for he will not give a more appropriate definition of “a certain man,” who introduces “man,” than he who introduces “horse,” into the definition of “a certain horse:” in like manner of primary substances, one is not more substance than another, for “a certain man” is not more substance than a “certain ox.” With reason therefore, after the first substances, of the rest, species and genera alone are termed secondary substances, since they alone declare the primary substances of the predicates; thus, if any one were to define what “a certain man” is, he would, by giving the species or the genus, define it appropriately, and will do so more clearly by introducing “man” than “animal;” but whatever else he may introduce, he will be introducing, in a manner, foreign to the purpose, as if he were to introduce “white,” or “runs,” or any thing else of the kind, so that with propriety of the others, these alone are termed substances. Moreover, the primary substances, because they are subject to all the rest, and all the others are predicated of, or exist in, these, are most properly termed substances, but the same relation which the primary substances bear to all other things, do the species and genera of the first substances bear to all the rest, since of these, are all the rest predicated, for you will say that “a certain man” is “a grammarian,” and therefore you will call both “man” and “animal” “a grammarian,” and in like manner of the rest.

It is common however to every substance, not to be in a subject, for neither is the primal substance in a subject, nor is it predicated of any; but of the secondary substances, that none of them is in a subject, is evident from this; “man” is predicated of “some certain” subject “man,” but is not in a subject, for “man” is not in “a certain man.”

So also “animal” is predicated of “some certain” subject “man,” but “animal” is not in “a certain man.” Moreover of those which are, in the subject, nothing prevents the name from being sometimes predicated of the subject, but that the definition should be predicated of it, is impossible. Of secondary substances however the definition and the name are both predicated of the subject, for you will predicate the definition of “a man” concerning “a certain man,” and likewise the definition of “animal,” so that substance, may not be amongst the number, of those things which are in a subject.

This however is not the peculiarity of substance, but difference also is of the number of those things not in a

subject; for “pedestrian” and “biped” are indeed predicated of “a man” as of a subject, but are not in a subject, for neither “biped” nor “pedestrian” is in “man.” The definition also of difference is predicated of that, concerning which, difference is predicated, so that if “pedestrian” be predicated of “man,” the definition also of “pedestrian” will be predicated of man, for “man” is “pedestrian.” Nor let the parts of substances, being in wholes as in subjects, perplex us, so that we should at any time be compelled to say, that they are not substances; for in this manner, things would not be said to be in a subject, which are in any as parts. It happens indeed both to substances and to differences alike, that all things should be predicated of them univocally, for all the categories from them are predicated either in respect of individuals or of species, since from the primary substance there is no category, for it is predicated in respect of no subject. But of secondary substances, species indeed is predicated in respect of the individual, but genus in respect to species and to individuals, so also differences are predicated as to species and as to individuals. Again, the primary substances take the definition of species and of genera, and the species the definition of the genus, for as many things as are said of the predicate, so many also will be said of the subject, likewise both the species and the individuals accept the definition of the differences: those things at least were univocal, of which the name is common and the definition the same, so that all which arise from substances and differences are predicated univocally.

Nevertheless every substance appears to signify this particular thing: as regards then the primary substances, it is unquestionably true that they signify a particular thing, for what is signified is individual, and one in number, but as regards the secondary substances, it appears in like manner that they signify this particular thing, by the figure of appellation, when any one says “man” or “animal,” yet it is not truly so, but rather they signify a certain quality, for the subject is not one, as the primary substance, but “man” and “animal” are predicated in respect of many. Neither do they signify simply a certain quality, as “white,” for “white” signifies nothing else but a thing of a certain quality, but the species and the genus determine the quality, about the substance, for they signify what quality a certain substance possesses: still a wider limit is made by genus than by species, for whoever speaks of “animal,” comprehends more than he who speaks of “man.”

It belongs also to substances that there is no contrary to them, since what can be contrary to the primary substance, as to a certain “man,” or to a certain “animal,” for there is nothing contrary either at least to “man” or to “animal?” Now this is not the peculiarity of substance, but of many other things, as for instance of quantity; for there is no contrary to “two” cubits nor to “three” cubits, nor to “ten,” nor to any thing of the kind, unless some one should say that “much” is contrary to “little,” or “the great” to “the small;” but of definite quantities, none is contrary to the other. Substance, also, appears not to receive greater or less; I mean, not that one substance is not, more or less, substance, than another, for it has been already said that it is, but that every substance is not said to be more or less, that very thing, that it is; as if the same substance be “man” he will not be more or less “man;” neither himself than himself, nor another “man” than another, for one “man” is not more “man” than another, as one “white thing” is more and less “white” than another, and one “beautiful” thing more and less “beautiful” than another, and “the same thing” more or less than “itself;” so a body being “white,” is said to be more “white” now, than it was before, and if “warm” is said to be more or less “warm.” Substance at least is not termed more or less substance, since “man” is not said to be more “man” now, than before, nor any one of such other things as are substances: hence substance is not capable of receiving the greater and the less.

It appears however, to be especially the peculiarity of substance, that being one and the same in number, it can receive contraries, which no one can affirm of the rest which are not substances, as that being one in number, they are capable of contraries. Thus “colour,” which is one and the same in number, is not “white” and “black,”

neither the same action, also one in number, both bad and good; in like manner of other things as many as are not substances. But substance being one, and the same in number, can receive contraries, as “a certain man” being one and the same, is at one time, white, and at another, black, and warm and cold, and bad and good. In respect of none of the rest does such a thing appear, except some one should object, by saying, that a sentence and opinion are capable of receiving contraries, for the same sentence appears to be true and false; thus if the statement be true that “some one sits,” when he stands up, this very same statement will be false. And in a similar manner in the matter of opinion, for if any one should truly opine that a certain person sits, when he rises up he will opine falsely, if he still holds the same opinion about him. Still, if any one, should even admit this, yet there is a difference in the mode. For some things in substances, being themselves changed, are capable of contraries, since cold, being made so, from hot, has changed, for it is changed in quality, and black from white, and good from bad: in like manner as to other things, each one of them receiving change is capable of contraries. The sentence indeed and the opinion remain themselves altogether immovable, but the thing being moved, a contrary is produced about them; the sentence indeed remains the same, that “some one sits,” but the thing being moved, it becomes at one time, true, and at another, false. Likewise as to opinion, so that in this way, it will be the peculiarity of substance, to receive contraries according to the change in itself, but if any one admitted this, that a sentence and opinion can receive contraries, this would not be true. For the sentence and the opinion are not said to be capable of contraries in that they have received any thing, but, in that about something else, a passive quality has been produced, for in that a thing is, or is not, in this, is the sentence said to be true, or false, not in that itself, is capable of contraries. In short, neither is a sentence nor an opinion moved by any thing, whence they cannot be capable of contraries, no passive quality being in them; substance at least, from the fact of itself receiving contraries, is said in this to be capable of contraries, for it receives disease and health, whiteness and blackness, and so long as it receives each of these, it is said to be capable of receiving contraries. Wherefore it will be the peculiarity of substance, that being the same, and one in number, according to change in itself, it is capable of receiving contraries; and concerning substance this may suffice.

13.

Aristotle - On Language and the Way Truth Works

On Interpretation

Chapter 1

WE must first determine what a noun, and what a verb, are; next, what are negation, affirmation, enunciation, and a sentence.

Those things therefore which are in the voice, are symbols of the passions of the soul, and when written, are symbols of the (passions) in the voice, and as there are not the same letters among all men, so neither have all the same voices, yet those passions of the soul, of which these are primarily the signs, are the same among all, the things also, of which these are the similitudes, are the same. About these latter, we have spoken in the treatise “Of the Soul”, for they are parts belonging to another discussion, but as in the soul, there is sometimes a conception, without truth or falsehood, and at another time, it is such, as necessarily to have one of these, inherent in it, so also is it with the voice, for falsehood and truth are involved in composition and division. Nouns therefore and verbs of themselves resemble conception, without composition and division, as “man,” or “white,” when something is not added, for as yet it is neither true nor false, an instance of which is that the word τραγέλαφος [goat-stag] signifies something indeed, but not yet any thing true or false, unless to be, or not to be, is added, either simply, or according to time.

Chapter 2

A NOUN therefore is a sound significant by compact without time, of which no part is separately significant; thus in the noun κάλλιπος [fair-horse], the ἵππος signifies nothing by itself, as it does in the sentence καλὸς ἵππος; neither does it happen with simple nouns as it does with composite, for in the former there is by no means the part significant, but in the latter a part would be, yet signifies nothing separately, as in the word ἐπακτροκέλης [piratical ship], the κέλης signifies nothing by itself. But it is according to compact, because naturally there is no noun; but when it becomes a symbol, since illiterate sounds also signify something, as the sounds of beasts, of which there is no noun.

“Not man,” however, is not a noun, neither is a name instituted by which we ought to call it, since it is neither a

sentence, nor a negation; but let it be an indefinite noun because it exists in respect of every thing alike, both of that which is, and of that which is not. Φίλωνος indeed, or Φίλωνι, and such like words are not nouns, but cases of a noun, but the definition of it (that is, of the case) is the same as to other things (with the definition of a noun), but (it differs in) that, with (the verb) “is” or “was” or “will be,” it does not signify what is true or false, but the noun always (signifies this), as “Philonus is,” or “is not,” for as yet, this neither signifies what is true, nor what is false.

Chapter 3

A VERB, is that which, besides something else, signifies time; of which no part is separately significant, and it is always indicative of those things which are asserted of something else. But I say that it signifies time, besides something else, as for instance, “health” is a noun, but “is well” is a verb; for it signifies, besides being well, that such is the case now: it is always also significant of things asserted of something else, as of those which are predicated of a subject, or which are in a subject.

Nevertheless I do not call, “is not well,” and, “is not ill”—verbs; for indeed they signify time, besides something else, and are always (significant) of something, yet a name is not given to this difference, let either be therefore an indefinite verb, because it is similarly inherent both in whatever does, and does not exist. So also “was well” or “will be well” are not *verbs*, but they are cases of a verb, and differ from a verb, because the latter, besides something else, signifies present time; but the others, that which is about the present time.

Verbs therefore so called, by themselves, are nouns, and have a certain signification, for the speaker establishes conception, and the hearer acquiesces, but they do not yet signify whether a thing “is” or “is not,” for neither is “to be” or “not to be” a sign of a thing, nor if you should say merely, “being,” for that is nothing; they signify however, besides something else, a certain composition, which without the composing members it is impossible to understand.

Chapter 4

A SENTENCE is voice significant by compact, of which any part separately possesses signification, as indeed a word, yet not as affirmation or negation; now I say for example “man” is significant, but does not imply that it “is” or “is not;” it will however be affirmation or negation, if any thing be added to it. One syllable of the word ἄνθρωπος, is not however (significant), neither the “ῶς” in “μῶς,” but it is now merely sound; still in compound words a part is significant, but not by itself, as we have observed.

Now every sentence is significant, not as an instrument, but, as we have said, by compact, still not every sentence is enunciative, but that in which truth or falsehood is inherent, which things do not exist in all sentences, as prayer is a sentence, but it is neither true nor false. Let therefore the other sentences be dismissed, their consideration belongs more properly to Rhetoric or Poetry; but the enunciative sentence to our present theory.

Chapter 5

ONE first enunciative sentence is affirmation; afterwards negation, and all the rest are one by conjunction. It is necessary however that every enunciative sentence should be from a verb, or from the case of a verb, for

the definition of “man,” unless “is,” or “was,” or “will be,” or something of this kind, be added, is not yet an enunciative sentence. Why indeed is the sentence “a terrestrial biped animal” one thing, and not many things? for it will not be one, because it is consecutively pronounced: this however belongs to another discussion. One enunciative sentence, moreover, is either that which signifies one thing, or which is one by conjunction, and many (such sentences) are either those which signify many things and not one thing, or which are without conjunction. Let therefore a noun or a verb be only a word, since we cannot say that he enunciates who thus expresses any thing by his voice whether he is interrogated by any one or not, but that he speaks from deliberate intention. Now of these enunciations one is simple, for instance something of something, or from something, but another is composed of these, as a certain sentence which is already a composite; simple enunciation, then, is voice significant about something being inherent, or non-inherent, according as times are divided.

Chapter 6

AFFIRMATION is the enunciation of something concerning something, but negation is the enunciation of something from something. Since, however, a man may enunciate what is inherent as though it were not, and what is not as though it were; that which is, as if it were, and that which is not, as if it were not, and in like manner about times external to the present; it is possible that whatever any one affirms may be denied, and that whatever any one denies may be affirmed, whence it is evident that to every affirmation there is an opposite negation, and to every negation an opposite affirmation. Let this be contradiction, affirmation and negation being opposites, but I call that opposition which is of the same respecting the same, not equivocally, and such other particulars of the kind as we have concluded against sophistical importunities.

Chapter 7

OF things, since some are universal, but others singular, (and by universal I mean whatever may naturally be predicated of many things, but by singular, that which may not: as “man” is universal, but “Callias” singular,) it is necessary to enunciate that something is, or is not, inherent, at one time, in an universal, at another in a singular thing. Now, if any one universally enunciates of an universal, that something is or is not inherent, these enunciations will be contrary: I mean universally enunciates of an universal, as that “every man is white,” “no man is white.” When on the other hand he enunciates of universals, not universally, these are not contraries, though the things signified may sometimes be contrary; but I mean by not universally enunciating of universals, as that “man is white,” “man is not white:” for man being universal, is not employed as an universal in the enunciation, since the word “every” does not signify the universal, but (shows that the subject is) universally (taken). Now to predicate universally of what is universally predicated is not true, for no affirmation will be true in which the universal is predicated of an universal predicate, as for instance, “every man” is “every animal.” Wherefore I say affirmation is opposed to negation contradictorily, the affirmation which signifies the universal to that which is not universal, as “every man is white,” “not every man is white,” “no man is white,” “some man is white.” But contrarily is between universal affirmative and universal negative, as “every man is white,” “no man is white,” “every man is just,” “no man is just.” Wherefore it is impossible that these should at one and the same time be true, but the opposites to these may sometimes possibly be co-verified about the same thing, as that “not every man is white,” and “some man is white.” Of such contradictions then of universals, as are universally made, one must necessarily be true or false, and also such as are of singulars, as “Socrates is white,” “Socrates is not white;” but of such contradictions as are indeed of universals, yet are not universally made, one is not always true, but

the other false. For at one and the same time we may truly say that “man is white,” and that “man is not white,” and “man is handsome,” and “man is not handsome,” for if he is deformed he is not handsome, and if any thing is *becoming* to be, it *is*, not. This however may at once appear absurd, because the assertion “man is not white,” seems at the same time to signify the same thing, as “no man is white,” but it neither necessarily signifies the same thing, nor at the same time.

Notwithstanding it is evident that of one affirmation there is one negation, for it is necessary that the negation should deny the same thing which the affirmation affirmed, and also from the same, (i. e.) either from some singular or some universal, universally or not universally; I say, for instance, that “Socrates is white,” “Socrates is not white.” If however there is something else from the same thing, or the same thing from something else, that (enunciation) will not be opposite, but different from it; to the one, “every man is white,” the other (is opposed) “not every man is white,” and to the one, “a certain man is white,” the other, “no man is white;” and to the one, “man is white,” the other, “man is not white.”

That there is then one affirmation contradictorily opposed to one negation, and what these are, has been shown, also that there are other contraries, and what they are, and that not every contradiction is true or false, and why and when it is true or false.

Chapter 8

THE affirmation and negation are one, which indicate one thing of one, either of an universal, being taken universally, or in like manner if it is not, as “every man is white,” “not every man is white,” “man is white,” “man is not white,” “no man is white,” “some man is white,” if that which is white signifies one thing. But if one name be given to two things, from which one thing does not arise, there is not one affirmation nor one negation; as if any one gave the name “garment” to a “horse,” and to “a man;” that “the garment is white,” this will not be one affirmation, nor one negation, since it in no respect differs from saying “man” and “horse” are “white,” and this is equivalent to “man is white,” and “horse is white.” If therefore these signify many things, and are many, it is evident that the first enunciation either signifies many things or nothing, for “some man is not a horse,” wherefore neither in these is it necessary that one should be a true, but the other a false contradiction.

Chapter 9

IN those things which are, and have been, the affirmation and negation must of necessity be true or false; in universals, as universals, always one true but the other false, and also in singulars, as we have shown; but in the case of universals not universally enunciated, there is no such necessity, and concerning these we have also spoken, but as to singulars and futures, this is not the case. For if every affirmation or negation be true or false, it is also necessary that every thing should exist or should not exist, for if one man says that a thing will be, but another denies the same, one of them must evidently of necessity speak truth, if every affirmation or negation be true or false, for both will not subsist in such things at one and the same time. Thus if it is true to say that “a thing is white,” or that “it is not white,” it must of necessity be “white” or not “white,” and if it is white or not white, it was true to affirm or to deny it: also if it *is not*, it is falsely said to be, and if it is falsely said to be, it is not; so that it is necessary that either the affirmation or the negation should be true or false. Indeed there is nothing which either is, or is generated fortuitously, nor casually, nor will be, or not be, but all things are from necessity, and not casually, for either he who affirms speaks truth, or he who denies, for in like manner it might either have

been or not have been, for that which subsists casually neither does nor will subsist more in this way than in that. Moreover if a thing is now “white,” it was true to say before that it will be “white,” so that it was always true to say of any thing generated that it either is, or that it will be; but if it was always true to say that it is, or will be, it is impossible that this is not, nor should be; and whatever must of necessity be, it is impossible that it should not have been generated, and what it is impossible should not have been generated must of necessity have been generated; wherefore all things that will be, it is necessary should be generated, and hence there will be nothing casual nor fortuitous, for if it were fortuitous it would not be of necessity. Nor is it possible to say, that neither of them is true, as that it will neither be, nor will not be, for in the first place the affirmation being false, the negation will not be true, and this being false, it results that the affirmation is not true. And besides, if it were true to say that a thing is at the same time “white” and “great,” both must of necessity be, but if it shall be to-morrow, it must necessarily be to-morrow, and if it will neither be nor will not be to-morrow, it will not be a casual thing, for example, a naval engagement, for it would be requisite that the engagement should neither occur nor not occur.

These and similar absurdities then will happen, if of every affirmation and negation, whether in respect of universals enunciated universally, or of singulars, it is necessary that one of the opposites be true and the other false, but that nothing happens casually in those things which subsist, but that all are, and are generated of necessity; so that it will neither be necessary to deliberate nor to trouble ourselves, as if we shall do this thing, something definite will occur, but if we do not, it will not occur. For there is nothing to prevent a person for ten thousand years asserting that this will happen, and another person denying it, so that of necessity it will have been then true to assert either of them. And it makes no difference whether any persons have uttered a contradiction or not, for it is evident that the things are so, although the one should not have affirmed any thing, or the other have denied it, since it is not, because it has been affirmed or denied, that therefore a thing will or will not be, neither will it be more so for ten thousand years than for any time whatever. Hence if a thing so subsisted in every time that one of these is truly asserted of it, it was necessary that this should take place; and each thing generated, always so subsisted, as to have been generated from necessity, for when any one truly said that it will be, it was not possible not to have been generated, and of that which is generated, it was always true to say that it will be.

But if these things are impossible—(for we see that there is a beginning of future things, both from our deliberation and practice, and briefly in things which do not always energize, there is equally a power of being and of not being, in which both to be and not to be occurs, as well as to have been generated and not to have been generated; and, indeed, we have many things which evidently subsist in this manner, for example, it is possible for this garment to have been cut in pieces, and it may not be cut in pieces, but be worn out beforehand, so also it is possible that it may not be cut in pieces, for it would not have been worn out before, unless it had been possible that it might not be cut in pieces, and so also in respect of other productions, which are spoken of according to a power of this kind—) then it is evident that all things neither are, nor are generated of necessity, but that some things subsist casually, and that their affirmation is not more true than their negation, and that there are others in which one of these subsists more frequently, and for the most part, yet so, that either might possibly have occurred, but the other not.

Wherefore, being, must of necessity be when it is, and non-being, not be, when it is not; but it is not necessary that every being should be, nor that non-being should not be, since it is not the same thing for every being to be from necessity, when it is, and simply to be from necessity, and in like manner as to non-being. There is the same reasoning also in the case of contradiction; to be or not to be is necessary for every thing, also that it shall, or shall not be, yet it is not requisite to speak of each separately, but I say, for instance, that it is necessary for a naval action

to occur or not occur to-morrow, yet it is not necessary that there should be a naval action to-morrow, nor that there should not be; it is necessary, however, that it should either be or not be. Wherefore, since assertions and things are similarly true, it is evident that things which so subsist, as that whatever have happened, the contraries also were possible, it is necessary that contradiction should subsist in the same manner, which happens to those things which are not always, or which not always, are not. For of these, one part of the contradiction must necessarily be true or false, not indeed this or that, but just as it may happen, and one must be the rather true, yet not already true nor false; so that it is evidently not necessary that of every affirmation and negation of opposites, one should be true, but the other false; for it does not happen in the same manner with things which are not, but which either may or may not be, as with things which are, but it happens as we have said.

14.

Gottfried Wilhelm Leibniz – On Substances

Discourse on Metaphysics

VIII. In order to distinguish between the activities of God and the activities of created things we must explain the conception of an individual substance.

It is quite difficult to distinguish God's actions from those of his creatures. Some think that God does everything; others imagine that he only conserves the force that he has given to created things. How far can we say either of these opinions is right?

In the first place since activity and passivity pertain properly to individual substances (actiones sunt suppositonini) it will be necessary to explain what such a substance is. It is indeed true that when several predicates are attributes of a single subject and this subject is not an attribute of another, we speak of it as an individual substance, but this is not enough, and such an explanation is merely nominal. We must therefore inquire what it is to be an attribute in reality of a certain subject. Now it is evident that every true predication has some basis in the nature of things, and even when a proposition is not identical, that is, when the predicate is not expressly contained in the subject, it is still necessary that it be virtually contained in it, and this is what the philosophers call *inesse*, saying thereby that the predicate is in the subject. Thus the content of the subject must always include that of the predicate in such a way that if one understands perfectly the concept of the subject, he will know that the predicate appertains to it also. This being so, we are able to say that this is the nature of an individual substance or of a complete being, namely, to afford a conception so complete that the concept shall be sufficient for the understanding of it and for the deduction of all the predicates of which the substance is or may become the subject. Thus the quality of king, which belonged to Alexander the Great, an abstraction from the subject, is not sufficiently determined to constitute an individual, and does not contain the other qualities of the same subject, nor everything which the idea of this prince includes. God, however, seeing the individual concept, or *haecceity*, of Alexander, sees there at the same time the basis and the reason of all the predicates which can be truly uttered regarding him; for instance that he will conquer Darius and Porus, even to the point of knowing a priori (and not by experience) whether he died a natural death or by poison, facts which we can learn only through history. When we carefully consider the connection of things we see also the possibility of saying that there was always in the soul of Alexander marks of all that had happened to him and evidences of all that would happen to him and traces even of everything which occurs in the universe, although God alone could recognize them all.

IX. That every individual substance expresses the whole universe in its own manner and that in its full concept is included all its experiences together with all the attendant circumstances and the whole sequence of exterior events.

There follow from these considerations several noticeable paradoxes; among others that it is not true that two substances may be exactly alike and differ only numerically, solo mimero, and that what St. Thomas says on this point regarding angels and intelligences (*quod ibi omne individuum sit species infimd*) is true of all substances, provided that the specific difference is understood as Geometers understand it in the case of figures; again that a substance will be able to commence only through creation and perish only through annihilation; that a substance cannot be divided into two nor can one be made out of two, and that thus the number of substances neither augments nor diminishes through natural means, although they are frequently transformed. Furthermore every substance is like an entire world and like a mirror of God, or indeed of the whole world which it portrays, each one in its own fashion; almost as the same city is variously represented according to the various situations of him who is regarding it. Thus the universe is multiplied in some sort as many times as there are substances, and the glory of God is multiplied in the same way by as many wholly different representations of his works. It can indeed be said 'that every substance bears in some sort the character of God's infinite wisdom and omnipotence, and imitates him as much as it is able to; for it expresses, although confusedly, all that happens in the universe, past, present and future, deriving thus a certain resemblance to an infinite perception or power of knowing. And since all other substances express this particular substance and accommodate themselves to it, we can say that it exerts its power upon all the others in imitation of the omnipotence of the creator.

X. That the belief in substantial forms has a certain basis in fact, but that these forms effect no changes in the phenomena and must not be employed for the explanation of particular events.

It seems that the ancients, able men, who were accustomed to profound meditations and taught theology and philosophy for several centuries and some of whom recommend themselves to us on account of their piety, had some knowledge of that which we have just said and this is why they introduced and maintained the substantial forms so much decried to-day. But they were not so far from the truth nor so open to ridicule as the common run of our new philosophers imagine. I grant that the consideration of these forms is of no service in the details of physics and ought not to be employed in the explanation of particular phenomena. In regard to this last point, the schoolmen were at fault, as were also the physicians of times past who followed their example, thinking they had given the reason for the properties of a body in mentioning the forms and qualities without going to the trouble of examining the manner of operation; as if one should be content to say that a clock had a certain amount of clockness derived from its form, and should not inquire in what that clockness consisted. This is indeed enough for the man who buys it, provided he surrenders the care of it to someone else. The fact, however, that there was this misunderstanding and misuse of the substantial forms should not bring us to throw away something whose recognition is so necessary in metaphysics. Since without these we will not be able, I hold, to know the ultimate principles nor to lift our minds to the knowledge of the incorporeal natures and of the marvels of God. Yet as the geometer does not need to encumber his mind with the famous puzzle of the composition of the continuum, and as no moralist, and still less a jurist or a statesman has need to trouble himself with the great difficulties which arise in conciliating free will with the providential activity of God, (since the geometer is able to make all his demonstrations and the statesman can complete all his deliberations without entering into these discussions which are so necessary and important in Philosophy and Theology), so in the same way the physicist can explain his experiments, now using simpler experiments already made, now employing geometrical

and mechanical demonstrations without any need of the general considerations which belong to another sphere, and if he employs the co-operation of God, or perhaps of some soul or animating force, or something else of a similar nature, he goes out of his path quite as much as that man who, when facing an important practical question would wish to enter into profound argumentations regarding the nature of destiny and of our liberty; a fault which men quite frequently commit without realizing it when they cumber their minds with considerations regarding fate, and thus they are even sometimes turned from a good resolution or from some necessary provision.

XI. That the opinions of the theologians and of the so-called scholastic philosophers are not to be wholly despised.

I know that I am advancing a great paradox in pretending to resuscitate in some sort the ancient philosophy[^] and to recall postliminio the substantial forms almost banished from our modern thought. But perhaps I will not be condemned lightly when it is known that I have long meditated over the modern philosophy and that I have devoted much time to experiments in physics and to the demonstrations of geometry and that I, too, for a long time was persuaded of the baselessness of those “beings” which, however, I was finally obliged to take up again in spite of myself and as though by force. The many investigations which I carried on compelled me to recognize that our moderns do not do sufficient justice to Saint Thomas and to the other great men of that period and that there is in the theories of the scholastic philosophers and theologians far more solidity than is imagined, provided that these theories are employed a propos and in their place. I am persuaded that if some careful and meditative mind were to take the trouble to clarify and direct their thoughts in the manner of analytic geometers, he would find a great treasure of very important truths, wholly demonstrable.

XII. That the conception of the extension of a body is in a way imaginary and does not constitute the substance of the body.

But to resume the thread of our discussion, I believe that he who will meditate upon the nature of substance, as I have explained it above, will find that the whole nature of bodies is not exhausted in their extension, that is to say, in their size, figure and motion, but that we must recognize something which corresponds to soul, something which is commonly called substantial form, although these forms effect no change in the phenomena, any more than do the souls of beasts, that is if they have souls. It is even possible to demonstrate that the ideas of size, figure and motion are not so distinctive as is imagined, and that they stand for something imaginary relative to our preceptions as do, although to a greater extent, the ideas of color, heat, and the other similar qualities in regard to which we may doubt whether they are actually to be found in the nature of the things outside of us. This is why these latter qualities are unable to constitute “sub- stance” and if there is no other principle of identity in bodies than that which has just been referred to a body would not subsist more than for a moment.

The souls and the substance-forms of other bodies are entirely different from intelligent souls which alone know their actions, and not only do not perish through natural means but indeed always retain the knowledge of what they are; a fact which makes them alone open to chastisement or recompense, and makes them citizens of the republic of the universe whose monarch is God. Hence it follows that all the other creatures should serve them, a point which we shall discuss more amply later.

XIII. As the individual concept of each person includes once for all everything which can ever happen to him, in it can be seen, a priori the evidences or the reasons for the reality of each event, and why one happened sooner than the other. But these events, how- ever certain, are nevertheless contingent, being

based on the free choice of God and of his creatures. It is true that their choices always have their reasons, but they incline to the choices under no compulsion of necessity.

But before going further it is necessary to meet a difficulty which may arise regarding the principles which we have set forth in the preceding. We have said that the concept of an individual substance includes once for all everything which can ever happen to it and that in considering this concept one will be able to see everything which can truly be said concerning the individual, just as we are able to see in the nature of a circle all the properties which can be derived from it. But does it not seem that in this way the difference between contingent and necessary truths will be destroyed, that there will be no place for human liberty, and that an absolute fatality will rule as well over all our actions as over all the rest of the events of the world? To this I reply that a distinction must be made between that which is certain and that which is necessary. Every one grants that future contingencies are assured since God foresees them, but we do not say just because of that that they are necessary. But it will be objected, that if any conclusion can be deduced infallibly from some definition or concept, it is necessary; and now since we have maintained that everything which is to happen to anyone is already virtually included in his nature or concept, as all the properties are contained in the definition of a circle, therefore, the difficulty still remains. In order to meet the objection completely, I say that the connection or sequence is of two kinds; the one, absolutely necessary, whose contrary implies contradiction, occurs in the eternal verities like the truths of geometry; the other is necessary only *ex hypothesi*, and so to speak by accident, and in itself it is contingent since the contrary is not implied. This latter sequence is not founded upon ideas wholly pure and upon the pure understanding of God, but upon his free decrees and upon the processes of the universe. Let us give an example. Since Julius Caesar will become perpetual Dictator and master of the Republic and will overthrow the liberty of Rome, this action is contained in his concept, for we have supposed that it is the nature of such a perfect concept of a subject to involve everything, in fact so that the predicate may be included in the subject *ut possit inesse* subjecto. We may say that it is not in virtue of this concept or idea that he is obliged to perform this action, since it pertains to him only because God knows everything. But it will be insisted in reply that his nature or form responds to this concept, and since God imposes upon him this personality, he is compelled henceforth to live up to it. I could reply by instancing the similar case of the future contingencies which as yet have no reality save in the understanding and will of God, and which, because God has given them in advance this form, must needs correspond to it. But I prefer to overcome a difficulty rather than to excuse it by instancing other difficulties, and what I am about to say will serve to clear up the one as well as the other. It is here that must be applied the distinction in the kind of relation, and I say that that which happens conformably to these decrees is assured, but that it is not therefore necessary, and if anyone did the contrary, he would do nothing impossible in itself, although it is impossible *ex hypothesi* that that other happen. For if anyone were capable of carrying out a complete demonstration by virtue of which he could prove this connection of the subject, which is Caesar, with the predicate, which is his successful enterprise, he would bring us to see in fact that the future dictatorship of Caesar had its basis in his concept or nature, so that one would see there a reason why he resolved to cross the Rubicon rather than to stop, and why he gained instead of losing the day at Pharsalus, and that it was reasonable and by consequence assured that this would occur, but one would not prove that it was necessary in itself, nor that the contrary implied a contradiction, almost in the same way in which it is reasonable and assured that God will always do what is best although that which is less perfect is not thereby implied. For it would be found that this demonstration of this predicate as belonging to Caesar is not as absolute as are those of numbers or of geometry, but that this predicate supposes a sequence of things which God has shown by his free will. This sequence is based on the first free decree of God which was to do always that which is the most perfect and upon the decree which God made following the first one, regarding human nature, which is that men should always do, although freely, that which appears to be the

best. Now every truth which is founded upon this kind of decree is contingent, although certain, for the decrees of God do not change the possibilities of things and, as I have already said, although God assuredly chooses the best, this does not prevent that which is less perfect from being possible in itself. Although it will never happen, it is not its impossibility but its imperfection which causes him to reject it. Now nothing is necessitated whose opposite is possible. One will then be in a position to satisfy these kinds of difficulties, however great they may appear (and in fact they have not been less vexing to all other thinkers who have ever treated this matter), provided that he considers well that all contingent propositions have reasons why they are thus, rather than otherwise, or indeed (what is the same thing) that they have proof a priori of their truth, which render them certain and show that the connection of the subject and predicate in these propositions has its basis in the nature of the one and of the other, but he must further remember that such contingent propositions have not the demonstrations of necessity, since their reasons are founded only on the principle of contingency or of the existence of things, that is to say, upon that which is, or which appears to be the best among several things equally possible. Necessary truths, on the other hand, are founded upon the principle of contradiction, and upon the possibility or impossibility of the essences themselves, without regard here to the free will of God or of creatures.

15.

David Hume – On Liberty and Necessity

An Enquiry into Human Understanding

Sect. IV. Sceptical Doubts Concerning the Operations of the Understanding

PART I.

1. All the objects of human reason or enquiry may naturally be divided into two kinds, to wit, Relations of Ideas, and Matters of Fact. Of the first kind are the sciences of Geometry, Algebra, and Arithmetic; and in short, every affirmation which is either intuitively or demonstratively certain. That the square of the hypotenuse is equal to the square of the two sides, is a proposition which expresses a relation between these figures. That three times five is equal to the half of thirty, expresses a relation between these numbers. Propositions of this kind are discoverable by the mere operation of thought, without dependence on what is anywhere existent in the universe. Though there never were a circle or triangle in nature, the truths demonstrated by Euclid would for ever retain their certainty and evidence.

2. Matters of fact, which are the second objects of human reason, are not ascertained in the same manner; nor is our evidence of their truth, however great, of a like nature with the foregoing. The contrary of every matter of fact is still possible; because it can never imply a contradiction, and is conceived by the mind with the same facility and distinctness, as if ever so conformable to reality. That the sun will not rise tomorrow is no less intelligible a proposition, and implies no more contradiction than the affirmation, that it will rise. We should in vain, therefore, attempt to demonstrate its falsehood. Were it demonstratively false, it would imply a contradiction, and could never be distinctly conceived by the mind.

It may, therefore, be a subject worthy of curiosity, to enquire what is the nature of that evidence which assures us of any real existence and matter of fact, beyond the present testimony of our senses, or the records of our memory. This part of philosophy, it is observable, has been little cultivated, either by the ancients or moderns; and therefore our doubts and errors, in the prosecution of so important an enquiry, may be the more excusable; while we march through such difficult paths without any guide or direction. They may even prove useful, by exciting curiosity, and destroying that implicit faith and security, which is the bane of all reasoning and free enquiry. The discovery of defects in the common philosophy, if any such there be, will not, I presume, be a discouragement, but rather an incitement, as is usual, to attempt something more full and satisfactory than has yet been proposed to the public.

3. All reasonings concerning matter of fact seem to be founded on the relation of Cause and Effect. By means of that relation alone we can go beyond the evidence of our memory and senses. If you were to ask a man, why he believes any matter of fact, which is absent; for instance, that his friend is in the country, or in France; he would give you a reason; and this reason would be some other fact; as a letter received from him, or the knowledge of his former resolutions and promises. A man finding a watch or any other machine in a desert island, would conclude that there had once been men in that island. All our reasonings concerning fact are of the same nature. And here it is constantly supposed that there is a connexion between the present fact and that which is inferred from it. Were there nothing to bind them together, the inference would be entirely precarious. The hearing of an articulate voice and rational discourse in the dark assures us of the presence of some person: Why? because these are the effects of the human make and fabric, and closely connected with it. If we anatomize all the other reasonings of this nature, we shall find that they are founded on the relation of cause and effect, and that this relation is either near or remote, direct or collateral. Heat and light are collateral effects of fire, and the one effect may justly be inferred from the other.

4. If we would satisfy ourselves, therefore, concerning the nature of that evidence, which assures us of matters of fact, we must enquire how we arrive at the knowledge of cause and effect.

I shall venture to affirm, as a general proposition, which admits of no exception, that the knowledge of this relation is not, in any instance, attained by reasonings a priori; but arises entirely from experience, when we find that any particular objects are constantly conjoined with each other. Let an object be presented to a man of ever so strong natural reason and abilities; if that object be entirely new to him, he will not be able, by the most accurate examination of its sensible qualities, to discover any of its causes or effects. Adam, though his rational faculties be supposed, at the very first, entirely perfect, could not have inferred from the fluidity and transparency of water that it would suffocate him, or from the light and warmth of fire that it would consume him. No object ever discovers, by the qualities which appear to the senses, either the causes which produced it, or the effects which will arise from it; nor can our reason, unassisted by experience, ever draw any inference concerning real existence and matter of fact.

5. This proposition, that causes and effects are discoverable, not by reason but by experience, will readily be admitted with regard to such objects, as we remember to have once been altogether unknown to us; since we must be conscious of the utter inability, which we then lay under, of foretelling what would arise from them. Present two smooth pieces of marble to a man who has no tincture of natural philosophy; he will never discover that they will adhere together in such a manner as to require great force to separate them in a direct line, while they make so small a resistance to a lateral pressure. Such events, as bear little analogy to the common course of nature, are also readily confessed to be known only by experience; nor does any man imagine that the explosion of gunpowder, or the attraction of a loadstone, could ever be discovered by arguments a priori. In like manner, when an effect is supposed to depend upon an intricate machinery or secret structure of parts, we make no difficulty in attributing all our knowledge of it to experience. Who will assert that he can give the ultimate reason, why milk or bread is proper nourishment for a man, not for a lion or a tiger?

But the same truth may not appear, at first sight, to have the same evidence with regard to events, which have become familiar to us from our first appearance in the world, which bear a close analogy to the whole course of nature, and which are supposed to depend on the simple qualities of objects, without any secret structure of parts. We are apt to imagine that we could discover these effects by the mere operation of our reason, without experience. We fancy, that were we brought on a sudden into this world, we could at first have inferred that one

billiard-ball would communicate motion to another upon impulse; and that we needed not to have waited for the event, in order to pronounce with certainty concerning it. Such is the influence of custom, that, where it is strongest, it not only covers our natural ignorance, but even conceals itself, and seems not to take place, merely because it is found in the highest degree.

6. But to convince us that all the laws of nature, and all the operations of bodies without exception, are known only by experience, the following reflections may, perhaps, suffice. Were any object presented to us, and were we required to pronounce concerning the effect, which will result from it, without consulting past observation; after what manner, I beseech you, must the mind proceed in this operation? It must invent or imagine some event, which it ascribes to the object as its effect; and it is plain that this invention must be entirely arbitrary. The mind can never possibly find the effect in the supposed cause, by the most accurate scrutiny and examination. For the effect is totally different from the cause, and consequently can never be discovered in it. Motion in the second billiard-ball is a quite distinct event from motion in the first; nor is there anything in the one to suggest the smallest hint of the other. A stone or piece of metal raised into the air, and left without any support, immediately falls: but to consider the matter a priori, is there anything we discover in this situation which can beget the idea of a downward, rather than an upward, or any other motion, in the stone or metal?

And as the first imagination or invention of a particular effect, in all natural operations, is arbitrary, where we consult not experience; so must we also esteem the supposed tie or connexion between the cause and effect, which binds them together, and renders it impossible that any other effect could result from the operation of that cause. When I see, for instance, a billiard-ball moving in a straight line towards another; even suppose motion in the second ball should by accident be suggested to me, as the result of their contact or impulse; may I not conceive, that a hundred different events might as well follow from that cause? May not both these balls remain at absolute rest? May not the first ball return in a straight line, or leap off from the second in any line or direction? All these suppositions are consistent and conceivable. Why then should we give the preference to one, which is no more consistent or conceivable than the rest? All our reasonings a priori will never be able to show us any foundation for this preference.

In a word, then, every effect is a distinct event from its cause. It could not, therefore, be discovered in the cause, and the first invention or conception of it, a priori, must be entirely arbitrary. And even after it is suggested, the conjunction of it with the cause must appear equally arbitrary; since there are always many other effects, which, to reason, must seem fully as consistent and natural. In vain, therefore, should we pretend to determine any single event, or infer any cause or effect, without the assistance of observation and experience.

7. Hence we may discover the reason why no philosopher, who is rational and modest, has ever pretended to assign the ultimate cause of any natural operation, or to show distinctly the action of that power, which produces any single effect in the universe. It is confessed, that the utmost effort of human reason is to reduce the principles, productive of natural phenomena, to a greater simplicity, and to resolve the many particular effects into a few general causes, by means of reasonings from analogy, experience, and observation. But as to the causes of these general causes, we should in vain attempt their discovery; nor shall we ever be able to satisfy ourselves, by any particular explication of them. These ultimate springs and principles are totally shut up from human curiosity and enquiry. Elasticity, gravity, cohesion of parts, communication of motion by impulse; these are probably the ultimate causes and principles which we shall ever discover in nature; and we may esteem ourselves sufficiently happy, if, by accurate enquiry and reasoning, we can trace up the particular phenomena to, or near to, these general principles. The most perfect philosophy of the natural kind only staves off our ignorance a little longer: as perhaps

the most perfect philosophy of the moral or metaphysical kind serves only to discover larger portions of it. Thus the observation of human blindness and weakness is the result of all philosophy, and meets us at every turn, in spite of our endeavours to elude or avoid it.

8. Nor is geometry, when taken into the assistance of natural philosophy, ever able to remedy this defect, or lead us into the knowledge of ultimate causes, by all that accuracy of reasoning for which it is so justly celebrated. Every part of mixed mathematics proceeds upon the supposition that certain laws are established by nature in her operations; and abstract reasonings are employed, either to assist experience in the discovery of these laws, or to determine their influence in particular instances, where it depends upon any precise degree of distance and quantity. Thus, it is a law of motion, discovered by experience, that the moment or force of any body in motion is in the compound ratio or proportion of its solid contents and its velocity; and consequently, that a small force may remove the greatest obstacle or raise the greatest weight, if, by any contrivance or machinery, we can increase the velocity of that force, so as to make it an overmatch for its antagonist. Geometry assists us in the application of this law, by giving us the just dimensions of all the parts and figures which can enter into any species of machine; but still the discovery of the law itself is owing merely to experience, and all the abstract reasonings in the world could never lead us one step towards the knowledge of it. When we reason a priori, and consider merely any object or cause, as it appears to the mind, independent of all observation, it never could suggest to us the notion of any distinct object, such as its effect; much less, show us the inseparable and inviolable connexion between them. A man must be very sagacious who could discover by reasoning that crystal is the effect of heat, and ice of cold, without being previously acquainted with the operation of these qualities.

PART II.

9. But we have not yet attained any tolerable satisfaction with regard to the question first proposed. Each solution still gives rise to a new question as difficult as the foregoing, and leads us on to farther enquiries. When it is asked, What is the nature of all our reasonings concerning matter of fact? the proper answer seems to be, that they are founded on the relation of cause and effect. When again it is asked, What is the foundation of all our reasonings and conclusions concerning that relation? it may be replied in one word, Experience. But if we still carry on our sifting humour, and ask, What is the foundation of all conclusions from experience? this implies a new question, which may be of more difficult solution and explication. Philosophers, that give themselves airs of superior wisdom and sufficiency, have a hard task when they encounter persons of inquisitive dispositions, who push them from every corner to which they retreat, and who are sure at last to bring them to some dangerous dilemma. The best expedient to prevent this confusion, is to be modest in our pretensions; and even to discover the difficulty ourselves before it is objected to us. By this means, we may make a kind of merit of our very ignorance.

I shall content myself, in this section, with an easy task, and shall pretend only to give a negative answer to the question here proposed. I say then, that, even after we have experience of the operations of cause and effect, our conclusions from that experience are not founded on reasoning, or any process of the understanding. This answer we must endeavour both to explain and to defend.

10. It must certainly be allowed, that nature has kept us at a great distance from all her secrets, and has afforded us only the knowledge of a few superficial qualities of objects; while she conceals from us those powers and principles on which the influence of those objects entirely depends. Our senses inform us of the colour, weight, and consistence of bread; but neither sense nor reason can ever inform us of those qualities which fit it for the nourishment and support of a human body. Sight or feeling conveys an idea of the actual motion of bodies; but

as to that wonderful force or power, which would carry on a moving body for ever in a continued change of place, and which bodies never lose but by communicating it to others; of this we cannot form the most distant conception. But notwithstanding this ignorance of natural powers[6] and principles, we always presume, when we see like sensible qualities, that they have like secret powers, and expect that effects, similar to those which we have experienced, will follow from them. If a body of like colour and consistence with that bread, which we have formerly eat, be presented to us, we make no scruple of repeating the experiment, and foresee, with certainty, like nourishment and support. Now this is a process of the mind or thought, of which I would willingly know the foundation. It is allowed on all hands that there is no known connexion between the sensible qualities and the secret powers; and consequently, that the mind is not led to form such a conclusion concerning their constant and regular conjunction, by anything which it knows of their nature. As to past Experience, it can be allowed to give direct and certain information of those precise objects only, and that precise period of time, which fell under its cognizance: but why this experience should be extended to future times, and to other objects, which for aught we know, may be only in appearance similar; this is the main question on which I would insist. The bread, which I formerly eat, nourished me; that is, a body of such sensible qualities was, at that time, endued with such secret powers: but does it follow, that other bread must also nourish me at another time, and that like sensible qualities must always be attended with like secret powers? The consequence seems nowise necessary. At least, it must be acknowledged that there is here a consequence drawn by the mind; that there is a certain step taken; a process of thought, and an inference, which wants to be explained. These two propositions are far from being the same, I have found that such an object has always been attended with such an effect, and I foresee, that other objects, which are, in appearance, similar, will be attended with similar effects. I shall allow, if you please, that the one proposition may justly be inferred from the other: I know, in fact, that it always is inferred. But if you insist that the inference is made by a chain of reasoning, I desire you to produce that reasoning. The connexion between these propositions is not intuitive. There is required a medium, which may enable the mind to draw such an inference, if indeed it be drawn by reasoning and argument. What that medium is, I must confess, passes my comprehension; and it is incumbent on those to produce it, who assert that it really exists, and is the origin of all our conclusions concerning matter of fact.

11. This negative argument must certainly, in process of time, become altogether convincing, if many penetrating and able philosophers shall turn their enquiries this way and no one be ever able to discover any connecting proposition or intermediate step, which supports the understanding in this conclusion. But as the question is yet new, every reader may not trust so far to his own penetration, as to conclude, because an argument escapes his enquiry, that therefore it does not really exist. For this reason it may be requisite to venture upon a more difficult task; and enumerating all the branches of human knowledge, endeavour to show that none of them can afford such an argument.

All reasonings may be divided into two kinds, namely, demonstrative reasoning, or that concerning relations of ideas, and moral reasoning, or that concerning matter of fact and existence. That there are no demonstrative arguments in the case seems evident; since it implies no contradiction that the course of nature may change, and that an object, seemingly like those which we have experienced, may be attended with different or contrary effects. May I not clearly and distinctly conceive that a body, falling from the clouds, and which, in all other respects, resembles snow, has yet the taste of salt or feeling of fire? Is there any more intelligible proposition than to affirm, that all the trees will flourish in December and January, and decay in May and June? Now whatever is intelligible, and can be distinctly conceived, implies no contradiction, and can never be proved false by any demonstrative argument or abstract reasoning a priori.

If we be, therefore, engaged by arguments to put trust in past experience, and make it the standard of our future judgement, these arguments must be probable only, or such as regard matter of fact and real existence, according to the division above mentioned. But that there is no argument of this kind, must appear, if our explication of that species of reasoning be admitted as solid and satisfactory. We have said that all arguments concerning existence are founded on the relation of cause and effect; that our knowledge of that relation is derived entirely from experience; and that all our experimental conclusions proceed upon the supposition that the future will be conformable to the past. To endeavour, therefore, the proof of this last supposition by probable arguments, or arguments regarding existence, must be evidently going in a circle, and taking that for granted, which is the very point in question.

12. In reality, all arguments from experience are founded on the similarity which we discover among natural objects, and by which we are induced to expect effects similar to those which we have found to follow from such objects. And though none but a fool or madman will ever pretend to dispute the authority of experience, or to reject that great guide of human life, it may surely be allowed a philosopher to have so much curiosity at least as to examine the principle of human nature, which gives this mighty authority to experience, and makes us draw advantage from that similarity which nature has placed among different objects. From causes which appear similar we expect similar effects. This is the sum of all our experimental conclusions. Now it seems evident that, if this conclusion were formed by reason, it would be as perfect at first, and upon one instance, as after ever so long a course of experience. But the case is far otherwise. Nothing so like as eggs; yet no one, on account of this appearing similarity, expects the same taste and relish in all of them. It is only after a long course of uniform experiments in any kind, that we attain a firm reliance and security with regard to a particular event. Now where is that process of reasoning which, from one instance, draws a conclusion, so different from that which it infers from a hundred instances that are nowise different from that single one? This question I propose as much for the sake of information, as with an intention of raising difficulties. I cannot find, I cannot imagine any such reasoning. But I keep my mind still open to instruction, if any one will vouchsafe to bestow it on me.

13. Should it be said that, from a number of uniform experiments, we infer a connexion between the sensible qualities and the secret powers; this, I must confess, seems the same difficulty, couched in different terms. The question still recurs, on what process of argument this inference is founded? Where is the medium, the interposing ideas, which join propositions so very wide of each other? It is confessed that the colour, consistence, and other sensible qualities of bread appear not, of themselves, to have any connexion with the secret powers of nourishment and support. For otherwise we could infer these secret powers from the first appearance of these sensible qualities, without the aid of experience; contrary to the sentiment of all philosophers, and contrary to plain matter of fact. Here, then, is our natural state of ignorance with regard to the powers and influence of all objects. How is this remedied by experience? It only shows us a number of uniform effects, resulting from certain objects, and teaches us that those particular objects, at that particular time, were endowed with such powers and forces. When a new object, endowed with similar sensible qualities, is produced, we expect similar powers and forces, and look for a like effect. From a body of like colour and consistence with bread we expect like nourishment and support. But this surely is a step or progress of the mind, which wants to be explained. When a man says, I have found, in all past instances, such sensible qualities conjoined with such secret powers; And when he says, Similar sensible qualities will always be conjoined with similar secret powers, he is not guilty of a tautology, nor are these propositions in any respect the same. You say that the one proposition is an inference from the other. But you must confess that the inference is not intuitive; neither is it demonstrative: Of what nature is it, then? To say it is experimental, is begging the question. For all inferences from experience suppose, as their foundation, that the

future will resemble the past, and that similar powers will be conjoined with similar sensible qualities. If there be any suspicion that the course of nature may change, and that the past may be no rule for the future, all experience becomes useless, and can give rise to no inference or conclusion. It is impossible, therefore, that any arguments from experience can prove this resemblance of the past to the future; since all these arguments are founded on the supposition of that resemblance. Let the course of things be allowed hitherto ever so regular; that alone, without some new argument or inference, proves not that, for the future, it will continue so. In vain do you pretend to have learned the nature of bodies from your past experience. Their secret nature, and consequently all their effects and influence, may change, without any change in their sensible qualities. This happens sometimes, and with regard to some objects: Why may it not happen always, and with regard to all objects? What logic, what process of argument secures you against this supposition? My practice, you say, refutes my doubts. But you mistake the purport of my question. As an agent, I am quite satisfied in the point; but as a philosopher, who has some share of curiosity, I will not say scepticism, I want to learn the foundation of this inference. No reading, no enquiry has yet been able to remove my difficulty, or give me satisfaction in a matter of such importance. Can I do better than propose the difficulty to the public, even though, perhaps, I have small hopes of obtaining a solution? We shall at least, by this means, be sensible of our ignorance, if we do not augment our knowledge.

14. I must confess that a man is guilty of unpardonable arrogance who concludes, because an argument has escaped his own investigation, that therefore it does not really exist. I must also confess that, though all the learned, for several ages, should have employed themselves in fruitless search upon any subject, it may still, perhaps, be rash to conclude positively that the subject must, therefore, pass all human comprehension. Even though we examine all the sources of our knowledge, and conclude them unfit for such a subject, there may still remain a suspicion, that the enumeration is not complete, or the examination not accurate. But with regard to the present subject, there are some considerations which seem to remove all this accusation of arrogance or suspicion of mistake.

It is certain that the most ignorant and stupid peasants- nay infants, nay even brute beasts- improve by experience, and learn the qualities of natural objects, by observing the effects which result from them. When a child has felt the sensation of pain from touching the flame of a candle, he will be careful not to put his hand near any candle; but will expect a similar effect from a cause which is similar in its sensible qualities and appearance. If you assert, therefore, that the understanding of the child is led into this conclusion by any process of argument or ratiocination, I may justly require you to produce that argument; nor have you any pretence to refuse so equitable a demand. You cannot say that the argument is abstruse, and may possibly escape your enquiry; since you confess that it is obvious to the capacity of a mere infant. If you hesitate, therefore, a moment, or if, after reflection, you produce any intricate or profound argument, you, in a manner, give up the question, and confess that it is not reasoning which engages us to suppose the past resembling the future, and to expect similar effects from causes which are, to appearance, similar. This is the proposition which I intended to enforce in the present section. If I be right, I pretend not to have made any mighty discovery. And if I be wrong, I must acknowledge myself to be indeed a very backward scholar; since I cannot now discover an argument which, it seems, was perfectly familiar to me long before I was out of my cradle.

PART IV

Philosophy of Religion

If you are a theist, that is, someone who believes in the existence of God, what reason do you have for making such a claim? In this chapter you'll read different arguments that have been presented over the centuries for the existence of a Judeo-Christian God. First up, St. Anselm of Canterbury argued that for God to be perfect, He must exist. For if He did not exist, we could conceive of a being that was greater, namely one that does. And since God is the most perfect being, He therefore must exist.

St. Thomas Aquinas offered five arguments for God's existence. They all deserve study but one clearly remains familiar to this day. Paraphrased, we can ask: "What caused the universe? How did the universe start? Since there has to be a first cause, a 'first mover', then that is God." Another argument for God's existence is to notice that the world is full of complex designs and so there must have been an intelligent designer. Yet another is to suggest that based on a cost/benefit analysis it is better to be a theist instead of an atheist because if He exists, you'll "win" and be rewarded (e.g., by going to Heaven) but if He doesn't exist, then you will still live a good life and will not have given up or sacrificed much at all. All of these arguments have had various degrees of success satisfying people over years and provide great insight into one of the most important questions in many people's lives. However, later critics suggest such beliefs are simply irrational while some true believers will argue it's not about having well reasoned beliefs, but about having faith.

16.

St. Anselm – On the Ontological Proof of God's Existence

Prosologion

Chapter II

Truly there is a God, although the fool hath said in his heart, There is no God.

And so, Lord, do thou, who dost give understanding to faith, give me, so far as thou knowest it to be profitable, to understand that thou art as we believe; and that thou art that which we believe. And indeed, we believe that thou art a being than which nothing greater can be conceived. Or is there no such nature, since the fool hath said in his heart, there is no God? (Psalms xiv. 1). But, at any rate, this very fool, when he hears of this being of which I speak – a being than which nothing greater can be conceived – understands what he hears, and what he understands is in his understanding; although he does not understand it to exist

For, it is one thing for an object to be in the understanding, and another to understand that the object exists. When a painter first conceives of what he will afterwards perform, he has it in his understanding, but he does not yet understand it to be, because he has not yet performed it. But after he has made the painting, he both has it in his understanding, and he understands that it exists, because he has made it.

Hence, even the fool is convinced that something exists in the understanding, at least, than which nothing greater can be conceived. For, when he hears of this, he understands it. And whatever is understood, exists in the understanding. And assuredly that, than which nothing greater can be conceived, cannot exist in the understanding alone. For, suppose it exists in the understanding alone: then it can be conceived to exist in reality; which is greater.

Therefore, if that, than which nothing greater can be conceived, exists in the understanding alone, the very being, than which nothing greater can be conceived, is one, than which a greater can be conceived. But obviously this is impossible. Hence, there is no doubt that there exists a being, than which nothing greater can be conceived, and it exists both in the understanding and in reality.

Chapter III

God cannot be conceived not to exist. –God is that, than which nothing greater can be conceived. –That which can be conceived not to exist is not God.

And it assuredly exists so truly, that it cannot be conceived not to exist. For, it is possible to conceive of a being which cannot be conceived not to exist; and this is greater than one which can be conceived not to exist. Hence, if that, than which nothing greater can be conceived, can be conceived not to exist, it is not that, than which nothing greater can be conceived. But this is an irreconcilable contradiction. There is, then, so truly a being than which nothing greater can be conceived to exist, that it cannot even be conceived not to exist; and this being thou art, O Lord, our God.

So truly, therefore, dost thou exist, O Lord, my God, that thou canst not be conceived not to exist; and rightly. For, if a mind could conceive of a being better than thee, the creature would rise above the Creator; and this is most absurd. And, indeed, whatever else there is, except thee alone, can be conceived not to exist. To thee alone, therefore, it belongs to exist more truly than all other beings, and hence in a higher degree than all others. For, whatever else exists does not exist so truly, and hence in a less degree it belongs to it to exist. Why, then, has the fool said in his heart, there is no God (Psalms xiv. 1), since it is so evident, to a rational mind, that thou dost exist in the highest degree of all? Why, except that he is dull and a fool?

Chapter IV

How the fool has said in his heart what cannot be conceived. –A thing may be conceived in two ways: (1) when the word signifying it is conceived; (2) when the thing itself is understood. As far as the word goes, God can be conceived not to exist; in reality he cannot.

But how has the fool said in his heart what he could not conceive; or how is it that he could not conceive what he said in his heart? Since it is the same to say in the heart, and to conceive.

But, if really, nay, since really, he both conceived, because he said in his heart; and did not say in his heart, because he could not conceive; there is more than one way in which a thing is said in the heart or conceived. For, in one sense, an object is conceived, when the word signifying it is conceived; and in another, when the very entity, which the object is, is understood.

In the former sense, then, God can be conceived not to exist; but in the latter, not at all. For no one who understands what fire and water are can conceive fire to be water, in accordance with the nature of the facts themselves, although this is possible according to the words. So, then, no one who understands what God is can conceive that God does not exist; although he says these words in his heart, either without any or with some foreign, signification. For, God is that than which a greater cannot be conceived. And he who thoroughly understands this, assuredly understands that this being so truly exists, that not even in concept can it be non-existent. Therefore, he who understands that God so exists, cannot conceive that he does not exist.

I thank thee, gracious Lord, I thank thee; because what I formerly believed by thy bounty, I now so understand by thine illumination, that if I were unwilling to believe that thou dost exist, I should not be able not to understand this to be true.

Chapter V

God is whatever it is better to be than not to be; and he, as the only self-existent being, creates all things from nothing.

What art thou, then, Lord God, than whom nothing greater can be conceived? But what art thou, except that which, as the highest of all beings, alone exists through itself, and creates all other things from nothing? For, whatever is not this is less than a thing which can be conceived of. But this cannot be conceived of thee. What good, therefore, does the supreme Good lack, through which every good is? Therefore, thou art just, truthful, blessed, and whatever it is better to be than not to be. For it is better to be just than not just; better to be blessed than not blessed.

St. Thomas Aquinas – On the Five Ways to Prove God's Existence

Summa Theologiae

I answer that, the existence of God can be proved in five ways.

The first and more manifest way is the argument from motion. It is certain, and evident to our senses, that in the world some things are in motion. Now whatever is in motion is put in motion by another, for nothing can be in motion except it is in potentiality to that towards which it is in motion; whereas a thing moves inasmuch as it is in act. For motion is nothing else than the reduction of something from potentiality to actuality. But nothing can be reduced from potentiality to actuality, except by something in a state of actuality. Thus that which is actually hot, as fire, makes wood, which is potentially hot, to be actually hot, and thereby moves and changes it. Now it is not possible that the same thing should be at once in actuality and potentiality in the same respect, but only in different respects. For what is actually hot cannot simultaneously be potentially hot; but it is simultaneously potentially cold. It is therefore impossible that in the same respect and in the same way a thing should be both mover and moved, i.e. that it should move itself. Therefore, whatever is in motion must be put in motion by another. If that by which it is put in motion be itself put in motion, then this also must needs be put in motion by another, and that by another again. But this cannot go on to infinity, because then there would be no first mover, and, consequently, no other mover; seeing that subsequent movers move only inasmuch as they are put in motion by the first mover; as the staff moves only because it is put in motion by the hand. Therefore it is necessary to arrive at a first mover, put in motion by no other; and this everyone understands to be God.

The second way is from the nature of the efficient cause. In the world of sense we find there is an order of efficient causes. There is no case known (neither is it, indeed, possible) in which a thing is found to be the efficient cause of itself; for so it would be prior to itself, which is impossible. Now in efficient causes it is not possible to go on to infinity, because in all efficient causes following in order, the first is the cause of the intermediate cause, and the intermediate is the cause of the ultimate cause, whether the intermediate cause be several, or only one. Now to take away the cause is to take away the effect. Therefore, if there be no first cause among efficient causes, there will be no ultimate, nor any intermediate cause. But if in efficient causes it is possible to go on to infinity, there will be no first efficient cause, neither will there be an ultimate effect, nor any intermediate efficient causes; all of

which is plainly false. Therefore it is necessary to admit a first efficient cause, to which everyone gives the name of God.

The third way is taken from possibility and necessity, and runs thus. We find in nature things that are possible to be and not to be, since they are found to be generated, and to corrupt, and consequently, they are possible to be and not to be. But it is impossible for these always to exist, for that which is possible not to be at some time is not. Therefore, if everything is possible not to be, then at one time there could have been nothing in existence. Now if this were true, even now there would be nothing in existence, because that which does not exist only begins to exist by something already existing. Therefore, if at one time nothing was in existence, it would have been impossible for anything to have begun to exist; and thus even now nothing would be in existence—which is absurd. Therefore, not all beings are merely possible, but there must exist something the existence of which is necessary. But every necessary thing either has its necessity caused by another, or not. Now it is impossible to go on to infinity in necessary things which have their necessity caused by another, as has been already proved in regard to efficient causes.

Therefore we cannot but postulate the existence of some being having of itself its own necessity, and not receiving it from another, but rather causing in others their necessity. This all men speak of as God.

The fourth way is taken from the gradation to be found in things. Among beings there are some more and some less good, true, noble and the like. But “more” and “less” are predicated of different things, according as they resemble in their different ways something which is the maximum, as a thing is said to be hotter according as it more nearly resembles that which is hottest; so that there is something which is truest, something best, something noblest and, consequently, something which is uttermost being; for those things that are greatest in truth are greatest in being, as it is written in *Metaph.* ii. Now the maximum in any genus is the cause of all in that genus; as fire, which is the maximum heat, is the cause of all hot things. Therefore there must also be something which is to all beings the cause of their being, goodness, and every other perfection; and this we call God.

The fifth way is taken from the governance of the world. We see that things which lack intelligence, such as natural bodies, act for an end, and this is evident from their acting always, or nearly always, in the same way, so as to obtain the best result. Hence it is plain that not fortuitously, but designedly, do they achieve their end. Now whatever lacks intelligence cannot move towards an end, unless it be directed by some being endowed with knowledge and intelligence; as the arrow is shot to its mark by the archer. Therefore some intelligent being exists by whom all natural things are directed to their end; and this being we call God.

18.

Blaise Pascal – On the Wager for God's Existence

Pensées

SECTION III

Infinite—nothing.—Our soul is cast into a body, where it finds number, time, dimension. Thereupon it reasons, and calls this nature, necessity, and can believe nothing else.

Unity joined to infinity adds nothing to it, no more than one foot to an infinite measure. The finite is annihilated in the presence of the infinite, and becomes a pure nothing. So our spirit before God, so our justice before divine justice. There is not so great a disproportion between our justice and that of God, as between unity and infinity.

The justice of God must be vast like His compassion. Now justice to the outcast is less vast, and ought less to offend our feelings than mercy towards the elect.

We know that there is an infinite, and are ignorant of its nature. As we know it to be false that numbers are finite, it is therefore true that there is an infinity in number. But we do not know what it is. It is false that it is even, it is false that it is odd; for the addition of a unit can make no change in its nature. Yet it is a number, and every number is odd or even (this is certainly true of every finite number). So we may well know that there is a God without knowing what He is. Is there not one substantial truth, seeing there are so many things which are not the truth itself?

We know then the existence and nature of the finite, because we also are finite and have extension. We know the existence of the infinite, and are ignorant of its nature, because it has extension like us, but not limits like us. But we know neither the existence nor the nature of God, because He has neither extension nor limits.

But by faith we know His existence; in glory we shall know His nature. Now, I have already shown that we may well know the existence of a thing, without knowing its nature.

Let us now speak according to natural lights.

If there is a God, He is infinitely incomprehensible, since, having neither parts nor limits, He has no affinity to

us. We are then incapable of knowing either what He is or if He is. This being so, who will dare to undertake the decision of the question? Not we, who have no affinity to Him.

Who then will blame Christians for not being able to give a reason for their belief, since they profess a religion for which they cannot give a reason? They declare, in expounding it to the world, that it is a foolishness, *stultitiam*; and then you complain that they do not prove it! If they proved it, they would not keep their word; it is in lacking proofs, that they are not lacking in sense. “Yes, but although this excuses those who offer it as such, and takes away from them the blame of putting it forward without reason, it does not excuse those who receive it.” Let us then examine this point, and say, “God is, or He is not.” But to which side shall we incline? Reason can decide nothing here. There is an infinite chaos which separated us. A game is being played at the extremity of this infinite distance where heads or tails will turn up. What will you wager? According to reason, you can do neither the one thing nor the other; according to reason, you can defend neither of the propositions.

Do not then reprove for error those who have made a choice; for you know nothing about it. “No, but I blame them for having made, not this choice, but a choice; for again both he who chooses heads and he who chooses tails are equally at fault, they are both in the wrong. The true course is not to wager at all.”

—Yes; but you must wager. It is not optional. You are embarked. Which will you choose then? Let us see. Since you must choose, let us see which interests you least. You have two things to lose, the true and the good; and two things to stake, your reason and your will, your knowledge and your happiness; and your nature has two things to shun, error and misery. Your reason is no more shocked in choosing one rather than the other, since you must of necessity choose. This is one point settled. But your happiness? Let us weigh the gain and the loss in wagering that God is. Let us estimate these two chances. If you gain, you gain all; if you lose, you lose nothing. Wager, then, without hesitation that He is.—”That is very fine. Yes, I must wager; but I may perhaps wager too much.”—Let us see. Since there is an equal risk of gain and of loss, if you had only to gain two lives, instead of one, you might still wager. But if there were three lives to gain, you would have to play (since you are under the necessity of playing), and you would be imprudent, when you are forced to play, not to chance your life to gain three at a game where there is an equal risk of loss and gain. But there is an eternity of life and happiness. And this being so, if there were an infinity of chances, of which one only would be for you, you would still be right in wagering one to win two, and you would act stupidly, being obliged to play, by refusing to stake one life against three at a game in which out of an infinity of chances there is one for you, if there were an infinity of an infinitely happy life to gain. But there is here an infinity of an infinitely happy life to gain, a chance of gain against a finite number of chances of loss, and what you stake is finite. It is all divided; wherever the infinite is and there is not an infinity of chances of loss against that of gain, there is no time to hesitate, you must give all. And thus, when one is forced to play, he must renounce reason to preserve his life, rather than risk it for infinite gain, as likely to happen as the loss of nothingness.

For it is no use to say it is uncertain if we will gain, and it is certain that we risk, and that the infinite distance between the *certainty* of what is staked and the *uncertainty* of what will be gained, equals the finite good which is certainly staked against the uncertain infinite. It is not so, as every player stakes a certainty to gain an uncertainty, and yet he stakes a finite certainty to gain a finite uncertainty, without transgressing against reason. There is not an infinite distance between the certainty staked and the uncertainty of the gain; that is untrue. In truth, there is an infinity between the certainty of gain and the certainty of loss. But the uncertainty of the gain is proportioned to the certainty of the stake according to the proportion of the chances of gain and loss. Hence it comes that, if there are as many risks on one side as on the other, the course is to play even; and then the certainty of the stake

is equal to the uncertainty of the gain, so far is it from fact that there is an infinite distance between them. And so our proposition is of infinite force, when there is the finite to stake in a game where there are equal risks of gain and of loss, and the infinite to gain. This is demonstrable; and if men are capable of any truths, this is one.

“I confess it, I admit it. But, still, is there no means of seeing the faces of the cards?”—Yes, Scripture and the rest, & c. “Yes, but I have my hands tied and my mouth closed; I am forced to wager, and am not free. I am not released, and am so made that I cannot believe. What, then, would you have me do?”

True. But at least learn your inability to believe, since reason brings you to this, and yet you cannot believe. Endeavour then to convince yourself, not by increase of proofs of God, but by the abatement of your passions. You would like to attain faith, and do not know the way; you would like to cure yourself of unbelief, and ask the remedy for it. Learn of those who have been bound like you, and who now stake all their possessions. These are people who know the way which you would follow, and who are cured of an ill of which you would be cured. Follow the way by which they began; by acting as if they believed, taking the holy water, having masses said, etc. Even this will naturally make you believe, and deaden your acuteness.—“But this is what I am afraid of.”—And why? What have you to lose?

But to show you that this leads you there, it is this which will lessen the passions, which are your stumbling-blocks.

The end of this discourse.—Now, what harm will befall you in taking this side? You will be faithful, honest, humble, grateful, generous, a sincere friend, truthful. Certainly you will not have those poisonous pleasures, glory and luxury; but will you not have others? I will tell you that you will thereby gain in this life, and that, at each step you take on this road, you will see so great certainty of gain, so much nothingness in what you risk, that you will at last recognise that you have wagered for something certain and infinite, for which you have given nothing.

“Ah! This discourse transports me, charms me,”.

If this discourse pleases you and seems impressive, know that it is made by a man who has knelt, both before and after it, in prayer to that Being, infinite and without parts, before whom he lays all he has, for you also to lay before Him all you have for your own good and for His glory, that so strength may be given to lowliness.

David Hume– On the Irrationality of Believing in Miracles

Miracles

Sect. X. PART I.

86. There is, in Dr. Tillotson's writings, an argument against the real presence, which is as concise, and elegant, and strong as any argument can possibly be supposed against a doctrine, so little worthy of a serious refutation. It is acknowledged on all hands, says that learned prelate, that the authority, either of the scripture or of tradition, is founded merely in the testimony of the apostles, who were eye-witnesses to those miracles of our Saviour, by which he proved his divine mission. Our evidence, then, for the truth of the Christian religion is less than the evidence for the truth of our senses; because, even in the first authors of our religion, it was no greater; and it is evident it must diminish in passing from them to their disciples; nor can any one rest such confidence in their testimony, as in the immediate object of his senses. But a weaker evidence can never destroy a stronger; and therefore, were the doctrine of the real presence ever so clearly revealed in scripture, it were directly contrary to the rules of just reasoning to give our assent to it. It contradicts sense, though both the scripture and tradition, on which it is supposed to be built, carry not such evidence with them as sense; when they are considered merely as external evidences, and are not brought home to every one's breast, by the immediate operation of the Holy Spirit.

Nothing is so convenient as a decisive argument of this kind, which must at least silence the most arrogant bigotry and superstition, and free us from their impertinent solicitations. I flatter myself, that I have discovered an argument of a like nature, which, if just, will, with the wise and learned, be an everlasting check to all kinds of superstitious delusion, and consequently, will be useful as long as the world endures. For so long, I presume, will the accounts of miracles and prodigies be found in all history, sacred and profane.

87. Though experience be our only guide in reasoning concerning matters of fact; it must be acknowledged, that this guide is not altogether infallible, but in some cases is apt to lead us into errors. One, who in our climate, should expect better weather in any week of June than in one of December, would reason justly, and conformably to experience; but it is certain, that he may happen, in the event, to find himself mistaken. However, we may observe, that, in such a case, he would have no cause to complain of experience; because it commonly informs us beforehand of the uncertainty, by that contrariety of events, which we may learn from a diligent observation. All effects follow not with like certainty from their supposed causes. Some events are found, in all countries and all ages, to have been constantly conjoined together: Others are found to have been more variable, and sometimes to

disappoint our expectations; so that, in our reasonings concerning matter of fact, there are all imaginable degrees of assurance, from the highest certainty to the lowest species of moral evidence.

A wise man, therefore, proportions his belief to the evidence. In such conclusions as are founded on an infallible experience, he expects the event with the last degree of assurance, and regards his past experience as a full proof of the future existence of that event. In other cases, he proceeds with more caution: He weighs the opposite experiments: He considers which side is supported by the greater number of experiments: to that side he inclines, with doubt and hesitation; and when at last he fixes his judgement, the evidence exceeds not what we properly call probability. All probability, then, supposes an opposition of experiments and observations, where the one side is found to overbalance the other, and to produce a degree of evidence, proportioned to the superiority. A hundred instances or experiments on one side, and fifty on another, afford a doubtful expectation of any event; though a hundred uniform experiments, with only one that is contradictory, reasonably beget a pretty strong degree of assurance. In all cases, we must balance the opposite experiments, where they are opposite, and deduct the smaller number from the greater, in order to know the exact force of the superior evidence.

88. To apply these principles to a particular instance; we may observe that there is no species of reasoning more common, more useful, and even necessary to human life, than that which is derived from the testimony of men, and the reports of eye-witnesses and spectators. This species of reasoning, perhaps, one may deny to be founded on the relation of cause and effect. I shall not dispute about a word. It will be sufficient to observe that our assurance in any argument of this kind is derived from no other principle than our observation of the veracity of human testimony, and of the usual conformity of facts to the reports of witnesses. It being a general maxim, that no objects have any discoverable connexion together, and that all the inferences, which we can draw from one to another, are founded merely on our experience of their constant and regular conjunction; it is evident that we ought not to make an exception to this maxim in favour of human testimony, whose connexion with any event seems, in itself, as little necessary as any other. Were not the memory tenacious to a certain degree; had not men commonly an inclination to truth and a principle of probity; were they not sensible to shame, when detected in a falsehood: Were not these, I say, discovered by experience to be qualities, inherent in human nature, we should never repose the least confidence in human testimony. A man delirious, or noted for falsehood and villany, has no manner of authority with us.

And as the evidence, derived from witnesses and human testimony, is founded on past experience, so it varies with the experience, and is regarded either as a proof or a probability, according as the conjunction between any particular kind of report and any kind of object has been found to be constant or variable. There are a number of circumstances to be taken into consideration in all judgements of this kind; and the ultimate standard, by which we determine all disputes, that may arise concerning them, is always derived from experience and observation. Where this experience is not entirely uniform on any side, it is attended with an unavoidable contrariety in our judgements, and with the same opposition and mutual destruction of argument as in every other kind of evidence. We frequently hesitate concerning the reports of others. We balance the opposite circumstances, which cause any doubt or uncertainty; and when we discover a superiority on any side, we incline to it; but still with a diminution of assurance, in proportion to the force of its antagonist.

89. This contrariety of evidence, in the present case, may be derived from several different causes; from the opposition of contrary testimony; from the character or number of the witnesses; from the manner of their delivering their testimony; or from the union of all these circumstances. We entertain a suspicion concerning any matter of fact, when the witnesses contradict each other; when they are but few, or of a doubtful character; when

they have an interest in what they affirm; when they deliver their testimony with hesitation, or on the contrary, with too violent asseverations. There are many other particulars of the same kind, which may diminish or destroy the force of any argument, derived from human testimony.

Suppose, for instance, that the fact, which the testimony endeavours to establish, partakes of the extraordinary and the marvellous; in that case, the evidence, resulting from the testimony, admits of a diminution, greater or less, in proportion as the fact is more or less unusual. The reason why we place any credit in witnesses and historians, is not derived from any connexion, which we perceive a priori, between testimony and reality, but because we are accustomed to find a conformity between them. But when the fact attested is such a one as has seldom fallen under our observation, here is a contest of two opposite experiences; of which the one destroys the other, as far as its force goes, and the superior can only operate on the mind by the force, which remains. The very same principle of experience, which gives us a certain degree of assurance in the testimony of witnesses, gives us also, in this case, another degree of assurance against the fact, which they endeavour to establish; from which contradiction there necessarily arises a counterpoize, and mutual destruction of belief and authority.

I should not believe such a story were it told me by Cato, was a proverbial saying in Rome, even during the lifetime of that philosophical patriot.[1] The incredibility of a fact, it was allowed, might invalidate so great an authority.

The Indian prince, who refused to believe the first relations concerning the effects of frost, reasoned justly; and it naturally required very strong testimony to engage his assent to facts, that arose from a state of nature, with which he was unacquainted, and which bore so little analogy to those events, of which he had had constant and uniform experience. Though they were not contrary to his experience, they were not conformable to it.[2]

90. But in order to encrease the probability against the testimony of witnesses, let us suppose, that the fact, which they affirm, instead of being only marvellous, is really miraculous; and suppose also, that the testimony considered apart and in itself, amounts to an entire proof; in that case, there is proof against proof, of which the strongest must prevail, but still with a diminution of its force, in proportion to that of its antagonist.

A miracle is a violation of the laws of nature; and as a firm and unalterable experience has established these laws, the proof against a miracle, from the very nature of the fact, is as entire as any argument from experience can possibly be imagined. Why is it more than probable, that all men must die; that lead cannot, of itself, remain suspended in the air; that fire consumes wood, and is extinguished by water; unless it be, that these events are found agreeable to the laws of nature, and there is required a violation of these laws, or in other words, a miracle to prevent them? Nothing is esteemed a miracle, if it ever happen in the common course of nature. It is no miracle that a man, seemingly in good health, should die on a sudden: because such a kind of death, though more unusual than any other, has yet been frequently observed to happen. But it is a miracle, that a dead man should come to life; because that has never been observed in any age or country. There must, therefore, be a uniform experience against every miraculous event, otherwise the event would not merit that appellation. And as a uniform experience amounts to a proof, there is here a direct and full proof, from the nature of the fact, against the existence of any miracle; nor can such a proof be destroyed, or the miracle rendered credible, but by an opposite proof, which is superior.[3]

91. The plain consequence is (and it is a general maxim worthy of our attention), “That no testimony is sufficient

to establish a miracle, unless the testimony be of such a kind, that its falsehood would be more miraculous, than the fact, which it endeavours to establish; and even in that case there is a mutual destruction of arguments, and the superior only gives us an assurance suitable to that degree of force, which remains, after deducting the inferior." When anyone tells me, that he saw a dead man restored to life, I immediately consider with myself, whether it be more probable, that this person should either deceive or be deceived, or that the fact, which he relates, should really have happened. I weigh the one miracle against the other; and according to the superiority, which I discover, I pronounce my decision, and always reject the greater miracle. If the falsehood of his testimony would be more miraculous, than the event which he relates; then, and not till then, can he pretend to command my belief or opinion.

PART II.

92. In the foregoing reasoning we have supposed that the testimony, upon which a miracle is founded, may possibly amount to an entire proof, and that the falsehood of that testimony would be a real prodigy: But it is easy to shew that we have been a great deal too liberal in our concession, and that there never was a miraculous event established on so full an evidence.

For first, there is not to be found, in all history, any miracle attested by a sufficient number of men, of such unquestioned good-sense, education, and learning, as to secure us against all delusion in themselves; of such undoubted integrity, as to place them beyond all suspicion of any design to deceive others; of such credit and reputation in the eyes of mankind, as to have a great deal to lose in case of their being detected in any falsehood; and at the same time, attesting facts performed in such a public manner and in so celebrated a part of the world, as to render the detection unavoidable: All which circumstances are requisite to give us a full assurance in the testimony of men.

93. Secondly. We may observe in human nature a principle which, if strictly examined, will be found to diminish extremely the assurance, which we might, from human testimony, have, in any kind of prodigy. The maxim, by which we commonly conduct ourselves in our reasonings, is, that the objects, of which we have no experience, resemble those, of which we have; that what we have found to be most usual is always most probable; and that where there is an opposition of arguments, we ought to give the preference to such as are founded on the greatest number of past observations. But though, in proceeding by this rule, we readily reject any fact which is unusual and incredible in an ordinary degree; yet in advancing farther, the mind observes not always the same rule; but when anything is affirmed utterly absurd and miraculous, it rather the more readily admits of such a fact, upon account of that very circumstance, which ought to destroy all its authority. The passion of surprise and wonder, arising from miracles, being an agreeable emotion, gives a sensible tendency towards the belief of those events, from which it is derived. And this goes so far, that even those who cannot enjoy this pleasure immediately, nor can believe those miraculous events, of which they are informed, yet love to partake of the satisfaction at second-hand or by rebound, and place a pride and delight in exciting the admiration of others.

With what greediness are the miraculous accounts of travellers received, their descriptions of sea and land monsters, their relations of wonderful adventures, strange men, and uncouth manners? But if the spirit of religion join itself to the love of wonder, there is an end of common sense; and human testimony, in these circumstances, loses all pretensions to authority. A religionist may be an enthusiast, and imagine he sees what has no reality: he may know his narrative to be false, and yet persevere in it, with the best intentions in the world, for the sake of promoting so holy a cause: or even where this delusion has not place, vanity, excited by so strong a temptation,

operates on him more powerfully than on the rest of mankind in any other circumstances; and self-interest with equal force. His auditors may not have, and commonly have not, sufficient judgement to canvass his evidence: what judgement they have, they renounce by principle, in these sublime and mysterious subjects: or if they were ever so willing to employ it, passion and a heated imagination disturb the regularity of its operations. Their credulity increases his impudence: and his impudence overpowers their credulity.

Eloquence, when at its highest pitch, leaves little room for reason or reflection; but addressing itself entirely to the fancy or the affections, captivates the willing hearers, and subdues their understanding. Happily, this pitch it seldom attains. But what a Tully or a Demosthenes could scarcely effect over a Roman or Athenian audience, every Capuchin, every itinerant or stationary teacher can perform over the generality of mankind, and in a higher degree, by touching such gross and vulgar passions.

The many instances of forged miracles, and prophecies, and supernatural events, which, in all ages, have either been detected by contrary evidence, or which detect themselves by their absurdity, prove sufficiently the strong propensity of mankind to the extraordinary and the marvellous, and ought reasonably to beget a suspicion against all relations of this kind. This is our natural way of thinking, even with regard to the most common and most credible events. For instance: There is no kind of report which rises so easily, and spreads so quickly, especially in country places and provincial towns, as those concerning marriages; insomuch that two young persons of equal condition never see each other twice, but the whole neighbourhood immediately join them together. The pleasure of telling a piece of news so interesting, of propagating it, and of being the first reporters of it, spreads the intelligence. And this is so well known, that no man of sense gives attention to these reports, till he find them confirmed by some greater evidence. Do not the same passions, and others still stronger, incline the generality of mankind to believe and report, with the greatest vehemence and assurance, all religious miracles?

94. Thirdly. It forms a strong presumption against all supernatural and miraculous relations, that they are observed chiefly to abound among ignorant and barbarous nations; or if a civilized people has ever given admission to any of them, that people will be found to have received them from ignorant and barbarous ancestors, who transmitted them with that inviolable sanction and authority, which always attend received opinions. When we peruse the first histories of all nations, we are apt to imagine ourselves transported into some new world; where the whole frame of nature is disjointed, and every element performs its operations in a different manner, from what it does at present. Battles, revolutions, pestilence, famine and death, are never the effect of those natural causes, which we experience. Prodigies, omens, oracles, judgements, quite obscure the few natural events, that are intermingled with them. But as the former grow thinner every page, in proportion as we advance nearer the enlightened ages, we soon learn, that there is nothing mysterious or supernatural in the case, but that all proceeds from the usual propensity of mankind towards the marvellous, and that, though this inclination may at intervals receive a check from sense and learning, it can never be thoroughly extirpated from human nature.

It is strange, a judicious reader is apt to say, upon the perusal of these wonderful historians, that such prodigious events never happen in our days. But it is nothing strange, I hope, that men should lie in all ages. You must surely have seen instances enough of that frailty. You have yourself heard many such marvellous relations started, which, being treated with scorn by all the wise and judicious, have at last been abandoned even by the vulgar. Be assured, that those renowned lies, which have spread and flourished to such a monstrous height, arose from like beginnings; but being sown in a more proper soil, shot up at last into prodigies almost equal to those which they relate.

It was a wise policy in that false prophet, Alexander, who though now forgotten, was once so famous, to lay the first scene of his impostures in Paphlagonia, where, as Lucian tells us, the people were extremely ignorant and stupid, and ready to swallow even the grossest delusion. People at a distance, who are weak enough to think the matter at all worth enquiry, have no opportunity of receiving better information. The stories come magnified to them by a hundred circumstances. Fools are industrious in propagating the imposture; while the wise and learned are contented, in general, to deride its absurdity, without informing themselves of the particular facts, by which it may be distinctly refuted. And thus the impostor above mentioned was enabled to proceed, from his ignorant Paphlagonians, to the enlisting of votaries, even among the Grecian philosophers, and men of the most eminent rank and distinction in Rome: nay, could engage the attention of that sage emperor Marcus Aurelius; so far as to make him trust the success of a military expedition to his delusive prophecies.

The advantages are so great, of starting an imposture among an ignorant people, that, even though the delusion should be too gross to impose on the generality of them (which, though seldom, is sometimes the case) it has a much better chance for succeeding in remote countries, than if the first scene had been laid in a city renowned for arts and knowledge. The most ignorant and barbarous of these barbarians carry the report abroad. None of their countrymen have a large correspondence, or sufficient credit and authority to contradict and beat down the delusion. Men's inclination to the marvellous has full opportunity to display itself. And thus a story, which is universally exploded in the place where it was first started, shall pass for certain at a thousand miles distance. But had Alexander fixed his residence at Athens, the philosophers of that renowned mart of learning had immediately spread, throughout the whole Roman empire, their sense of the matter; which, being supported by so great authority, and displayed by all the force of reason and eloquence, had entirely opened the eyes of mankind. It is true; Lucian, passing by chance through Paphlagonia, had an opportunity of performing this good office. But, though much to be wished, it does not always happen, that every Alexander meets with a Lucian, ready to expose and detect his impostures.

95. I may add as a fourth reason, which diminishes the authority of prodigies, that there is no testimony for any, even those which have not been expressly detected, that is not opposed by an infinite number of witnesses; so that not only the miracle destroys the credit of testimony, but the testimony destroys itself. To make this the better understood, let us consider, that, in matters of religion, whatever is different is contrary; and that it is impossible the religions of ancient Rome, of Turkey, of Siam, and of China should, all of them, be established on any solid foundation. Every miracle, therefore, pretended to have been wrought in any of these religions (and all of them abound in miracles), as its direct scope is to establish the particular system to which it is attributed; so has it the same force, though more indirectly, to overthrow every other system. In destroying a rival system, it likewise destroys the credit of those miracles, on which that system was established; so that all the prodigies of different religions are to be regarded as contrary facts, and the evidences of these prodigies, whether weak or strong, as opposite to each other. According to this method of reasoning, when we believe any miracle of Mahomet or his successors, we have for our warrant the testimony of a few barbarous Arabians: And on the other hand, we are to regard the authority of Titus Livius, Plutarch, Tacitus, and, in short, of all the authors and witnesses, Grecian, Chinese, and Roman Catholic, who have related any miracle in their particular religion; I say, we are to regard their testimony in the same light as if they had mentioned that Mahometan miracle, and had in express terms contradicted it, with the same certainty as they have for the miracle they relate. This argument may appear over subtle and refined; but is not in reality different from the reasoning of a judge, who supposes that the credit of two witnesses, maintaining a crime against any one, is destroyed by the testimony of two others, who affirm him to have been two hundred leagues distant, at the same instant when the crime is said to have been committed.

96. One of the best attested miracles in all profane history, is that which Tacitus reports of Vespasian, who cured a blind man in Alexandria, by means of his spittle, and a lame man by the mere touch of his foot; in obedience to a vision of the god Serapis, who had enjoined them to have recourse to the Emperor, for these miraculous cures. The story may be seen in that fine historian;[4] where every circumstance seems to add weight to the testimony, and might be displayed at large with all the force of argument and eloquence, if any one were now concerned to enforce the evidence of that exploded and idolatrous superstition. The gravity, solidity, age, and probity of so great an emperor, who, through the whole course of his life, conversed in a familiar manner with his friends and courtiers, and never affected those extraordinary airs of divinity assumed by Alexander and Demetrius. The historian, a contemporary writer, noted for candour and veracity, and withal, the greatest and most penetrating genius, perhaps, of all antiquity; and so free from any tendency to credulity, that he even lies under the contrary imputation, of atheism and profaneness: The persons, from whose authority he related the miracle, of established character for judgement and veracity, as we may well presume; eye-witnesses of the fact, and confirming their testimony, after the Flavian family was despoiled of the empire, and could no longer give any reward, as the price of a lie. *Utrumque, qui interfuere, nunc quoque memorant, postquam nullum mendacio pretium.* To which if we add the public nature of the facts, as related, it will appear, that no evidence can well be supposed stronger for so gross and so palpable a falsehood.

There is also a memorable story related by Cardinal de Retz, which may well deserve our consideration. When that intriguing politician fled into Spain, to avoid the persecution of his enemies, he passed through Saragossa, the capital of Aragon, where he was shewn, in the cathedral, a man, who had served seven years as a doorkeeper, and was well known to every body in town, that had ever paid his devotions at that church. He had been seen, for so long a time, wanting a leg; but recovered that limb by the rubbing of holy oil upon the stump; and the cardinal assures us that he saw him with two legs. This miracle was vouched by all the canons of the church; and the whole company in town were appealed to for a confirmation of the fact; whom the cardinal found, by their zealous devotion, to be thorough believers of the miracle. Here the relater was also contemporary to the supposed prodigy, of an incredulous and libertine character, as well as of great genius; the miracle of so singular a nature as could scarcely admit of a counterfeit, and the witnesses very numerous, and all of them, in a manner, spectators of the fact, to which they gave their testimony. And what adds mightily to the force of the evidence, and may double our surprise on this occasion, is, that the cardinal himself, who relates the story, seems not to give any credit to it, and consequently cannot be suspected of any concurrence in the holy fraud. He considered justly, that it was not requisite, in order to reject a fact of this nature, to be able accurately to disprove the testimony, and to trace its falsehood, through all the circumstances of knavery and credulity which produced it. He knew, that, as this was commonly altogether impossible at any small distance of time and place; so was it extremely difficult, even where one was immediately present, by reason of the bigotry, ignorance, cunning, and roguery of a great part of mankind. He therefore concluded, like a just reasoner, that such an evidence carried falsehood upon the very face of it, and that a miracle, supported by any human testimony, was more properly a subject of derision than of argument.

There surely never was a greater number of miracles ascribed to one person, than those, which were lately said to have been wrought in France upon the tomb of Abbe Paris, the famous Jansenist, with whose sanctity the people were so long deluded. The curing of the sick, giving hearing to the deaf, and sight to the blind, were every where talked of as the usual effects of that holy sepulchre. But what is more extraordinary; many of the miracles were

immediately proved upon the spot, before judges of unquestioned integrity, attested by witnesses of credit and distinction, in a learned age, and on the most eminent theatre that is now in the world. Nor is this all: a relation of them was published and dispersed every where; nor were the Jesuits, though a learned body, supported by the civil magistrate, and determined enemies to those opinions, in whose favour the miracles were said to have been wrought, ever able distinctly to refute or detect them. Where shall we find such a number of circumstances, agreeing to the corroboration of one fact? And what have we to oppose to such a cloud of witnesses, but the absolute impossibility or miraculous nature of the events, which they relate? And this surely, in the eyes of all reasonable people, will alone be regarded as a sufficient refutation.

97. Is the consequence just, because some human testimony has the utmost force and authority in some cases, when it relates the battle of Philippi or Pharsalia for instance; that therefore all kinds of testimony must, in all cases, have equal force and authority? Suppose that the Caesarean and Pompeian factions had, each of them, claimed the victory in these battles, and that the historians of each party had uniformly ascribed the advantage to their own side; how could mankind, at this distance, have been able to determine between them? The contrariety is equally strong between the miracles related by Herodotus or Plutarch, and those delivered by Mariana, Bede, or any monkish historian.

The wise lend a very academic faith to every report which favours the passion of the reporter; whether it magnifies his country, his family, or himself, or in any other way strikes in with his natural inclinations and propensities. But what greater temptation than to appear a missionary, a prophet, an ambassador from heaven? Who would not encounter many dangers and difficulties, in order to attain so sublime a character? Or if, by the help of vanity and a heated imagination, a man has first made a convert of himself, and entered seriously into the delusion I who ever scruples to make use of pious frauds, in support of so holy and meritorious a cause?

The smallest spark may here kindle into the greatest flame; because the materials are always prepared for it. The avidum genus auricularum,[5] the gazing populace, receive greedily, without examination, whatever soothes superstition, and promotes wonder.

How many stories of this nature have in all ages, been detected and exploded in their infancy? How many more have been celebrated for a time, and have afterwards sunk into neglect and oblivion? Where such reports, therefore, fly about, the solution of the phenomenon is obvious; and we in conformity to regular experience and observation, when we account for it by the known and natural principles of credulity and delusion. And shall we, rather than have a recourse to so natural a solution, allow of a miraculous violation of the most established laws of nature?

I need not mention the difficulty of detecting a falsehood in any private or even public history, at the place, where it is said to happen; much more when the scene is removed to ever so small a distance. Even a court of judicature, with all the authority, accuracy, and judgement, which they can employ, find themselves often at a loss to distinguish between truth and falsehood in the most recent actions. But the matter never comes to any issue, if trusted to the common method of altercations and debate and flying rumours; especially when men's passions have taken part on either side.

In the infancy of new religions, the wise and learned commonly esteem the matter too inconsiderable to deserve their attention or regard. And when afterwards they would willingly detect the cheat, in order to undeceive the

deluded multitude, the season is now past, and the records and witnesses, which might clear up the matter, have perished beyond recovery.

No means of detection remain, but those which must be drawn from the very testimony itself of the reporters: and these, though always sufficient with the judicious and knowing, are commonly too fine to fall under the comprehension of the vulgar.

98. Upon the whole, then, it appears, that no testimony for any kind of miracle has ever amounted to a probability, much less to a proof; and that, even supposing it amounted to a proof, it would be opposed by another proof, derived from the very nature of the fact, which it would endeavour to establish. It is experience only, which gives authority to human testimony; and it is the same experience, which assures us of the laws of nature. When, therefore, these two kinds of experience are contrary, we have nothing to do but subtract the one from the other, and embrace an opinion, either on one side or the other, with that assurance which arises from the remainder. But according to the principle here explained, this subtraction, with regard to all popular religions, amounts to an entire annihilation; and therefore we may establish it as a maxim, that no human testimony can have such force as to prove a miracle, and make it a just foundation for any such system of religion.

99. I beg the limitations here made may be remarked, when I say, that a miracle can never be proved, so as to be the foundation of a system of religion. For I own, that otherwise, there may possibly be miracles, or violations of the usual course of nature, of such a kind as to admit of proof from human testimony; though, perhaps, it will be impossible to find any such in all the records of history. Thus, suppose all authors, in all languages, agree, that, from the first of January 1600, there was a total darkness over the whole earth for eight days: suppose that the tradition of this extraordinary event is still strong and lively among the people: that all travellers, who return from foreign countries, bring us accounts of the same tradition, without the least variation or contradiction: it is evident, that our present philosophers, instead of doubting the fact, ought to receive it as certain, and ought to search for the causes whence it might be derived. The decay, corruption, and dissolution of nature, is an event rendered probable by so many analogies, that any phenomenon, which seems to have a tendency towards that catastrophe, comes within the reach of human testimony, if that testimony be very extensive and uniform.

But suppose, that all the historians who treat of England, should agree, that, on the first of January 1600, Queen Elizabeth died; that both before and after her death she was seen by her physicians and the whole court, as is usual with persons of her rank; that her successor was acknowledged and proclaimed by the parliament; and that, after being interred a month, she again appeared, resumed the throne, and governed England for three years: I must confess that I should be surprised at the concurrence of so many odd circumstances, but should not have the least inclination to believe so miraculous an event. I should not doubt of her pretended death, and of those other public circumstances that followed it: I should only assert it to have been pretended, and that it neither was, nor possibly could be real. You would in vain object to me the difficulty, and almost impossibility of deceiving the world in an affair of such consequence; the wisdom and solid judgement of that renowned queen; with the little or no advantage which she could reap from so poor an artifice: All this might astonish me; but I would still reply, that the knavery and folly of men are such common phenomena, that I should rather believe the most extraordinary events to arise from their concurrence, than admit of so signal a violation of the laws of nature.

But should this miracle be ascribed to any new system of religion; men, in all ages, have been so much imposed on by ridiculous stories of that kind, that this very circumstance would be a full proof of a cheat, and sufficient, with all men of sense, not only to make them reject the fact, but even reject it without farther examination. Though

the Being to whom the miracle is ascribed, be, in this case, Almighty, it does not, upon that account, become a whit more probable; since it is impossible for us to know the attributes or actions of such a Being, otherwise than from the experience which we have of his productions, in the usual course of nature. This still reduces us to past observation, and obliges us to compare the instances of the violation of truth in the testimony of men, with those of the violation of the laws of nature by miracles, in order to judge which of them is most likely and probable. As the violations of truth are more common in the testimony concerning religious miracles, than in that concerning any other matter of fact; this must diminish very much the authority of the former testimony, and make us form a general resolution, never to lend any attention to it, with whatever specious pretence it may be covered.

Lord Bacon seems to have embraced the same principles of reasoning. “We ought,” says he, “to make a collection or particular history of all monsters and prodigious births or productions, and in a word of everything new, rare, and extraordinary in nature. But this must be done with the most severe scrutiny, lest we depart from truth. Above all, every relation must be considered as suspicious, which depends in any degree upon religion, as the prodigies of Livy: And no less so, everything that is to be found in the writers of natural magic or alchemy, or such authors, who seem, all of them, to have an unconquerable appetite for falsehood and fable.”[6]

100. I am the better pleased with the method of reasoning here delivered, as I think it may serve to confound those dangerous friends or disguised enemies to the Christian Religion, who have undertaken to defend it by the principles of human reason. Our most holy religion is founded on Faith, not on reason; and it is a sure method of exposing it to put it to such a trial as it is, by no means, fitted to endure. To make this more evident, let us examine those miracles, related in scripture; and not to lose ourselves in too wide a field, let us confine ourselves to such as we find in the Pentateuch, which we shall examine, according to the principles of these pretended Christians, not as the word or testimony of God himself, but as the production of a mere human writer and historian. Here then we are first to consider a book, presented to us by a barbarous and ignorant people, written in an age when they were still more barbarous, and in all probability long after the facts which it relates, corroborated by no concurring testimony, and resembling those fabulous accounts, which every nation gives of its origin. Upon reading this book, we find it full of prodigies and miracles. It gives an account of a state of the world and of human nature entirely different from the present: Of our fall from that state: Of the age of man, extended to near a thousand years: Of the destruction of the world by a deluge: Of the arbitrary choice of one people, as the favourites of heaven; and that people the countrymen of the author: Of their deliverance from bondage by prodigies the most astonishing imaginable: I desire anyone to lay his hand upon his heart, and after a serious consideration declare, whether he thinks that the falsehood of such a book, supported by such a testimony, would be more extraordinary and miraculous than all the miracles it relates; which is, however, necessary to make it be received, according to the measures of probability above established.

101. What we have said of miracles may be applied, without any variation, to prophecies; and indeed, all prophecies are real miracles, and as such only, can be admitted as proofs of any revelation. If it did not exceed the capacity of human nature to foretell future events, it would be absurd to employ any prophecy as an argument for a divine mission or authority from heaven. So that, upon the whole, we may conclude, that the Christian Religion not only was at first attended with miracles, but even at this day cannot be believed by any reasonable person without one. Mere reason is insufficient to convince us of its veracity: And whoever is moved by Faith to assent to it, is conscious of a continued miracle in his own person, which subverts all the principles of his understanding, and gives him a determination to believe what is most contrary to custom and experience.

1. Plutarch, Marcus Cato.
2. No Indian, it is evident, could have experience that water did not freeze in cold climates. This is placing nature in a situation quite unknown to him; and it is impossible for him to tell a priori what will result from it. It is making a new experiment, the consequence of which is always uncertain. One may sometimes conjecture from analogy what will follow; but still this is but conjecture. And it must be confessed, that, in the present case of freezing, the event follows contrary to the rules of analogy, and is such as a rational Indian would not look for. The operations of cold upon water are not gradual, according to the degrees of cold; but whenever it comes to the freezing point, the water passes in a moment, from the utmost liquidity to perfect hardness. Such an event, therefore, may be denominated extraordinary, and requires a pretty strong testimony to render it credible to people in a warm climate: But still it is not miraculous, nor contrary to uniform experience of the course of nature in cases where all the circumstances are the same. The inhabitants of Sumatra have always seen water fluid in their own climate, and the freezing of their rivers ought to be deemed a prodigy: But they never saw water in Muscovy during the winter; and therefore they cannot reasonably be positive what would there be the consequence.
3. Sometimes an event may not, in itself, seem to be contrary to the laws of nature, and yet, if it were real, it might, by reason of some circumstances, be denominated a miracle; because, in fact, it is contrary to these laws. Thus if a person, claiming a divine authority, should command a sick person to be well, a healthful man to fall down dead, the clouds to pour rain, the winds to blow, in short, should order many natural events, which immediately follow upon his command; these might justly be esteemed miracles, because they are really, in this case, contrary to the laws of nature. For if any suspicion remain, that the event and command concurred by accident, there is no miracle and no transgression of the laws of nature. If this suspicion be removed, there is evidently a miracle, and a transgression of these laws; because nothing can be more contrary to nature than that the voice or command of a man should have such an influence. A miracle may be accurately defined, a transgression of a law of nature by a particular volition of the Deity, or by the interposition of some invisible agent. A miracle may either be discoverable by men or not. This alters not its nature and essence. The raising of a house or ship into the air is a visible miracle. The raising of a feather, when the wind wants ever so little of a force requisite for that purpose, is as real a miracle, though not so sensible with regard to us.
4. Histories, iv. 81. Suetonius gives nearly the same account, Lives of the Caesars (Vespasian).
5. Lucretius.
6. Novum Organum, II, aph. 29.

Søren Kierkegaard – On Encountering Faith

Fear and Trembling

Introduction

Not only in the world of commerce but also in the world of ideas our age has arranged a regular clearance-sale. Everything may be had at such absurdly low prices that very soon the question will arise whether any one cares to bid. Every waiter with a speculative turn who carefully marks the significant progress of modern philosophy, every lecturer in philosophy, every tutor, student, every sticker-and-quitter of philosophy—they are not content with doubting everything, but “go right on.” It might, possibly, be ill-timed and inopportune to ask them whither they are bound; but it is no doubt polite and modest to take it for granted that they have doubted everything—else it were a curious statement for them to make, that they were proceeding onward. So they have, all of them, completed that preliminary operation and, it would seem, with such ease that they do not think it necessary to waste a word about how they did it. The fact is, not even he who looked anxiously and with a troubled spirit for some little point of information, ever found one, nor any instruction, nor even any little dietetic prescription, as to how one is to accomplish this enormous task. “But did not Descartes proceed in this fashion?” Descartes, indeed! that venerable, humble, honest thinker whose writings surely no one can read without deep emotion—Descartes did what he said, and said what he did. Alas, alas! that is a mighty rare thing in our times! But Descartes, as he says frequently enough, never uttered doubts concerning his faith. . . .

In our times, as was remarked, no one is content with faith, but “goes right on.” The question as to whither they are proceeding may be a silly question; whereas it is, a sign of urbanity and culture to assume that every one has faith, to begin with, for else it were a curious statement for them to make, that they are proceeding further. In the olden days it was different. Then, faith was a task for a whole life-time because it was held that proficiency in faith was not to be won within a few days or weeks. Hence, when the tried patriarch felt his end approaching, after having fought his battles and preserved his faith, he was still young enough at heart not to have forgotten the fear and trembling which disciplined his youth and which the mature man has under control, but which no one entirely outgrows—except insofar as he succeeds in “going on” as early as possible. The goal which those venerable men reached at last—at that spot every one starts, in our times, in order to “proceed further.” ...

PREPARATION

There lived a man who, when a child, had heard the beautiful Bible story of how God tempted Abraham and how he stood the test, how he maintained his faith and, against his expectations, received his son back again. As this man grew older he read this same story with ever greater admiration; for now life had separated what had been united in the reverent simplicity of the child. And the older he grew, the more frequently his thoughts reverted to that story. His enthusiasm waxed stronger and stronger, and yet the story grew less and less clear to him. Finally he forgot everything else in thinking about it, and his soul contained but one wish, which was, to behold Abraham: and but one longing, which was, to have been witness to that event. His desire was, not to see the beautiful lands of the Orient, and not the splendor of the Promised Land, and not the reverent couple whose old age the Lord had blessed with children, and not the venerable figure of the aged patriarch, and not the god-given vigorous youth of Isaac—it would have been the same to him if the event had come to pass on some barren heath. But his wish was, to have been with Abraham on the three days' journey, when he rode with sorrow before him and with Isaac at his side. His wish was, to have been present at the moment when Abraham lifted up his eyes and saw Mount Moriah afar off; to have been present at the moment when he left his asses behind and wended his way up to the mountain alone with Isaac. For the mind of this man was busy, not with the delicate conceits of the imagination, but rather with his shuddering thought.

The man we speak of was no thinker, he felt no desire to go beyond his faith: it seemed to him the most glorious fate to be remembered as the Father of Faith, and a most enviable lot to be possessed of that faith, even if no one knew it.

The man we speak of was no learned exegetist, he did not even understand Hebrew—who knows but a knowledge of Hebrew might have helped him to understand readily both the story and Abraham.

I.

And God tempted Abraham and said unto him: take Isaac, thine only son, whom thou lovest and go to the land Moriah and sacrifice him there on a mountain which I shall show thee.[1]

It was in the early morning, Abraham arose betimes and had his asses saddled. He departed from his tent, and Isaac with him; but Sarah looked out of the window after them until they were out of sight. Silently they rode for three days; but on the fourth morning Abraham said not a word but lifted up his eyes and beheld Mount Moriah in the distance. He left his servants behind and, leading Isaac by the hand, he approached the mountain. But Abraham said to himself: "I shall surely conceal from Isaac whither he is going." He stood still, he laid his hand on Isaac's head to bless him, and Isaac bowed down to receive his blessing. And Abraham's aspect was fatherly, his glance was mild, his speech admonishing. But Isaac understood him not, his soul would not rise to him; he embraced Abraham's knees, he besought him at his feet, he begged for his young life, for his beautiful hopes, he recalled the joy in Abraham's house when he was born, he reminded him of the sorrow and the loneliness that would be after him. Then did Abraham raise up the youth and lead him by his hand, and his words were full of consolation and admonishment. But Isaac understood him not. He ascended Mount Moriah, but Isaac understood him not. Then Abraham averted his face for a moment; but when Isaac looked again, his father's countenance was changed, his glance wild, his aspect terrible, he seized Isaac and threw him to the ground and said: "Thou foolish lad, believest thou I am thy father? An idol-worshipper am I. Believest thou it is God's command? Nay, but my pleasure." Then Isaac trembled and cried out in his fear: "God in heaven, have pity on me, God of Abraham, show mercy to me, I have no father on earth, be thou then my father!" But Abraham said softly to himself: "Father in heaven, I thank thee. Better is it that he believes me inhuman than that he should lose his faith in thee."

When the child is to be weaned, his mother blackens her breast; for it were a pity if her breast should look sweet to him when he is not to have it. Then the child believes that her breast has changed ; but his mother is ever the same, her glance is full of love and as tender as ever. Happy he who needed not worse means to wean his child!

II

It was in the early morning. Abraham arose betimes and embraced Sarah, the bride of his old age. And Sarah kissed Isaac who had taken the shame from her—Isaac, her pride, her hope for all coming generations. Then the twain rode silently along their way, and Abraham's glance was fastened on the ground before him; until on the fourth day, when he lifted up his eyes and beheld Mount Moriah in the distance; but then his eyes again sought the ground. Without a word he put the fagots in order and bound Isaac, and without a word he unsheathed his knife. Then he beheld the ram God had chosen, and sacrificed him, and wended his way home. ... From that day on Abraham grew old. He could not forget that God had required this of him. Isaac flourished as before; but Abraham's eye was darkened, he saw happiness no more.

When the child has grown and is to be weaned, his mother will in maidenly fashion conceal her breast. Then the child has a mother no longer. Happy the child who lost not his mother in any other sense!

III.

It was in the early morning. Abraham arose betimes; he kissed Sarah, the young mother, and Sarah kissed Isaac, her joy, her delight for all times. And Abraham rode on his way, lost in thought—he was thinking of Hagar and her son whom he had driven out into the wilderness. He ascended Mount Moriah and he drew the knife.

It was a calm evening when Abraham rode out alone, and he rode to Mount Moriah. There he cast himself down on his face and prayed to God to forgive him his sin in that he had been about to sacrifice his son Isaac, and in that the father had forgotten his duty toward his son. And yet oftener he rode on his lonely way, but he found no rest. He could not grasp that it was a sin that he had wanted to sacrifice to God his most precious possession, him for whom he would most gladly have died many times. But, if it was a sin, if he had not loved Isaac thus, then could he not grasp the possibility that he could be forgiven: for what sin more terrible?

When the child is to be weaned, the mother is not without sorrow that she and her child are to be separated more and more, that the child who had first lain under her heart, and afterwards at any rate rested at her breast, is to be so near to her no more. So they sorrow together for that brief while. Happy he who kept his child so near to him and needed not to sorrow more!

IV.

It was in the early morning. All was ready for the journey in the house of Abraham. He bade farewell to Sarah; and Eliezer, his faithful servant, accompanied him along the way for a little while. They rode together in peace, Abraham and Isaac, until they came to Mount Moriah. And Abraham prepared everything for the sacrifice, calmly and mildly; but when his father turned aside in order to unsheath his knife, Isaac saw that Abraham's left hand was knit in despair and that a trembling shook his frame—but Abraham drew forth the knife.

Then they returned home again, and Sarah hastened to meet them; but Isaac had lost his faith. No one in all the

world ever said a word about this, nor did Isaac speak to any man concerning what he had seen, and Abraham suspected not that any one had seen it.

When the child is to be weaned, his mother has the stronger food ready lest the child perish. Happy he who has in readiness this stronger food!

Thus, and in many similar ways, thought the man whom I have mentioned about this event. And every time he returned, after a pilgrimage to Mount Moriah, he sank down in weariness, folding his hands and saying: “No one, in truth, was great as was Abraham, and who can understand him?”

A PANEGYRIC ON ABRAHAM

If a consciousness of the eternal were not implanted in man; if the basis of all that exists were but a confusedly fermenting element which, convulsed by obscure passions, produced all, both the great and the insignificant; if under everything there lay a bottomless void never to be filled— what else were life but despair? If it were thus, and if there were no sacred bonds between man and man; if one generation arose after another, as in the forest the leaves of one season succeed the leaves of another, or like the songs of birds which are taken up one after another; if the generations of man passed through the world like a ship passing through the sea and the wind over the desert—a fruitless and a vain thing; if eternal oblivion were ever greedily watching for its prey and there existed no power strong enough to wrest it from its clutches—how empty were life then, and how dismal! And therefore it is not thus; but, just as God created man and woman, he likewise called into being the hero and the poet or orator. The latter cannot perform the deeds of the hero—he can only admire and love him and rejoice in him. And yet he also is happy and not less so; for the hero is, as it were, his better self with which he has fallen in love, and he is glad he is not himself the hero, so that his love can express itself in admiration.

The poet is the genius of memory, and does nothing but recall what has been done, can do nothing but admire what has been done. He adds nothing of his own, but he is jealous of what has been entrusted to him. He obeys the choice of his own heart; but once he has found what he has been seeking, he visits every man’s door with his song and with his speech, so that all may admire the hero as he does, and be proud of the hero as he is. This is his achievement, his humble work, this is his faithful service in the house of the hero. If thus, faithful to his love, he battles day and night against the guile of oblivion which wishes to lure the hero from him, then has he accomplished his task, then is he gathered to his hero who loves him as faithfully; for the poet is at it were the hero’s better self, unsubstantial, to be sure, like a mere memory, but also transfigured as is a memory. Therefore shall no one be forgotten who has done great deeds; and even if there be delay, even if the cloud of misunderstanding obscure the hero from our vision, still his lover will come some time; and the more time has passed, the more faithfully will he cleave to him.

No, no one shall be forgotten who was great in this world. But each hero was great in his own way, and each one was eminent in proportion to the great things he loved. For he who loved himself became great through himself, and he who loved others became great through his devotion, but he who loved God became greater than all of these. Everyone of them shall be remembered, but each one became great in proportion to his trust. One became great by hoping for the possible; another, by hoping for the eternal; but he who hoped for the impossible, he became greater than all of these. Every one shall be remembered ; but each one was great in proportion to the power with which he strove. For he who strove with the world became great by over-coming himself; but he who strove with God, he became the greatest of them all. Thus there have been struggles in the world, man against man,

one against a thousand; but he who struggled with God, he became greatest of them all. Thus there was fighting on this earth, and there was he who conquered everything by his strength, and there was he who conquered God by his weakness. There was he who, trusting in himself, gained all; and there was he who, trusting in his strength sacrificed everything ; but he who believed in God was greater than all of these. There was he* who was great through his strength, and he who was great through his wisdom, and he who was great through his hopes, and he who was great through his love ; but Abraham was greater than all of these “ great through the strength whose power is weakness, great through the wisdom whose secret is folly, great through the hope whose expression is madness, great through the love which is hatred of one’s self.

Through the urging of his faith Abraham left the land of his forefathers and became a stranger in the land of promise. He left one thing behind and took one thing along: he left his worldly wisdom behind and took with him faith. For else he would not have left the land of his fathers, but would have thought it an unreasonable demand. Through his faith he came to be a stranger in the land of promise, where there was nothing to remind him of all that had been dear to him, but where everything by its newness tempted his soul to longing. And yet was he God’s chosen, he in whom the Lord was well pleased! Indeed, had he been one cast off, one thrust out of God’s mercy, then might he have comprehended it; but now it seemed like a mockery of him and of his faith. There have been others who lived in exile from the fatherland which they loved. They are not forgotten, nor is the song of lament forgotten in which they mournfully sought and found what they had lost. Of Abraham there exists no song of lamentation. It is human to complain, it is human to weep with the weeping; but it is greater to believe, and more blessed to consider him who has faith.

Through his faith Abraham received the promise that in his seed were to be blessed all races of mankind. Time passed, there was still the possibility of it, and Abraham had faith. Another man there was who also lived in hopes. Time passed, the evening of his life was approaching; neither was he paltry enough to have forgotten his hopes: neither shall he be forgotten by us! Then he sorrowed, and his sorrow did not deceive him, as life had done, but gave him all it could; for in the sweetness of sorrow he became possessed of his disappointed hopes. It is human to sorrow, it is human to sorrow with the sorrowing; but it is greater to have faith, and more blessed to consider him who has faith.

No song of lamentation has come down to us from Abraham. He did not sadly count the days as time passed; he did not look at Sarah with suspicious eyes, whether she was becoming old; he did not stop the sun’s course lest Sarah should grow old and his hope with her; he did not lull her with his songs of lamentation. Abraham grew old, and Sarah became a laughing-stock to the people; and yet was he God’s chosen, and heir to the promise that in his seed were to be blessed all races of mankind. Were it, then, not better if he had not been God’s chosen? For what is it to be God’s chosen? Is it to have denied to one in one’s youth all the wishes of youth in order to have them fulfilled after great labor in old age?

But Abraham had faith and steadfastly lived in hope. Had Abraham been less firm in his trust, then would he have given up that hope. He would have said to God : “So it is, perchance, not Thy will, after all, that this shall come to pass. I shall surrender my hope. It was my only one, it was my bliss. I am sincere, I conceal no secret grudge for that Thou didst deny it to me.” He would not have remained forgotten, his example would have saved many a one; but he would not have become the Father of Faith. For it is great to surrender one’s hope, but greater still to abide by it steadfastly after having surrendered it; for it is great to seize hold of the eternal hope, but greater still to abide steadfastly by one’s worldly hopes after having surrendered them.

Then came the fulness of time. If Abraham had not had faith, then Sarah would probably have died of sorrow, and Abraham, dulled by his grief, would not have understood the fulfilment, but would have smiled about it as a dream of his youth. But Abraham had faith, and therefore he remained young; for he who always hopes for the best, his life will deceive, and he will grow old; and he who is always prepared for the worst, he will soon age; but he who has faith, he will preserve eternal youth. Praise, therefore, be to this story! For Sarah, though advanced in age, was young enough to wish for the pleasures of a mother, and Abraham, though grey of hair, was young enough to wish to become a father. In a superficial sense it may be considered miraculous that what they wished for came to pass, but in a deeper sense the miracle of faith is to be seen in Abraham's and Sarah's being young enough to wish, and their faith having preserved their wish and therewith their youth. The promise he had received was fulfilled, and he accepted it in faith, and it came to pass according to the promise and his faith; whereas Moses smote the rock with his staff but believed not.

There was joy in Abraham's house when Sarah celebrated the day of her Golden Wedding.

But it was not to remain thus; for once more was Abraham to be tempted. He had struggled with that cunning power to which nothing is impossible, with that ever watchful enemy who never sleeps, with that old man who outlives all—he had struggled with Time and had preserved his faith. And now all the terror of that fight was concentrated in one moment. “And God tempted Abraham, saying to him: take now thine only son Isaac, whom thou lovest, and get thee into the land of Moriah; and offer him there for a burnt offering upon one of the mountains which I will tell thee of.”[2]

All was lost, then, and more terribly than if a son had never been given him! The Lord had only mocked Abraham, then! Miraculously he had realized the unreasonable hopes of Abraham; and now he wished to take away what he had given. A foolish hope it had been, but Abraham had not laughed when the promise had been made him. Now all was lost—the trusting hope of seventy years, the brief joy at the fulfilment of his hopes. Who, then, is he that snatches away the old man's staff, who that demands that he himself shall break it in two? Who is he that renders disconsolate the grey hair of old age, who is he that demands that he himself shall do it? Is there no pity for the venerable old man, and none for the innocent child? And yet was Abraham God's chosen one, and yet was it the Lord that tempted him. And now all was to be lost! The glorious remembrance of him by a whole race, the promise of Abraham's seed—all that was but a whim, a passing fancy of the Lord, which Abraham was now to destroy forever! That glorious treasure, as old as the faith in Abraham's heart, and many, many years older than Isaac, the fruit of Abraham's life, sanctified by prayers, matured in struggles—the blessing on the lips of Abraham: this fruit was now to be plucked before the appointed time, and to remain without significance; for of what significance were it if Isaac was to be sacrificed? That sad and yet blessed hour when Abraham was to take leave from all that was dear to him, the hour when he would once more lift up his venerable head, when his face would shine like the countenance of the Lord, the hour when he would collect his whole soul for a blessing strong enough to render Isaac blessed all the days of his life—that hour was not to come! He was to say farewell to Isaac, to be sure, but in such wise that he himself was to remain behind; death was to part them, but in such wise that Isaac was to die. The old man was not in happiness to lay his hand on Isaac's head when the hour of death came, but, tired of life, to lay violent hands on Isaac. And it was God who tempted him. Woe, woe to the messenger who would have come before Abraham with such a command! Who would have dared to be the messenger of such dread tidings? But it was God that tempted Abraham.

But Abraham had faith, and had faith for this life. Indeed, had his faith been but concerning the life to come, then might he more easily have cast away all, in order to hasten out of this world which was not his. . . .

But Abraham had faith and doubted not, but trusted that the improbable would come to pass. If Abraham had doubted, then would he have undertaken something else, something great and noble; for what could Abraham have undertaken but was great and noble! He would have proceeded to Mount Moriah, he would have cloven the wood, and fired it, and unsheathed his knife — he would have cried out to God : “Despise not this sacrifice; it is not, indeed, the best I have; for what is an old man against a child foretold of God; but it is the best I can give thee. Let Isaac never know that he must find consolation in his youth.” He would have plunged the steel in his own breast. And he would have been admired throughout the world, and his name would not have been forgotten; but it is one thing to be admired and another, to be a lode-star which guides one troubled in mind.

But Abraham had faith. He prayed not for mercy and that he might prevail upon the Lord : it was only when just retribution was to be visited upon Sodom and Gomorrha that Abraham ventured to beseech Him for mercy.

We read in Scripture: “And God did tempt Abraham, and said unto him, Abraham: and he said. Behold here I am.”[3] You, whom I am now addressing did you do likewise? When you saw the dire dispensations of Providence approach threateningly, did you not then say to the mountains, Fall on me; and to the hills, Cover me?[4] Or, if you were stronger in faith, did not your step linger along the way, longing for the old accustomed paths, as it were? And when the voice called you, did you answer, then, or not at all, and if you did, perchance in a low voice, or whispering? Not thus Abraham, but gladly and cheerfully and trustingly, and with a resonant voice he made answer: “Here am I.” And we read further : “And Abraham rose up early in the morning.”[5] He made haste as though for some joyous occasion, and early in the morning he was in the appointed place, on Mount Moriah. He said nothing to Sarah, nothing to Eliezer, his steward; for who would have understood him? Did not his temptation by its very nature demand of him the vow of silence? “He laid the wood in order, and bound Isaac his son, and laid him on the altar upon the wood. And Abraham stretched forth his hand, and took the knife to slay his son.” My listener! Many a father there has been who thought that with his child he lost the dearest of all there was in the world for him; yet assuredly no child ever was in that sense a pledge of God as was Isaac to Abraham. Many a father there has been who lost his child; but then it was God, the unchangeable and inscrutable will of the Almighty and His hand which took it. Not thus with Abraham. For him was reserved a more severe trial, and Isaac’s fate was put into Abraham’s hand together with the knife. And there he stood, the old man, with his only hope! Yet did he not doubt, nor look anxiously to the left or right, nor challenge Heaven with his prayers. He knew it was God the Almighty who now put him to the test; he knew it was the greatest sacrifice which could be demanded of him; but he knew also that no sacrifice was too great which God demanded—and he drew forth his knife.

Who strengthened Abraham’s arm, who supported his right arm that it drooped not powerless? For he who contemplates this scene is unnerved. Who strengthened Abraham’s soul so that his eyes grew not too dim to see either Isaac or the ram? For he who contemplates this scene will be struck with blindness. And yet, it is rare enough that one is unnerved or is struck with blindness, and still more rare that one narrates worthily what there did take place between father and son. To be sure, we know well enough—it was but a trial!

If Abraham had doubted, when standing on Mount Moriah; if he had looked about him in perplexity; if he had accidentally discovered the ram before drawing his knife; if God had permitted him to sacrifice it instead of Isaac— then would he have returned home, and all would have been as before, he would have had Sarah and would have kept Isaac; and yet how different all would have been! For then had his return been a flight, his salvation an accident, his reward disgrace, his future, perchance, perdition. Then would he have borne witness neither to his faith nor to God’s mercy, but would have witnessed only to the terror of going to Mount Moriah.

Then Abraham would not have been forgotten, nor either Mount Moriah. It would be mentioned, then, not as is Mount Ararat on which the Ark landed, but as a sign of terror, because it was there Abraham doubted.

Venerable patriarch Abraham! When you returned home from Mount Moriah you required no encomiums to console you for what you had lost; for, indeed, you did win all and still kept Isaac, as we all know. And the Lord did no more take him from your side, but you sate gladly at table with him in your tent as in the life to come you will, for all times. Venerable patriarch Abraham! Thousands of years have passed since those times, but still you need no late-born lover to snatch your memory from the power of oblivion, for every language remembers you—and yet do you reward your lover more gloriously than any one, rendering him blessed in your bosom, and taking heart and eyes captive by the marvel of your deed. Venerable patriarch Abraham! Second father of the race! You who first perceived and bore witness to that unbounded passion which has but scorn for the terrible fight with the raging elements and the strength of brute creation, in order to struggle with God; you who first felt that sublimest of all passions, you who found the holy, pure, humble expression for the divine madness which was a marvel to the heathen—forgive him who would speak in your praise, in case he did it not fittingly. He spoke humbly, as if it concerned the desire of his heart; he spoke briefly, as is seemly; but he will never forget that you required a hundred years to obtain a son of your old age, against all expectations; that you had to draw the knife before being permitted to keep Isaac; he will never forget that in a hundred and thirty years you never got farther than to faith.

PRELIMINARY EXPECORATION

An old saying, derived from the world of experience, has it that “he who will not work shall not eat.”[6] But, strange to say, this does not hold true in the world where it is thought applicable; for in the world of matter the law of imperfection prevails, and we see, again and again, that he also who will not work has bread to eat—indeed, that he who sleeps has a greater abundance of it than he who works. In the world of matter everything belongs to whosoever happens to possess it; it is thrall to the law of indifference, and he who happens to possess the Ring also has the Spirit of the Ring at his beck and call, whether now he be Nouredin or Aladdin,[7] and he who controls the treasures of this world, controls them, howsoever he managed to do so. It is different in the world of spirit. There, an eternal and divine order obtains, there the rain does not fall on the just and the unjust alike, nor does the sun shine on the good and the evil alike;[8] but there the saying does hold true that he who will not work shall not eat, and only he who was troubled shall find rest, and only he who descends into the nether world shall rescue his beloved, and only he who unsheathes his knife shall be given Isaac again. There, he who will not work shall not eat, but shall be deceived, as the gods deceived Orpheus with an immaterial figure instead of his beloved Euridice,[9] deceived him because he was love-sick and not courageous, deceived him because he was a player on the cithara rather than a man. There, it avails not to have an Abraham for one’s father,[10] or to have seventeen ancestors. But in that world the saying about Israel’s maidens will hold true of him who will not work : he shall bring forth wind;[11] but he who will work shall give birth to his own father.

There is a kind of learning which would presumptuously introduce into the world of spirit the same law of indifference under which the world of matter groans. It is thought that to know about great men and great deeds is quite sufficient, and that other exertion is not necessary. And therefore this learning shall not eat, but shall perish of hunger while seeing all things transformed into gold by its touch. And what, forsooth, does this learning really know? There were many thousands of contemporaries, and countless men in after times, who knew all about the triumphs of Miltiades; but there was only one whom they rendered sleepless.[12] There have existed countless generations that knew by heart, word for word, the story of Abraham; but how many has it rendered sleepless?

Now the story of Abraham has the remarkable property of always being glorious, in however limited a sense it is understood; still, here also the point is whether one means to labor and exert one's self. Now people do not care to labor and exert themselves, but wish nevertheless to understand the story. They extol Abraham, but how? By expressing the matter in the most general terms and saying: "the great thing about him was that he loved God so ardently that he was willing to sacrifice to Him his most precious possession." That is very true; but "the most precious possession" is an indefinite expression. As one's thoughts, and one's mouth, run on one assumes, in a very easy fashion, the identity of Isaac and "the most precious possession"—and meanwhile he who is meditating may smoke his pipe, and his audience comfortably stretch out their legs. If the rich youth whom Christ met on his way^[13] had sold all his possessions and given all to the poor, we would extol him as we extol all which is great—aye, would not understand even him without labor; and yet would he never have become an Abraham, notwithstanding his sacrificing the most precious possessions he had. That which people generally forget in the story of Abraham is his fear and anxiety; for as regards money, one is not ethically responsible for it, whereas for his son a father has the highest and most sacred responsibility. However, fear is a dreadful thing for timorous spirits, so they omit it. And yet they wish to speak of Abraham.

So they keep on speaking, and in the course of their speech the two terms Isaac and "the most precious thing" are used alternately, and everything is in the best order. But now suppose that among the audience there was a man who suffered with sleeplessness—and then the most terrible and profound, the most tragic, and at the same time the, most comic, misunderstanding is within the range of possibility. That is, suppose this man goes home and wishes to do as did Abraham; for his son is his most precious possession. If a certain preacher learned of this he would, perhaps, go to him, he would gather up all his spiritual dignity and exclaim: "Thou abominable creature, thou scum of humanity, what devil possessed thee to wish to murder thy son?" And this preacher, who had not felt any particular warmth, nor perspired while speaking about Abraham, this preacher would be astonished himself at the earnest wrath with which he poured forth his thunders against that poor wretch; indeed, he would rejoice over himself, for never had he spoken with such power and unction, and he would have said to his wife: "I am an orator, the only thing I have lacked so far was the occasion. Last Sunday, when speaking about Abraham, I did not feel thrilled in the least." Now, if this same orator had just a bit of sense to spare, I believe he would lose it if the sinner would reply, in a quiet and dignified manner: "Why, it was on this very same matter you preached, last Sunday!" But however could the preacher have entertained such thoughts? Still, such was the case, and the preacher's mistake was merely not knowing what he was talking about. Ah, would that some poet might see his way clear to prefer such a situation to the stuff and nonsense of which novels and comedies are full! For the comic and the tragic here run parallel to infinity. The sermon probably was ridiculous enough in itself, but it became infinitely ridiculous through the very natural consequence it had. Or, suppose now the sinner was converted by this lecture without daring to raise any objection, and this zealous divine now went home elated, glad in the consciousness of being effective, not only in the pulpit, but chiefly, and with irresistible power, as a spiritual guide, inspiring his congregation on Sunday, whilst on Monday he would place himself like a cherub with flaming sword before the man who by his actions tried to give the lie to the old saying that "the course of the world follows not the priest's word."

If, on the other hand, the sinner were not convinced of his error his position would become tragic. He would probably be executed, or else sent to the lunatic asylum—at any rate, he would become a sufferer in this world; but in another sense I should think that Abraham rendered him happy; for he who labors, he shall not perish. Now how shall we explain the contradiction contained in that sermon? Is it due to Abraham's having the reputation of being a great man—so that whatever he does is great, but if another should undertake to do the same it is a sin, a

heinous sin? If this be the case I prefer not to participate in such thoughtless laudations. If faith cannot make it a sacred thing to wish to sacrifice one's son, then let the same judgment be visited on Abraham as on any other man. And if we perchance lack the courage to drive our thoughts to the logical conclusion and to say that Abraham was a murderer, then it were better to acquire that courage, rather than to waste one's time on undeserved encomiums. The fact is, the ethical expression for what Abraham did is that he wanted to murder Isaac; the religious, that he wanted to sacrifice him. But precisely in this contradiction is contained the fear which may well rob one of one's sleep. And yet Abraham were not Abraham without this fear. Or, again, supposing Abraham did not do what is attributed to him, if his action was an entirely different one, based on conditions of those times, then let us forget him; for what is the use of calling to mind that past which can no longer become a present reality?—Or, the speaker had perhaps forgotten the essential fact that Isaac was the son. For if faith is eliminated, having been reduced to a mere nothing, then only the brutal fact remains that Abraham wanted to murder Isaac—which is easy for everybody to imitate who has not the faith—the faith, that is, which renders it most difficult for him. . . .

Love has its priests in the poets, and one hears at times a poet's voice which worthily extols it. But not a word does one hear of faith. Who is there to speak in honor of that passion? Philosophy "goes right on." Theology sits at the window with a painted visage and sues for philosophy's favor, offering it her charms. It is said to be difficult to understand the philosophy of Hegel; but to understand Abraham, why, that is an easy matter! To proceed further than Hegel is a wonderful feat, but to proceed further than Abraham, why, nothing is easier! Personally, I have devoted a considerable amount of time to a study of Hegelian philosophy and believe I understand it fairly well; in fact, I am rash enough to say that when, notwithstanding an effort, I am not able to understand him in some passages, it is because he is not entirely clear about the matter himself. All this intellectual effort I perform easily and naturally, and it does not cause my head to ache. On the other hand, whenever I attempt to think about Abraham I am, as it were, overwhelmed. At every moment I am aware of the enormous paradox which forms the content of Abraham's life, at every moment I am repulsed, and my thought, notwithstanding its passionate attempts, cannot penetrate into it, cannot forge on the breadth of a hair. I strain every muscle in order to envisage the problem—and become a paralytic in the same moment.

I am by no means unacquainted with what has been admired as great and noble, my soul feels kinship with it, being satisfied, in all humility, that it was also my cause the hero espoused; and when contemplating his deed I say to myself: "*jam tua causa agitur.*"[14] I am able to identify myself with the hero; but I cannot do so with Abraham, for whenever I have reached his height I fall down again, since he confronts me as the paradox. It is by no means my intention to maintain that faith is something inferior, but, on the contrary, that it is the highest of all things; also that it is dishonest in philosophy to offer something else instead, and to pour scorn on faith; but it ought to understand its own nature in order to know what it can offer. It should take away nothing; least of all, fool people out of something as if it were of no value. I am not unacquainted with the sufferings and dangers of life, but I do not fear them, and cheerfully go forth to meet them. . . . But my courage is not, for all that, the courage of faith, and is as nothing compared with it. I cannot carry out the movement of faith: I cannot close my eyes and confidently plunge into the absurd—it is impossible for me; but neither do I boast of it. . . . Now I wonder if every one of my contemporaries is really able to perform the movements of faith. Unless I am much mistaken they are, rather, inclined to be proud of making what they perhaps think me unable to do, viz., the imperfect movement. It is repugnant to my soul to do what is so often done, to speak inhumanly about great deeds, as if a few thousands of years were an immense space of time. I prefer to speak about them in a human way and as though they had been done but yesterday, to let the great deed itself be the distance which either inspires or condemns me. Now if I, in the capacity of tragic hero for a higher flight I am unable to take—if I had been summoned to such an

extraordinary royal progress as was the one to Mount Moriah, I know very well what I would have done. I would not have been craven enough to remain at home; neither would I have dawdled on the way ; nor would I have forgot my knife—just to draw out the end a bit. But I am rather sure that I would have been promptly on the spot, with every thing in order—in fact, would probably have been there before the appointed time, so as to have the business soon over with. But I know also what I would have done besides. In the moment I mounted my horse I would have said to myself : “Now all is lost, God demands Isaac, I shall sacrifice him, and with him all my joy—but for all that, God is love and will remain so for me; for in this world God and I cannot speak together, we have no language in common.”

Possibly, one or the other of my contemporaries will be stupid enough, and jealous enough of great deeds, to wish to persuade himself and me that if I had acted thus I should have done something even greater than what Abraham did; for my sublime resignation was (he thinks) by far more ideal and poetic than Abraham’s literal-minded action. And yet this is absolutely not so, for my sublime resignation was only a substitute for faith. I could not have made more than the infinite movement (of resignation) to find myself and again repose in myself. Nor would I have loved Isaac as Abraham loved him. The fact that I was resolute enough to resign is sufficient to prove my courage in a human sense, and the fact that I loved him with my whole heart is the very presupposition without which my action would be a crime; but still I did not love as did Abraham, for else I would have hesitated even in the last minute, without, for that matter, arriving too late on Mount Moriah. Also, I would have spoiled the whole business by my behavior; for if I had had Isaac restored to me I would have been embarrassed. That which was an easy matter for Abraham would have been difficult for me, I mean, to rejoice again in Isaac; for he who with all the energy of his soul *propj’io motu et propriis auspiciis*[15] *has made the infinite movement* of resignation and can do no more, he will retain possession of Isaac only in his sorrow.

But what did Abraham? He arrived neither too early nor too late. He mounted his ass and rode slowly on his way. And all the while he had faith, believing that God would not demand Isaac of him, though ready all the while to sacrifice him, should it be demanded of him. He believed this on the strength of the absurd; for there was no question of human calculation any longer. And the absurdity consisted in God’s, who yet made this demand of him, recalling his demand the very next moment. Abraham ascended the mountain and whilst the knife already gleamed in his hand he believed—that God would not demand Isaac of him. He was, to be sure, surprised at the outcome; but by a double movement he had returned at his first state of mind and therefore received Isaac back more gladly than the first time. . . .

On this height, then, stands Abraham. The last stage he loses sight of is that of infinite resignation. He does really proceed further, he arrives at faith. For all these caricatures of faith, wretched lukewarm sloth, which thinks : “Oh, there is no hurry, it is not necessary to worry before the time comes”; and miserable hopefulness, which says : “One cannot know what will happen, there might perhaps—,” all these caricatures belong to the sordid view of life and have already fallen under the infinite scorn of infinite resignation. Abraham, I am not able to understand; and in a certain sense I can learn nothing from him without being struck with wonder. They who flatter themselves that by merely considering the outcome of Abraham’s story they will necessarily arrive at faith, only deceive themselves and wish to cheat God out of the first movement of faith—it were tantamount to deriving worldly wisdom from the paradox. But who knows, one or the other of them may succeed in doing this; for our times are not satisfied with faith, and not even with the miracle of changing water into wine—they “go right on” changing wine into water.

Is it not preferable to remain satisfied with faith, and is it not outrageous that every one wishes to “go right on”?

If people in our times decline to be satisfied with love, as is proclaimed from various sides, where will we finally land? In worldly shrewdness, in mean calculation, in paltriness and baseness, in all that which renders man's divine origin doubtful. Were it not better to stand fast in the faith, and better that he that standeth take heed lest he fall;[16] for the movement of faith must ever be made by virtue of the absurd, but, note well, in such wise that one does not lose the things of this world but wholly and entirely regains them.

As far as I am concerned, I am able to describe most excellently the movements of faith; but I cannot make them myself. When a person wishes to learn how to swim he has himself suspended in a swimming-belt and then goes through the motions; but that does not mean that he can swim. In the same fashion I too can go through the motions of faith; but when I am thrown into the water I swim; to be sure (for I am not a wader in the shallows), but I go through a different set of movements, to-wit, those of infinity; whereas faith does the opposite, to-wit, makes the movements to regain the finite after having made those of infinite resignation. Blessed is he who can make these movements, for he performs a marvellous feat, and I shall never weary of admiring him, whether now it be Abraham himself or the slave in Abraham's house, whether it be a professor of philosophy or a poor servant-girl : it is all the same to me, for I have regard only to the movements. But these movements I watch closely, and I will not be deceived, whether by myself or by any one else. The knights of infinite resignation are easily recognized, for their gait is dancing and bold. But they who possess the jewel of faith frequently deceive one because their bearing is curiously like that of a class of people heartily despised by infinite resignation as well as by faith—the philistines.

Let me admit frankly that I have not in my experience encountered any certain specimen of this type; but I do not refuse to admit that as far as I know, every other person may be such a specimen. At the same time I will say that I have searched vainly for years. It is the custom of scientists to travel around the globe to see rivers and mountains, new stars, gay-colored birds, misshapen fish, ridiculous races of men. They abandon themselves to a bovine stupor which gapes at existence and believe they have seen something worth while. All this does not interest me; but if I knew where there lived such a knight of faith I would journey to him on foot, for that marvel occupies my thoughts exclusively. Not a moment would I leave him out of sight, but would watch how he makes the movements, and I would consider myself provided for life, and would divide my time between watching him and myself practicing the movements, and would thus use all my time in admiring him.

As I said, I have not met with such a one; but I can easily imagine him. Here he is. I make his acquaintance and am introduced to him. The first moment I lay my eyes en him I push him back, leaping back myself, I hold up my hands in amazement and say to myself: "Good Lord! that person? Is it really he—why, he looks like a parish-beadle!" But it is really he. I become more closely acquainted with him, watching his every movement to see whether some trifling incongruous movement of his has escaped me, some trace, perchance, of a signalling from the infinite, a glance, a look, a gesture, a melancholy air, or a smile, which might betray the presence of infinite resignation contrasting with the finite. But no! I examine his figure from top to toe to discover whether there be anywhere a chink through which the infinite might be seen to peer forth. But no! he is of a piece, all through. And how about his footing? Vigorous, altogether that of finiteness, no citizen dressed in his very best, prepared to spend his Sunday afternoon in the park, treads the ground more firmly. He belongs altogether to this world, no philistine more so. There is no trace of the somewhat exclusive and haughty demeanor which marks off the knight of infinite resignation. He takes pleasure in all things, is interested in everything, and perseveres in whatever he does with the zest characteristic of persons wholly given to worldly things. He attends to his business, and when one sees him one might think he was a clerk who had lost his soul in doing double bookkeeping, he is so exact.

He takes a day off” on Sundays. He goes to church. But no hint of anything supernatural or any other sign of the incommensurable betrays him, and if one did not know him it would be impossible to distinguish him in the congregation, for his brisk and manly singing proves only that he has a pair of good lungs.

In the afternoon he walks out to the forest. He takes delight in all he sees, in the crowds of men and women, the new omnibusses, the Sound—if one met him on the promenade one might think he was some shopkeeper who was having a good time, so simple is his joy; for he is not a poet, and in vain have I tried to lure him into betraying some sign of the poet’s detachment. Toward evening he walks home again, with a gait as steady as that of a mail-carrier. On his way he happens to wonder whether his wife will have some little special warm dish ready for him, when he comes home—as she surely has—as, for instance, a roasted lamb’s head garnished with greens. And if he met one minded like him he is very likely to continue talking about this dish with him till they reach the East Gate, and to talk about it with a zest befitting a *chef*. As it happens, he has not four shillings to spare, and yet he firmly believes that his wife surely has that dish ready for him. If she has, it would be an enviable sight for distinguished people, and an inspiring one for common folks, to see him eat, for he has an appetite greater than Esau’s. His wife has not prepared it— strange, he remains altogether the same.

Again, on his way he passes a building lot and there meets another man. They fall to talking, and in a trice he erects a building, freely disposing of everything necessary. And the stranger will leave him with the impression that he has been talking with a capitalist—the fact being that the knight of my admiration is busy with the thought that if it really came to the point he would unquestionably have the means wherewithal at his disposal.

Now he is lying on his elbows in the window and looking over the square on which he lives. All that happens there, if it be only a rat creeping into a gutterhole, or children playing together—everything engages his attention, and yet his mind is at rest as though it were the mind of a girl of sixteen. He smokes his pipe in the evening, and to look at him you would swear it was the green-grocer from across the street who is lounging at the window in the evening twilight. Thus he shows as much unconcern as any worthless happy-go-lucky fellow; and yet, every moment he lives he purchases his leisure at the highest price, for he makes not the least movement except by virtue of the absurd; and yet, yet—indeed, I might become furious with anger, if for no other reason than that of envy—and yet, this man has performed, and is performing every moment, the movement of infinity . . . He has resigned everything absolutely, and then again seized hold of it all on the strength of the absurd. . .

But this miracle may so easily deceive one that it will be best if I describe the movements in a given case which may illustrate their aspect in contact with reality; and that is the important point. Suppose, then, a young swain falls in love with a princess, and all his life is bound up in this love. But circumstances are such that it is out of the question to think of marrying her, an impossibility to translate his dreams into reality. The slaves of paltriness, the frogs in the sloughs of life, they will shout, of course : “Such a love is folly, the rich brewer’s widow is quite as good and solid a match.” Let them but croak. The knight of infinite resignation does not follow their advice, he does not surrender his love, not for all the riches in the world. He is no fool, he first makes sure that this love really is the contents of his life, for his soul is too sound and too proud to waste itself on a mere intoxication. He is no coward, he is not afraid to let his love insinuate itself into his most secret and most remote thoughts, to let it wind itself in innumerable coils about every fiber of his consciousness — if he is disappointed in his love he will never be able to extricate himself again. He feels a delicious pleasure in letting love thrill his every nerve, and yet his soul is solemn as is that of him who has drained a cup of poison and who now feels the virus mingle with every drop of his blood, poised in that moment between life and death.

Having thus imbibed love, and being wholly absorbed in it, he does not lack the courage to try and dare all. He surveys the whole situation, he calls together his swift thoughts which like tame pigeons obey his every beck, he gives the signal, and they dart in all directions. But when they return, every one bearing a message of sorrow, and explain to him that it is impossible, then he becomes silent, he dismisses them, he remains alone; and then he makes the movement. Now if what I say here is to have any significance, it is of prime importance that the movement be made in a normal fashion. The knight of resignation is supposed to have sufficient energy to concentrate the entire contents of his life and the realization of existing conditions into one single wish. But if one lacks this concentration, this devotion to a single thought; if his soul from the very beginning is scattered on a number of objects, he will never be able to make the movement – he will be as worldly-wise in the conduct of his life as the financier who invests his capital in a number of securities to win on the one if he should lose on the other; that is, he is no knight. Furthermore, the knight is supposed to possess sufficient energy to concentrate all his thought into a single act of consciousness. If he lacks this concentration he will only run errands in life and will never be able to assume the attitude of infinite resignation; for the very minute he approaches it he will suddenly discover that he forgot something so that he must remain behind. The next minute, thinks he, it will be attainable again, and so it is; but such inhibitions will never allow him to make the movement but will, rather, tend to let him sink ever deeper into the mire.

Our knight, then, performs the movement – which movement? Is he intent on forgetting the whole affair, which, too, would presuppose much concentration? No, for the knight does not contradict himself, and it is a contradiction to forget the main contents of one's life and still remain the same person. And he has no desire to become another person; neither does he consider such a desire to smack of greatness. Only lower natures forget themselves and become something different. Thus the butterfly has forgotten that it once was a caterpillar – who knows but it may forget altogether that it once was a butterfly, and turn into a fish! Deeper natures never forget themselves and never change their essential qualities. So the knight remembers all; but precisely this remembrance is painful. Nevertheless, in his infinite resignation he has become reconciled with existence. His love for the princess has become for him the expression of an eternal love, has assumed a religious character, has been transfigured into a love for the eternal being which, to be sure, denied him the fulfilment of his love, yet reconciled him again by presenting him with the abiding consciousness of his love's being preserved in an everlasting form of which no reality can rob him. . . .

Now, he is no longer interested in what the princess may do, and precisely this proves that he has made the movement of infinite resignation correctly. In fact, this is a good criterion for detecting whether a person's movement is sincere or just make-believe. Take a person who believes that he too has resigned, but lo! time passed, the princess did something on her part, for example, married a prince, and then his soul lost the elasticity of its resignation. This ought to show him that he did not make the movement correctly, for he who has resigned absolutely is sufficient unto himself. The knight does not cancel his resignation, but preserves his love as fresh and young as it was at the first moment, he never lets go of it just because his resignation is absolute. Whatever the princess does, cannot disturb him, for it is only the lower natures who have the law for their actions in some other person, i.e. have the premises of their actions outside of themselves. . . .

Infinite resignation is the last stage which goes before faith, so that every one who has not made the movement of infinite resignation cannot have faith; for only through absolute resignation do I become conscious of my eternal worth, and only then can there arise the problem of again grasping hold of this world by virtue of faith.

We will now suppose the knight of faith in the same case. He does precisely as the other knight, he absolutely

resigns the love which is the contents of his life, he is reconciled to the pain; but then the miraculous happens, he makes one more movement, strange beyond comparison, saying: “And still I believe that I shall marry her” marry her by virtue of the absurd, by virtue of the act that to God nothing is impossible.” Now the absurd is not one of the categories which belong to the understanding proper. It is not identical with the improbable, the unforeseen, the unexpected. The very moment our knight resigned himself he made sure of the absolute impossibility, in any human sense, of his love. This was the result reached by his reflections, and he had sufficient energy to make them. In a transcendent sense, however, by his very resignation, the attainment of his end is not impossible; but this very act of again taking possession of his love is at the same time a relinquishment of it. Nevertheless this kind of possession is by no means an absurdity to the intellect; for the intellect all the while continues to be right, as it is aware that in the world of finalities, in which reason rules, his love was and is, an impossibility. The knight of faith realizes this fully as well. Hence the only thing which can save him is recourse to the absurd, and this recourse he has through his faith. That is, he clearly recognizes the impossibility, and in the same moment he believes the absurd ; for if he imagined he had faith, without at the same time recognizing, with all the passion his soul is capable of, that his love is impossible, he would be merely deceiving himself, and his testimony- would be of no value, since he had not arrived even at the stage of absolute resignation. ...

This last movement, the paradoxical movement of faith, I cannot make, whether or no it be my duty, although I desire nothing more ardently than to be able to make it. It must be left to a person’s discretion whether he cares to make this confession; and at any rate, it is a matter between him and the Eternal Being, who is the object of his faith, whether an amicable adjustment can be effected. But what every person can do is to make the movement of absolute resignation, and I for my part would not hesitate to declare him a coward who imagines he cannot perform it. It is a different matter with faith. But what no person has a right to, is to delude others into the belief that faith is something of no great significance, or that it is an easy matter, whereas it is the greatest and most difficult of all things.

But the story of Abraham is generally interpreted in a different way. God’s mercy is praised which restored Isaac to him” it was but a trial I A trial. This word may mean much or little, and yet the whole of it passes off as quickly as the story is told : one mounts a winged horse, in the same instant one arrives on Mount Moriah, and presto one sees the ram. It is not remembered that Abraham only rode on an ass which travels but slowly, that it was a three days’ journey for him, and that he required some additional time to collect the firewood, to bind Isaac, and to whet his knife.

And yet one extols Abraham. He who is to preach the sermon may sleep comfortably until a quarter of an hour before he is to preach it, and the listener may comfortably sleep during the sermon, for everything is made easy enough, without much exertion either to preacher or listener. But now suppose a man was present who suffered with sleeplessness and who went home and sat in a corner and reflected as follows : “The whole lasted but a minute, you need only wait a little while, and then the ram will be shown and the trial will be over.” Now if the preacher should find him in this frame of mind, I believe he would confront him in all his dignity and say to him: “Wretch that thou art, to let thy soul lapse into such folly; miracles do not happen, all life is a trial.” And as he proceeded he would grow more and more passionate, and would become ever more satisfied with himself; and whereas he had not noticed any congestion in his head whilst preaching about Abraham, he now feels the veins on his forehead swell. Yet who knows but he would stand aghast if the sinner should answer him in a quiet and dignified manner that it was precisely this about which he preached the Sunday before.

Let us then either waive the whole story of Abraham, or else learn to stand in awe of the enormous paradox which constitutes his significance for us, so that we may learn to understand that our age, like every age, may rejoice if it has faith. If the story of Abraham is not a mere nothing, an illusion, or if it is just used for show and as a pastime, the mistake cannot by any means be in the sinner's wishing to do likewise; but it is necessary to find out how great was the deed which Abraham performed, in order that the man may judge for himself whether he has the courage and the mission to do likewise. The comical contradiction in the procedure of the preacher was his reduction of the story of Abraham to insignificance whereas he rebuked the other man for doing the very same thing.

But should we then cease to speak about Abraham? I certainly think not. But if I were to speak about him I would first of all describe the terrors of his trial. To that end leechlike I would suck all the suffering and distress out of the anguish of a father, in order to be able to describe what Abraham suffered whilst yet preserving his faith. I would remind the hearer that the journey lasted three days and a goodly part of the fourth – in fact, these three and a half days ought to become infinitely longer than the few thousand years which separate me from Abraham. I would remind him, as I think right, that every person is still permitted to turn about before trying his strength on this formidable task; in fact, that he may return every instant in repentance. Provided this is done, I fear for nothing. Nor do I fear to awaken great desire among people to attempt to emulate Abraham. But to get out a cheap edition of Abraham and yet forbid every one to do as he did, that I call ridiculous.[17]

Notes

1. Freely after Genesis 22.
2. Genesis 20, 11 f.
3. Luke 23, 30 .
4. Genesis 22, 3 and 9.
5. Cf. Thessalonians 3, 10.
6. In *Aladin*, Oehlenschläger's famous dramatic poem, Aladdin, "the cheerful son of nature," is contrasted with Nouredin, representing the gloom of doubt and night.
7. Matthew 5, 45.
8. Cf . not the legend but Plato's *Symposion*.
9. Matthew 3, 9.
10. Isaiah 26, 18.
11. Themistocles, that is; see Plutarch, Lives.
12. Matthew 19,16f.
13. Your cause, too, is at stake.
14. By his own impulse and on his own responsibility.
15. Cf. I Cor. 10, 12.
16. The above, with the omissions indicated, constitutes about one-third of "Fear and Trembling."

21.

William James – On the Will to Believe

Essays in Popular Philosophy

The Will to Believe

IN the recently published *The Life of Sir James Fitzjames Stephen* by Leslie Stephen of his brother, Fitz-James, there is an account of a school to which the latter went when he was a boy. The teacher, a certain Mr. Guest, used to converse with his pupils in this wise: "Gurney, what is the difference between justification and sanctification?—Stephen, prove the omnipotence of God!" etc. In the midst of our Harvard freethinking and indifference we are prone to imagine that here at your good old orthodox College conversation continues to be somewhat upon this order; and to show you that we at Harvard have not lost all interest in these vital subjects, I have brought with me to-night something like a sermon on justification by faith to read to you,—I mean an essay in justification *of* faith, a defence of our right to adopt a believing attitude in religious matters, in spite of the fact that our merely logical intellect may not have been coerced. 'The Will to Believe,' accordingly, is the title of my paper.

I have long defended to my own students the lawfulness of voluntarily adopted faith; but as soon as they have got well imbued with the logical spirit, they have as a rule refused to admit my contention to be lawful philosophically, even though in point of fact they were personally all the time chock-full of some faith or other themselves. I am all the while, however, so profoundly convinced that my own position is correct, that your invitation has seemed to me a good occasion to make my statements more clear. Perhaps your minds will be more open than those with which I have hitherto had to deal. I will be as little technical as I can, though I must begin by setting up some technical distinctions that will help us in the end.

I.

Let us give the name of *hypothesis* to anything that may be proposed to our belief; and just as the electricians speak of live and dead wires, let us speak of any hypothesis as either *live* or *dead*. A live hypothesis is one which appeals as a real possibility to him to whom it is proposed. If I ask you to believe in the Mahdi, the notion makes no electric connection with your nature,—it refuses to scintillate with any credibility at all. As an hypothesis it is completely dead. To an Arab, however (even if he be not one of the Mahdi's followers), the hypothesis is among the mind's possibilities: it is alive. This shows that deadness and liveness in an hypothesis are not intrinsic

properties, but relations to the individual thinker. They are measured by his willingness to act. The maximum of liveness in an hypothesis means willingness to act irrevocably. Practically, that means belief; but there is some believing tendency wherever there is willingness to act at all.

Next, let us call the decision between two hypotheses an *option*. Options may be of several kinds. They may be—1, *living or dead*; 2, *forced or avoidable*; 3, *momentous or trivial*; and for our purposes we may call an option a *genuine* option when it is of the forced, living, and momentous kind.

A living option is one in which both hypotheses are live ones. If I say to you: “Be a theosophist or be a Mohammedan,” it is probably a dead option, because for you neither hypothesis is likely to be alive. But if I say: “Be an agnostic or be a Christian,” it is otherwise: trained as you are, each hypothesis makes some appeal, however small, to your belief.

Next, if I say to you: “Choose between going out with your umbrella or without it,” I do not offer you a genuine option, for it is not forced. You can easily avoid it by not going out at all. Similarly, if I say, “Either love me or hate me,” “Either call my theory true or call it false,” your option is avoidable. You may remain indifferent to me, neither loving nor hating, and you may decline to offer any judgment as to my theory. But if I say, “Either accept this truth or go without it,” I put on you a forced option, for there is no standing place outside of the alternative. Every dilemma based on a complete logical disjunction, with no possibility of not choosing, is an option of this forced kind.

Finally, if I were Dr. Nansen and proposed to you to join my North Pole expedition, your option would be momentous; for this would probably be your only similar opportunity, and your choice now would either exclude you from the North Pole sort of immortality altogether or put at least the chance of it into your hands. He who refuses to embrace a unique opportunity loses the prize as surely as if he tried and failed. *Per contra*, the option is trivial when the opportunity is not unique, when the stake is insignificant, or when the decision is reversible if it later prove unwise. Such trivial options abound in the scientific life. A chemist finds an hypothesis live enough to spend a year in its verification: he believes in it to that extent. But if his experiments prove inconclusive either way, he is quit for his loss of time, no vital harm being done.

It will facilitate our discussion if we keep all these distinctions well in mind.

II.

The next matter to consider is the actual psychology of human opinion. When we look at certain facts, it seems as if our passionate and volitional nature lay at the root of all our convictions. When we look at others, it seems as if they could do nothing when the intellect had once said its say. Let us take the latter facts up first.

Does it not seem preposterous on the very face of it to talk of our opinions being modifiable at will? Can our will either help or hinder our intellect in its perceptions of truth? Can we, by just willing it, believe that Abraham Lincoln’s existence is a myth, and that the portraits of him in McClure’s Magazine are all of some one else? Can we, by any effort of our will, or by any strength of wish that it were true, believe ourselves well and about when we are roaring with rheumatism in bed, or feel certain that the sum of the two one-dollar bills in our pocket must be a hundred dollars? We can say any of these things, but we are absolutely impotent to believe them; and of just such things is the whole fabric of the truths that we do believe in made up,—matters of fact, immediate or remote,

as Hume said, and relations between ideas, which are either there or not there for us if we see them so, and which if not there cannot be put there by any action of our own.

In Pascal's *Thoughts* there is a celebrated passage known in literature as Pascal's wager. In it he tries to force us into Christianity by reasoning as if our concern with truth resembled our concern with the stakes in a game of chance. Translated freely his words are these: You must either believe or not believe that God is—which will you do? Your human reason cannot say. A game is going on between you and the nature of things which at the day of judgment will bring out either heads or tails. Weigh what your gains and your losses would be if you should stake all you have on heads, or God's existence: if you win in such case, you gain eternal beatitude; if you lose, you lose nothing at all. If there were an infinity of chances, and only one for God in this wager, still you ought to stake your all on God; for though you surely risk a finite loss by this procedure, any finite loss is reasonable, even a certain one is reasonable, if there is but the possibility of infinite gain. Go, then, and take holy water, and have masses said; belief will come and stupefy your scruples,—*Cela vous fera croire et vous abetira*. Why should you not? At bottom, what have you to lose?

You probably feel that when religious faith expresses itself thus, in the language of the gaming-table, it is put to its last trumps. Surely Pascal's own personal belief in masses and holy water had far other springs; and this celebrated page of his is but an argument for others, a last desperate snatch at a weapon against the hardness of the unbelieving heart. We feel that a faith in masses and holy water adopted wilfully after such a mechanical calculation would lack the inner soul of faith's reality; and if we were ourselves in the place of the Deity, we should probably take particular pleasure in cutting off believers of this pattern from their infinite reward. It is evident that unless there be some pre-existing tendency to believe in masses and holy water, the option offered to the will by Pascal is not a living option. Certainly no Turk ever took to masses and holy water on its account; and even to us Protestants these means of salvation seem such foregone impossibilities that Pascal's logic, invoked for them specifically, leaves us unmoved. As well might the Mahdi write to us, saying, "I am the Expected One whom God has created in his effulgence. You shall be infinitely happy if you confess me; otherwise you shall be cut off from the light of the sun. Weigh, then, your infinite gain if I am genuine against your finite sacrifice if I am not!" His logic would be that of Pascal; but he would vainly use it on us, for the hypothesis he offers us is dead. No tendency to act on it exists in us to any degree. The talk of believing by our volition seems, then, from one point of view, simply silly. From another point of view it is worse than silly, it is vile. When one turns to the magnificent edifice of the physical sciences, and sees how it was reared; what thousands of disinterested moral lives of men lie buried in its mere foundations; what patience and postponement, what choking down of preference, what submission to the icy laws of outer fact are wrought into its very stones and mortar; how absolutely impersonal it stands in its vast augustness,—then how besotted and contemptible seems every little sentimentalist who comes blowing his voluntary smoke-wreaths, and pretending to decide things from out of his private dream! Can we wonder if those bred in the rugged and manly school of science should feel like spewing such subjectivism out of their mouths? The whole system of loyalties which grow up in the schools of science go dead against its toleration; so that it is only natural that those who have caught the scientific fever should pass over to the opposite extreme, and write sometimes as if the incorruptibly truthful intellect ought positively to prefer bitterness and unacceptableness to the heart in its cup.

It fortifies my soul to know That, though I perish, Truth is so—

sings Clough, while Huxley exclaims: "My only consolation lies in the reflection that, however bad our posterity may become, so far as they hold by the plain rule of not pretending to believe what they have no reason to believe,

because it may be to their advantage so to pretend [the word ‘pretend’ is surely here redundant], they will not have reached the lowest depth of immorality.” And that delicious *enfant terrible* Clifford writes: “Belief is desecrated when given to unproved and unquestioned statements for the solace and private pleasure of the believer. . . . Whoso would deserve well of his fellows in this matter will guard the purity of his belief with a very fanaticism of jealous care, lest at any time it should rest on an unworthy object, and catch a stain which can never be wiped away. . . . If [a] belief has been accepted on insufficient evidence [even though the belief be true, as Clifford on the same page explains] the pleasure is a stolen one. . . . It is sinful because it is stolen in defiance of our duty to mankind. That duty is to guard ourselves from such beliefs as from a pestilence which may shortly master our own body and then spread to the rest of the town. . . . It is wrong always, everywhere, and for every one, to believe anything upon insufficient evidence.”

III.

All this strikes one as healthy, even when expressed, as by Clifford, with somewhat too much of robustious pathos in the voice. Free-will and simple wishing do seem, in the matter of our credences, to be only fifth wheels to the coach. Yet if any one should thereupon assume that intellectual insight is what remains after wish and will and sentimental preference have taken wing, or that pure reason is what then settles our opinions, he would fly quite as directly in the teeth of the facts.

It is only our already dead hypotheses that our willing nature is unable to bring to life again. But what has made them dead for us is for the most part a previous action of our willing nature of an antagonistic kind. When I say ‘willing nature,’ I do not mean only such deliberate volitions as may have set up habits of belief that we cannot now escape from,—I mean all such factors of belief as fear and hope, prejudice and passion, imitation and partisanship, the circumpressure of our caste and set. As a matter of fact we find ourselves believing, we hardly know how or why. Mr. Balfour gives the name of ‘authority’ to all those influences, born of the intellectual climate, that make hypotheses possible or impossible for us, alive or dead. Here in this room, we all of us believe in molecules and the conservation of energy, in democracy and necessary progress, in Protestant Christianity and the duty of fighting for ‘the doctrine of the immortal Monroe,’ all for no reasons worthy of the name. We see into these matters with no more inner clearness, and probably with much less, than any disbeliever in them might possess. His unconventionality would probably have some grounds to show for its conclusions; but for us, not insight, but the *prestige* of the opinions, is what makes the spark shoot from them and light up our sleeping magazines of faith. Our reason is quite satisfied, in nine hundred and ninety-nine cases out of every thousand of us, if it can find a few arguments that will do to recite in case our credulity is criticised by some one else. Our faith is faith in some one else’s faith, and in the greatest matters this is most the case. Our belief in truth itself, for instance, that there is a truth, and that our minds and it are made for each other,—what is it but a passionate affirmation of desire, in which our social system backs us up? We want to have a truth; we want to believe that our experiments and studies and discussions must put us in a continually better and better position towards it; and on this line we agree to fight out our thinking lives. But if a pyrrhonic sceptic asks us *how we know* all this, can our logic find a reply? No! certainly it cannot. It is just one volition against another,—we willing to go in for life upon a trust or assumption which he, for his part, does not care to make.[1]

As a rule we disbelieve all facts and theories for which we have no use. Clifford’s cosmic emotions find no use for Christian feelings. Huxley belabors the bishops because there is no use for sacerdotalism in his scheme of life. Newman, on the contrary, goes over to Romanism, and finds all sorts of reasons good for staying there, because a priestly system is for him an organic need and delight. Why do so few ‘scientists’ even look at the evidence for

telepathy, so called? Because they think, as a leading biologist, now dead, once said to me, that even if such a thing were true, scientists ought to band together to keep it suppressed and concealed. It would undo the uniformity of Nature and all sorts of other things without which scientists cannot carry on their pursuits. But if this very man had been shown something which as a scientist he might *do* with telepathy, he might not only have examined the evidence, but even have found it good enough. This very law which the logicians would impose upon us—if I may give the name of logicians to those who would rule out our willing nature here—is based on nothing but their own natural wish to exclude all elements for which they, in their professional quality of logicians, can find no use.

Evidently, then, our non-intellectual nature does influence our convictions. There are passional tendencies and volitions which run before and others which come after belief, and it is only the latter that are too late for the fair; and they are not too late when the previous passional work has been already in their own direction. Pascal's argument, instead of being powerless, then seems a regular clincher, and is the last stroke needed to make our faith in masses and holy water complete. The state of things is evidently far from simple; and pure insight and logic, whatever they might do ideally, are not the only things that really do produce our creeds.

IV.

Our next duty, having recognized this mixed-up state of affairs, is to ask whether it be simply reprehensible and pathological, or whether, on the contrary, we must treat it as a normal element in making up our minds. The thesis I defend is, briefly stated, this: *Our passional nature not only lawfully may, but must, decide an option between propositions, whenever it is a genuine option that cannot by its nature be decided on intellectual grounds; for to say, under such circumstances, "Do not decide, but leave the question open," is itself a passional decision,—just like deciding yes or no,—and is attended with the same risk of losing the truth.* The thesis thus abstractly expressed will, I trust, soon become quite clear. But I must first indulge in a bit more of preliminary work.

V.

It will be observed that for the purposes of this discussion we are on 'dogmatic' ground,—ground, I mean, which leaves systematic philosophical scepticism altogether out of account. The postulate that there is truth, and that it is the destiny of our minds to attain it, we are deliberately resolving to make, though the sceptic will not make it. We part company with him, therefore, absolutely, at this point. But the faith that truth exists, and that our minds can find it, may be held in two ways. We may talk of the *empiricist* way and of the *absolutist* way of believing in truth. The absolutists in this matter say that we not only can attain to knowing truth, but we can *know when* we have attained to knowing it; while the empiricists think that although we may attain it, we cannot infallibly know when. To *know* is one thing, and to know for certain *that* we know is another. One may hold to the first being possible without the second; hence the empiricists and the absolutists, although neither of them is a sceptic in the usual philosophic sense of the term, show very different degrees of dogmatism in their lives.

If we look at the history of opinions, we see that the empiricist tendency has largely prevailed in science, while in philosophy the absolutist tendency has had everything its own way. The characteristic sort of happiness, indeed, which philosophies yield has mainly consisted in the conviction felt by each successive school or system that by it bottom-certitude had been attained. "Other philosophies are collections of opinions, mostly false; *my* philosophy gives standing-ground forever,"—who does not recognize in this the key-note of every system worthy of the name? A system, to be a system at all, must come as a *closed* system, reversible in this or that detail, perchance, but in its essential features never!

Scholastic orthodoxy, to which one must always go when one wishes to find perfectly clear statement, has beautifully elaborated this absolutist conviction in a doctrine which it calls that of ‘objective evidence.’ If, for example, I am unable to doubt that I now exist before you, that two is less than three, or that if all men are mortal then I am mortal too, it is because these things illumine my intellect irresistibly. The final ground of this objective evidence possessed by certain propositions is the *adaequatio intellectus nostri cum re*. The certitude it brings involves an *aptitudinem ad extorquendum certum assensum* on the part of the truth envisaged, and on the side of the subject a *quietem in cognitione*, when once the object is mentally received, that leaves no possibility of doubt behind; and in the whole transaction nothing operates but the *entitas ipsa* of the object and the *entitas ipsa* of the mind. We slouchy modern thinkers dislike to talk in Latin,—indeed, we dislike to talk in set terms at all; but at bottom our own state of mind is very much like this whenever we uncritically abandon ourselves: You believe in objective evidence, and I do. Of some things we feel that we are certain: we know, and we know that we do know. There is something that gives a click inside of us, a bell that strikes twelve, when the hands of our mental clock have swept the dial and meet over the meridian hour. The greatest empiricists among us are only empiricists on reflection: when left to their instincts, they dogmatize like infallible popes. When the Cliffords tell us how sinful it is to be Christians on such ‘insufficient evidence,’ insufficiency is really the last thing they have in mind. For them the evidence is absolutely sufficient, only it makes the other way. They believe so completely in an anti-christian order of the universe that there is no living option: Christianity is a dead hypothesis from the start.

VI.

But now, since we are all such absolutists by instinct, what in our quality of students of philosophy ought we to do about the fact? Shall we espouse and indorse it? Or shall we treat it as a weakness of our nature from which we must free ourselves, if we can?

I sincerely believe that the latter course is the only one we can follow as reflective men. Objective evidence and certitude are doubtless very fine ideals to play with, but where on this moonlit and dream-visited planet are they found? I am, therefore, myself a complete empiricist so far as my theory of human knowledge goes. I live, to be sure, by the practical faith that we must go on experiencing and thinking over our experience, for only thus can our opinions grow more true; but to hold any one of them—I absolutely do not care which—as if it never could be reinterpretable or corrigible, I believe to be a tremendously mistaken attitude, and I think that the whole history of philosophy will bear me out. There is but one indefectibly certain truth, and that is the truth that pyrrhonic scepticism itself leaves standing,—the truth that the present phenomenon of consciousness exists. That, however, is the bare starting-point of knowledge, the mere admission of a stuff to be philosophized about. The various philosophies are but so many attempts at expressing what this stuff really is. And if we repair to our libraries what disagreement do we discover! Where is a certainly true answer found? Apart from abstract propositions of comparison (such as two and two are the same as four), propositions which tell us nothing by themselves about concrete reality, we find no proposition ever regarded by any one as evidently certain that has not either been called a falsehood, or at least had its truth sincerely questioned by some one else. The transcending of the axioms of geometry, not in play but in earnest, by certain of our contemporaries (as Zöllner and Charles H. Hinton), and the rejection of the whole Aristotelian logic by the Hegelians, are striking instances in point.

No concrete test of what is really true has ever been agreed upon. Some make the criterion external to the moment of perception, putting it either in revelation, the *consensus gentium*, the instincts of the heart, or the systematized experience of the race. Others make the perceptive moment its own test,—Descartes, for instance, with his clear and distinct ideas guaranteed by the veracity of God; Reid with his ‘common-sense;’ and Kant with his forms

of synthetic judgment *a priori*. The inconceivability of the opposite; the capacity to be verified by sense; the possession of complete organic unity or self-relation, realized when a thing is its own other,—are standards which, in turn, have been used. The much lauded objective evidence is never triumphantly there; it is a mere aspiration or *Grenzbegriff*, marking the infinitely remote ideal of our thinking life. To claim that certain truths now possess it, is simply to say that when you think them true and they *are* true, then their evidence is objective, otherwise it is not. But practically one's conviction that the evidence one goes by is of the real objective brand, is only one more subjective opinion added to the lot. For what a contradictory array of opinions have objective evidence and absolute certitude been claimed! The world is rational through and through,—its existence is an ultimate brute fact; there is a personal God,—a personal God is inconceivable; there is an extra-mental physical world immediately known,—the mind can only know its own ideas; a moral imperative exists,—obligation is only the resultant of desires; a permanent spiritual principle is in every one,—there are only shifting states of mind; there is an endless chain of causes,—there is an absolute first cause; an eternal necessity,—a freedom; a purpose,—no purpose; a primal One,—a primal Many; a universal continuity,—an essential discontinuity in things; an infinity,—no infinity. There is this,—there is that; there is indeed nothing which some one has not thought absolutely true, while his neighbor deemed it absolutely false; and not an absolutist among them seems ever to have considered that the trouble may all the time be essential, and that the intellect, even with truth directly in its grasp, may have no infallible signal for knowing whether it be truth or no. When, indeed, one remembers that the most striking practical application to life of the doctrine of objective certitude has been the conscientious labors of the Holy Office of the Inquisition, one feels less tempted than ever to lend the doctrine a respectful ear.

But please observe, now, that when as empiricists we give up the doctrine of objective certitude, we do not thereby give up the quest or hope of truth itself. We still pin our faith on its existence, and still believe that we gain an ever better position towards it by systematically continuing to roll up experiences and think. Our great difference from the scholastic lies in the way we face. The strength of his system lies in the principles, the origin, the *terminus a quo* of his thought; for us the strength is in the outcome, the upshot, the *terminus ad quem*. Not where it comes from but what it leads to is to decide. It matters not to an empiricist from what quarter an hypothesis may come to him: he may have acquired it by fair means or by foul; passion may have whispered or accident suggested it; but if the total drift of thinking continues to confirm it, that is what he means by its being true.

VII.

One more point, small but important, and our preliminaries are done. There are two ways of looking at our duty in the matter of opinion,—ways entirely different, and yet ways about whose difference the theory of knowledge seems hitherto to have shown very little concern. *We must know the truth*; and *we must avoid error*,—these are our first and great commandments as would-be knowers; but they are not two ways of stating an identical commandment, they are two separable laws. Although it may indeed happen that when we believe the truth *A*, we escape as an incidental consequence from believing the falsehood *B*, it hardly ever happens that by merely disbelieving *B* we necessarily believe *A*. We may in escaping *B* fall into believing other falsehoods, *C* or *D*, just as bad as *B*; or we may escape *B* by not believing anything at all, not even *A*.

Believe truth! Shun error!—these, we see, are two materially different laws; and by choosing between them we may end, coloring differently our whole intellectual life. We may regard the chase for truth as paramount, and the avoidance of error as secondary; or we may, on the other hand, treat the avoidance of error as more imperative, and let truth take its chance. Clifford, in the instructive passage which I have quoted, exhorts us to the latter course. Believe nothing, he tells us, keep your mind in suspense forever, rather than by closing it on

insufficient evidence incur the awful risk of believing lies. You, on the other hand, may think that the risk of being in error is a very small matter when compared with the blessings of real knowledge, and be ready to be duped many times in your investigation rather than postpone indefinitely the chance of guessing true. I myself find it impossible to go with Clifford. We must remember that these feelings of our duty about either truth or error are in any case only expressions of our passional life. Biologically considered, our minds are as ready to grind out falsehood as veracity, and he who says, "Better go without belief forever than believe a lie!" merely shows his own preponderant private horror of becoming a dupe. He may be critical of many of his desires and fears, but this fear he slavishly obeys. He cannot imagine any one questioning its binding force. For my own part, I have also a horror of being duped; but I can believe that worse things than being duped may happen to a man in this world: so Clifford's exhortation has to my ears a thoroughly fantastic sound. It is like a general informing his soldiers that it is better to keep out of battle forever than to risk a single wound. Not so are victories either over enemies or over nature gained. Our errors are surely not such awfully solemn things. In a world where we are so certain to incur them in spite of all our caution, a certain lightness of heart seems healthier than this excessive nervousness on their behalf. At any rate, it seems the fittest thing for the empiricist philosopher.

VIII.

And now, after all this introduction, let us go straight at our question. I have said, and now repeat it, that not only as a matter of fact do we find our passional nature influencing us in our opinions, but that there are some options between opinions in which this influence must be regarded both as an inevitable and as a lawful determinant of our choice.

I fear here that some of you my hearers will begin to scent danger, and lend an inhospitable ear. Two first steps of passion you have indeed had to admit as necessary, —we must think so as to avoid dupery, and we must think so as to gain truth; but the surest path to those ideal consummations, you will probably consider, is from now onwards to take no further passional step.

Well, of course, I agree as far as the facts will allow. Wherever the option between losing truth and gaining it is not momentous, we can throw the chance of *gaining truth* away, and at any rate save ourselves from any chance of *believing falsehood*, by not making up our minds at all till objective evidence has come. In scientific questions, this is almost always the case; and even in human affairs in general, the need of acting is seldom so urgent that a false belief to act on is better than no belief at all. Law courts, indeed, have to decide on the best evidence attainable for the moment, because a judge's duty is to make law as well as to ascertain it, and (as a learned judge once said to me) few cases are worth spending much time over: the great thing is to have them decided on *any* acceptable principle, and got out of the way. But in our dealings with objective nature we obviously are recorders, not makers, of the truth; and decisions for the mere sake of deciding promptly and getting on to the next business would be wholly out of place. Throughout the breadth of physical nature facts are what they are quite independently of us, and seldom is there any such hurry about them that the risks of being duped by believing a premature theory need be faced. The questions here are always trivial options, the hypotheses are hardly living (at any rate not living for us spectators), the choice between believing truth or falsehood is seldom forced. The attitude of sceptical balance is therefore the absolutely wise one if we would escape mistakes. What difference, indeed, does it make to most of us whether we have or have not a theory of the Röntgen rays, whether we believe or not in mind-stuff, or have a conviction about the causality of conscious states? It makes no difference. Such options are not forced on us. On every account it is better not to make them, but still keep weighing reasons *pro et contra* with an indifferent hand.

I speak, of course, here of the purely judging mind. For purposes of discovery such indifference is to be less highly recommended, and science would be far less advanced than she is if the passionate desires of individuals to get their own faiths confirmed had been kept out of the game. See for example the sagacity which Spencer and Weismann now display. On the other hand, if you want an absolute duffer in an investigation, you must, after all, take the man who has no interest whatever in its results: he is the warranted incapable, the positive fool. The most useful investigator, because the most sensitive observer, is always he whose eager interest in one side of the question is balanced by an equally keen nervousness lest he become deceived.[2] Science has organized this nervousness into a regular *technique*, her so-called method of verification; and she has fallen so deeply in love with the method that one may even say she has ceased to care for truth by itself at all. It is only truth as technically verified that interests her. The truth of truths might come in merely affirmative form, and she would decline to touch it. Such truth as that, she might repeat with Clifford, would be stolen in defiance of her duty to mankind. Human passions, however, are stronger than technical rules. “Le coeur a ses raisons,” as Pascal says, “que la raison ne connait pas;” and however indifferent to all but the bare rules of the game the umpire, the abstract intellect, may be, the concrete players who furnish him the materials to judge of are usually, each one of them, in love with some pet ‘live hypothesis’ of his own. Let us agree, however, that wherever there is no forced option, the dispassionately judicial intellect with no pet hypothesis, saving us, as it does, from dupery at any rate, ought to be our ideal.

The question next arises: Are there not somewhere forced options in our speculative questions, and can we (as men who may be interested at least as much in positively gaining truth as in merely escaping dupery) always wait with impunity till the coercive evidence shall have arrived? It seems *a priori* improbable that the truth should be so nicely adjusted to our needs and powers as that. In the great boarding-house of nature, the cakes and the butter and the syrup seldom come out so even and leave the plates so clean. Indeed, we should view them with scientific suspicion if they did.

IX.

Moral questions immediately present themselves as questions whose solution cannot wait for sensible proof. A moral question is a question not of what sensibly exists, but of what is good, or would be good if it did exist. Science can tell us what exists; but to compare the *worths*, both of what exists and of what does not exist, we must consult not science, but what Pascal calls our heart. Science herself consults her heart when she lays it down that the infinite ascertainment of fact and correction of false belief are the supreme goods for man. Challenge the statement, and science can only repeat it oracularly, or else prove it by showing that such ascertainment and correction bring man all sorts of other goods which man’s heart in turn declares. The question of having moral beliefs at all or not having them is decided by our will. Are our moral preferences true or false, or are they only odd biological phenomena, making things good or bad for *us*, but in themselves indifferent? How can your pure intellect decide? If your heart does not *want* a world of moral reality, your head will assuredly never make you believe in one. Mephistophelian scepticism, indeed, will satisfy the head’s play-instincts much better than any rigorous idealism can. Some men (even at the student age) are so naturally cool-hearted that the moralistic hypothesis never has for them any pungent life, and in their supercilious presence the hot young moralist always feels strangely ill at ease. The appearance of knowingness is on their side, of *naïveté* and gullibility on his. Yet, in the inarticulate heart of him, he clings to it that he is not a dupe, and that there is a realm in which (as Emerson says) all their wit and intellectual superiority is no better than the cunning of a fox. Moral scepticism can no more be refuted or proved by logic than intellectual scepticism can. When we stick to it that there *is* truth (be it of either

kind), we do so with our whole nature, and resolve to stand or fall by the results. The sceptic with his whole nature adopts the doubting attitude; but which of us is the wiser, Omniscience only knows.

Turn now from these wide questions of good to a certain class of questions of fact, questions concerning personal relations, states of mind between one man and another. *Do you like me or not?*—for example. Whether you do or not depends, in countless instances, on whether I meet you half-way, am willing to assume that you must like me, and show you trust and expectation. The previous faith on my part in your liking's existence is in such cases what makes your liking come. But if I stand aloof, and refuse to budge an inch until I have objective evidence, until you shall have done something apt, as the absolutists say, *ad extorquendum assensum meum*, ten to one your liking never comes. How many women's hearts are vanquished by the mere sanguine insistence of some man that they *must* love him! he will not consent to the hypothesis that they cannot. The desire for a certain kind of truth here brings about that special truth's existence; and so it is in innumerable cases of other sorts. Who gains promotions, boons, appointments, but the man in whose life they are seen to play the part of live hypotheses, who discounts them, sacrifices other things for their sake before they have come, and takes risks for them in advance? His faith acts on the powers above him as a claim, and creates its own verification.

A social organism of any sort whatever, large or small, is what it is because each member proceeds to his own duty with a trust that the other members will simultaneously do theirs. Wherever a desired result is achieved by the co-operation of many independent persons, its existence as a fact is a pure consequence of the precursive faith in one another of those immediately concerned. A government, an army, a commercial system, a ship, a college, an athletic team, all exist on this condition, without which not only is nothing achieved, but nothing is even attempted. A whole train of passengers (individually brave enough) will be looted by a few highwaymen, simply because the latter can count on one another, while each passenger fears that if he makes a movement of resistance, he will be shot before any one else backs him up. If we believed that the whole car-full would rise at once with us, we should each severally rise, and train-robbing would never even be attempted. There are, then, cases where a fact cannot come at all unless a preliminary faith exists in its coming. *And where faith in a fact can help create the fact*, that would be an insane logic which should say that faith running ahead of scientific evidence is the 'lowest kind of immorality' into which a thinking being can fall. Yet such is the logic by which our scientific absolutists pretend to regulate our lives!

X.

In truths dependent on our personal action, then, faith based on desire is certainly a lawful and possibly an indispensable thing.

But now, it will be said, these are all childish human cases, and have nothing to do with great cosmical matters, like the question of religious faith. Let us then pass on to that. Religions differ so much in their accidents that in discussing the religious question we must make it very generic and broad. What then do we now mean by the religious hypothesis? Science says things are; morality says some things are better than other things; and religion says essentially two things.

First, she says that the best things are the more eternal things, the overlapping things, the things in the universe that throw the last stone, so to speak, and say the final word. "Perfection is eternal,"—this phrase of Charles Secrétan seems a good way of putting this first affirmation of religion, an affirmation which obviously cannot yet be verified scientifically at all.

The second affirmation of religion is that we are better off even now if we believe her first affirmation to be true.

Now, let us consider what the logical elements of this situation are *in case the religious hypothesis in both its branches be really true*. (Of course, we must admit that possibility at the outset. If we are to discuss the question at all, it must involve a living option. If for any of you religion be a hypothesis that cannot, by any living possibility be true, then you need go no farther. I speak to the ‘saving remnant’ alone.) So proceeding, we see, first, that religion offers itself as a *momentous* option. We are supposed to gain, even now, by our belief, and to lose by our non-belief, a certain vital good. Secondly, religion is a *forced* option, so far as that good goes. We cannot escape the issue by remaining sceptical and waiting for more light, because, although we do avoid error in that way *if religion be untrue*, we lose the good, *if it be true*, just as certainly as if we positively chose to disbelieve. It is as if a man should hesitate indefinitely to ask a certain woman to marry him because he was not perfectly sure that she would prove an angel after he brought her home. Would he not cut himself off from that particular angel-possibility as decisively as if he went and married some one else? Scepticism, then, is not avoidance of option; it is option of a certain particular kind of risk. *Better risk loss of truth than chance of error*,—that is your faith-vetoer’s exact position. He is actively playing his stake as much as the believer is; he is backing the field against the religious hypothesis, just as the believer is backing the religious hypothesis against the field. To preach scepticism to us as a duty until ‘sufficient evidence’ for religion be found, is tantamount therefore to telling us, when in presence of the religious hypothesis, that to yield to our fear of its being error is wiser and better than to yield to our hope that it may be true. It is not intellect against all passions, then; it is only intellect with one passion laying down its law. And by what, forsooth, is the supreme wisdom of this passion warranted? Dupery for dupery, what proof is there that dupery through hope is so much worse than dupery through fear? I, for one, can see no proof; and I simply refuse obedience to the scientist’s command to imitate his kind of option, in a case where my own stake is important enough to give me the right to choose my own form of risk. If religion be true and the evidence for it be still insufficient, I do not wish, by putting your extinguisher upon my nature (which feels to me as if it had after all some business in this matter), to forfeit my sole chance in life of getting upon the winning side,—that chance depending, of course, on my willingness to run the risk of acting as if my passional need of taking the world religiously might be prophetic and right.

All this is on the supposition that it really may be prophetic and right, and that, even to us who are discussing the matter, religion is a live hypothesis which may be true. Now, to most of us religion comes in a still further way that makes a veto on our active faith even more illogical. The more perfect and more eternal aspect of the universe is represented in our religions as having personal form. The universe is no longer a mere *It* to us, but a *Thou*, if we are religious; and any relation that may be possible from person to person might be possible here. For instance, although in one sense we are passive portions of the universe, in another we show a curious autonomy, as if we were small active centres on our own account. We feel, too, as if the appeal of religion to us were made to our own active good-will, as if evidence might be forever withheld from us unless we met the hypothesis half-way. To take a trivial illustration: just as a man who in a company of gentlemen made no advances, asked a warrant for every concession, and believed no one’s word without proof, would cut himself off by such churlishness from all the social rewards that a more trusting spirit would earn,—so here, one who should shut himself up in snarling logicity and try to make the gods extort his recognition willy-nilly, or not get it at all, might cut himself off forever from his only opportunity of making the gods’ acquaintance. This feeling, forced on us we know not whence, that by obstinately believing that there are gods (although not to do so would be so easy both for our logic and our life) we are doing the universe the deepest service we can, seems part of the living essence of the religious hypothesis. If the hypothesis *were* true in all its parts, including this one, then pure intellectualism, with its veto

on our making willing advances, would be an absurdity; and some participation of our sympathetic nature would be logically required. I, therefore, for one, cannot see my way to accepting the agnostic rules for truth-seeking, or wilfully agree to keep my willing nature out of the game. I cannot do so for this plain reason, that *a rule of thinking which would absolutely prevent me from acknowledging certain kinds of truth if those kinds of truth were really there, would be an irrational rule.* That for me is the long and short of the formal logic of the situation, no matter what the kinds of truth might materially be.

I confess I do not see how this logic can be escaped. But sad experience makes me fear that some of you may still shrink from radically saying with me, *in abstracto*, that we have the right to believe at our own risk any hypothesis that is live enough to tempt our will. I suspect, however, that if this is so, it is because you have got away from the abstract logical point of view altogether, and are thinking (perhaps without realizing it) of some particular religious hypothesis which for you is dead. The freedom to ‘believe what we will’ you apply to the case of some patent superstition; and the faith you think of is the faith defined by the schoolboy when he said, “Faith is when you believe something that you know ain’t true.” I can only repeat that this is misapprehension. *In concreto*, the freedom to believe can only cover living options which the intellect of the individual cannot by itself resolve; and living options never seem absurdities to him who has them to consider. When I look at the religious question as it really puts itself to concrete men, and when I think of all the possibilities which both practically and theoretically it involves, then this command that we shall put a stopper on our heart, instincts, and courage, and *wait*—acting of course meanwhile more or less as if religion were *not* true^[3]—till doomsday, or till such time as our intellect and senses working together may have raked in evidence enough,—this command, I say, seems to me the queerest idol ever manufactured in the philosophic cave. Were we scholastic absolutists, there might be more excuse. If we had an infallible intellect with its objective certitudes, we might feel ourselves disloyal to such a perfect organ of knowledge in not trusting to it exclusively, in not waiting for its releasing word. But if we are empiricists, if we believe that no bell in us tolls to let us know for certain when truth is in our grasp, then it seems a piece of idle fantasticality to preach so solemnly our duty of waiting for the bell. Indeed we *may* wait if we will,—I hope you do not think that I am denying that,—but if we do so, we do so at our peril as much as if we believed. In either case we *act*, taking our life in our hands. No one of us ought to issue vetoes to the other, nor should we bandy words of abuse. We ought, on the contrary, delicately and profoundly to respect one another’s mental freedom: then only shall we bring about the intellectual republic; then only shall we have that spirit of inner tolerance without which all our outer tolerance is soulless, and which is empiricism’s glory; then only shall we live and let live, in speculative as well as in practical things.

I began by a reference to Fitz James Stephen; let me end by a quotation from him. “What do you think of yourself? What do you think of the world? . . . These are questions with which all must deal as it seems good to them. They are riddles of the Sphinx, and in some way or other we must deal with them. . . . In all important transactions of life we have to take a leap in the dark. . . . If we decide to leave the riddles unanswered, that is a choice; if we waver in our answer, that, too, is a choice: but whatever choice we make, we make it at our peril. If a man chooses to turn his back altogether on God and the future, no one can prevent him; no one can show beyond reasonable doubt that he is mistaken. If a man thinks otherwise and acts as he thinks, I do not see that any one can prove that *he* is mistaken. Each must act as he thinks best; and if he is wrong, so much the worse for him. We stand on a mountain pass in the midst of whirling snow and blinding mist, through which we get glimpses now and then of paths which may be deceptive. If we stand still we shall be frozen to death. If we take the wrong road we shall be dashed to pieces. We do not certainly know whether there is any right one. What must we do? ‘Be strong and of

a good courage.’ Act for the best, hope for the best, and take what comes. . . . If death ends all, we cannot meet death better.”[4]

Notes

1. Compare the admirable page 310 in S. H. Hodgson’s “Time and Space,” London, 1865.
2. Compare Wilfrid Ward’s Essay, “The Wish to Believe ,” in his *Witnesses to the Unseen* , Macmillan & Co., 1893.
3. Since belief is measured by action, he who forbids us to believe religion to be true, necessarily also forbids us to act as we should if we did believe it to be true. The whole defence of religious faith hinges upon action. If the action required or inspired by the religious hypothesis is in no way different from that dictated by the naturalistic hypothesis, then religious faith is a pure superfluity, better pruned away, and controversy about its legitimacy is a piece of idle trifling, unworthy of serious minds. I myself believe, of course, that the religious hypothesis gives to the world an expression which specifically determines our reactions, and makes them in a large part unlike what they might be on a purely naturalistic scheme of belief.
4. Liberty, Equality, Fraternity, p. 353, 2d edition. London, 1874.

William Paley – On The Teleological Argument

Natural Theology

CHAPTER I.

STATE OF THE ARGUMENT

IN crossing a heath, suppose I pitched my foot against a *stone*, and were asked how the stone came to be there; I might possibly answer, that, for any thing I knew to the contrary, it had lain there for ever: nor would it perhaps be very easy to show the absurdity of this answer. But suppose I had found a *watch* upon the ground, and it should be inquired how the watch happened to be in that place; I should hardly think of the answer which I had before given, that, for any thing I knew, the watch might have always been there. Yet why should not this answer serve for the watch as well as for the stone? why is it not as admissible in the second case, as in the first? For this reason, and for no other, viz. that, when we come to inspect the watch, we perceive (what we could not discover in the stone) that its several parts are framed and put together for a purpose, *e. g.* that they are so formed and adjusted as to produce motion, and that motion so regulated as to point out the hour of the day; that, if the different parts had been differently shaped from what they are, of a different size from what they are, or placed after any other manner, or in any other order, than that in which they are placed, either no motion at all would have been carried on in the machine, or none which would have answered the use that is now served by it. To reckon up a few of the plainest of these parts, and of their offices, all tending to one result:— We see a cylindrical box containing a coiled elastic spring, which, by its endeavour to relax itself, turns round the box. We next observe a flexible chain (artificially wrought for the sake of flexure), communicating the action of the spring from the box to the fusee. We then find a series of wheels, the teeth of which catch in, and apply to, each other, conducting the motion from the fusee to the balance, and from the balance to the pointer; and at the same time, by the size and shape of those wheels, so regulating that motion, as to terminate in causing an index, by an equable and measured progression, to pass over a given space in a given time. We take notice that the wheels are made of brass in order to keep them from rust; the springs of steel, no other metal being so elastic; that over the face of the watch there is placed a glass, a material employed in no other part of the work, but in the room of which, if there had been any other than a transparent substance, the hour could not be seen without opening the case. This mechanism being observed (it requires indeed an examination of the instrument, and perhaps some previous knowledge of the subject, to perceive and understand it; but being once, as we have said, observed and understood), the inference, we think,

is inevitable, that the watch must have had a maker: that there must have existed, at some time, and at some place or other, an artificer or artificers who formed it for the purpose which we find it actually to answer; who comprehended its construction, and designed its use.

Nor would it, I apprehend, weaken the conclusion, that we had never seen a watch made; that we had never known an artist capable of making one; that we were altogether incapable of executing such a piece of workmanship ourselves, or of understanding in what manner it was performed; all this being no more than what is true of some exquisite remains of ancient art, of some lost arts, and, to the generality of mankind, of the more curious productions of modern manufacture. Does one man in a million know how oval frames are turned? Ignorance of this kind exalts our opinion of the unseen and unknown artist's skill, if he be unseen and unknown, but raises no doubt in our minds of the existence and agency of such an artist, at some former time, and in some place or other. Nor can I perceive that it varies at all the inference, whether the question arise concerning a human agent, or concerning an agent of a different species, or an agent possessing, in some respects, a different nature.

Neither, secondly, would it invalidate our conclusion, that the watch sometimes went wrong, or that it seldom went exactly right. The purpose of the machinery, the design, and the designer, might be evident, and in the case supposed would be evident, in whatever way we accounted for the irregularity of the movement, or whether we could account for it or not. It is not necessary that a machine be perfect, in order to show with what design it was made: still less necessary, where the only question is, whether it were made with any design at all.

Nor, thirdly, would it bring any uncertainty into the argument, if there were a few parts of the watch, concerning which we could not discover, or had not yet discovered, in what manner they conduced to the general effect; or even some parts, concerning which we could not ascertain, whether they conduced to that effect in any manner whatever. For, as to the first branch of the case; if by the loss, or disorder, or decay of the parts in question, the movement of the watch were found in fact to be stopped, or disturbed, or retarded, no doubt would remain in our minds as to the utility or intention of these parts, although we should be unable to investigate the manner according to which, or the connexion by which, the ultimate effect depended upon their action or assistance; and the more complex is the machine, the more likely is this obscurity to arise. Then, as to the second thing supposed, namely, that there were parts which might be spared, without prejudice to the movement of the watch, and that we had proved this by experiment,—these superfluous parts, even if we were completely assured that they were such, would not vacate the reasoning which we had instituted concerning other parts. The indication of contrivance remained, with respect to them, nearly as it was before.

Nor, fourthly, would any man in his senses think the existence of the watch, with its various machinery, accounted for, by being told that it was one out of possible combinations of material forms; that whatever he had found in the place where he found the watch, must have contained some internal configuration or other; and that this configuration might be the structure now exhibited, viz. of the works of a watch, as well as a different structure.

Nor, fifthly, would it yield his inquiry more satisfaction to be answered, that there existed in things a principle of order, which had disposed the parts of the watch into their present form and situation. He never knew a watch made by the principle of order; nor can he even form to himself an idea of what is meant by a principle of order, distinct from the intelligence of the watch-maker.

Sixthly, he would be surprised to hear that the mechanism of the watch was no proof of contrivance, only a motive to induce the mind to think so:

And not less surprised to be informed, that the watch in his hand was nothing more than the result of the laws of *metallic* nature. It is a perversion of language to assign any law, as the efficient, operative cause of any thing. A law presupposes an agent; for it is only the mode, according to which an agent proceeds: it implies a power; for it is the order, according to which that power acts. Without this agent, without this power, which are both distinct from itself, the *law* does nothing; is nothing. The expression,

the law of metallic nature,

may sound strange and harsh to a philosophic ear; but it seems quite as justifiable as some others which are more familiar to him, such as

the law of vegetable nature,

the law of animal nature,

or indeed as

the law of nature

in general, when assigned as the cause of phenomena, in exclusion of agency and power; or when it is substituted into the place of these.

VIII. Neither, lastly, would our observer be driven out of his conclusion, or from his confidence in its truth, by being told that he knew nothing at all about the matter. He knows enough for his argument: he knows the utility of the end: he knows the subserviency and adaptation of the means to the end. These points being known, his ignorance of other points, his doubts concerning other points, affect not the certainty of his reasoning. The consciousness of knowing little, need not beget a distrust of that which he does know.

CHAPTER II.

STATE OF THE ARGUMENT CONTINUED

SUPPOSE, in the next place, that the person who found the watch, should, after some time, discover that, in addition to all the properties which he had hitherto observed in it, it possessed the unexpected property of producing, in the course of its movement, another watch like itself (the thing is conceivable); that it contained within it a mechanism, a system of parts, a mould for instance, or a complex adjustment of lathes, files, and other tools, evidently and separately calculated for this purpose; let us inquire, what effect ought such a discovery to have upon his former conclusion.

I. The first effect would be to increase his admiration of the contrivance, and his conviction of the consummate skill of the contriver. Whether he regarded the object of the contrivance, the distinct apparatus, the intricate, yet in many parts intelligible mechanism, by which it was carried on, he would perceive, in this new observation, nothing but an additional reason for doing what he had already done,—for referring the construction of the watch to design, and to supreme art. If that construction *without* this property, or which is the same thing, before this property had been noticed, proved intention and art to have been employed about it; still more strong would the proof appear, when he came to the knowledge of this further property, the crown and perfection of all the rest.

He would reflect, that though the watch before him were, *in some sense*, the maker of the watch, which was fabricated in the course of its movements, yet it was in a very different sense from that, in which a carpenter, for instance, is the maker of a chair; the author of its contrivance, the cause of the relation of its parts to their use. With respect to these, the first watch was no cause at all to the second: in no such sense as this was it the author of the constitution and order, either of the parts which the new watch contained, or of the parts by the aid and instrumentality of which it was produced. We might possibly say, but with great latitude of expression, that a stream of water ground corn: but no latitude of expression would allow us to say, no stretch of conjecture could lead us to think, that the stream of water built the mill, though it were too ancient for us to know who the builder was. What the stream of water does in the affair, is neither more nor less than this; by the application of an unintelligent impulse to a mechanism previously arranged, arranged independently of it, and arranged by intelligence, an effect is produced, viz. the corn is ground. But the effect results from the arrangement. The force of the stream cannot be said to be the cause or author of the effect, still less of the arrangement. Understanding and plan in the formation of the mill were not the less necessary, for any share which the water has in grinding the corn: yet is this share the same, as that which the watch would have contributed to the production of the new watch, upon the supposition assumed in the last section. Therefore,

Though it be now no longer probable, that the individual watch, which our observer had found, was made immediately by the hand of an artificer, yet doth not this alteration in anywise affect the inference, that an artificer had been originally employed and concerned in the production. The argument from design remains as it was. Marks of design and contrivance are no more accounted for now, than they were before. In the same thing, we may ask for the cause of different properties. We may ask for the cause of the colour of a body, of its hardness, of its heat; and these causes may be all different. We are now asking for the cause of that subserviency to a use, that relation to an end, which we have remarked in the watch before us. No answer is given to this question, by telling us that a preceding watch produced it. There cannot be design without a designer; contrivance without a contriver; order without choice; arrangement, without any thing capable of arranging; subserviency and relation to a purpose, without that which could intend a purpose; means suitable to an end, and executing their office, in accomplishing that end, without the end ever having been contemplated, or the means accommodated to it. Arrangement, disposition of parts, subserviency of means to an end, relation of instruments to a use, imply the presence of intelligence and mind. No one, therefore, can rationally believe, that the insensible, inanimate watch, from which the watch before us issued, was the proper cause of the mechanism we so much admire in it;—could be truly said to have constructed the instrument, disposed its parts, assigned their office, determined their order, action, and mutual dependency, combined their several motions into one result, and that also a result connected with the utilities of other beings. All these properties, therefore, are as much unaccounted for, as they were before.

Nor is any thing gained by running the difficulty farther back, *i. e.* by supposing the watch before us to have been produced from another watch, that from a former, and so on indefinitely. Our going back ever so far, brings us no nearer to the least degree of satisfaction upon the subject. Contrivance is still unaccounted for. We still want a contriver. A designing mind is neither supplied by this supposition, nor dispensed with. If the difficulty were diminished the further we went back, by going back indefinitely we might exhaust it. And this is the only case to which this sort of reasoning applies. Where there is a tendency, or, as we increase the number of terms, a continual approach towards a limit, *there*, by supposing the number of terms to be what is called infinite, we may conceive the limit to be attained: but where there is no such tendency, or approach, nothing is effected by lengthening the series. There is no difference as to the point in question (whatever there may be as to many points), between one series and another; between a series which is finite, and a series which is infinite. A chain, composed of an infinite

number of links, can no more support itself, than a chain composed of a finite number of links. And of this we are assured (though we never *can* have tried the experiment), because, by increasing the number of links, from ten for instance to a hundred, from a hundred to a thousand, &c. we make not the smallest approach, we observe not the smallest tendency, towards self-support. There is no difference in this respect (yet there may be a great difference in several respects) between a chain of a greater or less length, between one chain and another, between one that is finite and one that is infinite. This very much resembles the case before us. The machine which we are inspecting, demonstrates, by its construction, contrivance and design. Contrivance must have had a contriver; design, a designer; whether the machine immediately proceeded from another machine or not. That circumstance alters not the case. That other machine may, in like manner, have proceeded from a former machine: nor does that alter the case; contrivance must have had a contriver. That former one from one preceding it: no alteration still; a contriver is still necessary. No tendency is perceived, no approach towards a diminution of this necessity. It is the same with any and every succession of these machines; a succession of ten, of a hundred, of a thousand; with one series, as with another; a series which is finite, as with a series which is infinite. In whatever other respects they may differ, in this they do not. In all equally, contrivance and design are unaccounted for.

The question is not simply, How came the first watch into existence? which question, it may be pretended, is done away by supposing the series of watches thus produced from one another to have been infinite, and consequently to have had no-such *first*, for which it was necessary to provide a cause. This, perhaps, would have been nearly the state of the question, if no thing had been before us but an unorganized, unmechanized substance, without mark or indication of contrivance. It might be difficult to show that such substance could not have existed from eternity, either in succession (if it were possible, which I think it is not, for unorganized bodies to spring from one another), or by individual perpetuity. But that is not the question now. To suppose it to be so, is to suppose that it made no difference whether we had found a watch or a stone. As it is, the metaphysics of that question have no place; for, in the watch which we are examining, are seen contrivance, design; an end, a purpose; means for the end, adaptation to the purpose. And the question which irresistibly presses upon our thoughts, is, whence this contrivance and design? The thing required is the intending mind, the adapting hand, the intelligence by which that hand was directed. This question, this demand, is not shaken off, by increasing a number or succession of substances, destitute of these properties; nor the more, by increasing that number to infinity. If it be said, that, upon the supposition of one watch being produced from another in the course of that other's movements, and by means of the mechanism within it, we have a cause for the watch in my hand, viz. the watch from which it proceeded. I deny, that for the design, the contrivance, the suitability of means to an end, the adaptation of instruments to a use (all which we discover in the watch), we have any cause whatever. It is in vain, therefore, to assign a series of such causes, or to allege that a series may be carried back to infinity; for I do not admit that we have yet any cause at all of the phenomena, still less any series of causes either finite or infinite. Here is contrivance, but no contriver; proofs of design, but no designer.

Our observer would further also reflect, that the maker of the watch before him, was, in truth and reality, the maker of every watch produced from it; there being no difference (except that the latter manifests a more exquisite skill) between the making of another watch with his own hands, by the mediation of files, lathes, chisels, &c. and the disposing, fixing, and inserting of these instruments, or of others equivalent to them, in the body of the watch already made in such a manner, as to form a new watch in the course of the movements which he had given to the old one. It is only working by one set of tools, instead of another.

The conclusion of which the *first* examination of the watch, of its works, construction, and movement, suggested,

was, that it must have had, for the cause and author of that construction, an artificer, who understood its mechanism, and designed its use. This conclusion is invincible. A *second* examination presents us with a new discovery. The watch is found, in the course of its movement, to produce another watch, similar to itself; and not only so, but we perceive in it a system or organization, separately calculated for that purpose. What effect would this discovery have, or ought it to have, upon our former inference? What, as hath already been said, but to increase, beyond measure, our admiration of the skill, which had been employed in the formation of such a machine? Or shall it, instead of this, all at once turn us round to an opposite conclusion, viz. that no art or skill whatever has been concerned in the business, although all other evidences of art and skill remain as they were, and this last and supreme piece of art be now added to the rest? Can this be maintained without absurdity? Yet this is atheism.

CHAPTER III.

APPLICATION OF THE ARGUMENT

THIS is atheism: for every indication of contrivance, every manifestation of design, which existed in the watch, exists in the works of nature; with the difference, on the side of nature, of being greater and more, and that in a degree which exceeds all computation. I mean that the contrivances of nature surpass the contrivances of art, in the complexity, subtlety, and curiosity of the mechanism; and still more, if possible, do they go beyond them in number and variety; yet, in a multitude of cases, are not less evidently mechanical, not less evidently contrivances, not less evidently accommodated to their end, or suited to their office, than are the most perfect productions of human ingenuity.

I know no better method of introducing so large a subject, than that of comparing a single thing with a single thing; an eye, for example, with a telescope. As far as the examination of the instrument goes, there is precisely the same proof that the eye was made for vision, as there is that the telescope was made for assisting it. They are made upon the same principles; both being adjusted to the laws by which the transmission and refraction of rays of light are regulated. I speak not of the origin of the laws themselves; but such laws being fixed, the construction, in both cases, is adapted to them. For instance; these laws require, in order to produce the same effect, that the rays of light, in passing from water into the eye, should be refracted by a more convex surface, than when it passes out of air into the eye. Accordingly we find that the eye of a fish, in that part of it called the crystalline lens, is much rounder than the eye of terrestrial animals. What plainer manifestation of design can there be than this difference? What could a mathematical-instrument-maker have done more, to show his knowledge of his principle, his application of that knowledge, his suiting of his means to his end; I will not say to display the compass or excellence of his skill and art, for in these all comparison is indecorous, but to testify counsel, choice, consideration, purpose?

To some it may appear a difference sufficient to destroy all similitude between the eye and the telescope, that the one is a perceiving organ, the other an unperceiving instrument. The fact is, that they are both instruments. And, as to the mechanism, at least as to mechanism being employed, and even as to the kind of it, this circumstance varies not the analogy at all. For observe, what the constitution of the eye is. It is necessary, in order to produce distinct vision, that an image or picture of the object be formed at the bottom of the eye.

Whence this necessity arises, or how the picture is connected with the sensation, or contributes to it, it may be difficult, nay we will confess, if you please, impossible for us to search out. But the present question is not

concerned in the inquiry. It may be true, that, in this, and in other instances, we trace mechanical contrivance a certain way; and that then we come to something which is not mechanical, or which is inscrutable. But this affects not the certainty of our investigation, as far as we have gone. The difference between an animal and an automatic statue, consists in this,—that, in the animal, we trace the mechanism to a certain point, and then we are stopped; either the mechanism becoming too subtle for our discernment, or something else beside the known laws of mechanism taking place; whereas, in the automaton, for the comparatively few motions of which it is capable, we trace the mechanism throughout. But, up to the limit, the reasoning is as clear and certain in the one case, as in the other. In the example before us, it is a matter of certainty, because it is a matter which experience and observation demonstrate, that the formation of an image at the bottom of the eye is necessary to perfect vision. The image itself can be shown. Whatever affects the distinctness of the image, affects the distinctness of the vision. The formation then of such an image being necessary (no matter how) to the sense of sight, and to the exercise of that sense, the apparatus by which it is formed is constructed and put together, not only with infinitely more art, but upon the self-same principles of art, as in the telescope or the camera obscura. The perception arising from the image may be laid out of the question; for the production of the image, these are instruments of the same kind. The end is the same; the means are the same. The purpose in both is alike; the contrivance for accomplishing that purpose is in both alike. The lenses of the telescope, and the humours of the eye, bear a complete resemblance to one another, in their figure, their position, and in their power over the rays of light, viz. in bringing each pencil to a point at the right distance from the lens; namely, in the eye, at the exact place where the membrane is spread to receive it. How is it possible, under circumstances of such close affinity, and under the operation of equal evidence, to exclude contrivance from the one; yet to acknowledge the proof of contrivance having been employed, as the plainest and clearest of all propositions, in the other?

PART V

Ethics and Morality

Consider the situation where you are driving home late at night and the stop light ahead does not appear to be working. It remains red and the law requires you to stop. Would you drive through it after waiting for a long period of time? What if there was no one around; what if there *was*? In thinking your options you will probably consider a long list of “what if”s and “pro’s” and “con’s” in terms of whether it would be morally right or wrong to proceed (as opposed to legally right or wrong) and under what circumstances these guidelines might be relevant to the case you find yourself in. You may reflect on whether it is ever okay to break a law (e.g., What if it’s a life or death situation?) and you may place priority upon whether someone could be harmed by whatever action you choose (and that person could even be yourself if you get caught!) What if something bad did happen? Should we be happy with the fact that you sincerely tried to do what you thought was the best thing even though it failed? Should you only be concerned with the intent (i.e., the “why” you did the act) or just with the outcome? Sometimes making moral decisions are easy to make and other times its seems like there is no good solution. Such is the nature and difficulty of being a moral person.

23.

Plato – On Justice

Republic

Book II

SOCRATES – GLAUCON

WITH these words I was thinking that I had made an end of the discussion; but the end, in truth, proved to be only a beginning. For Glaucon, who is always the most pugnacious of men, was dissatisfied at Thrasymachus' retirement; he wanted to have the battle out. So he said to me: Socrates, do you wish really to persuade us, or only to seem to have persuaded us, that to be just is always better than to be unjust?

I should wish really to persuade you, I replied, if I could.

Then you certainly have not succeeded. Let me ask you now:—How would you arrange goods—are there not some which we welcome for their own sakes, and independently of their consequences, as, for example, harmless pleasures and enjoyments, which delight us at the time, although nothing follows from them?

I agree in thinking that there is such a class, I replied.

Is there not also a second class of goods, such as knowledge, sight, health, which are desirable not only in themselves, but also for their results?

Certainly, I said.

And would you not recognize a third class, such as gymnastic, and the care of the sick, and the physician's art; also the various ways of money-making—these do us good but we regard them as disagreeable; and no one would choose them for their own sakes, but only for the sake of some reward or result which flows from them?

There is, I said, this third class also. But why do you ask?

Because I want to know in which of the three classes you would place justice?

In the highest class, I replied,—among those goods which he who would be happy desires both for their own sake and for the sake of their results.

Then the many are of another mind; they think that justice is to be reckoned in the troublesome class, among goods which are to be pursued for the sake of rewards and of reputation, but in themselves are disagreeable and rather to be avoided.

I know, I said, that this is their manner of thinking, and that this was the thesis which Thrasymachus was maintaining just now, when he censured justice and praised injustice. But I am too stupid to be convinced by him.

I wish, he said, that you would hear me as well as him, and then I shall see whether you and I agree. For Thrasymachus seems to me, like a snake, to have been charmed by your voice sooner than he ought to have been; but to my mind the nature of justice and injustice have not yet been made clear. Setting aside their rewards and results, I want to know what they are in themselves, and how they inwardly work in the soul. If you, please, then, I will revive the argument of Thrasymachus. And first I will speak of the nature and origin of justice according to the common view of them. Secondly, I will show that all men who practise justice do so against their will, of necessity, but not as a good. And thirdly, I will argue that there is reason in this view, for the life of the unjust is after all better far than the life of the just—if what they say is true, Socrates, since I myself am not of their opinion. But still I acknowledge that I am perplexed when I hear the voices of Thrasymachus and myriads of others dinning in my ears; and, on the other hand, I have never yet heard the superiority of justice to injustice maintained by any one in a satisfactory way. I want to hear justice praised in respect of itself; then I shall be satisfied, and you are the person from whom I think that I am most likely to hear this; and therefore I will praise the unjust life to the utmost of my power, and my manner of speaking will indicate the manner in which I desire to hear you too praising justice and censuring injustice. Will you say whether you approve of my proposal?

Indeed I do; nor can I imagine any theme about which a man of sense would oftener wish to converse.

I am delighted, he replied, to hear you say so, and shall begin by speaking, as I proposed, of the nature and origin of justice.

GLAUCON

They say that to do injustice is, by nature, good; to suffer injustice, evil; but that the evil is greater than the good. And so when men have both done and suffered injustice and have had experience of both, not being able to avoid the one and obtain the other, they think that they had better agree among themselves to have neither; hence there arise laws and mutual covenants; and that which is ordained by law is termed by them lawful and just. This they affirm to be the origin and nature of justice;—it is a mean or compromise, between the best of all, which is to do injustice and not be punished, and the worst of all, which is to suffer injustice without the power of retaliation; and justice, being at a middle point between the two, is tolerated not as a good, but as the lesser evil, and honoured by reason of the inability of men to do injustice with impunity. For no man who is worthy to be called a man would ever submit to such an agreement if he were able to resist; he would be mad if he did. Such is the received account, Socrates, of the nature and origin of justice.

Now, that those who practise justice do so involuntarily and because they have not the power to be unjust will best appear if we imagine something of this kind: having given both to the just and the unjust power to do what they will, let us watch and see whither desire will lead them; then we shall discover in the very act the just and unjust

man to be proceeding along the same road, following their interest, which all natures deem to be their good, and are only diverted into the path of justice by the force of law. The liberty which we are supposing may be most completely given to them in the form of such a power as is said to have been possessed by Gyges the ancestor of Croesus the Lydian. According to the tradition, Gyges was a shepherd in the service of the king of Lydia; there was a great storm, and an earthquake made an opening in the earth at the place where he was feeding his flock. Amazed at the sight, he descended into the opening, where, among other marvels, he beheld a hollow brazen horse, having doors, at which he, stooping and looking in, saw a dead body of stature, as appeared to him, more than human, and having nothing on but a gold ring; this he took from the finger of the dead and reascended. Now the shepherds met together, according to custom, that they might send their monthly report about the flocks to the king; into their assembly he came having the ring on his finger, and, as he was sitting among them, he chanced to turn the collet of the ring inside his hand, when instantly he became invisible to the rest of the company and they began to speak of him as if he were no longer present. He was astonished at this, and again touching the ring he turned the collet outwards and reappeared; he made several trials of the ring, and always with the same result: when he turned the collet inwards, he became invisible; when outwards, he reappeared. Whereupon he contrived to be chosen one of the messengers who were sent to the court; where as soon as he arrived he seduced the queen, and with her help conspired against the king and slew him, and took the kingdom.

Suppose now that there were two such magic rings, and the just put on one of them and the unjust the other; no man can be imagined to be of such an iron nature that he would stand fast in justice. No man would keep his hands off what was not his own when he could safely take what he liked out of the market, or go into houses and lie with any one at his pleasure, or kill or release from prison whom he would, and in all respects be like a god among men. Then the actions of the just would be as the actions of the unjust; they would both come at last to the same point. And this we may truly affirm to be a great proof that a man is just, not willingly or because he thinks that justice is any good to him individually, but of necessity, for, wherever any one thinks that he can safely be unjust, there he is unjust. For all men believe in their hearts that injustice is far more profitable to the individual than justice, and he who argues as I have been supposing, will say that they are right. If you could imagine any one obtaining this power of becoming invisible, and never doing any wrong or touching what was another's, he would be thought by the lookers-on to be a most wretched idiot, although they would praise him to one another's faces and keep up appearances with one another from a fear that they too might suffer injustice. Enough of this.

Now, if we are to form a real judgement of the life of the just and unjust, we must isolate them; there is no other way; and how is the isolation to be effected? I answer: let the unjust man be entirely unjust, and the just man entirely just; nothing is to be taken away from either of them, and both are to be perfectly furnished for the work of their respective lives. First, let the unjust be like other distinguished masters of craft; like the skilful captain or physician, who knows intuitively his own powers and keeps within their limits, and who, if he fails at any point, is able to recover himself. So let the unjust make his unjust attempts in the right way, and lie hidden if he means to be great in his injustice (he who is found out is nobody): for the highest reach of injustice is: to be deemed just when you are not. Therefore, I say that, in the perfectly unjust man, we must assume the most perfect injustice; there is to be no deduction, but we must allow him, while doing the most unjust acts, to have acquired the greatest reputation for justice. If he has taken a false step, he must be able to recover himself; he must be one who can speak with effect if any of his deeds come to light; and, where force is required, he must have the aid of courage, strength and the abundance of money and friends that he has accumulated.

And, at his side, let us place the just man in his nobleness and simplicity, wishing, as Aeschylus says, to be and not

to seem good. There must be no seeming, for, if he seems to be just, he will be honoured and rewarded, and then we shall not know whether he is just for the sake of justice or for the sake of honours and rewards; therefore, let him be clothed in justice only, and have no other covering; and he must be imagined in a state of life the opposite of the former. Let him be the best of men, and let him be thought the worst; then he will have been put to the proof; and we shall see whether he will be affected by the fear of infamy and its consequences. And let him continue thus to the hour of death; being just and seeming to be unjust. When both have reached the uttermost extreme, the one of justice and the other of injustice, let judgement be given which of them is the happier of the two.

SOCRATES – GLAUCON

Heavens! My dear Glaucon, I said, how energetically you polish them up for the decision, first one and then the other, as if they were two statues.

I do my best, he said. And, now that we know what they are like, there is no difficulty in tracing out the sort of life that awaits either of them. This I will proceed to describe, but, as you may think the description a little too coarse, I ask you to suppose, Socrates, that the words which follow are not mine; let me put them instead into the mouths of the eulogists of injustice: they will tell you that the just man who is thought unjust will be scourged, racked, bound; will have his eyes burnt out; and, at last, after suffering every kind of evil, he will be impaled; then he will understand that he ought to seem only, and not to be, just. The words of Aeschylus may be more truly spoken of the unjust than of the just, for the unjust is pursuing a reality; he does not live with a view to appearances; he wants to be really unjust and not merely to seem so:

His mind has a soil deep and fertile,

Out of which spring his prudent counsels.[*Septem* 592 sq]

In the first place, he is thought just and therefore bears rule in the city. He can marry whom he will and give in marriage to whom he will; also, he can trade and deal where he likes and always to his own advantage, because he has no misgivings about injustice; and, at every contest, whether in public or in private, he gets the better of his antagonists and gains at their expense, and is rich; and, out of his gains, he can benefit his friends and harm his enemies; moreover, he can offer sacrifices and dedicate gifts to the gods abundantly and magnificently, and can honour the gods or any man whom he wants to honour in a style far better than the just and, therefore, is likely to be dearer than they are to the gods. And thus, Socrates, gods and men are said to unite in making the life of the unjust better than the life of the just.

ADEIMANTUS – SOCRATES

I was going to say something in answer to Glaucon when Adeimantus, his brother, interposed: Socrates, he said, you do not suppose that there is nothing more to be urged?

Why, what else is there? I answered.

The strongest point of all has not even been mentioned, he replied.

Well, then, according to the proverb “Let brother help brother”, if he fails in any part, you assist him; although

I must confess that Glaucon has already said quite enough to lay me in the dust and take from me the power of helping justice.

ADEIMANTUS

Nonsense, he replied. But let me add something more: there is another side to Glaucon's argument about the praise and censure of justice and injustice, which is equally required in order to bring out what I believe to be his meaning. Parents and tutors are always telling their sons and their wards that they are to be just, but why? Not for the sake of justice but for the sake of character and reputation, in the hope of obtaining for him who is reputed just some of those offices, marriages and the like, which Glaucon has enumerated among the advantages accruing to the unjust from the reputation of justice. More, however, is made of appearances by this class of persons than by the others, for they throw in the good opinion of the gods and will tell you of a shower of benefits which the heavens, as they say, rain upon the pious, and this accords with the testimony of the noble Hesiod and Homer, the first of whom says, that the gods make the oaks of the just

To hear acorns at their summit and bees in the middle

And make fleecy sheep heavy-laden with wool [Hesiod, *Works and Days* 232 sq]

and many other blessings of a like kind are provided for them. Homer has a very similar strain, for he speaks of one whose fame is

When a blameless king, in his piety,

Upholds justice, the black earth brings forth

Wheat and barley for him, whose trees are bowed with fruit;

His sheep never fail to bear, and the sea yields to him its fish. [Homer, *Odyssey* 19.109 sq]

Still grander are the gifts of heaven which Musaeus and his son vouchsafe to the just: they take them down into the world below, where they have the saints lying on couches at a feast, everlastingly drunk and crowned with garlands; their idea seems to be that an immortality of drunkenness is the highest meed of virtue. Some extend their rewards yet further: they say that the posterity of the faithful and just shall survive to the third and fourth generation.

This is the style in which they praise justice, but about the impious and unjust there is another strain: they bury them in a slough in Hades and make them carry water in a sieve; also, while they are yet living, they bring them to infamy and inflict upon them the punishments which Glaucon described as the portion of the just who are reputed to be unjust. Nothing else does their invention supply, such is their manner of praising the one and censuring the other.

Besides this, Socrates, I will ask you to consider another way of speaking about justice and injustice, which is not confined to the poets but is found in prose writers. The universal voice of mankind is always declaring that justice and virtue are honourable but grievous and toilsome, and that the pleasures of vice and injustice are easy of attainment and only censured by law and opinion. They say also that honesty is, for the most part, less profitable than dishonesty, and they are quite ready to call wicked men happy and to honour them both in public and in

private when they are rich or in any other way influential, while they despise and overlook those who may be weak and poor, even though acknowledging them to be better than the others.

But most extraordinary of all is their mode of speaking about virtue and the gods: they say that the gods apportion calamity and misery to many good men, and good and happiness to the wicked. And mendicant prophets go to rich men's doors and persuade them that they have a power committed to them by the gods of making atonement for a man's own or his ancestor's sins by sacrifices or charms, with rejoicings and feasts, and they promise to harm an enemy, whether just or unjust, at a small cost, with magic arts and incantations, binding heaven, as they say, to execute their will. And the poets are the authorities to whom they appeal, now smoothing the path of vice with the words of Hesiod:

Vice in abundance is easy to get.

The road is smooth and begins beside you,

But the gods have put sweat between us and virtue, [Hesiod, *Works and Days* 287 sq]

and a tedious and uphill road. Others cite Homer as a witness that the gods may be influenced by men, for he also says:

The gods themselves can be swayed by prayer,

And, with sacrifices and soothing promises,

Incense and libations, humans turn them from their purpose

When someone has transgressed and sinned. [Homer, *Iliad* 9.497 sq]

And they produce a host of books written by Musaeus and Orpheus, who were children of the Moon and the Muses — that is what they say —, according to which they perform their ritual and persuade not only individuals but whole cities that expiations for sin may be made by sacrifices and amusements which fill a vacant hour and are equally at the service of the living and the dead. The latter sort they call mysteries, and they redeem us from the pains of hell, but, if we neglect them, no-one knows what horrors await us.

He proceeded: And now, when the young hear all this said about virtue and vice, and the way in which gods and men regard them, how are their minds likely to be affected, my dear Socrates — those of them, I mean, who are quick-witted and, like bees on the wing, light on every flower and, from all that they hear, prone to draw conclusions as to what manner of persons they should be and in what way they should walk if they would make the best of life? Probably the youth will say to himself, in the words of Pindar, “Should I, by justice or by crooked ways, ascend a loftier tower which may be a fortress to me for all my days?” For what men say is that, if I am really just and am not also thought just, of profit there is none, but the pain and loss on the other hand are unmistakable. But, if, although unjust, I acquire the reputation of justice, a heavenly life is promised me.

Since, then, as philosophers prove, “appearance looses truth” and “is lord of happiness”, to appearance I must surely devote myself. I will describe around me a picture and a shadow of virtue to be the vestibule and exterior of my house. Behind I will trail, the subtle and crafty fox, as Archilochus, greatest of sages, recommends.

But I hear someone exclaiming that the concealment of wickedness is often difficult, to which I answer, Nothing great is easy. Nevertheless, the argument indicates that this, if we would be happy, is the path along which we should proceed. With a view to concealment, we will establish secret brotherhoods and political clubs. And there are professors of rhetoric who teach the art of persuading courts and assemblies. And so, partly by persuasion and partly by force, I shall make unlawful gains and not be punished.

Still I hear a voice say that the gods cannot be deceived; nor can they be compelled. But what if there are no gods? Or suppose them to have no care of human things. Why, in either case, should we mind about concealment? And, even if there are gods, and they do care about us, we know of them only from tradition and the genealogies of the poets — nowhere else. These poets are the very persons who say that they may be influenced and turned by “sacrifices and soothing entreaties and offerings”. Let us be consistent then and believe both or neither. If the poets speak truly, why, we had better be unjust and offer of the fruits of injustice: for, if we are just, although we may escape the vengeance of heaven, we shall lose the gains of injustice; but, if we are unjust, we shall keep the gains and, by our sinning and our praying, and our praying and our sinning, the gods will be propitiated and we will not be punished.

“But there is a world below in which either we or our posterity will suffer for our unjust deeds.” Yes, my friend, will come the calculated reflection, but there are mysteries and atoning deities, and these have great power. That is what mighty cities declare, and the children of the gods, who were their poets and prophets, bear a like testimony.

On what principle, then, shall we any longer choose justice rather than the worst injustice? Many luminaries concur that, if only we unite the latter with a deceitful regard to appearance, we shall fare to our mind both with gods and men, in life and after death, as the most numerous and the highest authorities tell us. Knowing all this, Socrates, how can a man who has any superiority of mind, person, rank or wealth be willing to honour justice or, indeed, to refrain from laughing when he hears justice praised? And, even if there be someone who is able to disprove the truth of my words, and who is satisfied that justice is best, still he is not angry with the unjust but very ready to forgive them, because he also knows that men are not just of their own free will — unless, peradventure, there be someone whom the divinity within him may have inspired with a hatred of injustice or who has attained knowledge of the truth — but no other man. He only blames injustice who, owing to cowardice or age or some weakness, has not the power of being unjust. And this is proved by the fact that, when he obtains the power, he immediately becomes unjust as far as he can be.

The cause of all this, Socrates, was indicated by us at the beginning of the argument, when my brother and I told you how astonished we were to find that of all the professing panegyrists of justice, beginning with the ancient heroes of whom any memorial has come down to us and ending with the men of our own time, no-one has ever blamed injustice or praised justice except with a view to the glories, honours and benefits which flow from them. No-one has ever adequately described, either in verse or in prose, the true essential nature of either of them abiding in the soul and invisible to any human or divine eye, or shown that, of all the things of a man’s soul which he has within him, justice is the greatest good and injustice the greatest evil. Had this been the universal strain, and had you sought to persuade us of this from our youth upwards, we should not have been on the watch to keep one another from doing wrong, but every one would have been his own watchman, afraid, if he did wrong, of harbouring in himself the greatest of evils.

I dare say Thrasymachus and others would seriously hold the language which I have been merely repeating and words even stronger about justice and injustice — grossly, as I conceive, perverting their true nature. But I speak

in this vehement manner, as I must frankly confess to you, because I want to hear from you the opposite side, and I would ask you to show not only the superiority which justice has over injustice but what effect they have on the possessor of them which makes the one a good and the other an evil unto him. And please, as Glaucon requested of you, exclude reputations, for, unless you take away from each his true reputation and add on the false, we shall say that you do not praise justice but rather the appearance of it; we shall think that you are only exhorting us to keep injustice dark and that you really agree with Thrasymachus in thinking that justice is another's good and the interest of the stronger, and that injustice is a man's own profit and interest, albeit injurious to the weaker.

Now, as you have admitted that justice is one of that highest class of goods which are desired indeed for their results, but in a far greater degree for their own sakes, like sight or hearing or knowledge or health or any other real and natural and not merely conventional good, I would ask you in your praise of justice to regard one point only: I mean the essential good and evil which justice and injustice work in the possessors of them. Let others praise justice and censure injustice, magnifying the rewards and honours of the one and abusing and slandering the other. That is a manner of arguing which, coming from them, I am ready to tolerate, but, from you who have spent your whole life in contemplation of this question, unless I hear the contrary from your own lips, I expect something better. And, therefore, I say, do not only prove to us that justice is better than injustice but show what they either of them do to the possessor of them which makes the one a good and the other an evil, whether seen or unseen by gods and men.

SOCRATES – ADEIMANTUS

I had always admired the genius of Glaucon and Adeimantus, but on hearing these words I was quite delighted, and said: Sons of an illustrious father, that was not a bad beginning of the Elegiac verses which the admirer of Glaucon made in honour of you after you had distinguished yourselves at the battle of Megara:

‘Sons of Ariston,’ he sang, ‘divine offspring of an illustrious hero.’

The epithet is very appropriate, for there is something truly divine in being able to argue as you have done for the superiority of justice, and remaining unconvinced by your own arguments. And I do believe that you are not convinced—this I infer from your general character, for had I judged only from your speeches I should have mistrusted you. But now, the greater my confidence in you, the greater is my difficulty in knowing what to say. For I am in a strait between two; on the one hand I feel that I am unequal to the task; and my inability is brought home to me by the fact that you were not satisfied with the answer which I made to Thrasymachus, proving, as I thought, the superiority which justice has over injustice. And yet I cannot refuse to help, while breath and speech remain to me; I am afraid that there would be an impiety in being present when justice is evil spoken of and not lifting up a hand in her defence. And therefore I had best give such help as I can.

Glaucon and the rest entreated me by all means not to let the question drop, but to proceed in the investigation. They wanted to arrive at the truth, first, about the nature of justice and injustice, and secondly, about their relative advantages. I told them, what I—really thought, that the enquiry would be of a serious nature, and would require very good eyes. Seeing then, I said, that we are no great wits, I think that we had better adopt a method which I may illustrate thus; suppose that a short-sighted person had been asked by some one to read small letters from a distance; and it occurred to some one else that they might be found in another place which was larger and in which the letters were larger— if they were the same and he could read the larger letters first, and then proceed to the lesser—this would have been thought a rare piece of good fortune.

Very true, said Adeimantus; but how does the illustration apply to our enquiry?

I will tell you, I replied; justice, which is the subject of our enquiry, is, as you know, sometimes spoken of as the virtue of an individual, and sometimes as the virtue of a State.

True, he replied.

And is not a State larger than an individual?

It is.

Then in the larger the quantity of justice is likely to be larger and more easily discernible. I propose therefore that we enquire into the nature of justice and injustice, first as they appear in the State, and secondly in the individual, proceeding from the greater to the lesser and comparing them.

That, he said, is an excellent proposal.

And if we imagine the State in process of creation, we shall see the justice and injustice of the State in process of creation also.

I dare say.

When the State is completed there may be a hope that the object of our search will be more easily discovered.

Yes, far more easily.

But ought we to attempt to construct one? I said; for to do so, as I am inclined to think, will be a very serious task. Reflect therefore.

I have reflected, said Adeimantus, and am anxious that you should proceed.

A State, I said, arises, as I conceive, out of the needs of mankind; no one is self-sufficing, but all of us have many wants. Can any other origin of a State be imagined?

There can I be no other.

Then, as we have many wants, and many persons are needed to supply them, one takes a helper for one purpose and another for another; and when these partners and helpers are gathered together in one habitation the body of inhabitants is termed a State.

True, he said.

And they exchange with one another, and one gives, and another receives, under the idea that the exchange will be for their good.

Very true.

Then, I said, let us begin and create in idea a State; and yet the true creator is necessity, who is the mother of our invention.

Of course, he replied.

Now the first and greatest of necessities is food, which is the condition of life and existence.

Certainly.

The second is a dwelling, and the third clothing and the like.

True.

And now let us see how our city will be able to supply this great demand: We may suppose that one man is a husbandman, another a builder, some one else a weaver—shall we add to them a shoemaker, or perhaps some other purveyor to our bodily wants?

Quite right.

The barest notion of a State must include four or five men.

Clearly.

And how will they proceed? Will each bring the result of his labours into a common stock?—the individual husbandman, for example, producing for four, and labouring four times as long and as much as he need in the provision of food with which he supplies others as well as himself; or will he have nothing to do with others and not be at the trouble of producing for them, but provide for himself alone a fourth of the food in a fourth of the time, and in the remaining three-fourths of his time be employed in making a house or a coat or a pair of shoes, having no partnership with others, but supplying himself all his own wants?

Adeimantus thought that he should aim at producing food only and not at producing everything.

Probably, I replied, that would be the better way; and when I hear you say this, I am myself reminded that we are not all alike; there are diversities of natures among us which are adapted to different occupations.

Very true.

And will you have a work better done when the workman has many occupations, or when he has only one?

When he has only one.

Further, there can be no doubt that a work is spoilt when not done at the right time?

No doubt.

For business is not disposed to wait until the doer of the business is at leisure; but the doer must follow up what he is doing, and make the business his first object.

He must.

And if so, we must infer that all things are produced more plentifully and easily and of a better quality when one man does one thing which is natural to him and does it at the right time, and leaves other things.

Undoubtedly.

Then more than four citizens will be required; for the husbandman will not make his own plough or mattock, or other implements of agriculture, if they are to be good for anything. Neither will the builder make his tools—and he too needs many; and in like manner the weaver and shoemaker.

True.

Then carpenters, and smiths, and many other artisans, will be sharers in our little State, which is already beginning to grow? True.

Yet even if we add neatherds, shepherds, and other herdsmen, in order that our husbandmen may have oxen to plough with, and builders as well as husbandmen may have draught cattle, and carriers and weavers fleeces and hides,—still our State will not be very large.

That is true; yet neither will it be a very small State which contains all these.

Then, again, there is the situation of the city—to find a place where nothing need be imported is well-nigh impossible.

Impossible.

Then there must be another class of citizens who will bring the required supply from another city? There must.

But if the trader goes empty-handed, having nothing which they require who would supply his need, he will come back empty-handed.

That is certain.

And therefore what they produce at home must be not only enough for themselves, but such both in quantity and quality as to accommodate those from whom their wants are supplied.

Very true.

Then more husbandmen and more artisans will be required? They will.

Not to mention the importers and exporters, who are called merchants? Yes.

Then we shall want merchants? We shall.

And if merchandise is to be carried over the sea, skilful sailors will also be needed, and in considerable numbers? Yes, in considerable numbers.

Then, again, within the city, how will they exchange their productions? To secure such an exchange was, as you will remember, one of our principal objects when we formed them into a society and constituted a State.

Clearly they will buy and sell.

Then they will need a market-place, and a money-token for purposes of exchange. Certainly.

Suppose now that a husbandman, or an artisan, brings some production to market, and he comes at a time when there is no one to exchange with him,—is he to leave his calling and sit idle in the market-place?

Not at all; he will find people there who, seeing the want, undertake the office of salesmen. In well-ordered States they are commonly those who are the weakest in bodily strength, and therefore of little use for any other purpose; their duty is to be in the market, and to give money in exchange for goods to those who desire to sell and to take money from those who desire to buy.

This want, then, creates a class of retail-traders in our State. Is not `retailer' the term which is applied to those who sit in the market-place engaged in buying and selling, while those who wander from one city to another are called merchants?

Yes, he said.

And there is another class of servants, who are intellectually hardly on the level of companionship; still they have plenty of bodily strength for labour, which accordingly they sell, and are called, if I do not mistake, hirelings, hire being the name which is given to the price of their labour.

True.

Then hirelings will help to make up our population?

Yes.

And now, Adeimantus, is our State matured and perfected?

I think so.

Where, then, is justice, and where is injustice, and in what part of the State did they spring up?

Probably in the dealings of these citizens with one another. cannot imagine that they are more likely to be found anywhere else.

I dare say that you are right in your suggestion, I said; we had better think the matter out, and not shrink from the enquiry.

Let us then consider, first of all, what will be their way of life, now that we have thus established them. Will they not produce corn, and wine, and clothes, and shoes, and build houses for themselves? And when they are housed, they will work, in summer, commonly, stripped and barefoot, but in winter substantially clothed and shod. They will feed on barley-meal and flour of wheat, baking and kneading them, making noble cakes and loaves; these they will serve up on a mat of reeds or on clean leaves, themselves reclining the while upon beds strewn with yew or myrtle. And they and their children will feast, drinking of the wine which they have made, wearing garlands on their heads, and hymning the praises of the gods, in happy converse with one another. And they will take care that their families do not exceed their means; having an eye to poverty or war.

SOCRATES – GLAUCON

But, said Glaucon, interposing, you have not given them a relish to their meal.

True, I replied, I had forgotten; of course they must have a relish-salt, and olives, and cheese, and they will boil roots and herbs such as country people prepare; for a dessert we shall give them figs, and peas, and beans; and they will roast myrtle-berries and acorns at the fire, drinking in moderation. And with such a diet they may be expected to live in peace and health to a good old age, and bequeath a similar life to their children after them.

Yes, Socrates, he said, and if you were providing for a city of pigs, how else would you feed the beasts? But what would you have, Glaucon? I replied.

Why, he said, you should give them the ordinary conveniences of life. People who are to be comfortable are accustomed to lie on sofas, and dine off tables, and they should have sauces and sweets in the modern style.

Yes, I said, now I understand: the question which you would have me consider is, not only how a State, but how a luxurious State is created; and possibly there is no harm in this, for in such a State we shall be more likely to see how justice and injustice originate. In my opinion the true and healthy constitution of the State is the one which I have described. But if you wish also to see a State at fever heat, I have no objection. For I suspect that many will not be satisfied with the simpler way of life. They will be for adding sofas, and tables, and other furniture; also dainties, and perfumes, and incense, and courtesans, and cakes, all these not of one sort only, but in every variety; we must go beyond the necessaries of which I was at first speaking, such as houses, and clothes, and shoes: the arts of the painter and the embroiderer will have to be set in motion, and gold and ivory and all sorts of materials must be procured.

True, he said.

Then we must enlarge our borders; for the original healthy State is no longer sufficient. Now will the city have to fill and swell with a multitude of callings which are not required by any natural want; such as the whole tribe of hunters and actors, of whom one large class have to do with forms and colours; another will be the votaries of music—poets and their attendant train of rhapsodists, players, dancers, contractors; also makers of divers kinds of articles, including women's dresses. And we shall want more servants. Will not tutors be also in request, and nurses wet and dry, tirewomen and barbers, as well as confectioners and cooks; and swineherds, too, who were not needed and therefore had no place in the former edition of our State, but are needed now? They must not be forgotten: and there will be animals of many other kinds, if people eat them.

Certainly.

And living in this way we shall have much greater need of physicians than before?

Much greater.

And the country which was enough to support the original inhabitants will be too small now, and not enough?

Quite true.

Then a slice of our neighbours' land will be wanted by us for pasture and tillage, and they will want a slice of ours, if, like ourselves, they exceed the limit of necessity, and give themselves up to the unlimited accumulation of wealth?

That, Socrates, will be inevitable.

And so we shall go to war, Glaucon. Shall we not?

Most certainly, he replied.

Then without determining as yet whether war does good or harm, thus much we may affirm, that now we have discovered war to be derived from causes which are also the causes of almost all the evils in States, private as well as public.

Undoubtedly.

And our State must once more enlarge; and this time the will be nothing short of a whole army, which will have to go out and fight with the invaders for all that we have, as well as for the things and persons whom we were describing above.

Why? he said; are they not capable of defending themselves?

No, I said; not if we were right in the principle which was acknowledged by all of us when we were framing the State: the principle, as you will remember, was that one man cannot practise many arts with success.

Very true, he said.

But is not war an art?

Certainly.

And an art requiring as much attention as shoemaking?

Quite true.

And the shoemaker was not allowed by us to be husbandman, or a weaver, a builder—in order that we might have our shoes well made; but to him and to every other worker was assigned one work for which he was by nature fitted, and at that he was to continue working all his life long and at no other; he was not to let opportunities slip, and then he would become a good workman. Now nothing can be more important than that the work of a soldier should be well done. But is war an art so easily acquired that a man may be a warrior who is also a husbandman, or shoemaker, or other artisan; although no one in the world would be a good dice or draught player who merely took up the game as a recreation, and had not from his earliest years devoted himself to this and nothing else?

No tools will make a man a skilled workman, or master of defence, nor be of any use to him who has not learned how to handle them, and has never bestowed any attention upon them. How then will he who takes up a shield or other implement of war become a good fighter all in a day, whether with heavy-armed or any other kind of troops?

Yes, he said, the tools which would teach men their own use would be beyond price.

And the higher the duties of the guardian, I said, the more time, and skill, and art, and application will be needed by him?

No doubt, he replied.

Will he not also require natural aptitude for his calling?

Certainly.

Then it will be our duty to select, if we can, natures which are fitted for the task of guarding the city?

It will.

And the selection will be no easy matter, I said; but we must be brave and do our best.

We must.

Is not the noble youth very like a well-bred dog in respect of guarding and watching?

What do you mean?

I mean that both of them ought to be quick to see, and swift to overtake the enemy when they see him; and strong too if, when they have caught him, they have to fight with him.

All these qualities, he replied, will certainly be required by them.

Well, and your guardian must be brave if he is to fight well?

Certainly.

And is he likely to be brave who has no spirit, whether horse or dog or any other animal? Have you never observed how invincible and unconquerable is spirit and how the presence of it makes the soul of any creature to be absolutely fearless and indomitable?

I have.

Then now we have a clear notion of the bodily qualities which are required in the guardian. True.

And also of the mental ones; his soul is to be full of spirit? Yes.

But are not these spirited natures apt to be savage with one another, and with everybody else?

A difficulty by no means easy to overcome, he replied.

Whereas, I said, they ought to be dangerous to their enemies, and gentle to their friends; if not, they will destroy themselves without waiting for their enemies to destroy them.

True, he said.

What is to be done then? I said; how shall we find a gentle nature which has also a great spirit, for the one is the contradiction of the other?

True.

He will not be a good guardian who is wanting in either of these two qualities; and yet the combination of them appears to be impossible; and hence we must infer that to be a good guardian is impossible.

I am afraid that what you say is true, he replied.

Here feeling perplexed I began to think over what had preceded. My friend, I said, no wonder that we are in a perplexity; for we have lost sight of the image which we had before us.

What do you mean? he said.

I mean to say that there do exist natures gifted with those opposite qualities.

And where do you find them?

Many animals, I replied, furnish examples of them; our friend the dog is a very good one: you know that well-bred dogs are perfectly gentle to their familiars and acquaintances, and the reverse to strangers.

Yes, I know.

Then there is nothing impossible or out of the order of nature in our finding a guardian who has a similar combination of qualities?

Certainly not.

Would not he who is fitted to be a guardian, besides the spirited nature, need to have the qualities of a philosopher?

I do not apprehend your meaning.

The trait of which I am speaking, I replied, may be also seen in the dog, and is remarkable in the animal.

What trait?

Why, a dog, whenever he sees a stranger, is angry; when an acquaintance, he welcomes him, although the one has never done him any harm, nor the other any good. Did this never strike you as curious?

The matter never struck me before; but I quite recognise the truth of your remark.

And surely this instinct of the dog is very charming;—your dog is a true philosopher.

Why?

Why, because he distinguishes the face of a friend and of an enemy only by the criterion of knowing and not knowing. And must not an animal be a lover of learning who determines what he likes and dislikes by the test of knowledge and ignorance?

Most assuredly.

And is not the love of learning the love of wisdom, which is philosophy?

They are the same, he replied.

And may we not say confidently of man also, that he who is likely to be gentle to his friends and acquaintances, must by nature be a lover of wisdom and knowledge?

That we may safely affirm.

Then he who is to be a really good and noble guardian of the State will require to unite in himself philosophy and spirit and swiftness and strength?

Undoubtedly.

Then we have found the desired natures; and now that we have found them, how are they to be reared and educated? Is not this enquiry which may be expected to throw light on the greater enquiry which is our final end—How do justice and injustice grow up in States? for we do not want either to omit what is to the point or to draw out the argument to an inconvenient length.

SOCRATES – ADEIMANTUS

Adeimantus thought that the enquiry would be of great service to us.

Then, I said, my dear friend, the task must not be given up, even if somewhat long.

Certainly not.

Come then, and let us pass a leisure hour in story-telling, and our story shall be the education of our heroes.

By all means.

And what shall be their education? Can we find a better than the traditional sort?—and this has two divisions, gymnastic for the body, and music for the soul.

True.

Shall we begin education with music, and go on to gymnastic afterwards?

By all means.

And when you speak of music, do you include literature or not?

I do.

And literature may be either true or false?

Yes.

And the young should be trained in both kinds, and we begin with the false?

I do not understand your meaning, he said.

You know, I said, that we begin by telling children stories which, though not wholly destitute of truth, are in the main fictitious; and these stories are told them when they are not of an age to learn gymnastics.

Very true.

That was my meaning when I said that we must teach music before gymnastics.

Quite right, he said.

You know also that the beginning is the most important part of any work, especially in the case of a young and tender thing; for that is the time at which the character is being formed and the desired impression is more readily taken.

Quite true.

And shall we just carelessly allow children to hear any casual tales which may be devised by casual persons, and to receive into their minds ideas for the most part the very opposite of those which we should wish them to have when they are grown up?

We cannot.

Then the first thing will be to establish a censorship of the writers of fiction, and let the censors receive any tale of fiction which is good, and reject the bad; and we will desire mothers and nurses to tell their children the authorised ones only. Let them fashion the mind with such tales, even more fondly than they mould the body with their hands; but most of those which are now in use must be discarded.

Of what tales are you speaking? he said.

You may find a model of the lesser in the greater, I said; for they are necessarily of the same type, and there is the same spirit in both of them.

Very likely, he replied; but I do not as yet know what you would term the greater.

Those, I said, which are narrated by Homer and Hesiod, and the rest of the poets, who have ever been the great story-tellers of mankind.

But which stories do you mean, he said; and what fault do you find with them?

A fault which is most serious, I said; the fault of telling a lie, and, what is more, a bad lie.

But when is this fault committed?

Whenever an erroneous representation is made of the nature of gods and heroes,—as when a painter paints a portrait not having the shadow of a likeness to the original.

Yes, he said, that sort of thing is certainly very blamable; but what are the stories which you mean?

First of all, I said, there was that greatest of all lies, in high places, which the poet told about Uranus, and which was a bad lie too,— I mean what Hesiod says that Uranus did, and how Cronus retaliated on him. The doings of

Cronus, and the sufferings which in turn his son inflicted upon him, even if they were true, ought certainly not to be lightly told to young and thoughtless persons; if possible, they had better be buried in silence. But if there is an absolute necessity for their mention, a chosen few might hear them in a mystery, and they should sacrifice not a common [Eleusinian] pig, but some huge and unprocurable victim; and then the number of the hearers will be very few indeed.

Why, yes, said he, those stories are extremely objectionable.

Yes, Adeimantus, they are stories not to be repeated in our State; the young man should not be told that in committing the worst of crimes he is far from doing anything outrageous; and that even if he chastises his father when does wrong, in whatever manner, he will only be following the example of the first and greatest among the gods.

I entirely agree with you, he said; in my opinion those stories are quite unfit to be repeated.

Neither, if we mean our future guardians to regard the habit of quarrelling among themselves as of all things the basest, should any word be said to them of the wars in heaven, and of the plots and fightings of the gods against one another, for they are not true. No, we shall never mention the battles of the giants, or let them be embroidered on garments; and we shall be silent about the innumerable other quarrels of gods and heroes with their friends and relatives. If they would only believe us we would tell them that quarrelling is unholy, and that never up to this time has there been any, quarrel between citizens; this is what old men and old women should begin by telling children; and when they grow up, the poets also should be told to compose for them in a similar spirit. But the narrative of Hephaestus binding Here his mother, or how on another occasion Zeus sent him flying for taking her part when she was being beaten, and all the battles of the gods in Homer—these tales must not be admitted into our State, whether they are supposed to have an allegorical meaning or not. For a young person cannot judge what is allegorical and what is literal; anything that he receives into his mind at that age is likely to become indelible and unalterable; and therefore it is most important that the tales which the young first hear should be models of virtuous thoughts.

There you are right, he replied; but if any one asks where are such models to be found and of what tales are you speaking— how shall we answer him?

I said to him, You and I, Adeimantus, at this moment are not poets, but founders of a State: now the founders of a State ought to know the general forms in which poets should cast their tales, and the limits which must be observed by them, but to make the tales is not their business.

Very true, he said; but what are these forms of theology which you mean?

Something of this kind, I replied:—God is always to be represented as he truly is, whatever be the sort of poetry, epic, lyric or tragic, in which the representation is given.

Right.

And is he not truly good? and must he not be represented as such?

Certainly.

And no good thing is hurtful?

No, indeed.

And that which is not hurtful hurts not?

Certainly not.

And that which hurts not does no evil?

No.

And can that which does no evil be a cause of evil?

Impossible.

And the good is advantageous?

Yes.

And therefore the cause of well-being?

Yes.

It follows therefore that the good is not the cause of all things, but of the good only?

Assuredly.

Then God, if he be good, is not the author of all things, as the many assert, but he is the cause of a few things only, and not of most things that occur to men. For few are the goods of human life, and many are the evils, and the good is to be attributed to God alone; of the evils the causes are to be sought elsewhere, and not in him.

That appears to me to be most true, he said.

Then we must not listen to Homer or to any other poet who is guilty of the folly of saying that two casks:

Lie at the threshold of Zeus, full of lots, one of good, the other of evil lots, and that he to whom Zeus gives a mixture of the two

Sometimes meets with evil fortune, at other times with good; but that he to whom is given the cup of unmingled ill,

Him wild hunger drives o'er the beauteous earth.[*Iliad* 24.527 sq]

And again:

Zeus, who is the dispenser of good and evil to us.

And if any one asserts that the violation of oaths and treaties, which was really the work of Pandarus, was brought

about by Athene and Zeus, or that the strife and contention of the gods was instigated by Themis and Zeus, he shall not have our approval; neither will we allow our young men to hear the words of Aeschylus, that:

God plants guilt among men when he desires utterly to destroy a house.

And if a poet writes of the sufferings of Niobe—the subject of the tragedy in which these iambic verses occur—or of the house of Pelops, or of the Trojan war or on any similar theme, either we must not permit him to say that these are the works of God, or if they are of God, he must devise some explanation of them such as we are seeking; he must say that God did what was just and right, and they were the better for being punished; but that those who are punished are miserable, and that God is the author of their misery—the poet is not to be permitted to say; though he may say that the wicked are miserable because they require to be punished, and are benefited by receiving punishment from God; but that God being good is the author of evil to any one is to be strenuously denied, and not to be said or sung or heard in verse or prose by any one whether old or young in any well-ordered commonwealth. Such a fiction is suicidal, ruinous, impious.

I agree with you, he replied, and am ready to give my assent to the law.

Let this then be one of our rules and principles concerning the gods, to which our poets and reciters will be expected to conform—that God is not the author of all things, but of good only.

That will do, he said.

And what do you think of a second principle? Shall I ask you whether God is a magician, and of a nature to appear insidiously now in one shape, and now in another—sometimes himself changing and passing into many forms, sometimes deceiving us with the semblance of such transformations; or is he one and the same immutably fixed in his own proper image?

I cannot answer you, he said, without more thought.

Well, I said; but if we suppose a change in anything, that change must be effected either by the thing itself, or by some other thing?

Most certainly.

And things which are at their best are also least liable to be altered or discomposed; for example, when healthiest and strongest, the human frame is least liable to be affected by meats and drinks, and the plant which is in the fullest vigour also suffers least from winds or the heat of the sun or any similar causes.

Of course.

And will not the bravest and wisest soul be least confused or deranged by any external influence? True.

And the same principle, as I should suppose, applies to all composite things—furniture, houses, garments; when good and well made, they are least altered by time and circumstances.

Very true.

Then everything which is good, whether made by art or nature, or both, is least liable to suffer change from without? True.

But surely God and the things of God are in every way perfect?

Of course they are.

Then he can hardly be compelled by external influence to take many shapes?

He cannot.

But may he not change and transform himself?

Clearly, he said, that must be the case if he is changed at all.

And will he then change himself for the better and fairer, or for the worse and more unsightly?

If he change at all he can only change for the worse, for we cannot suppose him to be deficient either in virtue or beauty.

Very true, Adeimantus; but then, would any one, whether God or man, desire to make himself worse?

Impossible.

Then it is impossible that God should ever be willing to change; being, as is supposed, the fairest and best that is conceivable, every god remains absolutely and for ever in his own form.

That necessarily follows, he said, in my judgment.

Then, I said, my dear friend, let none of the poets tell us that “The gods, taking the disguise of strangers from other lands, walk up and down cities in all sorts of forms;” [Odyssey 17.485 sq] and let no one slander Proteus and Thetis, neither let any one, either in tragedy or in any other kind of poetry, introduce Here disguised in the likeness of a priestess asking an alms “for the life-giving daughters of Inachus the river of Argos”; [Aeschylus, *Xanthians* fr. 159]

—let us have no more lies of that sort. Neither must we have mothers under the influence of the poets scaring their children with a bad version of these myths—telling how certain gods, as they say, ‘Go about by night in the likeness of so many strangers and in divers forms’; but let them take heed lest they make cowards of their children, and at the same time speak blasphemy against the gods.

Heaven forbid, he said.

But although the gods are themselves unchangeable, still by witchcraft and deception they may make us think that they appear in various forms?

Perhaps, he replied.

Well, but can you imagine that God will be willing to lie, whether in word or deed, or to put forth a phantom of himself?

I cannot say, he replied.

Do you not know, I said, that the true lie, if such an expression may be allowed, is hated of gods and men?

What do you mean? he said.

I mean that no one is willingly deceived in that which is the truest and highest part of himself, or about the truest and highest matters; there, above all, he is most afraid of a lie having possession of him.

Still, he said, I do not comprehend you.

The reason is, I replied, that you attribute some profound meaning to my words; but I am only saying that deception, or being deceived or uninformed about the highest realities in the highest part of themselves, which is the soul, and in that part of them to have and to hold the lie, is what mankind least like;—that, I say, is what they utterly detest.

There is nothing more hateful to them.

And, as I was just now remarking, this ignorance in the soul of him who is deceived may be called the true lie; for the lie in words is only a kind of imitation and shadowy image of a previous affection of the soul, not pure unadulterated falsehood. Am I not right?

Perfectly right.

The true lie is hated not only by the gods, but also by men?

Yes.

Whereas the lie in words is in certain cases useful and not hateful; in dealing with enemies—that would be an instance; or again, when those whom we call our friends in a fit of madness or illusion are going to do some harm, then it is useful and is a sort of medicine or preventive; also in the tales of mythology, of which we were just now speaking—because we do not know the truth about ancient times, we make falsehood as much like truth as we can, and so turn it to account.

Very true, he said.

But can any of these reasons apply to God? Can we suppose that he is ignorant of antiquity, and therefore has recourse to invention?

That would be ridiculous, he said.

Then the lying poet has no place in our idea of God?

I should say not.

Or perhaps he may tell a lie because he is afraid of enemies?

That is inconceivable.

But he may have friends who are senseless or mad?

But no mad or senseless person can be a friend of God.

Then no motive can be imagined why God should lie?

None whatever.

Then the superhuman and divine is absolutely incapable of falsehood?

Yes.

Then is God perfectly simple and true both in word and deed; he changes not; he deceives not, either by sign or word, by dream or waking vision.

Your thoughts, he said, are the reflection of my own.

You agree with me then, I said, that this is the second type or form in which we should write and speak about divine things. The gods are not magicians who transform themselves, neither do they deceive mankind in any way.

I grant that.

Then, although we are admirers of Homer, we do not admire the lying dream which Zeus sends to Agamemnon; neither will we praise the verses of Aeschylus in which Thetis says that Apollo at her nuptials

Was celebrating in song her fair progeny whose days were to be long, and to know no sickness. And when he had spoken of my lot as in all things blessed of heaven he raised a note of triumph and cheered my soul. And I thought that the word of Phoebus being divine and full of prophecy, would not fail. And now he himself who uttered the strain, he who was present at the banquet, and who said this—he it is who has slain my son.

These are the kind of sentiments about the gods which will arouse our anger; and he who utters them shall be refused a chorus; neither shall we allow teachers to make use of them in the instruction of the young, meaning, as we do, that our guardians, as far as men can be, should be true worshippers of the gods and like them.

I entirely agree, he said, in these principles, and promise to make them my laws.

Aristotle - On Virtue

Nicomachean Ethics

Book One

Part 1

Every art and every inquiry, and similarly every action and pursuit, is thought to aim at some good; and for this reason the good has rightly been declared to be that at which all things aim. But a certain difference is found among ends; some are activities, others are products apart from the activities that produce them. Where there are ends apart from the actions, it is the nature of the products to be better than the activities. Now, as there are many actions, arts, and sciences, their ends also are many; the end of the medical art is health, that of shipbuilding a vessel, that of strategy victory, that of economics wealth. But where such arts fall under a single capacity- as bridle-making and the other arts concerned with the equipment of horses fall under the art of riding, and this and every military action under strategy, in the same way other arts fall under yet others- in all of these the ends of the master arts are to be preferred to all the subordinate ends; for it is for the sake of the former that the latter are pursued. It makes no difference whether the activities themselves are the ends of the actions, or something else apart from the activities, as in the case of the sciences just mentioned.

Part 2

If, then, there is some end of the things we do, which we desire for its own sake (everything else being desired for the sake of this), and if we do not choose everything for the sake of something else (for at that rate the process would go on to infinity, so that our desire would be empty and vain), clearly this must be the good and the chief good. Will not the knowledge of it, then, have a great influence on life? Shall we not, like archers who have a mark to aim at, be more likely to hit upon what is right? If so, we must try, in outline at least, to determine what it is, and of which of the sciences or capacities it is the object. It would seem to belong to the most authoritative art and that which is most truly the master art. And politics appears to be of this nature; for it is this that ordains which of the sciences should be studied in a state, and which each class of citizens should learn and up to what point they should learn them; and we see even the most highly esteemed of capacities to fall under this, e.g. strategy, economics, rhetoric; now, since politics uses the rest of the sciences, and since, again, it legislates as to what we

are to do and what we are to abstain from, the end of this science must include those of the others, so that this end must be the good for man. For even if the end is the same for a single man and for a state, that of the state seems at all events something greater and more complete whether to attain or to preserve; though it is worth while to attain the end merely for one man, it is finer and more godlike to attain it for a nation or for city-states. These, then, are the ends at which our inquiry aims, since it is political science, in one sense of that term.

Part 3

Our discussion will be adequate if it has as much clearness as the subject-matter admits of, for precision is not to be sought for alike in all discussions, any more than in all the products of the crafts. Now fine and just actions, which political science investigates, admit of much variety and fluctuation of opinion, so that they may be thought to exist only by convention, and not by nature. And goods also give rise to a similar fluctuation because they bring harm to many people; for before now men have been undone by reason of their wealth, and others by reason of their courage. We must be content, then, in speaking of such subjects and with such premisses to indicate the truth roughly and in outline, and in speaking about things which are only for the most part true and with premisses of the same kind to reach conclusions that are no better. In the same spirit, therefore, should each type of statement be received; for it is the mark of an educated man to look for precision in each class of things just so far as the nature of the subject admits; it is evidently equally foolish to accept probable reasoning from a mathematician and to demand from a rhetorician scientific proofs.

Now each man judges well the things he knows, and of these he is a good judge. And so the man who has been educated in a subject is a good judge of that subject, and the man who has received an all-round education is a good judge in general. Hence a young man is not a proper hearer of lectures on political science; for he is inexperienced in the actions that occur in life, but its discussions start from these and are about these; and, further, since he tends to follow his passions, his study will be vain and unprofitable, because the end aimed at is not knowledge but action. And it makes no difference whether he is young in years or youthful in character; the defect does not depend on time, but on his living, and pursuing each successive object, as passion directs. For to such persons, as to the incontinent, knowledge brings no profit; but to those who desire and act in accordance with a rational principle knowledge about such matters will be of great benefit.

These remarks about the student, the sort of treatment to be expected, and the purpose of the inquiry, may be taken as our preface.

Part 4

Let us resume our inquiry and state, in view of the fact that all knowledge and every pursuit aims at some good, what it is that we say political science aims at and what is the highest of all goods achievable by action. Verbally there is very general agreement; for both the general run of men and people of superior refinement say that it is happiness, and identify living well and doing well with being happy; but with regard to what happiness is they differ, and the many do not give the same account as the wise. For the former think it is some plain and obvious thing, like pleasure, wealth, or honour; they differ, however, from one another- and often even the same man identifies it with different things, with health when he is ill, with wealth when he is poor; but, conscious of their ignorance, they admire those who proclaim some great ideal that is above their comprehension. Now some thought that apart from these many goods there is another which is self-subsistent and causes the goodness of all these as

well. To examine all the opinions that have been held were perhaps somewhat fruitless; enough to examine those that are most prevalent or that seem to be arguable.

Let us not fail to notice, however, that there is a difference between arguments from and those to the first principles. For Plato, too, was right in raising this question and asking, as he used to do, 'are we on the way from or to the first principles?' There is a difference, as there is in a race-course between the course from the judges to the turning-point and the way back. For, while we must begin with what is known, things are objects of knowledge in two senses- some to us, some without qualification. Presumably, then, we must begin with things known to us. Hence any one who is to listen intelligently to lectures about what is noble and just, and generally, about the subjects of political science must have been brought up in good habits. For the fact is the starting-point, and if this is sufficiently plain to him, he will not at the start need the reason as well; and the man who has been well brought up has or can easily get starting points. And as for him who neither has nor can get them, let him hear the words of Hesiod:

Far best is he who knows all things himself; Good, he that hearkens when men counsel right; But he who neither knows, nor lays to heart Another's wisdom, is a useless wight.

Part 5

Let us, however, resume our discussion from the point at which we digressed. To judge from the lives that men lead, most men, and men of the most vulgar type, seem (not without some ground) to identify the good, or happiness, with pleasure; which is the reason why they love the life of enjoyment. For there are, we may say, three prominent types of life- that just mentioned, the political, and thirdly the contemplative life. Now the mass of mankind are evidently quite slavish in their tastes, preferring a life suitable to beasts, but they get some ground for their view from the fact that many of those in high places share the tastes of Sardanapallus. A consideration of the prominent types of life shows that people of superior refinement and of active disposition identify happiness with honour; for this is, roughly speaking, the end of the political life. But it seems too superficial to be what we are looking for, since it is thought to depend on those who bestow honour rather than on him who receives it, but the good we divine to be something proper to a man and not easily taken from him. Further, men seem to pursue honour in order that they may be assured of their goodness; at least it is by men of practical wisdom that they seek to be honoured, and among those who know them, and on the ground of their virtue; clearly, then, according to them, at any rate, virtue is better. And perhaps one might even suppose this to be, rather than honour, the end of the political life. But even this appears somewhat incomplete; for possession of virtue seems actually compatible with being asleep, or with lifelong inactivity, and, further, with the greatest sufferings and misfortunes; but a man who was living so no one would call happy, unless he were maintaining a thesis at all costs. But enough of this; for the subject has been sufficiently treated even in the current discussions. Third comes the contemplative life, which we shall consider later.

The life of money-making is one undertaken under compulsion, and wealth is evidently not the good we are seeking; for it is merely useful and for the sake of something else. And so one might rather take the aforementioned objects to be ends; for they are loved for themselves. But it is evident that not even these are ends; yet many arguments have been thrown away in support of them. Let us leave this subject, then.

Part 6

We had perhaps better consider the universal good and discuss thoroughly what is meant by it, although such an inquiry is made an uphill one by the fact that the Forms have been introduced by friends of our own. Yet it would perhaps be thought to be better, indeed to be our duty, for the sake of maintaining the truth even to destroy what touches us closely, especially as we are philosophers or lovers of wisdom; for, while both are dear, piety requires us to honour truth above our friends.

The men who introduced this doctrine did not posit Ideas of classes within which they recognized priority and posteriority (which is the reason why they did not maintain the existence of an Idea embracing all numbers); but the term 'good' is used both in the category of substance and in that of quality and in that of relation, and that which is per se, i.e. substance, is prior in nature to the relative (for the latter is like an off shoot and accident of being); so that there could not be a common Idea set over all these goods. Further, since 'good' has as many senses as 'being' (for it is predicated both in the category of substance, as of God and of reason, and in quality, i.e. of the virtues, and in quantity, i.e. of that which is moderate, and in relation, i.e. of the useful, and in time, i.e. of the right opportunity, and in place, i.e. of the right locality and the like), clearly it cannot be something universally present in all cases and single; for then it could not have been predicated in all the categories but in one only. Further, since of the things answering to one Idea there is one science, there would have been one science of all the goods; but as it is there are many sciences even of the things that fall under one category, e.g. of opportunity, for opportunity in war is studied by strategics and in disease by medicine, and the moderate in food is studied by medicine and in exercise by the science of gymnastics. And one might ask the question, what in the world they mean by 'a thing itself', is (as is the case) in 'man himself' and in a particular man the account of man is one and the same. For in so far as they are man, they will in no respect differ; and if this is so, neither will 'good itself' and particular goods, in so far as they are good. But again it will not be good any the more for being eternal, since that which lasts long is no whiter than that which perishes in a day. The Pythagoreans seem to give a more plausible account of the good, when they place the one in the column of goods; and it is they that Speusippus seems to have followed.

But let us discuss these matters elsewhere; an objection to what we have said, however, may be discerned in the fact that the Platonists have not been speaking about all goods, and that the goods that are pursued and loved for themselves are called good by reference to a single Form, while those which tend to produce or to preserve these somehow or to prevent their contraries are called so by reference to these, and in a secondary sense. Clearly, then, goods must be spoken of in two ways, and some must be good in themselves, the others by reason of these. Let us separate, then, things good in themselves from things useful, and consider whether the former are called good by reference to a single Idea. What sort of goods would one call good in themselves? Is it those that are pursued even when isolated from others, such as intelligence, sight, and certain pleasures and honours? Certainly, if we pursue these also for the sake of something else, yet one would place them among things good in themselves. Or is nothing other than the Idea of good good in itself? In that case the Form will be empty. But if the things we have named are also things good in themselves, the account of the good will have to appear as something identical in them all, as that of whiteness is identical in snow and in white lead. But of honour, wisdom, and pleasure, just in respect of their goodness, the accounts are distinct and diverse. The good, therefore, is not some common element answering to one Idea.

But what then do we mean by the good? It is surely not like the things that only chance to have the same name. Are goods one, then, by being derived from one good or by all contributing to one good, or are they rather one

by analogy? Certainly as sight is in the body, so is reason in the soul, and so on in other cases. But perhaps these subjects had better be dismissed for the present; for perfect precision about them would be more appropriate to another branch of philosophy. And similarly with regard to the Idea; even if there is some one good which is universally predicable of goods or is capable of separate and independent existence, clearly it could not be achieved or attained by man; but we are now seeking something attainable. Perhaps, however, some one might think it worth while to recognize this with a view to the goods that are attainable and achievable; for having this as a sort of pattern we shall know better the goods that are good for us, and if we know them shall attain them. This argument has some plausibility, but seems to clash with the procedure of the sciences; for all of these, though they aim at some good and seek to supply the deficiency of it, leave on one side the knowledge of the good. Yet that all the exponents of the arts should be ignorant of, and should not even seek, so great an aid is not probable. It is hard, too, to see how a weaver or a carpenter will be benefited in regard to his own craft by knowing this 'good itself', or how the man who has viewed the Idea itself will be a better doctor or general thereby. For a doctor seems not even to study health in this way, but the health of man, or perhaps rather the health of a particular man; it is individuals that he is healing. But enough of these topics.

Part 7

Let us again return to the good we are seeking, and ask what it can be. It seems different in different actions and arts; it is different in medicine, in strategy, and in the other arts likewise. What then is the good of each? Surely that for whose sake everything else is done. In medicine this is health, in strategy victory, in architecture a house, in any other sphere something else, and in every action and pursuit the end; for it is for the sake of this that all men do whatever else they do. Therefore, if there is an end for all that we do, this will be the good achievable by action, and if there are more than one, these will be the goods achievable by action.

So the argument has by a different course reached the same point; but we must try to state this even more clearly. Since there are evidently more than one end, and we choose some of these (e.g. wealth, flutes, and in general instruments) for the sake of something else, clearly not all ends are final ends; but the chief good is evidently something final. Therefore, if there is only one final end, this will be what we are seeking, and if there are more than one, the most final of these will be what we are seeking.

Now we call that which is in itself worthy of pursuit more final than that which is worthy of pursuit for the sake of something else, and that which is never desirable for the sake of something else more final than the things that are desirable both in themselves and for the sake of that other thing, and therefore we call final without qualification that which is always desirable in itself and never for the sake of something else.

Now such a thing happiness, above all else, is held to be; for this we choose always for self and never for the sake of something else, but honour, pleasure, reason, and every virtue we choose indeed for themselves (for if nothing resulted from them we should still choose each of them), but we choose them also for the sake of happiness, judging that by means of them we shall be happy. Happiness, on the other hand, no one chooses for the sake of these, nor, in general, for anything other than itself.

From the point of view of self-sufficiency the same result seems to follow; for the final good is thought to be self-sufficient. Now by self-sufficient we do not mean that which is sufficient for a man by himself, for one who lives a solitary life, but also for parents, children, wife, and in general for his friends and fellow citizens, since man is born for citizenship. But some limit must be set to this; for if we extend our requirement to ancestors

and descendants and friends' friends we are in for an infinite series. Let us examine this question, however, on another occasion; the self-sufficient we now define as that which when isolated makes life desirable and lacking in nothing; and such we think happiness to be; and further we think it most desirable of all things, without being counted as one good thing among others- if it were so counted it would clearly be made more desirable by the addition of even the least of goods; for that which is added becomes an excess of goods, and of goods the greater is always more desirable. Happiness, then, is something final and self-sufficient, and is the end of action.

Presumably, however, to say that happiness is the chief good seems a platitude, and a clearer account of what it is still desired. This might perhaps be given, if we could first ascertain the function of man. For just as for a flute-player, a sculptor, or an artist, and, in general, for all things that have a function or activity, the good and the 'well' is thought to reside in the function, so would it seem to be for man, if he has a function. Have the carpenter, then, and the tanner certain functions or activities, and has man none? Is he born without a function? Or as eye, hand, foot, and in general each of the parts evidently has a function, may one lay it down that man similarly has a function apart from all these? What then can this be? Life seems to be common even to plants, but we are seeking what is peculiar to man. Let us exclude, therefore, the life of nutrition and growth. Next there would be a life of perception, but it also seems to be common even to the horse, the ox, and every animal. There remains, then, an active life of the element that has a rational principle; of this, one part has such a principle in the sense of being obedient to one, the other in the sense of possessing one and exercising thought. And, as 'life of the rational element' also has two meanings, we must state that life in the sense of activity is what we mean; for this seems to be the more proper sense of the term. Now if the function of man is an activity of soul which follows or implies a rational principle, and if we say 'so-and-so-and 'a good so-and-so' have a function which is the same in kind, e.g. a lyre, and a good lyre-player, and so without qualification in all cases, eminence in respect of goodness being added to the name of the function (for the function of a lyre-player is to play the lyre, and that of a good lyre-player is to do so well): if this is the case, and we state the function of man to be a certain kind of life, and this to be an activity or actions of the soul implying a rational principle, and the function of a good man to be the good and noble performance of these, and if any action is well performed when it is performed in accordance with the appropriate excellence: if this is the case, human good turns out to be activity of soul in accordance with virtue, and if there are more than one virtue, in accordance with the best and most complete.

But we must add 'in a complete life.' For one swallow does not make a summer, nor does one day; and so too one day, or a short time, does not make a man blessed and happy.

Let this serve as an outline of the good; for we must presumably first sketch it roughly, and then later fill in the details. But it would seem that any one is capable of carrying on and articulating what has once been well outlined, and that time is a good discoverer or partner in such a work; to which facts the advances of the arts are due; for any one can add what is lacking. And we must also remember what has been said before, and not look for precision in all things alike, but in each class of things such precision as accords with the subject-matter, and so much as is appropriate to the inquiry. For a carpenter and a geometer investigate the right angle in different ways; the former does so in so far as the right angle is useful for his work, while the latter inquires what it is or what sort of thing it is; for he is a spectator of the truth. We must act in the same way, then, in all other matters as well, that our main task may not be subordinated to minor questions. Nor must we demand the cause in all matters alike; it is enough in some cases that the fact be well established, as in the case of the first principles; the fact is the primary thing or first principle. Now of first principles we see some by induction, some by perception, some by a certain habituation, and others too in other ways. But each set of principles we must try to investigate in the

natural way, and we must take pains to state them definitely, since they have a great influence on what follows. For the beginning is thought to be more than half of the whole, and many of the questions we ask are cleared up by it.

Part 8

We must consider it, however, in the light not only of our conclusion and our premisses, but also of what is commonly said about it; for with a true view all the data harmonize, but with a false one the facts soon clash. Now goods have been divided into three classes, and some are described as external, others as relating to soul or to body; we call those that relate to soul most properly and truly goods, and psychical actions and activities we class as relating to soul. Therefore our account must be sound, at least according to this view, which is an old one and agreed on by philosophers. It is correct also in that we identify the end with certain actions and activities; for thus it falls among goods of the soul and not among external goods. Another belief which harmonizes with our account is that the happy man lives well and does well; for we have practically defined happiness as a sort of good life and good action. The characteristics that are looked for in happiness seem also, all of them, to belong to what we have defined happiness as being. For some identify happiness with virtue, some with practical wisdom, others with a kind of philosophic wisdom, others with these, or one of these, accompanied by pleasure or not without pleasure; while others include also external prosperity. Now some of these views have been held by many men and men of old, others by a few eminent persons; and it is not probable that either of these should be entirely mistaken, but rather that they should be right in at least some one respect or even in most respects.

With those who identify happiness with virtue or some one virtue our account is in harmony; for to virtue belongs virtuous activity. But it makes, perhaps, no small difference whether we place the chief good in possession or in use, in state of mind or in activity. For the state of mind may exist without producing any good result, as in a man who is asleep or in some other way quite inactive, but the activity cannot; for one who has the activity will of necessity be acting, and acting well. And as in the Olympic Games it is not the most beautiful and the strongest that are crowned but those who compete (for it is some of these that are victorious), so those who act win, and rightly win, the noble and good things in life.

Their life is also in itself pleasant. For pleasure is a state of soul, and to each man that which he is said to be a lover of is pleasant; e.g. not only is a horse pleasant to the lover of horses, and a spectacle to the lover of sights, but also in the same way just acts are pleasant to the lover of justice and in general virtuous acts to the lover of virtue. Now for most men their pleasures are in conflict with one another because these are not by nature pleasant, but the lovers of what is noble find pleasant the things that are by nature pleasant; and virtuous actions are such, so that these are pleasant for such men as well as in their own nature. Their life, therefore, has no further need of pleasure as a sort of adventitious charm, but has its pleasure in itself. For, besides what we have said, the man who does not rejoice in noble actions is not even good; since no one would call a man just who did not enjoy acting justly, nor any man liberal who did not enjoy liberal actions; and similarly in all other cases. If this is so, virtuous actions must be in themselves pleasant. But they are also good and noble, and have each of these attributes in the highest degree, since the good man judges well about these attributes; his judgement is such as we have described. Happiness then is the best, noblest, and most pleasant thing in the world, and these attributes are not severed as in the inscription at Delos-

Most noble is that which is justest, and best is health; But pleasantest is it to win what we love.

For all these properties belong to the best activities; and these, or one- the best- of these, we identify with happiness.

Yet evidently, as we said, it needs the external goods as well; for it is impossible, or not easy, to do noble acts without the proper equipment. In many actions we use friends and riches and political power as instruments; and there are some things the lack of which takes the lustre from happiness, as good birth, goodly children, beauty; for the man who is very ugly in appearance or ill-born or solitary and childless is not very likely to be happy, and perhaps a man would be still less likely if he had thoroughly bad children or friends or had lost good children or friends by death. As we said, then, happiness seems to need this sort of prosperity in addition; for which reason some identify happiness with good fortune, though others identify it with virtue.

Part 9

For this reason also the question is asked, whether happiness is to be acquired by learning or by habituation or some other sort of training, or comes in virtue of some divine providence or again by chance. Now if there is any gift of the gods to men, it is reasonable that happiness should be god-given, and most surely god-given of all human things inasmuch as it is the best. But this question would perhaps be more appropriate to another inquiry; happiness seems, however, even if it is not god-sent but comes as a result of virtue and some process of learning or training, to be among the most godlike things; for that which is the prize and end of virtue seems to be the best thing in the world, and something godlike and blessed.

It will also on this view be very generally shared; for all who are not maimed as regards their potentiality for virtue may win it by a certain kind of study and care. But if it is better to be happy thus than by chance, it is reasonable that the facts should be so, since everything that depends on the action of nature is by nature as good as it can be, and similarly everything that depends on art or any rational cause, and especially if it depends on the best of all causes. To entrust to chance what is greatest and most noble would be a very defective arrangement.

The answer to the question we are asking is plain also from the definition of happiness; for it has been said to be a virtuous activity of soul, of a certain kind. Of the remaining goods, some must necessarily pre-exist as conditions of happiness, and others are naturally co-operative and useful as instruments. And this will be found to agree with what we said at the outset; for we stated the end of political science to be the best end, and political science spends most of its pains on making the citizens to be of a certain character, viz. good and capable of noble acts.

It is natural, then, that we call neither ox nor horse nor any other of the animals happy; for none of them is capable of sharing in such activity. For this reason also a boy is not happy; for he is not yet capable of such acts, owing to his age; and boys who are called happy are being congratulated by reason of the hopes we have for them. For there is required, as we said, not only complete virtue but also a complete life, since many changes occur in life, and all manner of chances, and the most prosperous may fall into great misfortunes in old age, as is told of Priam in the Trojan Cycle; and one who has experienced such chances and has ended wretchedly no one calls happy.

Part 10

Must no one at all, then, be called happy while he lives; must we, as Solon says, see the end? Even if we are to lay down this doctrine, is it also the case that a man is happy when he is dead? Or is not this quite absurd, especially for us who say that happiness is an activity? But if we do not call the dead man happy, and if Solon does

not mean this, but that one can then safely call a man blessed as being at last beyond evils and misfortunes, this also affords matter for discussion; for both evil and good are thought to exist for a dead man, as much as for one who is alive but not aware of them; e.g. honours and dishonours and the good or bad fortunes of children and in general of descendants. And this also presents a problem; for though a man has lived happily up to old age and has had a death worthy of his life, many reverses may befall his descendants- some of them may be good and attain the life they deserve, while with others the opposite may be the case; and clearly too the degrees of relationship between them and their ancestors may vary indefinitely. It would be odd, then, if the dead man were to share in these changes and become at one time happy, at another wretched; while it would also be odd if the fortunes of the descendants did not for some time have some effect on the happiness of their ancestors.

But we must return to our first difficulty; for perhaps by a consideration of it our present problem might be solved. Now if we must see the end and only then call a man happy, not as being happy but as having been so before, surely this is a paradox, that when he is happy the attribute that belongs to him is not to be truly predicated of him because we do not wish to call living men happy, on account of the changes that may befall them, and because we have assumed happiness to be something permanent and by no means easily changed, while a single man may suffer many turns of fortune's wheel. For clearly if we were to keep pace with his fortunes, we should often call the same man happy and again wretched, making the happy man out to be chameleon and insecurely based. Or is this keeping pace with his fortunes quite wrong? Success or failure in life does not depend on these, but human life, as we said, needs these as mere additions, while virtuous activities or their opposites are what constitute happiness or the reverse.

The question we have now discussed confirms our definition. For no function of man has so much permanence as virtuous activities (these are thought to be more durable even than knowledge of the sciences), and of these themselves the most valuable are more durable because those who are happy spend their life most readily and most continuously in these; for this seems to be the reason why we do not forget them. The attribute in question, then, will belong to the happy man, and he will be happy throughout his life; for always, or by preference to everything else, he will be engaged in virtuous action and contemplation, and he will bear the chances of life most nobly and altogether decorously, if he is 'truly good' and 'four-square beyond reproach'.

Now many events happen by chance, and events differing in importance; small pieces of good fortune or of its opposite clearly do not weigh down the scales of life one way or the other, but a multitude of great events if they turn out well will make life happier (for not only are they themselves such as to add beauty to life, but the way a man deals with them may be noble and good), while if they turn out ill they crush and maim happiness; for they both bring pain with them and hinder many activities. Yet even in these nobility shines through, when a man bears with resignation many great misfortunes, not through insensibility to pain but through nobility and greatness of soul.

If activities are, as we said, what gives life its character, no happy man can become miserable; for he will never do the acts that are hateful and mean. For the man who is truly good and wise, we think, bears all the chances of life becomingly and always makes the best of circumstances, as a good general makes the best military use of the army at his command and a good shoemaker makes the best shoes out of the hides that are given him; and so with all other craftsmen. And if this is the case, the happy man can never become miserable; though he will not reach blessedness, if he meet with fortunes like those of Priam.

Nor, again, is he many-coloured and changeable; for neither will he be moved from his happy state easily or by

any ordinary misadventures, but only by many great ones, nor, if he has had many great misadventures, will he recover his happiness in a short time, but if at all, only in a long and complete one in which he has attained many splendid successes.

When then should we not say that he is happy who is active in accordance with complete virtue and is sufficiently equipped with external goods, not for some chance period but throughout a complete life? Or must we add ‘and who is destined to live thus and die as befits his life’? Certainly the future is obscure to us, while happiness, we claim, is an end and something in every way final. If so, we shall call happy those among living men in whom these conditions are, and are to be, fulfilled- but happy men. So much for these questions.

Part 11

That the fortunes of descendants and of all a man’s friends should not affect his happiness at all seems a very unfriendly doctrine, and one opposed to the opinions men hold; but since the events that happen are numerous and admit of all sorts of difference, and some come more near to us and others less so, it seems a long- nay, an infinite- task to discuss each in detail; a general outline will perhaps suffice. If, then, as some of a man’s own misadventures have a certain weight and influence on life while others are, as it were, lighter, so too there are differences among the misadventures of our friends taken as a whole, and it makes a difference whether the various suffering befall the living or the dead (much more even than whether lawless and terrible deeds are presupposed in a tragedy or done on the stage), this difference also must be taken into account; or rather, perhaps, the fact that doubt is felt whether the dead share in any good or evil. For it seems, from these considerations, that even if anything whether good or evil penetrates to them, it must be something weak and negligible, either in itself or for them, or if not, at least it must be such in degree and kind as not to make happy those who are not happy nor to take away their blessedness from those who are. The good or bad fortunes of friends, then, seem to have some effects on the dead, but effects of such a kind and degree as neither to make the happy unhappy nor to produce any other change of the kind.

Part 12

These questions having been definitely answered, let us consider whether happiness is among the things that are praised or rather among the things that are prized; for clearly it is not to be placed among potentialities. Everything that is praised seems to be praised because it is of a certain kind and is related somehow to something else; for we praise the just or brave man and in general both the good man and virtue itself because of the actions and functions involved, and we praise the strong man, the good runner, and so on, because he is of a certain kind and is related in a certain way to something good and important. This is clear also from the praises of the gods; for it seems absurd that the gods should be referred to our standard, but this is done because praise involves a reference, to something else. But if if praise is for things such as we have described, clearly what applies to the best things is not praise, but something greater and better, as is indeed obvious; for what we do to the gods and the most godlike of men is to call them blessed and happy. And so too with good things; no one praises happiness as he does justice, but rather calls it blessed, as being something more divine and better.

Eudoxus also seems to have been right in his method of advocating the supremacy of pleasure; he thought that the fact that, though a good, it is not praised indicated it to be better than the things that are praised, and that this is what God and the good are; for by reference to these all other things are judged. Praise is appropriate to virtue, for as a result of virtue men tend to do noble deeds, but encomia are bestowed on acts, whether of the body or of

the soul. But perhaps nicety in these matters is more proper to those who have made a study of encomia; to us it is clear from what has been said that happiness is among the things that are prized and perfect. It seems to be so also from the fact that it is a first principle; for it is for the sake of this that we all do all that we do, and the first principle and cause of goods is, we claim, something prized and divine.

Part 13

Since happiness is an activity of soul in accordance with perfect virtue, we must consider the nature of virtue; for perhaps we shall thus see better the nature of happiness. The true student of politics, too, is thought to have studied virtue above all things; for he wishes to make his fellow citizens good and obedient to the laws. As an example of this we have the lawgivers of the Cretans and the Spartans, and any others of the kind that there may have been. And if this inquiry belongs to political science, clearly the pursuit of it will be in accordance with our original plan. But clearly the virtue we must study is human virtue; for the good we were seeking was human good and the happiness human happiness. By human virtue we mean not that of the body but that of the soul; and happiness also we call an activity of soul. But if this is so, clearly the student of politics must know somehow the facts about soul, as the man who is to heal the eyes or the body as a whole must know about the eyes or the body; and all the more since politics is more prized and better than medicine; but even among doctors the best educated spend much labour on acquiring knowledge of the body. The student of politics, then, must study the soul, and must study it with these objects in view, and do so just to the extent which is sufficient for the questions we are discussing; for further precision is perhaps something more laborious than our purposes require.

Some things are said about it, adequately enough, even in the discussions outside our school, and we must use these; e.g. that one element in the soul is irrational and one has a rational principle. Whether these are separated as the parts of the body or of anything divisible are, or are distinct by definition but by nature inseparable, like convex and concave in the circumference of a circle, does not affect the present question.

Of the irrational element one division seems to be widely distributed, and vegetative in its nature, I mean that which causes nutrition and growth; for it is this kind of power of the soul that one must assign to all nurslings and to embryos, and this same power to fullgrown creatures; this is more reasonable than to assign some different power to them. Now the excellence of this seems to be common to all species and not specifically human; for this part or faculty seems to function most in sleep, while goodness and badness are least manifest in sleep (whence comes the saying that the happy are not better off than the wretched for half their lives; and this happens naturally enough, since sleep is an inactivity of the soul in that respect in which it is called good or bad), unless perhaps to a small extent some of the movements actually penetrate to the soul, and in this respect the dreams of good men are better than those of ordinary people. Enough of this subject, however; let us leave the nutritive faculty alone, since it has by its nature no share in human excellence.

There seems to be also another irrational element in the soul—one which in a sense, however, shares in a rational principle. For we praise the rational principle of the continent man and of the incontinent, and the part of their soul that has such a principle, since it urges them aright and towards the best objects; but there is found in them also another element naturally opposed to the rational principle, which fights against and resists that principle. For exactly as paralysed limbs when we intend to move them to the right turn on the contrary to the left, so is it with the soul; the impulses of incontinent people move in contrary directions. But while in the body we see that which moves astray, in the soul we do not. No doubt, however, we must none the less suppose that in the soul too there is something contrary to the rational principle, resisting and opposing it. In what sense it is distinct from the other

elements does not concern us. Now even this seems to have a share in a rational principle, as we said; at any rate in the continent man it obeys the rational principle and presumably in the temperate and brave man it is still more obedient; for in him it speaks, on all matters, with the same voice as the rational principle.

Therefore the irrational element also appears to be two-fold. For the vegetative element in no way shares in a rational principle, but the appetitive and in general the desiring element in a sense shares in it, in so far as it listens to and obeys it; this is the sense in which we speak of ‘taking account’ of one’s father or one’s friends, not that in which we speak of ‘accounting for a mathematical property. That the irrational element is in some sense persuaded by a rational principle is indicated also by the giving of advice and by all reproof and exhortation. And if this element also must be said to have a rational principle, that which has a rational principle (as well as that which has not) will be twofold, one subdivision having it in the strict sense and in itself, and the other having a tendency to obey as one does one’s father.

Virtue too is distinguished into kinds in accordance with this difference; for we say that some of the virtues are intellectual and others moral, philosophic wisdom and understanding and practical wisdom being intellectual, liberality and temperance moral. For in speaking about a man’s character we do not say that he is wise or has understanding but that he is good-tempered or temperate; yet we praise the wise man also with respect to his state of mind; and of states of mind we call those which merit praise virtues.

Book Two

Part 1

Virtue, then, being of two kinds, intellectual and moral, intellectual virtue in the main owes both its birth and its growth to teaching (for which reason it requires experience and time), while moral virtue comes about as a result of habit, whence also its name (ethike) is one that is formed by a slight variation from the word ethos (habit). From this it is also plain that none of the moral virtues arises in us by nature; for nothing that exists by nature can form a habit contrary to its nature. For instance the stone which by nature moves downwards cannot be habituated to move upwards, not even if one tries to train it by throwing it up ten thousand times; nor can fire be habituated to move downwards, nor can anything else that by nature behaves in one way be trained to behave in another. Neither by nature, then, nor contrary to nature do the virtues arise in us; rather we are adapted by nature to receive them, and are made perfect by habit.

Again, of all the things that come to us by nature we first acquire the potentiality and later exhibit the activity (this is plain in the case of the senses; for it was not by often seeing or often hearing that we got these senses, but on the contrary we had them before we used them, and did not come to have them by using them); but the virtues we get by first exercising them, as also happens in the case of the arts as well. For the things we have to learn before we can do them, we learn by doing them, e.g. men become builders by building and lyreplayers by playing the lyre; so too we become just by doing just acts, temperate by doing temperate acts, brave by doing brave acts.

This is confirmed by what happens in states; for legislators make the citizens good by forming habits in them, and this is the wish of every legislator, and those who do not effect it miss their mark, and it is in this that a good constitution differs from a bad one.

Again, it is from the same causes and by the same means that every virtue is both produced and destroyed, and

similarly every art; for it is from playing the lyre that both good and bad lyre-players are produced. And the corresponding statement is true of builders and of all the rest; men will be good or bad builders as a result of building well or badly. For if this were not so, there would have been no need of a teacher, but all men would have been born good or bad at their craft. This, then, is the case with the virtues also; by doing the acts that we do in our transactions with other men we become just or unjust, and by doing the acts that we do in the presence of danger, and being habituated to feel fear or confidence, we become brave or cowardly. The same is true of appetites and feelings of anger; some men become temperate and good-tempered, others self-indulgent and irascible, by behaving in one way or the other in the appropriate circumstances. Thus, in one word, states of character arise out of like activities. This is why the activities we exhibit must be of a certain kind; it is because the states of character correspond to the differences between these. It makes no small difference, then, whether we form habits of one kind or of another from our very youth; it makes a very great difference, or rather all the difference.

Part 2

Since, then, the present inquiry does not aim at theoretical knowledge like the others (for we are inquiring not in order to know what virtue is, but in order to become good, since otherwise our inquiry would have been of no use), we must examine the nature of actions, namely how we ought to do them; for these determine also the nature of the states of character that are produced, as we have said. Now, that we must act according to the right rule is a common principle and must be assumed—it will be discussed later, i.e. both what the right rule is, and how it is related to the other virtues. But this must be agreed upon beforehand, that the whole account of matters of conduct must be given in outline and not precisely, as we said at the very beginning that the accounts we demand must be in accordance with the subject-matter; matters concerned with conduct and questions of what is good for us have no fixity, any more than matters of health. The general account being of this nature, the account of particular cases is yet more lacking in exactness; for they do not fall under any art or precept but the agents themselves must in each case consider what is appropriate to the occasion, as happens also in the art of medicine or of navigation.

But though our present account is of this nature we must give what help we can. First, then, let us consider this, that it is the nature of such things to be destroyed by defect and excess, as we see in the case of strength and of health (for to gain light on things imperceptible we must use the evidence of sensible things); both excessive and defective exercise destroys the strength, and similarly drink or food which is above or below a certain amount destroys the health, while that which is proportionate both produces and increases and preserves it. So too is it, then, in the case of temperance and courage and the other virtues. For the man who flies from and fears everything and does not stand his ground against anything becomes a coward, and the man who fears nothing at all but goes to meet every danger becomes rash; and similarly the man who indulges in every pleasure and abstains from none becomes self-indulgent, while the man who shuns every pleasure, as boors do, becomes in a way insensible; temperance and courage, then, are destroyed by excess and defect, and preserved by the mean.

But not only are the sources and causes of their origination and growth the same as those of their destruction, but also the sphere of their actualization will be the same; for this is also true of the things which are more evident to sense, e.g. of strength; it is produced by taking much food and undergoing much exertion, and it is the strong man that will be most able to do these things. So too is it with the virtues; by abstaining from pleasures we become temperate, and it is when we have become so that we are most able to abstain from them; and similarly too in the case of courage; for by being habituated to despise things that are terrible and to stand our ground against them we become brave, and it is when we have become so that we shall be most able to stand our ground against them.

Part 3

We must take as a sign of states of character the pleasure or pain that ensues on acts; for the man who abstains from bodily pleasures and delights in this very fact is temperate, while the man who is annoyed at it is self-indulgent, and he who stands his ground against things that are terrible and delights in this or at least is not pained is brave, while the man who is pained is a coward. For moral excellence is concerned with pleasures and pains; it is on account of the pleasure that we do bad things, and on account of the pain that we abstain from noble ones. Hence we ought to have been brought up in a particular way from our very youth, as Plato says, so as both to delight in and to be pained by the things that we ought; for this is the right education.

Again, if the virtues are concerned with actions and passions, and every passion and every action is accompanied by pleasure and pain, for this reason also virtue will be concerned with pleasures and pains. This is indicated also by the fact that punishment is inflicted by these means; for it is a kind of cure, and it is the nature of cures to be effected by contraries.

Again, as we said but lately, every state of soul has a nature relative to and concerned with the kind of things by which it tends to be made worse or better; but it is by reason of pleasures and pains that men become bad, by pursuing and avoiding these- either the pleasures and pains they ought not or when they ought not or as they ought not, or by going wrong in one of the other similar ways that may be distinguished. Hence men even define the virtues as certain states of impassivity and rest; not well, however, because they speak absolutely, and do not say 'as one ought' and 'as one ought not' and 'when one ought or ought not', and the other things that may be added. We assume, then, that this kind of excellence tends to do what is best with regard to pleasures and pains, and vice does the contrary.

The following facts also may show us that virtue and vice are concerned with these same things. There being three objects of choice and three of avoidance, the noble, the advantageous, the pleasant, and their contraries, the base, the injurious, the painful, about all of these the good man tends to go right and the bad man to go wrong, and especially about pleasure; for this is common to the animals, and also it accompanies all objects of choice; for even the noble and the advantageous appear pleasant.

Again, it has grown up with us all from our infancy; this is why it is difficult to rub off this passion, engrained as it is in our life. And we measure even our actions, some of us more and others less, by the rule of pleasure and pain. For this reason, then, our whole inquiry must be about these; for to feel delight and pain rightly or wrongly has no small effect on our actions.

Again, it is harder to fight with pleasure than with anger, to use Heraclitus' phrase', but both art and virtue are always concerned with what is harder; for even the good is better when it is harder. Therefore for this reason also the whole concern both of virtue and of political science is with pleasures and pains; for the man who uses these well will be good, he who uses them badly bad.

That virtue, then, is concerned with pleasures and pains, and that by the acts from which it arises it is both increased and, if they are done differently, destroyed, and that the acts from which it arose are those in which it actualizes itself- let this be taken as said.

Part 4

The question might be asked,; what we mean by saying that we must become just by doing just acts, and temperate by doing temperate acts; for if men do just and temperate acts, they are already just and temperate, exactly as, if they do what is in accordance with the laws of grammar and of music, they are grammarians and musicians.

Or is this not true even of the arts? It is possible to do something that is in accordance with the laws of grammar, either by chance or at the suggestion of another. A man will be a grammarian, then, only when he has both done something grammatical and done it grammatically; and this means doing it in accordance with the grammatical knowledge in himself.

Again, the case of the arts and that of the virtues are not similar; for the products of the arts have their goodness in themselves, so that it is enough that they should have a certain character, but if the acts that are in accordance with the virtues have themselves a certain character it does not follow that they are done justly or temperately. The agent also must be in a certain condition when he does them; in the first place he must have knowledge, secondly he must choose the acts, and choose them for their own sakes, and thirdly his action must proceed from a firm and unchangeable character. These are not reckoned in as conditions of the possession of the arts, except the bare knowledge; but as a condition of the possession of the virtues knowledge has little or no weight, while the other conditions count not for a little but for everything, i.e. the very conditions which result from often doing just and temperate acts.

Actions, then, are called just and temperate when they are such as the just or the temperate man would do; but it is not the man who does these that is just and temperate, but the man who also does them as just and temperate men do them. It is well said, then, that it is by doing just acts that the just man is produced, and by doing temperate acts the temperate man; without doing these no one would have even a prospect of becoming good.

But most people do not do these, but take refuge in theory and think they are being philosophers and will become good in this way, behaving somewhat like patients who listen attentively to their doctors, but do none of the things they are ordered to do. As the latter will not be made well in body by such a course of treatment, the former will not be made well in soul by such a course of philosophy.

Part 5

Next we must consider what virtue is. Since things that are found in the soul are of three kinds- passions, faculties, states of character, virtue must be one of these. By passions I mean appetite, anger, fear, confidence, envy, joy, friendly feeling, hatred, longing, emulation, pity, and in general the feelings that are accompanied by pleasure or pain; by faculties the things in virtue of which we are said to be capable of feeling these, e.g. of becoming angry or being pained or feeling pity; by states of character the things in virtue of which we stand well or badly with reference to the passions, e.g. with reference to anger we stand badly if we feel it violently or too weakly, and well if we feel it moderately; and similarly with reference to the other passions.

Now neither the virtues nor the vices are passions, because we are not called good or bad on the ground of our passions, but are so called on the ground of our virtues and our vices, and because we are neither praised nor blamed for our passions (for the man who feels fear or anger is not praised, nor is the man who simply feels anger blamed, but the man who feels it in a certain way), but for our virtues and our vices we are praised or blamed.

Again, we feel anger and fear without choice, but the virtues are modes of choice or involve choice. Further, in respect of the passions we are said to be moved, but in respect of the virtues and the vices we are said not to be moved but to be disposed in a particular way.

For these reasons also they are not faculties; for we are neither called good nor bad, nor praised nor blamed, for the simple capacity of feeling the passions; again, we have the faculties by nature, but we are not made good or bad by nature; we have spoken of this before. If, then, the virtues are neither passions nor faculties, all that remains is that they should be states of character.

Thus we have stated what virtue is in respect of its genus.

Part 6

We must, however, not only describe virtue as a state of character, but also say what sort of state it is. We may remark, then, that every virtue or excellence both brings into good condition the thing of which it is the excellence and makes the work of that thing be done well; e.g. the excellence of the eye makes both the eye and its work good; for it is by the excellence of the eye that we see well. Similarly the excellence of the horse makes a horse both good in itself and good at running and at carrying its rider and at awaiting the attack of the enemy. Therefore, if this is true in every case, the virtue of man also will be the state of character which makes a man good and which makes him do his own work well.

How this is to happen we have stated already, but it will be made plain also by the following consideration of the specific nature of virtue. In everything that is continuous and divisible it is possible to take more, less, or an equal amount, and that either in terms of the thing itself or relatively to us; and the equal is an intermediate between excess and defect. By the intermediate in the object I mean that which is equidistant from each of the extremes, which is one and the same for all men; by the intermediate relatively to us that which is neither too much nor too little- and this is not one, nor the same for all. For instance, if ten is many and two is few, six is the intermediate, taken in terms of the object; for it exceeds and is exceeded by an equal amount; this is intermediate according to arithmetical proportion. But the intermediate relatively to us is not to be taken so; if ten pounds are too much for a particular person to eat and two too little, it does not follow that the trainer will order six pounds; for this also is perhaps too much for the person who is to take it, or too little- too little for Milo, too much for the beginner in athletic exercises. The same is true of running and wrestling. Thus a master of any art avoids excess and defect, but seeks the intermediate and chooses this- the intermediate not in the object but relatively to us.

If it is thus, then, that every art does its work well- by looking to the intermediate and judging its works by this standard (so that we often say of good works of art that it is not possible either to take away or to add anything, implying that excess and defect destroy the goodness of works of art, while the mean preserves it; and good artists, as we say, look to this in their work), and if, further, virtue is more exact and better than any art, as nature also is, then virtue must have the quality of aiming at the intermediate. I mean moral virtue; for it is this that is concerned with passions and actions, and in these there is excess, defect, and the intermediate. For instance, both fear and confidence and appetite and anger and pity and in general pleasure and pain may be felt both too much and too little, and in both cases not well; but to feel them at the right times, with reference to the right objects, towards the right people, with the right motive, and in the right way, is what is both intermediate and best, and this is characteristic of virtue. Similarly with regard to actions also there is excess, defect, and the intermediate. Now virtue is concerned with passions and actions, in which excess is a form of failure, and so is defect, while the

intermediate is praised and is a form of success; and being praised and being successful are both characteristics of virtue. Therefore virtue is a kind of mean, since, as we have seen, it aims at what is intermediate.

Again, it is possible to fail in many ways (for evil belongs to the class of the unlimited, as the Pythagoreans conjectured, and good to that of the limited), while to succeed is possible only in one way (for which reason also one is easy and the other difficult- to miss the mark easy, to hit it difficult); for these reasons also, then, excess and defect are characteristic of vice, and the mean of virtue;

For men are good in but one way, but bad in many.

Virtue, then, is a state of character concerned with choice, lying in a mean, i.e. the mean relative to us, this being determined by a rational principle, and by that principle by which the man of practical wisdom would determine it. Now it is a mean between two vices, that which depends on excess and that which depends on defect; and again it is a mean because the vices respectively fall short of or exceed what is right in both passions and actions, while virtue both finds and chooses that which is intermediate. Hence in respect of its substance and the definition which states its essence virtue is a mean, with regard to what is best and right an extreme.

But not every action nor every passion admits of a mean; for some have names that already imply badness, e.g. spite, shamelessness, envy, and in the case of actions adultery, theft, murder; for all of these and suchlike things imply by their names that they are themselves bad, and not the excesses or deficiencies of them. It is not possible, then, ever to be right with regard to them; one must always be wrong. Nor does goodness or badness with regard to such things depend on committing adultery with the right woman, at the right time, and in the right way, but simply to do any of them is to go wrong. It would be equally absurd, then, to expect that in unjust, cowardly, and voluptuous action there should be a mean, an excess, and a deficiency; for at that rate there would be a mean of excess and of deficiency, an excess of excess, and a deficiency of deficiency. But as there is no excess and deficiency of temperance and courage because what is intermediate is in a sense an extreme, so too of the actions we have mentioned there is no mean nor any excess and deficiency, but however they are done they are wrong; for in general there is neither a mean of excess and deficiency, nor excess and deficiency of a mean.

Part 7

We must, however, not only make this general statement, but also apply it to the individual facts. For among statements about conduct those which are general apply more widely, but those which are particular are more genuine, since conduct has to do with individual cases, and our statements must harmonize with the facts in these cases. We may take these cases from our table. With regard to feelings of fear and confidence courage is the mean; of the people who exceed, he who exceeds in fearlessness has no name (many of the states have no name), while the man who exceeds in confidence is rash, and he who exceeds in fear and falls short in confidence is a coward. With regard to pleasures and pains- not all of them, and not so much with regard to the pains- the mean is temperance, the excess self-indulgence. Persons deficient with regard to the pleasures are not often found; hence such persons also have received no name. But let us call them 'insensible'.

With regard to giving and taking of money the mean is liberality, the excess and the defect prodigality and meanness. In these actions people exceed and fall short in contrary ways; the prodigal exceeds in spending and falls short in taking, while the mean man exceeds in taking and falls short in spending. (At present we are giving a mere outline or summary, and are satisfied with this; later these states will be more exactly determined.) With

regard to money there are also other dispositions- a mean, magnificence (for the magnificent man differs from the liberal man; the former deals with large sums, the latter with small ones), an excess, tastelessness and vulgarity, and a deficiency, niggardliness; these differ from the states opposed to liberality, and the mode of their difference will be stated later. With regard to honour and dishonour the mean is proper pride, the excess is known as a sort of 'empty vanity', and the deficiency is undue humility; and as we said liberality was related to magnificence, differing from it by dealing with small sums, so there is a state similarly related to proper pride, being concerned with small honours while that is concerned with great. For it is possible to desire honour as one ought, and more than one ought, and less, and the man who exceeds in his desires is called ambitious, the man who falls short unambitious, while the intermediate person has no name. The dispositions also are nameless, except that that of the ambitious man is called ambition. Hence the people who are at the extremes lay claim to the middle place; and we ourselves sometimes call the intermediate person ambitious and sometimes unambitious, and sometimes praise the ambitious man and sometimes the unambitious. The reason of our doing this will be stated in what follows; but now let us speak of the remaining states according to the method which has been indicated.

With regard to anger also there is an excess, a deficiency, and a mean. Although they can scarcely be said to have names, yet since we call the intermediate person good-tempered let us call the mean good temper; of the persons at the extremes let the one who exceeds be called irascible, and his vice irascibility, and the man who falls short an inirascible sort of person, and the deficiency inirascibility.

There are also three other means, which have a certain likeness to one another, but differ from one another: for they are all concerned with intercourse in words and actions, but differ in that one is concerned with truth in this sphere, the other two with pleasantness; and of this one kind is exhibited in giving amusement, the other in all the circumstances of life. We must therefore speak of these too, that we may the better see that in all things the mean is praise-worthy, and the extremes neither praiseworthy nor right, but worthy of blame. Now most of these states also have no names, but we must try, as in the other cases, to invent names ourselves so that we may be clear and easy to follow. With regard to truth, then, the intermediate is a truthful sort of person and the mean may be called truthfulness, while the pretence which exaggerates is boastfulness and the person characterized by it a boaster, and that which understates is mock modesty and the person characterized by it mock-modest. With regard to pleasantness in the giving of amusement the intermediate person is ready-witted and the disposition ready wit, the excess is buffoonery and the person characterized by it a buffoon, while the man who falls short is a sort of boor and his state is boorishness. With regard to the remaining kind of pleasantness, that which is exhibited in life in general, the man who is pleasant in the right way is friendly and the mean is friendliness, while the man who exceeds is an obsequious person if he has no end in view, a flatterer if he is aiming at his own advantage, and the man who falls short and is unpleasant in all circumstances is a quarrelsome and surly sort of person.

There are also means in the passions and concerned with the passions; since shame is not a virtue, and yet praise is extended to the modest man. For even in these matters one man is said to be intermediate, and another to exceed, as for instance the bashful man who is ashamed of everything; while he who falls short or is not ashamed of anything at all is shameless, and the intermediate person is modest. Righteous indignation is a mean between envy and spite, and these states are concerned with the pain and pleasure that are felt at the fortunes of our neighbours; the man who is characterized by righteous indignation is pained at undeserved good fortune, the envious man, going beyond him, is pained at all good fortune, and the spiteful man falls so far short of being pained that he even rejoices. But these states there will be an opportunity of describing elsewhere; with regard to justice, since it has

not one simple meaning, we shall, after describing the other states, distinguish its two kinds and say how each of them is a mean; and similarly we shall treat also of the rational virtues.

Part 8

There are three kinds of disposition, then, two of them vices, involving excess and deficiency respectively, and one a virtue, viz. the mean, and all are in a sense opposed to all; for the extreme states are contrary both to the intermediate state and to each other, and the intermediate to the extremes; as the equal is greater relatively to the less, less relatively to the greater, so the middle states are excessive relatively to the deficiencies, deficient relatively to the excesses, both in passions and in actions. For the brave man appears rash relatively to the coward, and cowardly relatively to the rash man; and similarly the temperate man appears self-indulgent relatively to the insensible man, insensible relatively to the self-indulgent, and the liberal man prodigal relatively to the mean man, mean relatively to the prodigal. Hence also the people at the extremes push the intermediate man each over to the other, and the brave man is called rash by the coward, cowardly by the rash man, and correspondingly in the other cases.

These states being thus opposed to one another, the greatest contrariety is that of the extremes to each other, rather than to the intermediate; for these are further from each other than from the intermediate, as the great is further from the small and the small from the great than both are from the equal. Again, to the intermediate some extremes show a certain likeness, as that of rashness to courage and that of prodigality to liberality; but the extremes show the greatest unlikeness to each other; now contraries are defined as the things that are furthest from each other, so that things that are further apart are more contrary.

To the mean in some cases the deficiency, in some the excess is more opposed; e.g. it is not rashness, which is an excess, but cowardice, which is a deficiency, that is more opposed to courage, and not insensibility, which is a deficiency, but self-indulgence, which is an excess, that is more opposed to temperance. This happens from two reasons, one being drawn from the thing itself; for because one extreme is nearer and liker to the intermediate, we oppose not this but rather its contrary to the intermediate. E.g. since rashness is thought liker and nearer to courage, and cowardice more unlike, we oppose rather the latter to courage; for things that are further from the intermediate are thought more contrary to it. This, then, is one cause, drawn from the thing itself; another is drawn from ourselves; for the things to which we ourselves more naturally tend seem more contrary to the intermediate. For instance, we ourselves tend more naturally to pleasures, and hence are more easily carried away towards self-indulgence than towards propriety. We describe as contrary to the mean, then, rather the directions in which we more often go to great lengths; and therefore self-indulgence, which is an excess, is the more contrary to temperance.

Part 9

That moral virtue is a mean, then, and in what sense it is so, and that it is a mean between two vices, the one involving excess, the other deficiency, and that it is such because its character is to aim at what is intermediate in passions and in actions, has been sufficiently stated. Hence also it is no easy task to be good. For in everything it is no easy task to find the middle, e.g. to find the middle of a circle is not for every one but for him who knows; so, too, any one can get angry- that is easy- or give or spend money; but to do this to the right person, to the right extent, at the right time, with the right motive, and in the right way, that is not for every one, nor is it easy; wherefore goodness is both rare and laudable and noble.

Hence he who aims at the intermediate must first depart from what is the more contrary to it, as Calypso advises—
Hold the ship out beyond that surf and spray.

For of the extremes one is more erroneous, one less so; therefore, since to hit the mean is hard in the extreme, we must as a second best, as people say, take the least of the evils; and this will be done best in the way we describe. But we must consider the things towards which we ourselves also are easily carried away; for some of us tend to one thing, some to another; and this will be recognizable from the pleasure and the pain we feel. We must drag ourselves away to the contrary extreme; for we shall get into the intermediate state by drawing well away from error, as people do in straightening sticks that are bent.

Now in everything the pleasant or pleasure is most to be guarded against; for we do not judge it impartially. We ought, then, to feel towards pleasure as the elders of the people felt towards Helen, and in all circumstances repeat their saying; for if we dismiss pleasure thus we are less likely to go astray. It is by doing this, then, (to sum the matter up) that we shall best be able to hit the mean.

But this is no doubt difficult, and especially in individual cases; for or is not easy to determine both how and with whom and on what provocation and how long one should be angry; for we too sometimes praise those who fall short and call them

good-tempered, but sometimes we praise those who get angry and call them manly. The man, however, who deviates little from goodness is not blamed, whether he do so in the direction of the more or of the less, but only the man who deviates more widely; for he does not fail to be noticed. But up to what point and to what extent a man must deviate before he becomes blameworthy it is not easy to determine by reasoning, any more than anything else that is perceived by the senses; such things depend on particular facts, and the decision rests with perception. So much, then, is plain, that the intermediate state is in all things to be praised, but that we must incline sometimes towards the excess, sometimes towards the deficiency; for so shall we most easily hit the mean and what is right.

David Hume – On the Foundations of Morals

An Enquiry Concerning the Principles of Morals

SECTION 1. OF THE GENERAL PRINCIPLES OF MORALS.

DISPUTES with men, pertinaciously obstinate in their principles, are, of all others, the most irksome; except, perhaps, those with persons, entirely disingenuous, who really do not believe the opinions they defend, but engage in the controversy, from affectation, from a spirit of opposition, or from a desire of showing wit and ingenuity, superior to the rest of mankind. The same blind adherence to their own arguments is to be expected in both; the same contempt of their antagonists; and the same passionate vehemence, in enforcing sophistry and falsehood. And as reasoning is not the source, whence either disputant derives his tenets; it is in vain to expect, that any logic, which speaks not to the affections, will ever engage him to embrace sounder principles.

Those who have denied the reality of moral distinctions, may be ranked among the disingenuous disputants; nor is it conceivable, that any human creature could ever seriously believe, that all characters and actions were alike entitled to the affection and regard of everyone. The difference, which nature has placed between one man and another, is so wide, and this difference is still so much farther widened, by education, example, and habit, that, where the opposite extremes come at once under our apprehension, there is no scepticism so scrupulous, and scarce any assurance so determined, as absolutely to deny all distinction between them. Let a man's insensibility be ever so great, he must often be touched with the images of Right and Wrong; and let his prejudices be ever so obstinate, he must observe, that others are susceptible of like impressions. The only way, therefore, of converting an antagonist of this kind, is to leave him to himself. For, finding that nobody keeps up the controversy with him, it is probable he will, at last, of himself, from mere weariness, come over to the side of common sense and reason.

There has been a controversy started of late, much better worth examination, concerning the general foundation of Morals; whether they be derived from Reason, or from Sentiment; whether we attain the knowledge of them by a chain of argument and induction, or by an immediate feeling and finer internal sense; whether, like all sound judgement of truth and falsehood, they should be the same to every rational intelligent being; or whether, like the perception of beauty and deformity, they be founded entirely on the particular fabric and constitution of the human species.

The ancient philosophers, though they often affirm, that virtue is nothing but conformity to reason, yet, in general, seem to consider morals as deriving their existence from taste and sentiment. On the other hand, our modern enquirers, though they also talk much of the beauty of virtue, and deformity of vice, yet have commonly endeavoured to account for these distinctions by metaphysical reasonings, and by deductions from the most abstract principles of the understanding. Such confusion reigned in these subjects, that an opposition of the greatest consequence could prevail between one system and another, and even in the parts of almost each individual system; and yet nobody, till very lately, was ever sensible of it. The elegant Lord Shaftesbury, who first gave occasion to remark this distinction, and who, in general, adhered to the principles of the ancients, is not, himself, entirely free from the same confusion.

It must be acknowledged, that both sides of the question are susceptible of specious arguments. Moral distinctions, it may be said, are discernible by pure reason: else, whence the many disputes that reign in common life, as well as in philosophy, with regard to this subject: the long chain of proofs often produced on both sides; the examples cited, the authorities appealed to, the analogies employed, the fallacies detected, the inferences drawn, and the several conclusions adjusted to their proper principles. Truth is disputable; not taste: what exists in the nature of things is the standard of our judgement; what each man feels within himself is the standard of sentiment. Propositions in geometry may be proved, systems in physics may be controverted; but the harmony of verse, the tenderness of passion, the brilliancy of wit, must give immediate pleasure. No man reasons concerning another's beauty; but frequently concerning the justice or injustice of his actions. In every criminal trial the first object of the prisoner is to disprove the facts alleged, and deny the actions imputed to him: the second to prove, that, even if these actions were real, they might be justified, as innocent and lawful. It is confessedly by deductions of the understanding, that the first point is ascertained: how can we suppose that a different faculty of the mind is employed in fixing the other? On the other hand, those who would resolve all moral determinations into sentiment, may endeavour to show, that it is impossible for reason ever to draw conclusions of this nature. To virtue, say they, it belongs to be amiable, and vice odious. This forms their very nature or essence. But can reason or argumentation distribute these different epithets to any subjects, and pronounce beforehand, that this must produce love, and that hatred? Or what other reason can we ever assign for these affections, but the original fabric and formation of the human mind, which is naturally adapted to receive them?

The end of all moral speculations is to teach us our duty; and, by proper representations of the deformity of vice and beauty of virtue, beget correspondent habits, and engage us to avoid the one, and embrace the other. But is this ever to be expected from inferences and conclusions of the understanding, which of themselves have no hold of the affections or set in motion the active powers of men? They discover truths: but where the truths which they discover are indifferent, and beget no desire or aversion, they can have no influence on conduct and behaviour. What is honourable, what is fair, what is becoming, what is noble, what is generous, takes possession of the heart, and animates us to embrace and maintain it. What is intelligible, what is evident, what is probable, what is true, procures only the cool assent of the understanding; and gratifying a speculative curiosity, puts an end to our researches.

Extinguish all the warm feelings and prepossessions in favour of virtue, and all disgust or aversion to vice: render men totally indifferent towards these distinctions; and morality is no longer a practical study, nor has any tendency to regulate our lives and actions.

These arguments on each side (and many more might be produced) are so plausible, that I am apt to suspect, they may, the one as well as the other, be solid and satisfactory, and that reason and sentiment concur in almost

all moral determinations and conclusions. The final sentence, it is probable, which pronounces characters and actions amiable or odious, praise-worthy or blameable; that which stamps on them the mark of honour or infamy, approbation or censure; that which renders morality an active principle and constitutes virtue our happiness, and vice our misery; it is probable, I say, that this final sentence depends on some internal sense or feeling, which nature has made universal in the whole species. For what else can have an influence of this nature? But in order to pave the way for such a sentiment, and give a proper discernment of its object, it is often necessary, we find, that much reasoning should precede, that nice distinctions be made, just conclusions drawn, distant comparisons formed, complicated relations examined, and general facts fixed and ascertained. Some species of beauty, especially the natural kinds, on their first appearance, command our affection and approbation; and where they fail of this effect, it is impossible for any reasoning to redress their influence, or adapt them better to our taste and sentiment. But in many orders of beauty, particularly those of the finer arts, it is requisite to employ much reasoning, in order to feel the proper sentiment; and a false relish may frequently be corrected by argument and reflection. There are just grounds to conclude, that moral beauty partakes much of this latter species, and demands the assistance of our intellectual faculties, in order to give it a suitable influence on the human mind.

But though this question, concerning the general principles of morals, be curious and important, it is needless for us, at present, to employ farther care in our researches concerning it. For if we can be so happy, in the course of this enquiry, as to discover the true origin of morals, it will then easily appear how far either sentiment or reason enters into all determinations of this nature [Footnote: See Appendix I]. In order to attain this purpose, we shall endeavour to follow a very simple method: we shall analyse that complication of mental qualities, which form what, in common life, we call Personal Merit: we shall consider every attribute of the mind, which renders a man an object either of esteem and affection, or of hatred and contempt; every habit or sentiment or faculty, which, if ascribed to any person, implies either praise or blame, and may enter into any panegyric or satire of his character and manners. The quick sensibility, which, on this head, is so universal among mankind, gives a philosopher sufficient assurance, that he can never be considerably mistaken in framing the catalogue, or incur any danger of misplacing the objects of his contemplation: he needs only enter into his own breast for a moment, and consider whether or not he should desire to have this or that quality ascribed to him, and whether such or such an imputation would proceed from a friend or an enemy. The very nature of language guides us almost infallibly in forming a judgement of this nature; and as every tongue possesses one set of words which are taken in a good sense, and another in the opposite, the least acquaintance with the idiom suffices, without any reasoning, to direct us in collecting and arranging the estimable or blameable qualities of men. The only object of reasoning is to discover the circumstances on both sides, which are common to these qualities; to observe that particular in which the estimable qualities agree on the one hand, and the blameable on the other; and thence to reach the foundation of ethics, and find those universal principles, from which all censure or approbation is ultimately derived. As this is a question of fact, not of abstract science, we can only expect success, by following the experimental method, and deducing general maxims from a comparison of particular instances. The other scientific method, where a general abstract principle is first established, and is afterwards branched out into a variety of inferences and conclusions, may be more perfect in itself, but suits less the imperfection of human nature, and is a common source of illusion and mistake in this as well as in other subjects. Men are now cured of their passion for hypotheses and systems in natural philosophy, and will hearken to no arguments but those which are derived from experience. It is full time they should attempt a like reformation in all moral disquisitions; and reject every system of ethics, however subtle or ingenious, which is not founded on fact and observation.

We shall begin our enquiry on this head by the consideration of the social virtues, Benevolence and Justice. The explication of them will probably give us an opening by which the others may be accounted for.

SECTION II. OF BENEVOLENCE.

PART I.

It may be esteemed, perhaps, a superfluous task to prove, that the benevolent or softer affections are estimable; and wherever they appear, engage the approbation and good-will of mankind. The epithets SOCIABLE, GOOD-NATURED, HUMANE, MERCIFUL, GRATEFUL, FRIENDLY, GENEROUS, BENEFICENT, or their equivalents, are known in all languages, and universally express the highest merit, which HUMAN NATURE is capable of attaining. Where these amiable qualities are attended with birth and power and eminent abilities, and display themselves in the good government or useful instruction of mankind, they seem even to raise the possessors of them above the rank of HUMAN NATURE, and make them approach in some measure to the divine. Exalted capacity, undaunted courage, prosperous success; these may only expose a hero or politician to the envy and ill-will of the public: but as soon as the praises are added of humane and beneficent; when instances are displayed of lenity, tenderness or friendship; envy itself is silent, or joins the general voice of approbation and applause.

When Pericles, the great Athenian statesman and general, was on his death-bed, his surrounding friends, deeming him now insensible, began to indulge their sorrow for their expiring patron, by enumerating his great qualities and successes, his conquests and victories, the unusual length of his administration, and his nine trophies erected over the enemies of the republic. YOU FORGET, cries the dying hero, who had heard all, YOU FORGET THE MOST EMINENT OF MY PRAISES, WHILE YOU DWELL SO MUCH ON THOSE VULGAR ADVANTAGES, IN WHICH FORTUNE HAD A PRINCIPAL SHARE. YOU HAVE NOT OBSERVED THAT NO CITIZEN HAS EVER YET WORNE MOURNING ON MY ACCOUNT. [Plut. In Pericle]

In men of more ordinary talents and capacity, the social virtues become, if possible, still more essentially requisite; there being nothing eminent, in that case, to compensate for the want of them, or preserve the person from our severest hatred, as well as contempt. A high ambition, an elevated courage, is apt, says Cicero, in less perfect characters, to degenerate into a turbulent ferocity. The more social and softer virtues are there chiefly to be regarded. These are always good and amiable [Cic. de Officiis, lib. I].

The principal advantage, which Juvenal discovers in the extensive capacity of the human species, is that it renders our benevolence also more extensive, and gives us larger opportunities of spreading our kindly influence than what are indulged to the inferior creation [Sat. XV. 139 and seq.]. It must, indeed, be confessed, that by doing good only, can a man truly enjoy the advantages of being eminent. His exalted station, of itself but the more exposes him to danger and tempest. His sole prerogative is to afford shelter to inferiors, who repose themselves under his cover and protection.

But I forget, that it is not my present business to recommend generosity and benevolence, or to paint, in their true colours, all the genuine charms of the social virtues. These, indeed, sufficiently engage every heart, on the first apprehension of them; and it is difficult to abstain from some sally of panegyric, as often as they occur in discourse or reasoning. But our object here being more the speculative, than the practical part of morals, it will suffice to remark, (what will readily, I believe, be allowed) that no qualities are more intitled to the general good-

will and approbation of mankind than beneficence and humanity, friendship and gratitude, natural affection and public spirit, or whatever proceeds from a tender sympathy with others, and a generous concern for our kind and species. These wherever they appear seem to transfuse themselves, in a manner, into each beholder, and to call forth, in their own behalf, the same favourable and affectionate sentiments, which they exert on all around.

PART II.

We may observe that, in displaying the praises of any humane, beneficent man, there is one circumstance which never fails to be amply insisted on, namely, the happiness and satisfaction, derived to society from his intercourse and good offices. To his parents, we are apt to say, he endears himself by his pious attachment and duteous care still more than by the connexions of nature. His children never feel his authority, but when employed for their advantage. With him, the ties of love are consolidated by beneficence and friendship. The ties of friendship approach, in a fond observance of each obliging office, to those of love and inclination. His domestics and dependants have in him a sure resource; and no longer dread the power of fortune, but so far as she exercises it over him. From him the hungry receive food, the naked clothing, the ignorant and slothful skill and industry. Like the sun, an inferior minister of providence he cheers, invigorates, and sustains the surrounding world.

If confined to private life, the sphere of his activity is narrower; but his influence is all benign and gentle. If exalted into a higher station, mankind and posterity reap the fruit of his labours.

As these topics of praise never fail to be employed, and with success, where we would inspire esteem for any one; may it not thence be concluded, that the utility, resulting from the social virtues, forms, at least, a PART of their merit, and is one source of that approbation and regard so universally paid to them?

When we recommend even an animal or a plant as USEFUL and BENEFICIAL, we give it an applause and recommendation suited to its nature. As, on the other hand, reflection on the baneful influence of any of these inferior beings always inspires us with the sentiment of aversion. The eye is pleased with the prospect of corn-fields and loaded vine-yards; horses grazing, and flocks pasturing; but flies the view of briars and brambles, affording shelter to wolves and serpents.

A machine, a piece of furniture, a vestment, a house well contrived for use and conveniency, is so far beautiful, and is contemplated with pleasure and approbation. An experienced eye is here sensible to many excellencies, which escape persons ignorant and uninstructed.

Can anything stronger be said in praise of a profession, such as merchandize or manufacture, than to observe the advantages which it procures to society; and is not a monk and inquisitor enraged when we treat his order as useless or pernicious to mankind?

The historian exults in displaying the benefit arising from his labours. The writer of romance alleviates or denies the bad consequences ascribed to his manner of composition.

In general, what praise is implied in the simple epithet USEFUL! What reproach in the contrary!

Your Gods, says Cicero [*De Nat. Deor. lib. i.*], in opposition to the Epicureans, cannot justly claim any worship or adoration, with whatever imaginary perfections you may suppose them endowed. They are totally useless and

inactive. Even the Egyptians, whom you so much ridicule, never consecrated any animal but on account of its utility.

The sceptics assert [Sext. Emp. aduersus Math. lib. viii.], though absurdly, that the origin of all religious worship was derived from the utility of inanimate objects, as the sun and moon, to the support and well-being of mankind. This is also the common reason assigned by historians, for the deification of eminent heroes and legislators [Diod. Sic. passim.].

To plant a tree, to cultivate a field, to beget children; meritorious acts, according to the religion of Zoroaster.

In all determinations of morality, this circumstance of public utility is ever principally in view; and wherever disputes arise, either in philosophy or common life, concerning the bounds of duty, the question cannot, by any means, be decided with greater certainty, than by ascertaining, on any side, the true interests of mankind. If any false opinion, embraced from appearances, has been found to prevail; as soon as farther experience and sounder reasoning have given us juster notions of human affairs, we retract our first sentiment, and adjust anew the boundaries of moral good and evil.

Giving alms to common beggars is naturally praised; because it seems to carry relief to the distressed and indigent: but when we observe the encouragement thence arising to idleness and debauchery, we regard that species of charity rather as a weakness than a virtue.

Tyrannicide, or the assassination of usurpers and oppressive princes, was highly extolled in ancient times; because it both freed mankind from many of these monsters, and seemed to keep the others in awe, whom the sword or poinard could not reach. But history and experience having since convinced us, that this practice increases the jealousy and cruelty of princes, a Timoleon and a Brutus, though treated with indulgence on account of the prejudices of their times, are now considered as very improper models for imitation.

Liberality in princes is regarded as a mark of beneficence, but when it occurs, that the homely bread of the honest and industrious is often thereby converted into delicious cates for the idle and the prodigal, we soon retract our heedless praises. The regrets of a prince, for having lost a day, were noble and generous: but had he intended to have spent it in acts of generosity to his greedy courtiers, it was better lost than misemployed after that manner.

Luxury, or a refinement on the pleasures and conveniences of life, had not long been supposed the source of every corruption in government, and the immediate cause of faction, sedition, civil wars, and the total loss of liberty. It was, therefore, universally regarded as a vice, and was an object of declamation to all satirists, and severe moralists. Those, who prove, or attempt to prove, that such refinements rather tend to the increase of industry, civility, and arts regulate anew our MORAL as well as POLITICAL sentiments, and represent, as laudable or innocent, what had formerly been regarded as pernicious and blameable.

Upon the whole, then, it seems undeniable, THAT nothing can bestow more merit on any human creature than the sentiment of benevolence in an eminent degree; and THAT a PART, at least, of its merit arises from its tendency to promote the interests of our species, and bestow happiness on human society. We carry our view into the salutary consequences of such a character and disposition; and whatever has so benign an influence, and forwards so desirable an end, is beheld with complacency and pleasure. The social virtues are never regarded without their beneficial tendencies, nor viewed as barren and unfruitful. The happiness of mankind, the order of society, the

harmony of families, the mutual support of friends, are always considered as the result of their gentle dominion over the breasts of men.

How considerable a PART of their merit we ought to ascribe to their utility, will better appear from future disquisitions; [Footnote: Sect. III. and IV.] as well as the reason, why this circumstance has such a command over our esteem and approbation. [Footnote: Sect. V.]

APPENDIX I. CONCERNING MORAL SENTIMENT

IF the foregoing hypothesis be received, it will now be easy for us to determine the question first started, [FOOTNOTE: Sect. 1.] concerning the general principles of morals; and though we postponed the decision of that question, lest it should then involve us in intricate speculations, which are unfit for moral discourses, we may resume it at present, and examine how far either REASON or SENTIMENT enters into all decisions of praise or censure.

One principal foundation of moral praise being supposed to lie in the usefulness of any quality or action, it is evident that REASON must enter for a considerable share in all decisions of this kind; since nothing but that faculty can instruct us in the tendency of qualities and actions, and point out their beneficial consequences to society and to their possessor. In many cases this is an affair liable to great controversy: doubts may arise; opposite interests may occur; and a preference must be given to one side, from very nice views, and a small overbalance of utility. This is particularly remarkable in questions with regard to justice; as is, indeed, natural to suppose, from that species of utility which attends this virtue [Footnote: See App. II.]. Were every single instance of justice, like that of benevolence, useful to society; this would be a more simple state of the case, and seldom liable to great controversy. But as single instances of justice are often pernicious in their first and immediate tendency, and as the advantage to society results only from the observance of the general rule, and from the concurrence and combination of several persons in the same equitable conduct; the case here becomes more intricate and involved. The various circumstances of society; the various consequences of any practice; the various interests which may be proposed; these, on many occasions, are doubtful, and subject to great discussion and inquiry. The object of municipal laws is to fix all the questions with regard to justice: the debates of civilians; the reflections of politicians; the precedents of history and public

records, are all directed to the same purpose. And a very accurate REASON or JUDGEMENT is often requisite, to give the true determination, amidst such intricate doubts arising from obscure or opposite utilities.

But though reason, when fully assisted and improved, be sufficient to instruct us in the pernicious or useful tendency of qualities and actions; it is not alone sufficient to produce any moral blame or approbation. Utility is only a tendency to a certain end; and were the end totally indifferent to us, we should feel the same indifference towards the means. It is requisite a SENTIMENT should here display itself, in order to give a preference to the useful above the pernicious tendencies. This SENTIMENT can be no other than a feeling for the happiness of mankind, and a resentment of their misery; since these are the different ends which virtue and vice have a tendency to promote. Here therefore REASON instructs us in the several tendencies of actions, and HUMANITY makes a distinction in favour of those which are useful and beneficial.

This partition between the faculties of understanding and sentiment, in all moral decisions, seems clear from the preceding hypothesis. But I shall suppose that hypothesis false: it will then be requisite to look out for some

other theory that may be satisfactory; and I dare venture to affirm that none such will ever be found, so long as we suppose reason to be the sole source of morals. To prove this, it will be proper to weigh the five following considerations.

It is easy for a false hypothesis to maintain some appearance of truth, while it keeps wholly in generals, makes use of undefined terms, and employs comparisons, instead of instances. This is particularly remarkable in that philosophy, which ascribes the discernment of all moral distinctions to reason alone, without the concurrence of sentiment. It is impossible that, in any particular instance, this hypothesis can so much as be rendered intelligible, whatever specious figure it may make in general declamations and discourses. Examine the crime of INGRATITUDE, for instance; which has place, wherever we observe good-will, expressed and known, together with good-offices performed, on the one side, and a return of ill-will or indifference, with ill-offices or neglect on the other: anatomize all these circumstances, and examine, by your reason alone, in what consists the demerit or blame. You never will come to any issue or conclusion.

Reason judges either of MATTER OF FACT or of RELATIONS. Enquire then, first, where is that matter of fact which we here call crime; point it out; determine the time of its existence; describe its essence or nature; explain the sense or faculty to which it discovers itself. It resides in the mind of the person who is ungrateful. He must, therefore, feel it, and be conscious of it. But nothing is there, except the passion of ill-will or absolute indifference. You cannot say that these, of themselves, always, and in all circumstances, are crimes. No, they are only crimes when directed towards persons who have before expressed and displayed good-will towards us. Consequently, we may infer, that the crime of ingratitude is not any particular individual FACT; but arises from a complication of circumstances, which, being presented to the spectator, excites the SENTIMENT of blame, by the particular structure and fabric of his mind.

This representation, you say, is false. Crime, indeed, consists not in a particular FACT, of whose reality we are assured by reason; but it consists in certain MORAL RELATIONS, discovered by reason, in the same manner as we discover by reason the truths of geometry or algebra. But what are the relations, I ask, of which you here talk? In the case stated above, I see first good-will and good-offices in one person; then ill-will and ill-offices in the other. Between these, there is a relation of CONTARIETY. Does the crime consist in that relation? But suppose a person bore me ill-will or did me ill-offices; and I, in return, were indifferent towards him, or did him good offices. Here is the same relation of CONTRARIETY; and yet my conduct is often highly laudable. Twist and turn this matter as much as you will, you can never rest the morality on relation; but must have recourse to the decisions of sentiment.

When it is affirmed that two and three are equal to the half of ten, this relation of equality I understand perfectly. I conceive, that if ten be divided into two parts, of which one has as many units as the other; and if any of these parts be compared to two added to three, it will contain as many units as that compound number. But when you draw thence a comparison to moral relations, I own that I am altogether at a loss to understand you. A moral action, a crime, such as ingratitude, is a complicated object. Does the morality consist in the relation of its parts to each other? How? After what manner? Specify the relation: be more particular and explicit in your propositions, and you will easily see their falsehood.

No, say you, the morality consists in the relation of actions to the rule of right; and they are denominated good or ill, according as they agree or disagree with it. What then is this rule of right? In what does it consist? How is it determined? By reason, you say, which examines the moral relations of actions. So that moral relations are

determined by the comparison of action to a rule. And that rule is determined by considering the moral relations of objects. Is not this fine reasoning?

All this is metaphysics, you cry. That is enough; there needs nothing more to give a strong presumption of falsehood. Yes, reply I, here are metaphysics surely; but they are all on your side, who advance an abstruse hypothesis, which can never be made intelligible, nor quadrate with any particular instance or illustration. The hypothesis which we embrace is plain. It maintains that morality is determined by sentiment. It defines virtue to be **WHATEVER MENTAL ACTION OR QUALITY GIVES TO A SPECTATOR THE PLEASING SENTIMENT OF APPROBATION**; and vice the contrary. We then proceed to examine a plain matter of fact, to wit, what actions have this influence. We consider all the circumstances in which these actions agree, and thence endeavour to extract some general observations with regard to these sentiments. If you call this metaphysics, and find anything abstruse here, you need only conclude that your turn of mind is not suited to the moral sciences.

When a man, at any time, deliberates concerning his own conduct (as, whether he had better, in a particular emergence, assist a brother or a benefactor), he must consider these separate relations, with all the circumstances and situations of the persons, in order to determine the superior duty and obligation; and in order to determine the proportion of lines in any triangle, it is necessary to examine the nature of that figure, and the relation which its several parts bear to each other. But notwithstanding this appearing similarity in the two cases, there is, at bottom, an extreme difference between them. A speculative reasoner concerning triangles or circles considers the several known and given relations of the parts of these figures; and thence infers some unknown relation, which is dependent on the former. But in moral deliberations we must be acquainted beforehand with all the objects, and all their relations to each other; and from a comparison of the whole, fix our choice or approbation. No new fact to be ascertained; no new relation to be discovered. All the circumstances of the case are supposed to be laid before us, ere we can fix any sentence of blame or approbation. If any material circumstance be yet unknown or doubtful, we must first employ our inquiry or intellectual faculties to assure us of it; and must suspend for a time all moral decision or sentiment. While we are ignorant whether a man were aggressor or not, how can we determine whether the person who killed him be criminal or innocent? But after every circumstance, every relation is known, the understanding has no further room to operate, nor any object on which it could employ itself. The approbation or blame which then ensues, cannot be the work of the judgement, but of the heart; and is not a speculative proposition or affirmation, but an active feeling or sentiment. In the disquisitions of the understanding, from known circumstances and relations, we infer some new and unknown. In moral decisions, all the circumstances and relations must be previously known; and the mind, from the contemplation of the whole, feels some new impression of affection or disgust, esteem or contempt, approbation or blame.

Hence the great difference between a mistake of **FACT** and one of **RIGHT**; and hence the reason why the one is commonly criminal and not the other. When Oedipus killed Laius, he was ignorant of the relation, and from circumstances, innocent and involuntary, formed erroneous opinions concerning the action which he committed. But when Nero killed Agrippina, all the relations between himself and the person, and all the circumstances of the fact, were previously known to him; but the motive of revenge, or fear, or interest, prevailed in his savage heart over the sentiments of duty and humanity. And when we express that detestation against him to which he himself, in a little time, became insensible, it is not that we see any relations, of which he was ignorant; but that, for the rectitude of our disposition, we feel sentiments against which he was hardened from flattery and a long perseverance in the most enormous crimes.

In these sentiments then, not in a discovery of relations of any kind, do all moral determinations consist. Before

we can pretend to form any decision of this kind, everything must be known and ascertained on the side of the object or action. Nothing remains but to feel, on our part, some sentiment of blame or approbation; whence we pronounce the action criminal or virtuous.

This doctrine will become still more evident, if we compare moral beauty with natural, to which in many particulars it bears so near a resemblance. It is on the proportion, relation, and position of parts, that all natural beauty depends; but it would be absurd thence to infer, that the perception of beauty, like that of truth in geometrical problems, consists wholly in the perception of relations, and was performed entirely by the understanding or intellectual faculties. In all the sciences, our mind from the known relations investigates the unknown. But in all decisions of taste or external beauty, all the relations are beforehand obvious to the eye; and we thence proceed to feel a sentiment of complacency or disgust, according to the nature of the object, and disposition of our organs.

Euclid has fully explained all the qualities of the circle; but has not in any proposition said a word of its beauty. The reason is evident. The beauty is not a quality of the circle. It lies not in any part of the line, whose parts are equally distant from a common centre. It is only the effect which that figure produces upon the mind, whose peculiar fabric of structure renders it susceptible of such sentiments. In vain would you look for it in the circle, or seek it, either by your senses or by mathematical reasoning, in all the properties of that figure.

Attend to Palladio and Perrault, while they explain all the parts and proportions of a pillar. They talk of the cornice, and frieze, and base, and entablature, and shaft, and architrave; and give the description and position of each of these members. But should you ask the description and position of its beauty, they would readily reply, that the beauty is not in any of the parts or members of a pillar, but results from the whole, when that complicated figure is presented to an intelligent mind, susceptible to those finer sensations. Till such a spectator appear, there is nothing but a figure of such particular dimensions and proportions: from his sentiments alone arise its elegance and beauty.

Again; attend to Cicero, while he paints the crimes of a Verres or a Catiline. You must acknowledge that the moral turpitude results, in the same manner, from the contemplation of the whole, when presented to a being whose organs have such a particular structure and formation. The orator may paint rage, insolence, barbarity on the one side; meekness, suffering, sorrow, innocence on the other. But if you feel no indignation or compassion arise in you from this complication of circumstances, you would in vain ask him, in what consists the crime or villainy, which he so vehemently exclaims against? At what time, or on what subject it first began to exist? And what has a few months afterwards become of it, when every disposition and thought of all the actors is totally altered or annihilated? No satisfactory answer can be given to any of these questions, upon the abstract hypothesis of morals; and we must at last acknowledge, that the crime or immorality is no particular fact or relation, which can be the object of the understanding, but arises entirely from the sentiment of disapprobation, which, by the structure of human nature, we unavoidably feel on the apprehension of barbarity or treachery.

Inanimate objects may bear to each other all the same relations which we observe in moral agents; though the former can never be the object of love or hatred, nor are consequently susceptible of merit or iniquity. A young tree, which over-tops and destroys its parent, stands in all the same relations with Nero, when he murdered Agrippina; and if morality consisted merely in relations, would no doubt be equally criminal.

It appears evident that—the ultimate ends of human actions can never, in any case, be accounted for by reason, but recommend themselves entirely to the sentiments and affections of mankind, without any dependance on

the intellectual faculties. Ask a man WHY HE USES EXERCISE; he will answer, BECAUSE HE DESIRES TO KEEP HIS HEALTH. If you then enquire, WHY HE DESIRES HEALTH, he will readily reply, BECAUSE SICKNESS IS PAINFUL. If you push your enquiries farther, and desire a reason WHY HE HATES PAIN, it is impossible he can ever give any. This is an ultimate end, and is never referred to any other object.

Perhaps to your second question, WHY HE DESIRES HEALTH, he may also reply, that IT IS NECESSARY FOR THE EXERCISE OF HIS CALLING. If you ask, WHY HE IS ANXIOUS ON THAT HEAD, he will answer, BECAUSE HE DESIRES TO GET MONEY. If you demand WHY? IT IS THE INSTRUMENT OF PLEASURE, says he. And beyond this it is an absurdity to ask for a reason. It is impossible there can be a progress

IN INFINITUM; and that one thing can always be a reason why another is desired. Something must be desirable on its own account, and because of its immediate accord or agreement with human sentiment and affection.

Now as virtue is an end, and is desirable on its own account, without fee and reward, merely for the immediate satisfaction which it conveys; it is requisite that there should be some sentiment which it touches, some internal taste or feeling, or whatever you may please to call it, which distinguishes moral good and evil, and which embraces the one and rejects the other.

Thus the distinct boundaries and offices of REASON and of TASTE are easily ascertained. The former conveys the knowledge of truth and falsehood: the latter gives the sentiment of beauty and deformity, vice and virtue. The one discovers objects as they really stand in nature, without addition and diminution: the other has a productive faculty, and gilding or staining all natural objects with the colours, borrowed from internal sentiment, raises in a manner a new creation. Reason being cool and disengaged, is no motive to action, and directs only the impulse received from appetite or inclination, by showing us the means of attaining happiness or avoiding misery: Taste, as it gives pleasure or pain, and thereby constitutes happiness or misery, becomes a motive to action, and is the first spring or impulse to desire and volition. From circumstances and relations, known or supposed, the former leads us to the discovery of the concealed and unknown: after all circumstances and relations are laid before us, the latter makes us feel from the whole a new sentiment of blame or approbation. The standard of the one, being founded on the nature of things, is eternal and inflexible, even by the will of the Supreme Being: the standard of the other arising from the eternal frame and constitution of animals, is ultimately derived from that Supreme Will, which bestowed on each being its peculiar nature, and arranged the several classes and orders of existence.

Immanuel Kant – On Moral Principles

Groundwork of the Metaphysics of Morals

Everything in nature works according to laws. Rational beings alone have the faculty of acting *according to* the *conception* of laws, that is according to principles, *i.e.*, have a *will*. Since the deduction of actions from principles requires *reason*, the will is nothing but practical reason. If reason infallibly determines the will, then the actions of such a being which are recognised as objectively necessary are subjectively necessary also, *i.e.*, the will is a faculty to choose *that only* which reason independent of inclination recognises as practically necessary, *i.e.*, as good. But if reason of itself does not sufficiently determine the will, if the latter is subject also to subjective conditions (particular impulses) which do not always coincide with the objective conditions; in a word, if the will does not *in itself* completely accord with reason (which is actually the case with men), then the actions which objectively are recognised as necessary are subjectively contingent, and the determination of such a will according to objective laws is *obligation*, that is to say, the relation of the objective laws to a will that is not thoroughly good is conceived as the determination of the will of a rational being by principles of reason, but which the will from its nature does not of necessity follow.

The conception of an objective principle, in so far as it is obligatory for a will, is called a command (of reason), and the formula of the command is called an **Imperative**.

All imperatives are expressed by the word *ought* [or *shall*], and thereby indicate the relation of an objective law of reason to a will, which from its subjective constitution is not necessarily determined by it (an obligation). They say that something would be good to do or to forbear, but they say it to a will which does not always do a thing because it is conceived to be good to do it. That is practically *good*, however, which determines the will by means of the conceptions of reason, and consequently not from subjective causes, but objectively, that is on principles which are valid for every rational being as such. It is distinguished from the pleasant, as that which influences the will only by means of sensation from merely subjective causes, valid only for the sense of this or that one, and not as a principle of reason, which holds for every one.[5]

A perfectly good will would therefore be equally subject to objective laws (*viz.*, laws of good), but could not be conceived as *obliged* thereby to act lawfully, because of itself from its subjective constitution it can only be determined by the conception of good. Therefore no imperatives hold for the *Divine* will, or in general for a *holy* will; *ought* is here out of place, because the *volition* is already of itself necessarily in unison with the law.

Therefore imperatives are only formulae to express the relation of objective laws of all volition to the subjective imperfection of the will of this or that rational being, *e.g.*, the human will.

Now all *imperatives* command either *hypothetically* or *categorically*. The former represent the practical necessity of a possible action as means to something else that is willed (or at least which one might possibly will). The categorical imperative would be that which represented an action as necessary of itself without reference to another end, *i.e.*, as objectively necessary.

Since every practical law represents a possible action as good and, on this account, for a subject who is practically determinable by reason, necessary, all imperatives are formulae determining an action which is necessary according to the principle of a will good in some respects. If now the action is good only as a means *to something else*, then the imperative is *hypothetical*; if it is conceived as *good in itself* and consequently as being necessarily the principle of a will which of itself conforms to reason, then it is *categorical*.

Thus the imperative declares what action possible by me would be good and presents the practical rule in relation to a will which does not forthwith perform an action simply because it is good, whether because the subject does not always know that it is good, or because, even if it know this, yet its maxims might be opposed to the objective principles of practical reason.

Accordingly the hypothetical imperative only says that the action is good for some purpose, *possible* or *actual*. In the first case it is a **Problematical**, in the second an **Assertorial** practical principle. The categorical imperative which declares an action to be objectively necessary in itself without reference to any purpose, *i.e.*, without any other end, is valid as an **Apodictic** (practical) principle.

Whatever is possible only by the power of some rational being may also be conceived as a possible purpose of some will; and therefore the principles of action as regards the means necessary to attain some possible purpose are in fact infinitely numerous. All sciences have a practical part, consisting of problems expressing that some end is possible for us and of imperatives directing how it may be attained. These may, therefore, be called in general imperatives of **Skill**. Here there is no question whether the end is rational and good, but only what one must do in order to attain it. The precepts for the physician to make his patient thoroughly healthy, and for a poisoner to ensure certain death, are of equal value in this respect, that each serves to effect its purpose perfectly. Since in early youth it cannot be known what ends are likely to occur to us in the course of life, parents seek to have their children taught a *great many things*, and provide for their *skill* in the use of means for all sorts of *arbitrary* ends, of none of which can they determine whether it may not perhaps hereafter be an object to their pupil, but which it is at all events *possible* that he might aim at; and this anxiety is so great that they commonly neglect to form and correct their judgement on the value of the things which may be chosen as ends.

There is *one* end, however, which may be assumed to be actually such to all rational beings (so far as imperatives apply to them, *viz.*, as dependent beings), and, therefore, one purpose which they not merely *may* have, but which we may with certainty assume that they all actually *have* by a natural necessity, and this is *happiness*. The hypothetical imperative which expresses the practical necessity of an action as means to the advancement of happiness is **Assertorial**. We are not to present it as necessary for an uncertain and merely possible purpose, but for a purpose which we may presuppose with certainty and *à priori* in every man, because it belongs to his being. Now skill in the choice of means to his own greatest well-being may be called *prudence*,^[6] in the narrowest sense.

And thus the imperative which refers to the choice of means to one's own happiness, *i.e.*, the precept of prudence, is still always *hypothetical*; the action is not commanded absolutely, but only as means to another purpose.

Finally, there is an imperative which commands a certain conduct immediately, without having as its condition any other purpose to be attained by it. This imperative is **Categorical**. It concerns not the matter of the action, or its intended result, but its form and the principle of which it is itself a result; and what is essentially good in it consists in the mental disposition, let the consequence be what it may. This imperative may be called that of **Morality**.

There is a marked distinction also between the *volitions* on these three sorts of principles in the *dissimilarity* of the obligation of the will. In order to mark this difference more clearly, I think they would be most suitably named in their order if we said they are either *rules* of skill, or *counsels* of prudence, or *commands (laws)* of morality. For it is *law* only that involves the conception of an *unconditional* and objective *necessity*, which is consequently universally valid; and commands are laws which must be obeyed, that is, must be followed, even in opposition to inclination. *Counsels*, indeed, involve necessity, but one which can only hold under a contingent subjective condition, *viz.*, they depend on whether this or that man reckons this or that as part of his happiness; the categorical imperative, on the contrary, is not limited by any condition, and as being absolutely, although practically, necessary, may be quite properly called a command. We might also call the first kind of imperatives *technical* (belonging to art), the second *pragmatic*[7] (to welfare), the third *moral* (belonging to free conduct generally, that is, to morals).

Now arises the question, how are all these imperatives possible? This question does not seek to know how we can conceive the accomplishment of the action which the imperative ordains, but merely how we can conceive the obligation of the will which the imperative expresses. No special explanation is needed to show how an imperative of skill is possible. Whoever wills the end, wills also (so far as reason decides his conduct) the means in his power which are indispensably necessary thereto. This proposition is, as regards the volition, analytical; for, in willing an object as my effect, there is already thought the causality of myself as an acting cause, that is to say, the use of the means; and the imperative educes from the conception of volition of an end the conception of actions necessary to this end. Synthetical propositions must no doubt be employed in defining the means to a proposed end; but they do not concern the principle, the act of the will, but the object and its realization. *Ex. gr.*, that in order to bisect a line on an unerring principle I must draw from its extremities two intersecting arcs; this no doubt is taught by mathematics only in synthetical propositions; but if I know that it is only by this process that the intended operation can be performed, then to say that, if I fully will the operation, I also will the action required for it, is an analytical proposition; for it is one and the same thing to conceive something as an effect which I can produce in a certain way, and to conceive myself as acting in this way.

If it were only equally easy to give a definite conception of happiness, the imperatives of prudence would correspond exactly with those of skill, and would likewise be analytical. For in this case as in that, it could be said: "Whoever wills the end, wills also (according to the dictate of reason necessarily) the indispensable means thereto which are in his power." But, unfortunately, the notion of happiness is so indefinite that although every man wishes to at. it, yet he never can say definitely and consistently what it is that he really wishes and wills. The reason of this is that all the elements which belong to the notion of happiness are altogether empirical, *i.e.*, they must be borrowed from experience, and nevertheless the idea of happiness requires an absolute whole, a maximum of welfare in my present and all future circumstances. Now it is impossible that the most clear-sighted and at the same time most powerful being (supposed finite) should frame to himself a definite conception of what

he really wills in this. Does he will riches, how much anxiety, envy, and snares might he not thereby draw upon his shoulders? Does he will knowledge and discernment, perhaps it might prove to be only an eye so much the sharper to show him so much the more fearfully the evils that are now concealed from him, and that cannot be avoided, or to impose more wants on his desires, which already give him concern enough. Would he have long life? who guarantees to him that it would not be a long misery? would he at least have health? how often has uneasiness of the body restrained from excesses into which perfect health would have allowed one to fall? and so on. In short, he is unable, on any principle, to determine with certainty what would make him truly happy; because to do so he would need to be omniscient. We cannot therefore act on any definite principles to secure happiness, but only on empirical counsels, *ex. gr.* of regimen, frugality, courtesy, reserve, etc., which experience teaches do, on the average, most promote well-being. Hence it follows that the imperatives of prudence do not, strictly speaking, command at all, that is, they cannot present actions objectively as practically *necessary*; that they are rather to be regarded as counsels (*consilia*) than precepts (*praecepta*) of reason, that the problem to determine certainly and universally what action would promote the happiness of a rational being is completely insoluble, and consequently no imperative respecting it is possible which should, in the strict sense, command to do what makes happy; because happiness is not an ideal of reason but of imagination, resting solely on empirical grounds, and it is vain to expect that these should define an action by which one could attain the totality of a series of consequences which is really endless. This imperative of prudence would however be an analytical proposition if we assume that the means to happiness could be certainly assigned; for it is distinguished from the imperative of skill only by this, that in the latter the end is merely possible, in the former it is given; as however both only ordain the means to that which we suppose to be willed as an end, it follows that the imperative which ordains the willing of the means to him who wills the end is in both cases analytical. Thus there is no difficulty in regard to the possibility of an imperative of this kind either.

On the other hand, the question how the imperative of *morality* is possible, is undoubtedly one, the only one, demanding a solution, as this is not at all hypothetical, and the objective necessity which it presents cannot rest on any hypothesis, as is the case with the hypothetical imperatives. Only here we must never leave out of consideration that we *cannot* make out *by any example*, in other words empirically, whether there is such an imperative at all, but it is rather to be feared that all those which seem to be categorical may yet be at bottom hypothetical. For instance, when the precept is: “Thou shalt not promise deceitfully”; and it is assumed that the necessity of this is not a mere counsel to avoid some other evil, so that it should mean: “Thou shalt not make a lying promise, lest if it become known thou shouldst destroy thy credit,” but that an action of this kind must be regarded as evil in itself, so that the imperative of the prohibition is categorical; then we cannot show with certainty in any example that the will was determined merely by the law, without any other spring of action, although it may appear to be so. For it is always possible that fear of disgrace, perhaps also obscure dread of other dangers, may have a secret influence on the will. Who can prove by experience the non-existence of a cause when all that experience tells us is that we do not perceive it? But in such a case the so-called moral imperative, which as such appears to be categorical and unconditional, would in reality be only a pragmatic precept, drawing our attention to our own interests and merely teaching us to take these into consideration.

We shall therefore have to investigate *à priori* the possibility of a *categorical* imperative, as we have not in this case the advantage of its reality being given in experience, so that [the elucidation of] its possibility should be requisite only for its explanation, not for its establishment. In the meantime it may be discerned beforehand that the categorical imperative alone has the purport of a practical **Law**: all the rest may indeed be called *principles* of the will but not laws, since whatever is only necessary for the attainment of some arbitrary purpose may be

considered as in itself contingent, and we can at any time be free from the precept if we give up the purpose; on the contrary, the unconditional command leaves the will no liberty to choose the opposite; consequently it alone carries with it that necessity which we require in a law.

Secondly, in the case of this categorical imperative or law of morality, the difficulty (of discerning its possibility) is a very profound one. It is an *à priori* synthetical practical proposition;[8] and as there is so much difficulty in discerning the possibility of speculative propositions of this kind, it may readily be supposed that the difficulty will be no less with the practical.

In this problem we will first inquire whether the mere conception of a categorical imperative may not perhaps supply us also with the formula of it, containing the proposition which alone can be a categorical imperative; for even if we know the tenor of such an absolute command, yet how it is possible will require further special and laborious study, which we postpone to the last section.

When I conceive a *hypothetical* imperative, in general I do not know beforehand what it will contain until I am given the condition. But when I conceive a *categorical* imperative, I know at once what it contains. For as the imperative contains besides the law only the necessity that the maxims[9] shall conform to this law, while the law contains no conditions restricting it, there remains nothing but the general statement that the maxim of the action should conform to a universal law, and it is this conformity alone that the imperative properly represents as necessary.

There is therefore but one categorical imperative, namely, this: *Act only on that maxim whereby thou canst at the same time will that it should become a universal law.*

Now if all imperatives of duty can be deduced from this one imperative as from their principle, then, although it should remain undecided what is called duty is not merely a vain notion, yet at least we shall be able to show what we understand by it and what this notion means.

Since the universality of the law according to which effects are produced constitutes what is properly called *nature* in the most general sense (as to form), that is the existence of things so far as it is determined by general laws, the imperative of duty may be expressed thus: *Act as if the maxim of thy action were to become by thy will a **Universal Law of Nature.***

We will now enumerate a few duties, adopting the usual division of them into duties to ourselves and ourselves and to others, and into perfect and imperfect duties.[10]

A man reduced to despair by a series of misfortunes feels wearied of life, but is still so far in possession of his reason that he can ask himself whether it would not be contrary to his duty to himself to take his own life. Now he inquires whether the maxim of his action could become a universal law of nature. His maxim is: "From self-love I adopt it as a principle to shorten my life when its longer duration is likely to bring more evil than satisfaction." It is asked then simply whether this principle founded on self-love can become a universal law of nature. Now we see at once that a system of nature of which it should be a law to destroy life by means of the very feeling whose special nature it is to impel to the improvement of life would contradict itself and, therefore, could not exist as a system of nature; hence that maxim cannot possibly exist as a universal law of nature and, consequently, would be wholly inconsistent with the supreme principle of all duty.

Another finds himself forced by necessity to borrow money. He knows that he will not be able to repay it, but sees also that nothing will be lent to him unless he promises stoutly to repay it in a definite time. He desires to make this promise, but he has still so much conscience as to ask himself: “Is it not unlawful and inconsistent with duty to get out of a difficulty in this way?” Suppose however that he resolves to do so: then the maxim of his action would be expressed thus: “When I think myself in want of money, I will borrow money and promise to repay it, although I know that I never can do so.” Now this principle of self-love or of one’s own advantage may perhaps be consistent with my whole future welfare; but the question now is, “Is it right?” I change then the suggestion of self-love into a universal law, and state the question thus: “How would it be if my maxim were a universal law?” Then I see at once that it could never hold as a universal law of nature, but would necessarily contradict itself. For supposing it to be a universal law that everyone when he thinks himself in a difficulty should be able to promise whatever he pleases, with the purpose of not keeping his promise, the promise itself would become impossible, as well as the end that one might have in view in it, since no one would consider that anything was promised to him, but would ridicule all such statements as vain pretences.

A third finds in himself a talent which with the help of some culture might make him a useful man in many respects. But he finds himself in comfortable circumstances and prefers to indulge in pleasure rather than to take pains in enlarging and improving his happy natural capacities. He asks, however, whether his maxim of neglect of his natural gifts, besides agreeing with his inclination to indulgence, agrees also with what is called duty. He sees then that a system of nature could indeed subsist with such a universal law although men (like the South Sea islanders) should let their talents rest and resolve to devote their lives merely to idleness, amusement, and propagation of their species- in a word, to enjoyment; but he cannot possibly **will** that this should be a universal law of nature, or be implanted in us as such by a natural instinct. For, as a rational being, he necessarily wills that his faculties be developed, since they serve him and have been given him, for all sorts of possible purposes.

A fourth, who is in prosperity, while he sees that others have to contend with great wretchedness and that he could help them, thinks: “What concern is it of mine? Let everyone be as happy as Heaven pleases, or as he can make himself; I will take nothing from him nor even envy him, only I do not wish to contribute anything to his welfare or to his assistance in distress!” Now no doubt if such a mode of thinking were a universal law, the human race might very well subsist and doubtless even better than in a state in which everyone talks of sympathy and goodwill, or even takes care occasionally to put it into practice, but, on the other side, also cheats when he can, betrays the rights of men, or otherwise violates them. But although it is possible that a universal law of nature might exist in accordance with that maxim, it is impossible to **will** that such a principle should have the universal validity of a law of nature. For a will which resolved this would contradict itself, inasmuch as many cases might occur in which one would have need of the love and sympathy of others, and in which, by such a law of nature, sprung from his own will, he would deprive himself of all hope of the aid he desires.

These are a few of the many actual duties, or at least what we regard as such, which obviously fall into two classes on the one principle that we have laid down. We must be *able to will* that a maxim of our action should be a universal law. This is the canon of the moral appreciation of the action generally. Some actions are of such a character that their maxim cannot without contradiction be even *conceived* as a universal law of nature, far from it being possible that we should *will* that it *should* be so. In others this intrinsic impossibility is not found, but still it is impossible to *will* that their maxim should be raised to the universality of a law of nature, since such a will would contradict itself. It is easily seen that the former violate strict or rigorous (inflexible) duty; the latter only

laxer (meritorious) duty. Thus it has been completely shown how all duties depend as regards the nature of the obligation (not the object of the action) on the same principle.

If now we attend to ourselves on occasion of any transgression of duty, we shall find that we in fact do not will that our maxim should be a universal law, for that is impossible for us; on the contrary, we will that the opposite should remain a universal law, only we assume the liberty of making an *exception* in our own favour or (just for this time only) in favour of our inclination. Consequently if we considered all cases from one and the same point of view, namely, that of reason, we should find a contradiction in our own will, namely, that a certain principle should be objectively necessary as a universal law, and yet subjectively should not be universal, but admit of exceptions. As however we at one moment regard our action from the point of view of a will wholly conformed to reason, and then again look at the same action from the point of view of a will affected by inclination, there is not really any contradiction, but an antagonism of inclination to the precept of reason, whereby the universality of the principle (*universalitas*) is changed into a mere generality, so that the practical principle of reason shall meet the maxim half way. Now, although this cannot be justified in our own impartial judgement, yet it proves that we do really recognise the validity of the categorical imperative and (with all respect for it) only allow ourselves a few exceptions, which we think unimportant and forced from us.

We have thus established at least this much, that if duty is a conception which is to have any import and real legislative authority for our actions, it can only be expressed in categorical and not at all in hypothetical imperatives. We have also, which is of great importance, exhibited clearly and definitely for every practical application the content of the categorical imperative, which must contain the principle of all duty if there is such a thing at all. We have not yet, however, advanced so far as to prove *à priori* that there actually is such an imperative, that there is a practical law which commands absolutely of itself and without any other impulse, and that the following of this law is duty.

With the view of attaining to this, it is of extreme importance to remember that we must not allow ourselves to think of deducing the reality of this principle from the *particular attributes of human nature*. For duty is to be a practical, unconditional necessity of action; it must therefore hold for all rational beings (to whom an imperative can apply at all), and *for this reason only* be also a law for all human wills. On the contrary, whatever is deduced from the particular natural characteristics of humanity, from certain feelings and propensions, nay, even, if possible, from any particular tendency proper to human reason, and which need not necessarily hold for the will of every rational being; this may indeed supply us with a maxim, but not with a law; with a subjective principle on which we may have a propension and inclination to act, but not with an objective principle on which we should be *enjoined* to act, even though all our propensions, inclinations, and natural dispositions were opposed to it. In fact, the sublimity and intrinsic dignity of the command in duty are so much the more evident, the less the subjective impulses favour it and the more they oppose it, without being able in the slightest degree to weaken the obligation of the law or to diminish its validity.

Here then we see philosophy brought to a critical position, since it has to be firmly fixed, notwithstanding that it has nothing to support it in heaven or earth. Here it must show its purity as absolute director of its own laws, not the herald of those which are whispered to it by an implanted sense or who knows what tutelary nature. Although these may be better than nothing, yet they can never afford principles dictated by reason, which must have their source wholly *à priori* and thence their commanding authority, expecting everything from the supremacy of the law and the due respect for it, nothing from inclination, or else condemning the man to self-contempt and inward abhorrence.

Thus every empirical element is not only quite incapable of being an aid to the principle of morality, but is even highly prejudicial to the purity of morals, for the proper and inestimable worth of an absolutely good will consists just in this, that the principle of action is free from all influence of contingent grounds, which alone experience can furnish. We cannot too much or too often repeat our warning against this lax and even mean habit of thought which seeks for its principle amongst empirical motives and laws; for human reason in its weariness is glad to rest on this pillow, and in a dream of sweet illusions (in which, instead of Juno, it embraces a cloud) it substitutes for morality a bastard patched up from limbs of various derivation, which looks like anything one chooses to see in it, only not like virtue to one who has once beheld her in her true form.[11]

The question then is this: “Is it a necessary law *for all rational beings* that they should always judge of their actions by maxims of which they can themselves will that they should serve as universal laws?” If it is so, then it must be connected (altogether *à priori*) with the very conception of the will of a rational being generally. But in order to discover this connexion we must, however reluctantly, take a step into metaphysic, although into a domain of it which is distinct from speculative philosophy, namely, the metaphysic of morals. In a practical philosophy, where it is not the reasons of what *happens* that we have to ascertain, but the laws of what *ought to happen*, even although it never does, *i.e.*, objective practical laws, there it is not necessary to inquire into the reasons why anything pleases or displeases, how the pleasure of mere sensation differs from taste, and whether the latter is distinct from a general satisfaction of reason; on what the feeling of pleasure or pain rests, and how from it desires and inclinations arise, and from these again maxims by the co-operation of reason: for all this belongs to an empirical psychology, which would constitute the second part of physics, if we regard physics as the *philosophy of nature*, so far as it is based on *empirical laws*. But here we are concerned with objective practical laws and, consequently, with the relation of the will to itself so far as it is determined by reason alone, in which case whatever has reference to anything empirical is necessarily excluded; since if *reason of itself alone* determines the conduct (and it is the possibility of this that we are now investigating), it must necessarily do so *a priori*.

The will is conceived as a faculty of determining oneself to action in accordance with the *conception of certain laws*. And such a faculty can be found only in rational beings. Now that which serves the will as the objective ground of its self-determination is the *end*, and, if this is assigned by reason alone, it must hold for all rational beings. On the other hand, that which merely contains the ground of possibility of the action of which the effect is the end, this is called the *means*. The subjective ground of the desire is the *spring*, the objective ground of the volition is the *motive*; hence the distinction between subjective ends which rest on springs, and objective ends which depend on motives valid for every rational being. Practical principles are *formal* when they abstract from all subjective ends; they are *material* when they assume these, and therefore particular springs of action. The ends which a rational being proposes to himself at pleasure as effects of his actions (material ends) are all only relative, for it is only their relation to the particular desires of the subject that gives them their worth, which therefore cannot furnish principles universal and necessary for all rational beings and for every volition, that is to say practical laws. Hence all these relative ends can give rise only to hypothetical imperatives. Supposing, however, that there were something *whose existence has in itself* an absolute worth, something which, being *an end in itself*, could be a source of definite laws; then in this and this alone would lie the source of a possible categorical imperative, *i.e.*, a practical law.

Now I say: man and generally any rational being *exists* as an end in himself, *not merely as a means* to be arbitrarily used by this or that will, but in all his actions, whether they concern himself or other rational beings, must be

always regarded *at the same time as an end*. All objects of the inclinations have only a conditional worth, for if the inclinations and the wants founded on them did not exist, then their object would be without value. But the inclinations, themselves being sources of want, are so far from having an absolute worth for which they should be desired that on the contrary it must be the universal wish of every rational being to be wholly free from them. Thus the worth of any object *which is to be acquired* by our action is always conditional. Beings whose existence depends not on our will but on nature's, have nevertheless, if they are irrational beings, only a relative value as means, and are therefore called *things*; rational beings, on the contrary, are called *persons*, because their very nature points them out as ends in themselves, that is as something which must not be used merely as means, and so far therefore restricts freedom of action (and is an object of respect). These, therefore, are not merely subjective ends whose existence has a worth *for us* as an effect of our action, but *objective ends*, that is, things whose existence is an end in itself; an end moreover for which no other can be substituted, which they should subserve *merely* as means, for otherwise nothing whatever would possess *absolute* worth; but if all worth were conditioned and therefore contingent, then there would be no supreme practical principle of reason whatever.

If then there is a supreme practical principle or, in respect of the human will, a categorical imperative, it must be one which, being drawn from the conception of that which is necessarily an end for everyone because it is *an end in itself*, constitutes an *objective* principle of will, and can therefore serve as a universal practical law. The foundation of this principle is: *rational nature exists as an end in itself*. Man necessarily conceives his own existence as being so; so far then this is a *subjective* principle of human actions. But every other rational being regards its existence similarly, just on the same rational principle that holds for me:[12] so that it is at the same time an *objective* principle, from which as a supreme practical law all laws of the will must be capable of being deduced. Accordingly the practical imperative will be as follows: *So act as to treat humanity, whether in thine own person or in that of any other, in every case as an end withal, never as means only*. We will now inquire whether this can be practically carried out.

To abide by the previous examples:

Firstly, under the head of necessary duty to oneself: He who contemplates suicide should ask himself whether his action can be consistent with the idea of humanity *as an end in itself*. If he destroys himself in order to escape from painful circumstances, he uses a person merely as *a mean* to maintain a tolerable condition up to the end of life. But a man is not a thing, that is to say, something which can be used *merely* as means, but must in all his actions be always considered as an end in himself. I cannot, therefore, dispose in any way of a man in my own person so as to mutilate him, to damage or kill him. (It belongs to ethics proper to define this principle more precisely, so as to avoid all misunderstanding, *e.g.*, as to the amputation of the limbs in order to preserve myself, as to exposing my life to danger with a view to preserve it, etc. This question is therefore omitted here.)

Secondly, as regards necessary duties, or those of strict obligation, towards others: He who is thinking of making a lying promise to others will see at once that he would be using another man *merely as a mean*, without the latter containing at the same time the end in himself. For he whom I propose by such a promise to use for my own purposes cannot possibly assent to my mode of acting towards him and, therefore, cannot himself contain the end of this action. This violation of the principle of humanity in other men is more obvious if we take in examples of attacks on the freedom and property of others. For then it is clear that he who transgresses the rights of men intends to use the person of others merely as a means, without considering that as rational beings they ought always to be esteemed also as ends, that is, as beings who must be capable of containing in themselves the end of the very same action.[13]

Thirdly, as regards contingent (meritorious) duties to oneself: It is not enough that the action does not violate humanity in our own person as an end in itself, it must also *harmonize with it*. Now there are in humanity capacities of greater perfection, which belong to the end that nature has in view in regard to humanity in ourselves as the subject: to neglect these might perhaps be consistent with the *maintenance* of humanity as an end in itself, but not with the *advancement* of this end.

Fourthly, as regards meritorious duties towards others: The natural end which all men have is their own happiness. Now humanity might indeed subsist, although no one should contribute anything to the happiness of others, provided he did not intentionally withdraw anything from it; but after all this would only harmonize negatively not positively with *humanity as an end in itself*, if every one does not also endeavour, as far as in him lies, to forward the ends of others. For the ends of any subject which is an end in himself ought as far as possible to be *my ends* also, if that conception is to have its *full* effect with me.

This principle, that humanity and generally every rational nature is *an end in itself* (which is the supreme limiting condition of every man's freedom of action), is not borrowed from experience, firstly, because it is universal, applying as it does to all rational beings whatever, and experience is not capable of determining anything about them; secondly, because it does not present humanity as an end to men (subjectively), that is as an object which men do of themselves actually adopt as an end; but as an objective end, which must as a law constitute the supreme limiting condition of all our subjective ends, let them be what we will; it must therefore spring from pure reason. In fact the *objective* principle of all practical legislation lies (according to the first principle) *in the rule* and its form of universality which makes it capable of being a law (say, *e.g.*, a law of nature); but the *subjective* principle is in the *end*; now by the second principle the subject of all ends is each rational being, inasmuch as it is an end in itself. Hence follows the third practical principle of the will, which is the ultimate condition of its harmony with universal practical reason, viz.: the idea of *the will of every rational being as a universally legislative will*.

On this principle all maxims are rejected which are inconsistent with the will being itself universal legislator. Thus the will is not subject simply to the law, but so subject that it must be regarded *as itself giving the law* and, on this ground only, subject to the law (of which it can regard itself as the author).

In the previous imperatives, namely, that based on the conception of the conformity of actions to general laws, as in a physical *system of nature*, and that based on the universal *prerogative* of rational beings as *ends* in themselves—these imperatives, just because they were conceived as categorical, excluded from any share in their authority all admixture of any interest as a spring of action; they were, however, only *assumed* to be categorical, because such an assumption was necessary to explain the conception of duty. But we could not prove independently that there are practical propositions which command categorically, nor can it be proved in this section; one thing, however, could be done, namely, to indicate in the imperative itself, by some determinate expression, that in the case of volition from duty all interest is renounced, which is the specific criterion of categorical as distinguished from hypothetical imperatives. This is done in the present (third) formula of the principle, namely, in the idea of the will of every rational being as a *universally legislating will*.

For although a will *which is subject to laws* may be attached to this law by means of an interest, yet a will which is itself a supreme lawgiver so far as it is such cannot possibly depend on any interest, since a will so dependent would itself still need another law restricting the interest of its self-love by the condition that it should be valid as universal law.

Thus the *principle* that every human will is *a will which in all its maxims gives universal laws*,^[14] provided it be otherwise justified, would be *very well adapted* to be the categorical imperative, in this respect, namely, that just because of the idea of universal legislation it is *not based on interest*, and therefore it alone among all possible imperatives can be *unconditional*. Or still better, converting the proposition, if there is a categorical imperative (*i.e.*, a law for the will of every rational being), it can only command that everything be done from maxims of one's will regarded as a will which could at the same time will that it should itself give universal laws, for in that case only the practical principle and the imperative which it obeys are unconditional, since they cannot be based on any interest.

Footnotes

1. A *maxim* is the subjective principle of volition. The objective principle (*i.e.*, that which would also serve subjectively as a practical principle to all rational beings if reason had full power over the faculty of desire) is the practical *law*.
2. It might be here objected to me that I take refuge behind the word *respect* in an obscure feeling, instead of giving a distinct solution of the question by a concept of the reason. But although respect is a feeling, it is not a feeling *received* through influence, but is *self-wrought* by a rational concept, and, therefore, is specifically distinct from all feelings of the former kind, which may be referred either to inclination or fear. What I recognise immediately as a law for me, I recognise with respect. This merely signifies the consciousness that my will is *subordinate* to a law, without the intervention of other influences on my sense. The immediate determination of the will by the law, and the consciousness of this, is called *respect*, so that this is regarded as an *effect* of the law on the subject, and not as the *cause* of it. Respect is properly the conception of a worth which thwarts my self-love. Accordingly it is something which is considered neither as an object of inclination nor of fear, although it has something analogous to both. The *object* of respect is the *law* only, and that the law which we impose on *ourselves* and yet recognise as necessary in itself. As a law, we are subjected too it without consulting self-love; as imposed by us on ourselves, it is a result of our will. In the former aspect it has an analogy to fear, in the latter to inclination. Respect for a person is properly only respect for the law (of honesty, etc.) of which he gives us an example. Since we also look on the improvement of our talents as a duty, we consider that we see in a person of talents, as it were, the *example of a law* (*viz.*, to become like him in this by exercise), and this constitutes our respect. All so-called moral *interest* consists simply in *respect* for the law.
3. Just as pure mathematics are distinguished from applied, pure logic from applied, so if we choose we may also distinguish pure philosophy of morals (metaphysic) from applied (*viz.*, applied to human nature). By this designation we are also at once reminded that moral principles are not based on properties of human nature, but must subsist *à priori* of themselves, while from such principles practical rules must be capable of being deduced for every rational nature, and accordingly for that of man.
4. I have a letter from the late excellent Sulzer, in which he asks me what can be the reason that moral instruction, although containing much that is convincing for the reason, yet accomplishes so little? My answer was postponed in order that I might make it complete. But it is simply this: that the teachers themselves have not got their own notions clear, and when they endeavour to make up for this by raking up motives of moral goodness from every quarter, trying to make their physic right strong, they spoil it. For the commonest understanding shows that if we imagine, on the one hand, an act of honesty done with steadfast mind, apart from every view to advantage of any kind in this world or another, and even under the greatest

temptations of necessity or allurements, and, on the other hand, a similar act which was affected, in however low a degree, by a foreign motive, the former leaves far behind and eclipses the second; it elevates the soul and inspires the wish to be able to act in like manner oneself. Even moderately young children feel this impression, and one should never represent duties to them in any other light.

5. The dependence of the desires on sensations is called inclination, and this accordingly always indicates a *want*. The dependence of a contingently determinable will on principles of reason is called an *interest*. This therefore, is found only in the case of a dependent will which does not always of itself conform to reason; in the Divine will we cannot conceive any interest. But the human will can also *take an interest* in a thing without therefore acting *from interest*. The former signifies the *practical* interest in the action, the latter the *pathological* in the object of the action. The former indicates only dependence of the will on principles of reason in themselves; the second, dependence on principles of reason for the sake of inclination, reason supplying only the practical rules how the requirement of the inclination may be satisfied. In the first case the action interests me; in the second the object of the action (because it is pleasant to me). We have seen in the first section that in an action done from duty we must look not to the interest in the object, but only to that in the action itself, and in its rational principle (*viz.*, the law).
6. The word prudence is taken in two senses: in the one it may bear the name of knowledge of the world, in the other that of private prudence. The former is a man's ability to influence others so as to use them for his own purposes. The latter is the sagacity to combine all these purposes for his own lasting benefit. This latter is properly that to which the value even of the former is reduced, and when a man is prudent in the former sense, but not in the latter, we might better say of him that he is clever and cunning, but, on the whole, imprudent.
7. It seems to me that the proper signification of the word *pragmatic* may be most accurately defined in this way. For *sanctions* are called pragmatic which flow properly not from the law of the states as necessary enactments, but from *precaution* for the general welfare. A *history* is composed pragmatically when it teaches *prudence*, *i.e.*, instructs the world how it can provide for its interests better, or at least as well as, the men of former time.
8. I connect the act with the will without presupposing any condition resulting from any inclination, but *à priori*, and therefore necessarily (though only objectively, *i.e.*, assuming the idea of a reason possessing full power over all subjective motives). This is accordingly a practical proposition which does not deduce the willing of an action by mere analysis from another already presupposed (for we have not such a perfect will), but connects it immediately with the conception of the will of a rational being, as something not contained in it.
9. A **Maxim** is a subjective principle of action, and must be distinguished from the *objective principle*, namely, practical law. The former contains the practical rule set by reason according to the conditions of the subject (often its ignorance or its inclinations), so that it is the principle on which the subject *acts*; but the law is the objective principle valid for every rational being, and is the principle on which it *ought to act*, that is, an imperative.
10. It must be noted here that I reserve the division of duties for a future *metaphysic of morals*; so that I give it here only as an arbitrary one (in order to arrange my examples). For the rest, I understand by a perfect duty one that admits no exception in favour of inclination and then I have not merely external but also internal *perfect duties*. This is contrary to the use of the word adopted in the schools; but I do not intend to justify

there, as it is all one for my purpose whether it is admitted or not.

11. To behold virtue in her proper form is nothing else but to contemplate morality stripped of all admixture of sensible things and of every spurious ornament of reward or self-love. How much she then eclipses everything else that appears charming to the affections, every one may readily perceive with the least exertion of his reason, if it be not wholly spoiled for abstraction.
12. This proposition is here stated as a postulate. The grounds of it will be found in the concluding section.
13. Let it not be thought that the common "*quod tibi non vis fieri* , etc.," could serve here as the rule or principle. For it is only a deduction from the former, though with several limitations; it cannot be a universal law , for it does not contain the principle of duties to oneself, nor of the duties of benevolence to others (for many a one would gladly consent that others should not benefit him, provided only that he might be excused from showing benevolence to them), nor finally that of duties of strict obligation to one another, for on this principle the criminal might argue against the judge who punishes him, and so on.
14. I may be excused from adducing examples to elucidate this principle, as those which have already been used to elucidate the categorical imperative and its formula would all serve for the like purpose here.

Jeremy Bentham - On the Principle of Utility

The Principles of Morals and Legislation

Chapter I

I. Nature has placed mankind under the governance of two sovereign masters, pain and pleasure. It is for them alone to point out what we ought to do, as well as to determine what we shall do. On the one hand the standard of right and wrong, on the other the chain of causes and effects, are fastened to their throne. They govern us in all we do, in all we say, in all we think: every effort we can make to throw off our subjection, will serve but to demonstrate and confirm it. In words a man may pretend to abjure their empire: but in reality he will remain subject to it all the while. The principle of utility[1] recognizes this subjection, and assumes it for the foundation of that system, the object of which is to rear the fabric of felicity by the hands of reason and of law. Systems which attempt to question it, deal in sounds instead of sense, in caprice instead of reason, in darkness instead of light.

But enough of metaphor and declamation: it is not by such means that moral science is to be improved.

II. The principle of utility is the foundation of the present work: it will be proper therefore at the outset to give an explicit and determinate account of what is meant by it. By the principle[2] of utility is meant that principle which approves or disapproves of every action whatsoever. according to the tendency it appears to have to augment or diminish the happiness of the party whose interest is in question: or, what is the same thing in other words to promote or to oppose that happiness. I say of every action whatsoever, and therefore not only of every action of a private individual, but of every measure of government.

III. By utility is meant that property in any object, whereby it tends to produce benefit, advantage, pleasure, good, or happiness, (all this in the present case comes to the same thing) or (what comes again to the same thing) to prevent the happening of mischief, pain, evil, or unhappiness to the party whose interest is considered: if that party be the community in general, then the happiness of the community: if a particular individual, then the happiness of that individual.

IV. The interest of the community is one of the most general expressions that can occur in the phraseology of morals: no wonder that the meaning of it is often lost. When it has a meaning, it is this. The community is a

fictitious body, composed of the individual persons who are considered as constituting as it were its members. The interest of the community then is, what is it?— the sum of the interests of the several members who compose it.

V. It is in vain to talk of the interest of the community, without understanding what is the interest of the individual.[3] A thing is said to promote the interest, or to be for the interest, of an individual, when it tends to add to the sum total of his pleasures: or, what comes to the same thing, to diminish the sum total of his pains.

VI. An action then may be said to be conformable to then principle of utility, or, for shortness sake, to utility, (meaning with respect to the community at large) when the tendency it has to augment the happiness of the community is greater than any it has to diminish it.

VII. A measure of government (which is but a particular kind of action, performed by a particular person or persons) may be said to be conformable to or dictated by the principle of utility, when in like manner the tendency which it has to augment the happiness of the community is greater than any which it has to diminish it.

VIII. When an action, or in particular a measure of government, is supposed by a man to be conformable to the principle of utility, it may be convenient, for the purposes of discourse, to imagine a kind of law or dictate, called a law or dictate of utility: and to speak of the action in question, as being conformable to such law or dictate.

IX. A man may be said to be a partizan of the principle of utility, when the approbation or disapprobation he annexes to any action, or to any measure, is determined by and proportioned to the tendency which he conceives it to have to augment or to diminish the happiness of the community: or in other words, to its conformity or unconformity to the laws or dictates of utility.

X. Of an action that is conformable to the principle of utility one may always say either that it is one that ought to be done, or at least that it is not one that ought not to be done. One may say also, that it is right it should be done; at least that it is not wrong it should be done: that it is a right action; at least that it is not a wrong action. When thus interpreted, the words ought, and right and wrong and others of that stamp, have a meaning: when otherwise, they have none.

XI. Has the rectitude of this principle been ever formally contested? It should seem that it had, by those who have not known what they have been meaning. Is it susceptible of any direct proof? it should seem not: for that which is used to prove every thing else, cannot itself be proved: a chain of proofs must have their commencement somewhere. To give such proof is as impossible as it is needless.

XII. Not that there is or ever has been that human creature at breathing, however stupid or perverse, who has not on many, perhaps on most occasions of his life, deferred to it. By the natural constitution of the human frame, on most occasions of their lives men in general embrace this principle, without thinking of it: if not for the ordering of their own actions, yet for the trying of their own actions, as well as of those of other men. There have been, at the same time, not many perhaps, even of the most intelligent, who have been disposed to embrace it purely and without reserve. There are even few who have not taken some occasion or other to quarrel with it, either on account of their not understanding always how to apply it, or on account of some prejudice or other which they were afraid to examine into, or could not bear to part with. For such is the stuff that man is made of: in principle and in practice, in a right track and in a wrong one, the rarest of all human qualities is consistency.

XIII. When a man attempts to combat the principle of utility, it is with reasons drawn, without his being aware of

it, from that very principle itself.[4] His arguments, if they prove any thing, prove not that the principle is wrong, but that, according to the applications he supposes to be made of it, it is misapplied. Is it possible for a man to move the earth? Yes; but he must first find out another earth to stand upon.

XIV. To disprove the propriety of it by arguments is impossible; but, from the causes that have been mentioned, or from some confused or partial view of it, a man may happen to be disposed not to relish it. Where this is the case, if he thinks the settling of his opinions on such a subject worth the trouble, let him take the following steps, and at length, perhaps, he may come to reconcile himself to it.

1. Let him settle with himself, whether he would wish to discard this principle altogether; if so, let him consider what it is that all his reasonings (in matters of politics especially) can amount to?
2. If he would, let him settle with himself, whether he would judge and act without any principle, or whether there is any other he would judge an act by?
3. If there be, let him examine and satisfy himself whether the principle he thinks he has found is really any separate intelligible principle; or whether it be not a mere principle in words, a kind of phrase, which at bottom expresses neither more nor less than the mere averment of his own unfounded sentiments; that is, what in another person he might be apt to call caprice?
4. If he is inclined to think that his own approbation or disapprobation, annexed to the idea of an act, without any regard to its consequences, is a sufficient foundation for him to judge and act upon, let him ask himself whether his sentiment is to be a standard of right and wrong, with respect to every other man, or whether every man's sentiment has the same privilege of being a standard to itself?
5. In the first case, let him ask himself whether his principle is not despotic, and hostile to all the rest of human race?
6. In the second case, whether it is not anarchial, and whether at this rate there are not as many different standards of right and wrong as there are men? and whether even to the same man, the same thing, which is right today, may not (without the least change in its nature) be wrong tomorrow? and whether the same thing is not right and wrong in the same place at the same time? and in either case, whether all argument is not at an end? and whether, when two men have said, "I like this," and "I don't like it," they can (upon such a principle) have any thing more to say?
7. If he should have said to himself, No: for that the sentiment which he proposes as a standard must be grounded on reflection, let him say on what particulars the reflection is to turn? if on particulars having relation to the utility of the act, then let him say whether this is not deserting his own principle, and borrowing assistance from that very one in opposition to which he sets it up: or if not on those particulars, on what other particulars?
8. If he should be for compounding the matter, and adopting his own principle in part, and the principle of utility in part, let him say how far he will adopt it?
9. When he has settled with himself where he will stop, then let him ask himself how he justifies to himself the adopting it so far? and why he will not adopt it any farther?
10. Admitting any other principle than the principle of utility to be a right principle, a principle that it is right for a man to pursue; admitting (what is not true) that the word right can have a meaning without reference to utility, let him say whether there is any such thing as a motive that a man can have to pursue the dictates of

it: if there is, let him say what that motive is, and how it is to be distinguished from those which enforce the dictates of utility: if not, then lastly let him say what it is this other principle can be good for?

Footnotes

1. Greatest happiness or greatest felicity principle: this for shortness, instead of saying at length that principle which states the greatest happiness of all those whose interest is in question, as being the right and proper, and only right and proper and universally desirable, end of human action: of human action in every situation, and in particular in that of a functionary or set of functionaries exercising the powers of Government. The word utility does not so clearly point to the ideas of pleasure and pain as the words happiness and felicity do: nor does it lead us to the consideration of the number, of the interests affected; to the number, as being the circumstance, which contributes, in the largest proportion, to the formation of the standard here in question; the standard of right and wrong, by which alone the propriety of human conduct, in every situation, can with propriety be tried. This want of a sufficiently manifest connexion between the ideas of happiness and pleasure on the one hand, and the idea of utility on the other, I have every now and then found operating, and with but too much efficiency, as a bar to the acceptance, that might otherwise have been given, to this principle.
2. The word principle is derived from the Latin principium: which seems to be compounded of the two words primus, first, or chief, and cipium a termination which seems to be derived from capio, to take, as in mancipium, municipium; to which are analogous, auceps, forceps, and others. It is a term of very vague and very extensive signification: it is applied to any thing which is conceived to serve as a foundation or beginning to any series of operations: in some cases, of physical operations; but of mental operations in the present case. The principle here in question may be taken for an act of the mind; a sentiment; a sentiment of approbation; a sentiment which, when applied to an action, approves of its utility, as that quality of it by which the measure of approbation or disapprobation bestowed upon it ought to be governed.
3. Interest is one of those words, which not having any superior genus, cannot in the ordinary way be defined.

‘The principle of utility, (I have heard it said) is a dangerous principle: it is dangerous on certain occasions to consult it.’ This is as much as to say, what? that it is not consonant to utility, to consult utility: in short, that it is not consulting it, to consult it. Addition by the Author, July 1822. Not long after the publication of the Fragment on Government, anno 1776, in which, in the character of all-comprehensive and all-commanding principle, the principle of utility was brought to view, one person by whom observation to the above effect was made was Alexander Wedderburn, at that time Attorney or Solicitor General, afterwards successively Chief Justice of the Common Pleas, and Chancellor of England, under the successive titles of Lord Loughborough and Earl of Rosslyn. It was made—not indeed in my hearing, but in the hearing of a person by whom it was almost immediately communicated to me. So far from being self-contradictory, it was a shrewd and perfectly true one. By that distinguished functionary, the state of the Government was thoroughly understood: by the obscure individual, at that time not so much as supposed to be so: his disquisitions had not been as yet applied, with any thing like a comprehensive view, to the field of Constitutional Law, nor therefore to those features of the English Government, by which the greatest happiness of the ruling one with or without that of a favoured few, are now so plainly seen to be the only ends to which the course of it has at any time been directed. The principle of utility was an appellative, at that time employed by me, as it had been by others, to designate that which, in a more perspicuous and instructive manner, may, as above, be designated by the name of the greatest happiness principle. ‘This principle (said Wedderburn) is a dangerous one.’ Saying so, he said that which, to a certain extent,

is strictly true: a principle, which lays down, as the only right and justifiable end of Government, the greatest happiness of the greatest number—how can it be denied to be a dangerous one? dangerous it unquestionably is, to every government which has for its actual end or object, the greatest happiness of a certain one, with or without the addition of some comparatively small number of others, whom it is matter of pleasure or accommodation to him to admit, each of them, to a share in the concern, on the footing of so many junior partners. Dangerous it therefore really was, to the interest—the sinister interest—of all those functionaries, himself included, whose interest it was, to maximize delay, vexation, and expense, in judicial and other modes of procedure, for the sake of the profit, extractible out of the expense. In a Government which had for its end in view the greatest happiness of the greatest number, Alexander Wedderburn might have been Attorney General and then Chancellor: but he would not have been Attorney General with £15,000 a year, nor Chancellor, with a peerage with a veto upon all justice, with £25,000 a year, and with 500 sinecures at his disposal, under the name of Ecclesiastical Benefices, besides et cæteras.

Chapter IV

I. Pleasures then, and the avoidance of pains, are the ends that the legislator has in view; it behoves him therefore to understand their value. Pleasures and pains are the instruments he has to work with: it behoves him therefore to understand their force, which is again, in other words, their value.

II. To a person considered by himself, the value of a pleasure or pain considered by itself, will be greater or less, according to the four following circumstances:

1. Its intensity.
2. Its duration.
3. Its certainty or uncertainty.
4. Its propinquity or remoteness.

III. These are the circumstances which are to be considered in estimating a pleasure or a pain considered each of them by itself. But when the value of any pleasure or pain is considered for the purpose of estimating the tendency of any act by which it is produced, there are two other circumstances to be taken into the account; these are,

1. Its fecundity, or the chance it has of being followed by sensations of the same kind: that is, pleasures, if it be a pleasure: pains, if it be a pain.
2. Its purity, or the chance it has of not being followed by sensations of the opposite kind: that is, pains, if it be a pleasure: pleasures, if it be a pain.

These two last, however, are in strictness scarcely to be deemed properties of the pleasure or the pain itself; they are not, therefore, in strictness to be taken into the account of the value of that pleasure or that pain. They are in strictness to be deemed properties only of the act, or other event, by which such pleasure or pain has been produced; and accordingly are only to be taken into the account of the tendency of such act or such event.

IV. To a number of persons, with reference to each of whom to the value of a pleasure or a pain is considered, it will be greater or less, according to seven circumstances: to wit, the six preceding ones; viz.,

1. Its intensity.
2. Its duration.
3. Its certainty or uncertainty.
4. Its propinquity or remoteness.
5. Its fecundity.
6. Its purity.

And one other; to wit:

1. Its extent; that is, the number of persons to whom it extends; or (in other words) who are affected by it.

V. To take an exact account then of the general tendency of any act, by which the interests of a community are affected, proceed as follows. Begin with any one person of those whose interests seem most immediately to be affected by it: and take an account,

1. Of the value of each distinguishable pleasure which appears to be produced by it in the first instance.
2. Of the value of each pain which appears to be produced by it in the first instance.
3. Of the value of each pleasure which appears to be produced by it after the first. This constitutes the fecundity of the first pleasure and the impurity of the first pain.
4. Of the value of each pain which appears to be produced by it after the first. This constitutes the fecundity of the first pain, and the impurity of the first pleasure.
5. Sum up all the values of all the pleasures on the one side, and those of all the pains on the other. The balance, if it be on the side of pleasure, will give the good tendency of the act upon the whole, with respect to the interests of that individual person; if on the side of pain, the bad tendency of it upon the whole.
6. Take an account of the number of persons whose interests appear to be concerned; and repeat the above process with respect to each. Sum up the numbers expressive of the degrees of good tendency, which the act has, with respect to each individual, in regard to whom the tendency of it is good upon the whole: do this again with respect to each individual, in regard to whom the tendency of it is bad upon the whole. Take the balance which if on the side of pleasure, will give the general good tendency of the act, with respect to the total number or community of individuals concerned; if on the side of pain, the general evil tendency, with respect to the same community.

VI. It is not to be expected that this process should be strictly pursued previously to every moral judgment, or to every legislative or judicial operation. It may, however, be always kept in view: and as near as the process actually pursued on these occasions approaches to it, so near will such process approach to the character of an exact one.

VII. The same process is alike applicable to pleasure and pain, in whatever shape they appear: and by whatever denomination they are distinguished: to pleasure, whether it be called good (which is properly the cause or instrument of pleasure) or profit (which is distant pleasure, or the cause or instrument of, distant pleasure,) or

convenience, or advantage, benefit, emolument, happiness, and so forth: to pain, whether it be called evil, (which corresponds to good) or mischief, or inconvenience or disadvantage, or loss, or unhappiness, and so forth.

VIII. Nor is this a novel and unwarranted, any more than it is a useless theory. In all this there is nothing but what the practice of mankind, wheresoever they have a clear view of their own interest, is perfectly conformable to. An article of property, an estate in land, for instance, is valuable, on what account? On account of the pleasures of all kinds which it enables a man to produce, and what comes to the same thing the pains of all kinds which it enables him to avert. But the value of such an article of property is universally understood to rise or fall according to the length or shortness of the time which a man has in it: the certainty or uncertainty of its coming into possession: and the nearness or remoteness of the time at which, if at all, it is to come into possession. As to the intensity of the pleasures which a man may derive from it, this is never thought of, because it depends upon the use which each particular person may come to make of it; which cannot be estimated till the particular pleasures he may come to derive from it, or the particular pains he may come to exclude by means of it, are brought to view. For the same reason, neither does he think of the fecundity or purity of those pleasures. Thus much for pleasure and pain, happiness and unhappiness, in general. We come now to consider the several particular kinds of pain and pleasure.

John Stuart Mill – On Utilitarianism

Utilitarianism

Chapter 1: General Remarks

There are few circumstances among those which make up the present condition of human knowledge, more unlike what might have been expected, or more significant of the backward state in which speculation on the most important subjects still lingers, than the little progress which has been made in the decision of the controversy respecting the criterion of right and wrong. From the dawn of philosophy, the question concerning the summum bonum, or, what is the same thing, concerning the foundation of morality, has been accounted the main problem in speculative thought, has occupied the most gifted intellects, and divided them into sects and schools, carrying on a vigorous warfare against one another. And after more than two thousand years the same discussions continue, philosophers are still ranged under the same contending banners, and neither thinkers nor mankind at large seem nearer to being unanimous on the subject, than when the youth Socrates listened to the old Protagoras, and asserted (if Plato's dialogue be grounded on a real conversation) the theory of utilitarianism against the popular morality of the so-called sophist.

It is true that similar confusion and uncertainty, and in some cases similar discordance, exist respecting the first principles of all the sciences, not excepting that which is deemed the most certain of them, mathematics; without much impairing, generally indeed without impairing at all, the trustworthiness of the conclusions of those sciences. An apparent anomaly, the explanation of which is, that the detailed doctrines of a science are not usually deduced from, nor depend for their evidence upon, what are called its first principles. Were it not so, there would be no science more precarious, or whose conclusions were more insufficiently made out, than algebra; which derives none of its certainty from what are commonly taught to learners as its elements, since these, as laid down by some of its most eminent teachers, are as full of fictions as English law, and of mysteries as theology. The truths which are ultimately accepted as the first principles of a science, are really the last results of metaphysical analysis, practised on the elementary notions with which the science is conversant; and their relation to the science is not that of foundations to an edifice, but of roots to a tree, which may perform their office equally well though they be never dug down to and exposed to light. But though in science the particular truths precede the general theory, the contrary might be expected to be the case with a practical art, such as morals or legislation. All action is for the sake of some end, and rules of action, it seems natural to suppose, must take their whole character and

colour from the end to which they are subservient. When we engage in a pursuit, a clear and precise conception of what we are pursuing would seem to be the first thing we need, instead of the last we are to look forward to. A test of right and wrong must be the means, one would think, of ascertaining what is right or wrong, and not a consequence of having already ascertained it.

The difficulty is not avoided by having recourse to the popular theory of a natural faculty, a sense or instinct, informing us of right and wrong. For- besides that the existence of such- a moral instinct is itself one of the matters in dispute- those believers in it who have any pretensions to philosophy, have been obliged to abandon the idea that it discerns what is right or wrong in the particular case in hand, as our other senses discern the sight or sound actually present. Our moral faculty, according to all those of its interpreters who are entitled to the name of thinkers, supplies us only with the general principles of moral judgments; it is a branch of our reason, not of our sensitive faculty; and must be looked to for the abstract doctrines of morality, not for perception of it in the concrete. The intuitive, no less than what may be termed the inductive, school of ethics, insists on the necessity of general laws. They both agree that the morality of an individual action is not a question of direct perception, but of the application of a law to an individual case. They recognise also, to a great extent, the same moral laws; but differ as to their evidence, and the source from which they derive their authority. According to the one opinion, the principles of morals are evident a priori, requiring nothing to command assent, except that the meaning of the terms be understood. According to the other doctrine, right and wrong, as well as truth and falsehood, are questions of observation and experience. But both hold equally that morality must be deduced from principles; and the intuitive school affirm as strongly as the inductive, that there is a science of morals. Yet they seldom attempt to make out a list of the a priori principles which are to serve as the premises of the science; still more rarely do they make any effort to reduce those various principles to one first principle, or common ground of obligation. They either assume the ordinary precepts of morals as of a priori authority, or they lay down as the common groundwork of those maxims, some generality much less obviously authoritative than the maxims themselves, and which has never succeeded in gaining popular acceptance. Yet to support their pretensions there ought either to be some one fundamental principle or law, at the root of all morality, or if there be several, there should be a determinate order of precedence among them; and the one principle, or the rule for deciding between the various principles when they conflict, ought to be self- evident.

To inquire how far the bad effects of this deficiency have been mitigated in practice, or to what extent the moral beliefs of mankind have been vitiated or made uncertain by the absence of any distinct recognition of an ultimate standard, would imply a complete survey and criticism, of past and present ethical doctrine. It would, however, be easy to show that whatever steadiness or consistency these moral beliefs have, attained, has been mainly due to the tacit influence of a standard not recognised. Although the non-existence of an acknowledged first principle has made ethics not so much a guide as a consecration of men's actual sentiments, still, as men's sentiments, both of favour and of aversion, are greatly influenced by what they suppose to be the effects of things upon their happiness, the principle of utility, or as Bentham latterly called it, the greatest happiness principle, has had a large share in forming the moral doctrines even of those who most scornfully reject its authority. Nor is there any school of thought which refuses to admit that the influence of actions on happiness is a most material and even predominant consideration in many of the details of morals, however unwilling to acknowledge it as the fundamental principle of morality, and the source of moral obligation. I might go much further, and say that to all those a priori moralists who deem it necessary to argue at all, utilitarian arguments are indispensable. It is not my present purpose to criticise these thinkers; but I cannot help referring, for illustration, to a systematic treatise by one of the most illustrious of them, the *Metaphysics of Ethics*, by Kant. This remarkable man, whose system of

thought will long remain one of the landmarks in the history of philosophical speculation, does, in the treatise in question, lay down a universal first principle as the origin and ground of moral obligation; it is this: "So act, that the rule on which thou actest would admit of being adopted as a law by all rational beings." But when he begins to deduce from this precept any of the actual duties of morality, he fails, almost grotesquely, to show that there would be any contradiction, any logical (not to say physical) impossibility, in the adoption by all rational beings of the most outrageously immoral rules of conduct. All he shows is that the consequences of their universal adoption would be such as no one would choose to incur.

On the present occasion, I shall, without further discussion of the other theories, attempt to contribute something towards the understanding and appreciation of the Utilitarian or Happiness theory, and towards such proof as it is susceptible of. It is evident that this cannot be proof in the ordinary and popular meaning of the term. Questions of ultimate ends are not amenable

to direct proof. Whatever can be proved to be good, must be so by being shown to be a means to something admitted to be good without proof. The medical art is proved to be good by its conducing to health; but how is it possible to prove that health is good? The art of music is good, for the reason, among others, that it produces pleasure; but what proof is it possible to give that pleasure is good? If, then, it is asserted that there is a comprehensive formula, including all things which are in themselves good, and that whatever else is good, is not so as an end, but as a mean, the formula may be accepted or rejected, but is not a subject of what is commonly understood by proof. We are not, however, to infer that its acceptance or rejection must depend on blind impulse, or arbitrary choice. There is a larger meaning of the word proof, in which this question is as amenable to it as

any other of the disputed questions of philosophy. The subject is within the cognisance of the rational faculty; and neither does that faculty deal with it solely in the way of intuition. Considerations may be presented capable of determining the intellect either to give or withhold its assent to the doctrine; and this is equivalent to proof.

We shall examine presently of what nature are these considerations; in what manner they apply to the case, and what rational grounds, therefore, can be given for accepting or rejecting the utilitarian formula. But it is a preliminary condition of rational acceptance or rejection, that the formula should be correctly understood. I believe that the very imperfect notion ordinarily formed of its meaning, is the chief obstacle which impedes its reception; and that could it be cleared, even from only the grosser misconceptions, the question would be greatly simplified, and a large proportion of its difficulties removed. Before, therefore, I attempt to enter into the philosophical grounds which can be given for assenting to the utilitarian standard, I shall offer some illustrations of the doctrine itself; with the view of showing more clearly what it is, distinguishing it from what it is not, and disposing of such of the practical objections to it as either originate in, or are closely connected with, mistaken interpretations of its meaning. Having thus prepared the ground, I shall afterwards endeavour to throw such light as I can upon the question, considered as one of philosophical theory.

Chapter 2: What Utilitarianism Is

A passing remark is all that needs be given to the ignorant blunder of supposing that those who stand up for utility as the test of right and wrong, use the term in that restricted and merely colloquial sense in which utility is opposed to pleasure. An apology is due to the philosophical opponents of utilitarianism, for even the momentary appearance of confounding them with any one capable of so absurd a misconception; which is the

more extraordinary, inasmuch as the contrary accusation, of referring everything to pleasure, and that too in its grossest form, is another of the common charges against utilitarianism: and, as has been pointedly remarked by an able writer, the same sort of persons, and often the very same persons, denounce the theory “as impracticably dry when the word utility precedes the word pleasure, and as too practicably voluptuous when the word pleasure precedes the word utility.” Those who know anything about the matter are aware that every writer, from Epicurus to Bentham, who maintained the theory of utility, meant by it, not something to be contradistinguished from pleasure, but pleasure itself, together with exemption from pain; and instead of opposing the useful to the agreeable or the ornamental, have always declared that the useful means these, among other things. Yet the common herd, including the herd of writers, not only in newspapers and periodicals, but in books of weight and pretension, are perpetually falling into this shallow mistake. Having caught up the word utilitarian, while knowing nothing whatever about it but its sound, they habitually express by it the rejection, or the neglect, of pleasure in some of its forms; of beauty, of ornament, or of amusement. Nor is the term thus ignorantly misapplied solely in disparagement, but occasionally in compliment; as though it implied superiority to frivolity and the mere pleasures of the moment. And this perverted use is the only one in which the word is popularly known, and the one from which the new generation are acquiring their sole notion of its meaning. Those who introduced the word, but who had for many years discontinued it as a distinctive appellation, may well feel themselves called upon to resume it, if by doing so they can hope to contribute anything towards rescuing it from this utter degradation.¹

The creed which accepts as the foundation of morals, Utility, or the Greatest Happiness Principle, holds that actions are right in proportion as they tend to promote happiness, wrong as they tend to produce the reverse of happiness. By happiness is intended pleasure, and the absence of pain; by unhappiness, pain, and the privation of pleasure. To give a clear view of the moral standard set up by the theory, much more requires to be said; in particular, what things it includes in the ideas of pain and pleasure; and to what extent this is left an open question. But these supplementary explanations do not affect the theory of life on which this theory of morality is grounded—namely, that pleasure, and freedom from pain, are the only things desirable as ends; and that all desirable things (which are as numerous in the utilitarian as in any other scheme) are desirable either for the pleasure inherent in themselves, or as means to the promotion of pleasure and the prevention of pain.

Now, such a theory of life excites in many minds, and among them in some of the most estimable in feeling and purpose, inveterate dislike. To suppose that life has (as they express it) no higher end than pleasure—no better and nobler object of desire and pursuit—they designate as utterly mean and grovelling; as a doctrine worthy only of swine, to whom the followers of Epicurus were, at a very early period, contemptuously likened; and modern holders of the doctrine are occasionally made the subject of equally polite comparisons by its German, French, and English assailants.

When thus attacked, the Epicureans have always answered, that it is not they, but their accusers, who represent human nature in a degrading light; since the accusation supposes human beings to be capable of no pleasures except those of which swine are capable. If this supposition were true, the charge could not be gainsaid, but would then be no longer an imputation; for if the sources of pleasure were precisely the same to human beings and to swine, the rule of life which is good enough for the one would be good enough for the other. The comparison of the Epicurean life to that of beasts is felt as degrading, precisely because a beast’s pleasures do not satisfy a human being’s conceptions of happiness. Human beings have faculties more elevated than the animal appetites, and when once made conscious of them, do not regard anything as happiness which does not include their gratification. I do not, indeed, consider the Epicureans to have been by any means faultless in drawing out their scheme of

consequences from the utilitarian principle. To do this in any sufficient manner, many Stoic, as well as Christian elements require to be included. But there is no known Epicurean theory of life which does not assign to the pleasures of the intellect, of the feelings and imagination, and of the moral sentiments, a much higher value as pleasures than to those of mere sensation. It must be admitted, however, that utilitarian writers in general have placed the superiority of mental over bodily pleasures chiefly in the greater permanency, safety, uncostliness, etc., of the former- that is, in their circumstantial advantages rather than in their intrinsic nature. And on all these points utilitarians have fully proved their case; but they might have taken the other, and, as it may be called, higher ground, with entire consistency. It is quite compatible with the principle of utility to recognise the fact, that some kinds of pleasure are more desirable and more valuable than others. It would be absurd that while, in estimating all other things, quality is considered as well as quantity, the estimation of pleasures should be supposed to depend on quantity alone.

If I am asked, what I mean by difference of quality in pleasures, or what makes one pleasure more valuable than another, merely as a pleasure, except its being greater in amount, there is but one possible answer. Of two pleasures, if there be one to which all or almost all who have experience of both give a decided preference, irrespective of any feeling of moral obligation to prefer it, that is the more desirable pleasure. If one of the two is, by those who are competently acquainted with both, placed so far above the other that they prefer it, even though knowing it to be attended with a greater amount of discontent, and would not resign it for any quantity of the other pleasure which their nature is capable of, we are justified in ascribing to the preferred enjoyment a superiority in quality, so far outweighing quantity as to render it, in comparison, of small account.

Now it is an unquestionable fact that those who are equally acquainted with, and equally capable of appreciating and enjoying, both, do give a most marked preference to the manner of existence which employs their higher faculties. Few human creatures would consent to be changed into any of the lower animals, for a promise of the fullest allowance of a beast's pleasures; no intelligent human being would consent to be a fool, no instructed person would be an ignoramus, no person of feeling and conscience would be selfish and base, even though they should be persuaded that the fool, the dunce, or the rascal is better satisfied with his lot than they are with theirs. They would not resign what they possess more than he for the most complete satisfaction of all the desires which they have in common with him. If they ever fancy they would, it is only in cases of unhappiness so extreme, that to escape from it they would exchange their lot for almost any other, however undesirable in their own eyes. A being of higher faculties requires more to make him happy, is capable probably of more acute suffering, and certainly accessible to it at more points, than one of an inferior type; but in spite of these liabilities, he can never really wish to sink into what he feels to be a lower grade of existence. We may give what explanation we please of this unwillingness; we may attribute it to pride, a name which is given indiscriminately to some of the most and to some of the least estimable feelings of which mankind are capable: we may refer it to the love of liberty and personal independence, an appeal to which was with the Stoics one of the most effective means for the inculcation of it; to the love of power, or to the love of excitement, both of which do really enter into and contribute to it: but its most appropriate appellation is a sense of dignity, which all human beings possess in one form or other, and in some, though by no means in exact, proportion to their higher faculties, and which is so essential a part of the happiness of those in whom it is strong, that nothing which conflicts with it could be, otherwise than momentarily, an object of desire to them.

Whoever supposes that this preference takes place at a sacrifice of happiness- that the superior being, in anything like equal circumstances, is not happier than the inferior- confounds the two very different ideas, of happiness, and

content. It is indisputable that the being whose capacities of enjoyment are low, has the greatest chance of having them fully satisfied; and a highly endowed being will always feel that any happiness which he can look for, as the world is constituted, is imperfect. But he can learn to bear its imperfections, if they are at all bearable; and they will not make him envy the being who is indeed unconscious of the imperfections, but only because he feels not at all the good which those imperfections qualify. It is better to be a human being dissatisfied than a pig satisfied; better to be Socrates dissatisfied than a fool satisfied. And if the fool, or the pig, are of a different opinion, it is because they only know their own side of the question. The other party to the comparison knows both sides.

It may be objected, that many who are capable of the higher pleasures, occasionally, under the influence of temptation, postpone them to the lower. But this is quite compatible with a full appreciation of the intrinsic superiority of the higher. Men often, from infirmity of character, make their election for the nearer good, though they know it to be the less valuable; and this no less when the choice is between two bodily pleasures, than when it is between bodily and mental. They pursue sensual indulgences to the injury of health, though perfectly aware that health is the greater good.

It may be further objected, that many who begin with youthful enthusiasm for everything noble, as they advance in years sink into indolence and selfishness. But I do not believe that those who undergo this very common change, voluntarily choose the lower description of pleasures in preference to the higher. I believe that before they devote themselves exclusively to the one, they have already become incapable of the other. Capacity for the nobler feelings is in most natures a very tender plant, easily killed, not only by hostile influences, but by mere want of sustenance; and in the majority of young persons it speedily dies away if the occupations to which their position in life has devoted them, and the society into which it has thrown them, are not favourable to keeping that higher capacity in exercise. Men lose their high aspirations as they lose their intellectual tastes, because they have not time or opportunity for indulging them; and they addict themselves to inferior pleasures, not because they deliberately prefer them, but because they are either the only ones to which they have access, or the only ones which they are any longer capable of enjoying. It may be questioned whether any one who has remained equally susceptible to both classes of pleasures, ever knowingly and calmly preferred the lower; though many, in all ages, have broken down in an ineffectual attempt to combine both.

From this verdict of the only competent judges, I apprehend there can be no appeal. On a question which is the best worth having of two pleasures, or which of two modes of existence is the most grateful to the feelings, apart from its moral attributes and from its consequences, the judgment of those who are qualified by knowledge of both, or, if they differ, that of the majority among them, must be admitted as final. And there needs be the less hesitation to accept this judgment respecting the quality of pleasures, since there is no other tribunal to be referred to even on the question of quantity. What means are there of determining which is the acutest of two pains, or the intensest of two pleasurable sensations, except the general suffrage of those who are familiar with both? Neither pains nor pleasures are homogeneous, and pain is always heterogeneous with pleasure. What is there to decide whether a particular pleasure is worth purchasing at the cost of a particular pain, except the feelings and judgment of the experienced? When, therefore, those feelings and judgment declare the pleasures derived from the higher faculties to be preferable in kind, apart from the question of intensity, to those of which the animal nature, disjoined from the higher faculties, is susceptible, they are entitled on this subject to the same regard.

I have dwelt on this point, as being a necessary part of a perfectly just conception of Utility or Happiness, considered as the directive rule of human conduct. But it is by no means an indispensable condition to the acceptance of the utilitarian standard; for that standard is not the agent's own greatest happiness, but the greatest

amount of happiness altogether; and if it may possibly be doubted whether a noble character is always the happier for its nobleness, there can be no doubt that it makes other people happier, and that the world in general is immensely a gainer by it. Utilitarianism, therefore, could only attain its end by the general cultivation of nobleness of character, even if each individual were only benefited by the nobleness of others, and his own, so far as happiness is concerned, were a sheer deduction from the benefit. But the bare enunciation of such an absurdity as this last, renders refutation superfluous.

According to the Greatest Happiness Principle, as above explained, the ultimate end, with reference to and for the sake of which all other things are desirable (whether we are considering our own good or that of other people), is an existence exempt as far as possible from pain, and as rich as possible in enjoyments, both in point of quantity and quality; the test of quality, and the rule for measuring it against quantity, being the preference felt by those who in their opportunities of experience, to which must be added their habits of self-consciousness and self-observation, are best furnished with the means of comparison. This, being, according to the utilitarian opinion, the end of human action, is necessarily also the standard of morality; which may accordingly be defined, the rules and precepts for human conduct, by the observance of which an existence such as has been described might be, to the greatest extent possible, secured to all mankind; and not to them only, but, so far as the nature of things admits, to the whole sentient creation.

Against this doctrine, however, arises another class of objectors, who say that happiness, in any form, cannot be the rational purpose of human life and action; because, in the first place, it is unattainable: and they contemptuously ask, what right hast thou to be happy? a question which Mr. Carlyle clenches by the addition, What right, a short time ago, hadst thou even to be? Next, they say, that men can do without happiness; that all noble human beings have felt this, and could not have become noble but by learning the lesson of *Entsagen*, or renunciation; which lesson, thoroughly learnt and submitted to, they affirm to be the beginning and necessary condition of all virtue.

The first of these objections would go to the root of the matter were it well founded; for if no happiness is to be had at all by human beings, the attainment of it cannot be the end of morality, or of any rational conduct. Though, even in that case, something might still be said for the utilitarian theory; since utility includes not solely the pursuit of happiness, but the prevention or mitigation of unhappiness; and if the former aim be chimerical, there will be all the greater scope and more imperative need for the latter, so long at least as mankind think fit to live, and do not take refuge in the simultaneous act of suicide recommended under certain conditions by Novalis. When, however, it is thus positively asserted to be impossible that human life should be happy, the assertion, if not something like a verbal quibble, is at least an exaggeration. If by happiness be meant a continuity of highly pleasurable excitement, it is evident enough that this is impossible. A state of exalted pleasure lasts only moments, or in some cases, and with some intermissions, hours or days, and is the occasional brilliant flash of enjoyment, not its permanent and steady flame. Of this the philosophers who have taught that happiness is the end of life were as fully aware as those who taunt them. The happiness which they meant was not a life of rapture; but moments of such, in an existence made up of few and transitory pains, many and various pleasures, with a decided predominance of the active over the passive, and having as the foundation of the whole, not to expect more from life than it is capable of bestowing. A life thus composed, to those who have been fortunate enough to obtain it, has always appeared worthy of the name of happiness. And such an existence is even now the lot of many, during some considerable portion of their lives. The present wretched education, and wretched social arrangements, are the only real hindrance to its being attainable by almost all.

The objectors perhaps may doubt whether human beings, if taught to consider happiness as the end of life, would be satisfied with such a moderate share of it. But great numbers of mankind have been satisfied with much less. The main constituents of a satisfied life appear to be two, either of which by itself is often found sufficient for the purpose: tranquillity, and excitement. With much tranquillity, many find that they can be content with very little pleasure: with much excitement, many can reconcile themselves to a considerable quantity of pain. There is assuredly no inherent impossibility in enabling even the mass of mankind to unite both; since the two are so far from being incompatible that they are in natural alliance, the prolongation of either being a preparation for, and exciting a wish for, the other. It is only those in whom indolence amounts to a vice, that do not desire excitement after an interval of repose: it is only those in whom the need of excitement is a disease, that feel the tranquillity which follows excitement dull and insipid, instead of pleasurable in direct proportion to the excitement which preceded it. When people who are tolerably fortunate in their outward lot do not find in life sufficient enjoyment to make it valuable to them, the cause generally is, caring for nobody but themselves. To those who have neither public nor private affections, the excitements of life are much curtailed, and in any case dwindle in value as the time approaches when all selfish interests must be terminated by death: while those who leave after them objects of personal affection, and especially those who have also cultivated a fellow-feeling with the collective interests of mankind, retain as lively an interest in life on the eve of death as in the vigour of youth and health. Next to selfishness, the principal cause which makes life unsatisfactory is want of mental cultivation. A cultivated mind- I do not mean that of a philosopher, but any mind to which the fountains of knowledge have been opened, and which has been taught, in any tolerable degree, to exercise its faculties- finds sources of inexhaustible interest in all that surrounds it; in the objects of nature, the achievements of art, the imaginations of poetry, the incidents of history, the ways of mankind, past and present, and their prospects in the future. It is possible, indeed, to become indifferent to all this, and that too without having exhausted a thousandth part of it; but only when one has had from the beginning no moral or human interest in these things, and has sought in them only the gratification of curiosity.

Now there is absolutely no reason in the nature of things why an amount of mental culture sufficient to give an intelligent interest in these objects of contemplation, should not be the inheritance of every one born in a civilised country. As little is there an inherent necessity that any human being should be a selfish egotist, devoid of every feeling or care but those which centre in his own miserable individuality. Something far superior to this is sufficiently common even now, to give ample earnest of what the human species may be made. Genuine private affections and a sincere interest in the public good, are possible, though in unequal degrees, to every rightly brought up human being. In a world in which there is so much to interest, so much to enjoy, and so much also to correct and improve, every one who has this moderate amount of moral and intellectual requisites is capable of an existence which may be called enviable; and unless such a person, through bad laws, or subjection to the will of others, is denied the liberty to use the sources of happiness within his reach, he will not fail to find this enviable existence, if he escape the positive evils of life, the great sources of physical and mental suffering- such as indigence, disease, and the unkindness, worthlessness, or premature loss of objects of affection. The main stress of the problem lies, therefore, in the contest with these calamities, from which it is a rare good fortune entirely to escape; which, as things now are, cannot be obviated, and often cannot be in any material degree mitigated. Yet no one whose opinion deserves a moment's consideration can doubt that most of the great positive evils of the world are in themselves removable, and will, if human affairs continue to improve, be in the end reduced within narrow limits. Poverty, in any sense implying suffering, may be completely extinguished by the wisdom of society, combined with the good sense and providence of individuals. Even that most intractable of enemies, disease, may be indefinitely reduced in dimensions by good physical and moral education, and proper control of noxious

influences; while the progress of science holds out a promise for the future of still more direct conquests over this detestable foe. And every advance in that direction relieves us from some, not only of the chances which cut short our own lives, but, what concerns us still more, which deprive us of those in whom our happiness is wrapt up. As for vicissitudes of fortune, and other disappointments connected with worldly circumstances, these are principally the effect either of gross imprudence, of ill-regulated desires, or of bad or imperfect social institutions.

All the grand sources, in short, of human suffering are in a great degree, many of them almost entirely, conquerable by human care and effort; and though their removal is grievously slow- though a long succession of generations will perish in the breach before the conquest is completed, and this world becomes all that, if will and knowledge were not wanting, it might easily be made- yet every mind sufficiently intelligent and generous to bear a part, however small and unobtrusive, in the endeavour, will draw a noble enjoyment from the contest itself, which he would not for any bribe in the form of selfish indulgence consent to be without.

And this leads to the true estimation of what is said by the objectors concerning the possibility, and the obligation, of learning to do without happiness. Unquestionably it is possible to do without happiness; it is done involuntarily by nineteen-twentieths of mankind, even in those parts of our present world which are least deep in barbarism; and it often has to be done voluntarily by the hero or the martyr, for the sake of something which he prizes more than his individual happiness. But this something, what is it, unless the happiness of others or some of the requisites of happiness? It is noble to be capable of resigning entirely one's own portion of happiness, or chances of it: but, after all, this self-sacrifice must be for some end; it is not its own end; and if we are told that its end is not happiness, but virtue, which is better than happiness, I ask, would the sacrifice be made if the hero or martyr did not believe that it would earn for others immunity from similar sacrifices? Would it be made if he thought that his renunciation of happiness for himself would produce no fruit for any of his fellow creatures, but to make their lot like his, and place them also in the condition of persons who have renounced happiness? All honour to those who can abnegate for themselves the personal enjoyment of life, when by such renunciation they contribute worthily to increase the amount of happiness in the world; but he who does it, or professes to do it, for any other purpose, is no more deserving of admiration than the ascetic mounted on his pillar. He may be an inspiring proof of what men can do, but assuredly not an example of what they should.

Though it is only in a very imperfect state of the world's arrangements that any one can best serve the happiness of others by the absolute sacrifice of his own, yet so long as the world is in that imperfect state, I fully acknowledge that the readiness to make such a sacrifice is the highest virtue which can be found in man. I will add, that in this condition the world, paradoxical as the assertion may be, the conscious ability to do without happiness gives the best prospect of realising, such happiness as is attainable. For nothing except that consciousness can raise a person above the chances of life, by making him feel that, let fate and fortune do their worst, they have not power to subdue him: which, once felt, frees him from excess of anxiety concerning the evils of life, and enables him, like many a Stoic in the worst times of the Roman Empire, to cultivate in tranquillity the sources of satisfaction accessible to him, without concerning himself about the uncertainty of their duration, any more than about their inevitable end.

Meanwhile, let utilitarians never cease to claim the morality of self devotion as a possession which belongs by as good a right to them, as either to the Stoic or to the Transcendentalist. The utilitarian morality does recognise in human beings the power of sacrificing their own greatest good for the good of others. It only refuses to admit that the sacrifice is itself a good. A sacrifice which does not increase, or tend to increase, the sum total of happiness, it considers as wasted. The only self-renunciation which it applauds, is devotion to the happiness, or to some of the

means of happiness, of others; either of mankind collectively, or of individuals within the limits imposed by the collective interests of mankind.

I must again repeat, what the assailants of utilitarianism seldom have the justice to acknowledge, that the happiness which forms the utilitarian standard of what is right in conduct, is not the agent's own happiness, but that of all concerned. As between his own happiness and that of others, utilitarianism requires him to be as strictly impartial as a disinterested and benevolent spectator. In the golden rule of Jesus of Nazareth, we read the complete spirit of the ethics of utility. To do as you would be done by, and to love your neighbour as yourself, constitute the ideal perfection of utilitarian morality. As the means of making the nearest approach to this ideal, utility would enjoin, first, that laws and social arrangements should place the happiness, or (as speaking practically it may be called) the interest, of every individual, as nearly as possible in harmony with the interest of the whole; and secondly, that education and opinion, which have so vast a power over human character, should so use that power as to establish in the mind of every individual an indissoluble association between his own happiness and the good of the whole; especially between his own happiness and the practice of such modes of conduct, negative and positive, as regard for the universal happiness prescribes; so that not only he may be unable to conceive the possibility of happiness to himself, consistently with conduct opposed to the general good, but also that a direct impulse to promote the general good may be in every individual one of the habitual motives of action, and the sentiments connected therewith may fill a large and prominent place in every human being's sentient existence. If the, impugnors of the utilitarian morality represented it to their own minds in this its, true character, I know not what recommendation possessed by any other morality they could possibly affirm to be wanting to it; what more beautiful or more exalted developments of human nature any other ethical system can be supposed to foster, or what springs of action, not accessible to the utilitarian, such systems rely on for giving effect to their mandates.

The objectors to utilitarianism cannot always be charged with representing it in a discreditable light. On the contrary, those among them who entertain anything like a just idea of its disinterested character, sometimes find fault with its standard as being too high for humanity. They say it is exacting too much to require that people shall always act from the inducement of promoting the general interests of society. But this is to mistake the very meaning of a standard of morals, and confound the rule of action with the motive of it. It is the business of ethics to tell us what are our duties, or by what test we may know them; but no system of ethics requires that the sole motive of all we do shall be a feeling of duty; on the contrary, ninety-nine hundredths of all our actions are done from other motives, and rightly so done, if the rule of duty does not condemn them. It is the more unjust to utilitarianism that this particular misapprehension should be made a ground of objection to it, inasmuch as utilitarian moralists have gone beyond almost all others in affirming that the motive has nothing to do with the morality of the action, though much with the worth of the agent. He who saves a fellow creature from drowning does what is morally right, whether his motive be duty, or the hope of being paid for his trouble; he who betrays the friend that trusts him, is guilty of a crime, even if his object be to serve another friend to whom he is under greater obligations.

But to speak only of actions done from the motive of duty, and in direct obedience to principle: it is a misapprehension of the utilitarian mode of thought, to conceive it as implying that people should fix their minds upon so wide a generality as the world, or society at large. The great majority of good actions are intended not for the benefit of the world, but for that of individuals, of which the good of the world is made up; and the thoughts of the most virtuous man need not on these occasions travel beyond the particular persons concerned, except so far as is necessary to assure himself that in benefiting them he is not violating the rights, that is, the legitimate and authorised expectations, of any one else. The multiplication of happiness is, according to the utilitarian ethics, the

object of virtue: the occasions on which any person (except one in a thousand) has it in his power to do this on an extended scale, in other words to be a public benefactor, are but exceptional; and on these occasions alone is he called on to consider public utility; in every other case, private utility, the interest or happiness of some few persons, is all he has to attend to. Those alone the influence of whose actions extends to society in general, need concern themselves habitually about large an object. In the case of abstinences indeed- of things which people forbear to do from moral considerations, though the consequences in the particular case might be beneficial- it would be unworthy of an intelligent agent not to be consciously aware that the action is of a class which, if practised generally, would be generally injurious, and that this is the ground of the obligation to abstain from it. The amount of regard for the public interest implied in this recognition, is no greater than is demanded by every system of morals, for they all enjoin to abstain from whatever is manifestly pernicious to society.

The same considerations dispose of another reproach against the doctrine of utility, founded on a still grosser misconception of the purpose of a standard of morality, and of the very meaning of the words right and wrong. It is often affirmed that utilitarianism renders men cold and unsympathising; that it chills their moral feelings towards individuals; that it makes them regard only the dry and hard consideration of the consequences of actions, not taking into their moral estimate the qualities from which those actions emanate. If the assertion means that they do not allow their judgment respecting the rightness or wrongness of an action to be influenced by their opinion of the qualities of the person who does it, this is a complaint not against utilitarianism, but against having any standard of morality at all; for certainly no known ethical standard decides an action to be good or bad because it is done by a good or a bad man, still less because done by an amiable, a brave, or a benevolent man, or the contrary. These considerations are relevant, not to the estimation of actions, but of persons; and there is nothing in the utilitarian theory inconsistent with the fact that there are other things which interest us in persons besides the rightness and wrongness of their actions. The Stoics, indeed, with the paradoxical misuse of language which was part of their system, and by which they strove to raise themselves above all concern about anything but virtue, were fond of saying that he who has that has everything; that he, and only he, is rich, is beautiful, is a king. But no claim of this description is made for the virtuous man by the utilitarian doctrine. Utilitarians are quite aware that there are other desirable possessions and qualities besides virtue, and are perfectly willing to allow to all of them their full worth. They are also aware that a right action does not necessarily indicate a virtuous character, and that actions which are blamable, often proceed from qualities entitled to praise. When this is apparent in any particular case, it modifies their estimation, not certainly of the act, but of the agent. I grant that they are, notwithstanding, of opinion, that in the long run the best proof of a good character is good actions; and resolutely refuse to consider any mental disposition as good, of which the predominant tendency is to produce bad conduct. This makes them unpopular with many people; but it is an unpopularity which they must share with every one who regards the distinction between right and wrong in a serious light; and the reproach is not one which a conscientious utilitarian need be anxious to repel.

If no more be meant by the objection than that many utilitarians look on the morality of actions, as measured by the utilitarian standard, with too exclusive a regard, and do not lay sufficient stress upon the other beauties of character which go towards making a human being lovable or admirable, this may be admitted. Utilitarians who have cultivated their moral feelings, but not their sympathies nor their artistic perceptions, do fall into this mistake; and so do all other moralists under the same conditions. What can be said in excuse for other moralists is equally available for them, namely, that, if there is to be any error, it is better that it should be on that side. As a matter of fact, we may affirm that among utilitarians as among adherents of other systems, there is every imaginable degree of rigidity and of laxity in the application of their standard: some are even puritanically rigorous, while others are

as indulgent as can possibly be desired by sinner or by sentimentalist. But on the whole, a doctrine which brings prominently forward the interest that mankind have in the repression and prevention of conduct which violates the moral law, is likely to be inferior to no other in turning the sanctions of opinion against such violations. It is true, the question, What does violate the moral law? is one on which those who recognise different standards of morality are likely now and then to differ. But difference of opinion on moral questions was not first introduced into the world by utilitarianism, while that doctrine does supply, if not always an easy, at all events a tangible and intelligible mode of deciding such differences.

It may not be superfluous to notice a few more of the common misapprehensions of utilitarian ethics, even those which are so obvious and gross that it might appear impossible for any person of candour and intelligence to fall into them; since persons, even of considerable mental endowments, often give themselves so little trouble to understand the bearings of any opinion against which they entertain a prejudice, and men are in general so little conscious of this voluntary ignorance as a defect, that the vulgarest misunderstandings of ethical doctrines are continually met with in the deliberate writings of persons of the greatest pretensions both to high principle and to philosophy. We not uncommonly hear the doctrine of utility inveighed against as a godless doctrine. If it be necessary to say anything at all against so mere an assumption, we may say that the question depends upon what idea we have formed of the moral character of the Deity. If it be a true belief that God desires, above all things, the happiness of his creatures, and that this was his purpose in their creation, utility is not only not a godless doctrine, but more profoundly religious than any other. If it be meant that utilitarianism does not recognise the revealed will of God as the supreme law of morals, I answer, that a utilitarian who believes in the perfect goodness and wisdom of God, necessarily believes that whatever God has thought fit to reveal on the subject of morals, must fulfil the requirements of utility in a supreme degree. But others besides utilitarians have been of opinion that the Christian revelation was intended, and is fitted, to inform the hearts and minds of mankind with a spirit which should enable them to find for themselves what is right, and incline them to do it when found, rather than to tell them, except in a very general way, what it is; and that we need a doctrine of ethics, carefully followed out, to interpret to us the will God. Whether this opinion is correct or not, it is superfluous here to discuss; since whatever aid religion, either natural or revealed, can afford to ethical investigation, is as open to the utilitarian moralist as to any other. He can use it as the testimony of God to the usefulness or hurtfulness of any given course of action, by as good a right as others can use it for the indication of a transcendental law, having no connection with usefulness or with happiness.

Again, Utility is often summarily stigmatised as an immoral doctrine by giving it the name of Expediency, and taking advantage of the popular use of that term to contrast it with Principle. But the Expedient, in the sense in which it is opposed to the Right, generally means that which is expedient for the particular interest of the agent himself; as when a minister sacrifices the interests of his country to keep himself in place. When it means anything better than this, it means that which is expedient for some immediate object, some temporary purpose, but which violates a rule whose observance is expedient in a much higher degree. The Expedient, in this sense, instead of being the same thing with the useful, is a branch of the hurtful. Thus, it would often be expedient, for the purpose of getting over some momentary embarrassment, or attaining some object immediately useful to ourselves or others, to tell a lie. But inasmuch as the cultivation in ourselves of a sensitive feeling on the subject of veracity, is one of the most useful, and the enfeeblement of that feeling one of the most hurtful, things to which our conduct can be instrumental; and inasmuch as any, even unintentional, deviation from truth, does that much towards weakening the trustworthiness of human assertion, which is not only the principal support of all present social well-being, but the insufficiency of which does more than any one thing that can be named to

keep back civilisation, virtue, everything on which human happiness on the largest scale depends; we feel that the violation, for a present advantage, of a rule of such transcendent expediency, is not expedient, and that he who, for the sake of a convenience to himself or to some other individual, does what depends on him to deprive mankind of the good, and inflict upon them the evil, involved in the greater or less reliance which they can place in each other's word, acts the part of one of their worst enemies. Yet that even this rule, sacred as it is, admits of possible exceptions, is acknowledged by all moralists; the chief of which is when the withholding of some fact (as of information from a malefactor, or of bad news from a person dangerously ill) would save an individual (especially an individual other than oneself) from great and unmerited evil, and when the withholding can only be effected by denial. But in order that the exception may not extend itself beyond the need, and may have the least possible effect in weakening reliance on veracity, it ought to be recognised, and, if possible, its limits defined; and if the principle of utility is good for anything, it must be good for weighing these conflicting utilities against one another, and marking out the region within which one or the other preponderates.

Again, defenders of utility often find themselves called upon to reply to such objections as this- that there is not time, previous to action, for calculating and weighing the effects of any line of conduct on the general happiness. This is exactly as if any one were to say that it is impossible to guide our conduct by Christianity, because there is not time, on every occasion on which anything has to be done, to read through the Old and New Testaments. The answer to the objection is, that there has been ample time, namely, the whole past duration of the human species. During all that time, mankind have been learning by experience the tendencies of actions; on which experience all the prudence, as well as all the morality of life, are dependent. People talk as if the commencement of this course of experience had hitherto been put off, and as if, at the moment when some man feels tempted to meddle with the property or life of another, he had to begin considering for the first time whether murder and theft are injurious to human happiness. Even then I do not think that he would find the question very puzzling; but, at all events, the matter is now done to his hand.

It is truly a whimsical supposition that, if mankind were agreed in considering utility to be the test of morality, they would remain without any agreement as to what is useful, and would take no measures for having their notions on the subject taught to the young, and enforced by law and opinion. There is no difficulty in proving any ethical standard whatever to work ill, if we suppose universal idiocy to be conjoined with it; but on any hypothesis short of that, mankind must by this time have acquired positive beliefs as to the effects of some actions on their happiness; and the beliefs which have thus come down are the rules of morality for the multitude, and for the philosopher until he has succeeded in finding better. That philosophers might easily do this, even now, on many subjects; that the received code of ethics is by no means of divine right; and that mankind have still much to learn as to the effects of actions on the general happiness, I admit, or rather, earnestly maintain. The corollaries from the principle of utility, like the precepts of every practical art, admit of indefinite improvement, and, in a progressive state of the human mind, their improvement is perpetually going on.

But to consider the rules of morality as improvable, is one thing; to pass over the intermediate generalisations entirely, and endeavour to test each individual action directly by the first principle, is another. It is a strange notion that the acknowledgment of a first principle is inconsistent with the admission of secondary ones. To inform a traveller respecting the place of his ultimate destination, is not to forbid the use of landmarks and direction-posts on the way. The proposition that happiness is the end and aim of morality, does not mean that no road ought to be laid down to that goal, or that persons going thither should not be advised to take one direction rather than another. Men really ought to leave off talking a kind of nonsense on this subject, which they would neither talk nor listen to

on other matters of practical concernment. Nobody argues that the art of navigation is not founded on astronomy, because sailors cannot wait to calculate the Nautical Almanack. Being rational creatures, they go to sea with it ready calculated; and all rational creatures go out upon the sea of life with their minds made up on the common questions of right and wrong, as well as on many of the far more difficult questions of wise and foolish. And this, as long as foresight is a human quality, it is to be presumed they will continue to do. Whatever we adopt as the fundamental principle of morality, we require subordinate principles to apply it by; the impossibility of doing without them, being common to all systems, can afford no argument against any one in particular; but gravely to argue as if no such secondary principles could be had, and as if mankind had remained till now, and always must remain, without drawing any general conclusions from the experience of human life, is as high a pitch, I think, as absurdity has ever reached in philosophical controversy.

The remainder of the stock arguments against utilitarianism mostly consist in laying to its charge the common infirmities of human nature, and the general difficulties which embarrass conscientious persons in shaping their course through life. We are told that a utilitarian will be apt to make his own particular case an exception to moral rules, and, when under temptation, will see a utility in the breach of a rule, greater than he will see in its observance. But is utility the only creed which is able to furnish us with excuses for evil doing, and means of cheating our own conscience? They are afforded in abundance by all doctrines which recognise as a fact in morals the existence of conflicting considerations; which all doctrines do, that have been believed by sane persons. It is not the fault of any creed, but of the complicated nature of human affairs, that rules of conduct cannot be so framed as to require no exceptions, and that hardly any kind of action can safely be laid down as either always obligatory or always condemnable. There is no ethical creed which does not temper the rigidity of its laws, by giving a certain latitude, under the moral responsibility of the agent, for accommodation to peculiarities of circumstances; and under every creed, at the opening thus made, self-deception and dishonest casuistry get in. There exists no moral system under which there do not arise unequivocal cases of conflicting obligation. These are the real difficulties, the knotty points both in the theory of ethics, and in the conscientious guidance of personal conduct. They are overcome practically, with greater or with less success, according to the intellect and virtue of the individual; but it can hardly be pretended that any one will be the less qualified for dealing with them, from possessing an ultimate standard to which conflicting rights and duties can be referred. If utility is the ultimate source of moral obligations, utility may be invoked to decide between them when their demands are incompatible. Though the application of the standard may be difficult, it is better than none at all: while in other systems, the moral laws all claiming independent authority, there is no common umpire entitled to interfere between them; their claims to precedence one over another rest on little better than sophistry, and unless determined, as they generally are, by the unacknowledged influence of considerations of utility, afford a free scope for the action of personal desires and partialities. We must remember that only in these cases of conflict between secondary principles is it requisite that first principles should be appealed to. There is no case of moral obligation in which some secondary principle is not involved; and if only one, there can seldom be any real doubt which one it is, in the mind of any person by whom the principle itself is recognised.

PART VI

Socio-Political Philosophy

Imagine you are on a deserted island with all the resources you need for living a minimally decent life. As the lone inhabitant would it be possible for you do to anything unethical? Let's change the example now. You're on an island that is populated with many others and there are not enough resources needed for everyone to live a minimally decent life. Clearly there are things that may be done that are harmful to others – and the person who is harmed may be you! When we move beyond considering the *prima facie* simple question of what would be good for a solitary figure to the more complex question of wondering what would be the good for all of those who find themselves thrown together, we realize that some people may try to do whatever it takes to survive. Would a rule of “everyone for themselves” be a satisfactory long term solution? What would count as just distribution of the burdens and benefits among the individuals? Should everyone share equally no matter what differences or abilities they have? Should only those who are willing and able to work hard deserve reward? What if the majority of people work together to overthrow or limit the freedoms of others so that they can get more than they need? Socio-political philosophy discusses the roles of individuals and institutions that exist in society from both theoretical and practical perspectives.

Thomas Hobbes – On The Social Contract

Leviathan

The First Part:Of Man

Chapter XIII: Of the Natural Condition of Mankind as Concerning Their Felicity and Misery

Nature hath made men so equal in the faculties of body and mind as that, though there be found one man sometimes manifestly stronger in body or of quicker mind than another, yet when all is reckoned together the difference between man and man is not so considerable as that one man can thereupon claim to himself any benefit to which another may not pretend as well as he. For as to the strength of body, the weakest has strength enough to kill the strongest, either by secret machination or by confederacy with others that are in the same danger with himself.

And as to the faculties of the mind, setting aside the arts grounded upon words, and especially that skill of proceeding upon general and infallible rules, called science, which very few have and but in few things, as being not a native faculty born with us, nor attained, as prudence, while we look after somewhat else, I find yet a greater equality amongst men than that of strength. For prudence is but experience, which equal time equally bestows on all men in those things they equally apply themselves unto. That which may perhaps make such equality incredible is but a vain conceit of one's own wisdom, which almost all men think they have in a greater degree than the vulgar; that is, than all men but themselves, and a few others, whom by fame, or for concurring with themselves, they approve. For such is the nature of men that howsoever they may acknowledge many others to be more witty, or more eloquent or more learned, yet they will hardly believe there be many so wise as themselves; for they see their own wit at hand, and other men's at a distance. But this proveth rather that men are in that point equal, than unequal. For there is not ordinarily a greater sign of the equal distribution of anything than that every man is contented with his share.

From this equality of ability ariseth equality of hope in the attaining of our ends. And therefore if any two men desire the same thing, which nevertheless they cannot both enjoy, they become enemies; and in the way to their end (which is principally their own conservation, and sometimes their delectation only) endeavour to destroy or subdue one another. And from hence it comes to pass that where an invader hath no more to fear than another

man's single power, if one plant, sow, build, or possess a convenient seat, others may probably be expected to come prepared with forces united to dispossess and deprive him, not only of the fruit of his labour, but also of his life or liberty. And the invader again is in the like danger of another.

And from this diffidence of one another, there is no way for any man to secure himself so reasonable as anticipation; that is, by force, or wiles, to master the persons of all men he can so long till he see no other power great enough to endanger him: and this is no more than his own conservation requireth, and is generally allowed. Also, because there be some that, taking pleasure in contemplating their own power in the acts of conquest, which they pursue farther than their security requires, if others, that otherwise would be glad to be at ease within modest bounds, should not by invasion increase their power, they would not be able, long time, by standing only on their defence, to subsist. And by consequence, such augmentation of dominion over men being necessary to a man's conservation, it ought to be allowed him.

Again, men have no pleasure (but on the contrary a great deal of grief) in keeping company where there is no power able to overawe them all. For every man looketh that his companion should value him at the same rate he sets upon himself, and upon all signs of contempt or undervaluing naturally endeavours, as far as he dares (which amongst them that have no common power to keep them in quiet is far enough to make them destroy each other), to extort a greater value from his contemners, by damage; and from others, by the example.

So that in the nature of man, we find three principal causes of quarrel. First, competition; secondly, diffidence; thirdly, glory.

The first maketh men invade for gain; the second, for safety; and the third, for reputation. The first use violence, to make themselves masters of other men's persons, wives, children, and cattle; the second, to defend them; the third, for trifles, as a word, a smile, a different opinion, and any other sign of undervalue, either direct in their persons or by reflection in their kindred, their friends, their nation, their profession, or their name.

Hereby it is manifest that during the time men live without a common power to keep them all in awe, they are in that condition which is called war; and such a war as is of every man against every man. For war consisteth not in battle only, or the act of fighting, but in a tract of time, wherein the will to contend by battle is sufficiently known: and therefore the notion of time is to be considered in the nature of war, as it is in the nature of weather. For as the nature of foul weather lieth not in a shower or two of rain, but in an inclination thereto of many days together: so the nature of war consisteth not in actual fighting, but in the known disposition thereto during all the time there is no assurance to the contrary. All other time is peace.

Whatsoever therefore is consequent to a time of war, where every man is enemy to every man, the same consequent to the time wherein men live without other security than what their own strength and their own invention shall furnish them withal. In such condition there is no place for industry, because the fruit thereof is uncertain: and consequently no culture of the earth; no navigation, nor use of the commodities that may be imported by sea; no commodious building; no instruments of moving and removing such things as require much force; no knowledge of the face of the earth; no account of time; no arts; no letters; no society; and which is worst of all, continual fear, and danger of violent death; and the life of man, solitary, poor, nasty, brutish, and short.

It may seem strange to some man that has not well weighed these things that Nature should thus dissociate and render men apt to invade and destroy one another: and he may therefore, not trusting to this inference, made from the passions, desire perhaps to have the same confirmed by experience. Let him therefore consider with himself:

when taking a journey, he arms himself and seeks to go well accompanied; when going to sleep, he locks his doors; when even in his house he locks his chests; and this when he knows there be laws and public officers, armed, to revenge all injuries shall be done him; what opinion he has of his fellow subjects, when he rides armed; of his fellow citizens, when he locks his doors; and of his children, and servants, when he locks his chests. Does he not there as much accuse mankind by his actions as I do by my words? But neither of us accuse man's nature in it. The desires, and other passions of man, are in themselves no sin. No more are the actions that proceed from those passions till they know a law that forbids them; which till laws be made they cannot know, nor can any law be made till they have agreed upon the person that shall make it.

It may peradventure be thought there was never such a time nor condition of war as this; and I believe it was never generally so, over all the world: but there are many places where they live so now. For the savage people in many places of America, except the government of small families, the concord whereof dependeth on natural lust, have no government at all, and live at this day in that brutish manner, as I said before. Howsoever, it may be perceived what manner of life there would be, where there were no common power to fear, by the manner of life which men that have formerly lived under a peaceful government use to degenerate into a civil war.

But though there had never been any time wherein particular men were in a condition of war one against another, yet in all times kings and persons of sovereign authority, because of their independency, are in continual jealousies, and in the state and posture of gladiators, having their weapons pointing, and their eyes fixed on one another; that is, their forts, garrisons, and guns upon the frontiers of their kingdoms, and continual spies upon their neighbours, which is a posture of war. But because they uphold thereby the industry of their subjects, there does not follow from it that misery which accompanies the liberty of particular men.

To this war of every man against every man, this also is consequent; that nothing can be unjust. The notions of right and wrong, justice and injustice, have there no place. Where there is no common power, there is no law; where no law, no injustice. Force and fraud are in war the two cardinal virtues. Justice and injustice are none of the faculties neither of the body nor mind. If they were, they might be in a man that were alone in the world, as well as his senses and passions. They are qualities that relate to men in society, not in solitude. It is consequent also to the same condition that there be no propriety, no dominion, no mine and thine distinct; but only that to be every man's that he can get, and for so long as he can keep it. And thus much for the ill condition which man by mere nature is actually placed in; though with a possibility to come out of it, consisting partly in the passions, partly in his reason.

The passions that incline men to peace are: fear of death; desire of such things as are necessary to commodious living; and a hope by their industry to obtain them. And reason suggesteth convenient articles of peace upon which men may be drawn to agreement. These articles are they which otherwise are called the laws of nature, whereof I shall speak more particularly in the two following chapters.

Chapter XIV: Of the First and Second Natural Laws, and of Contracts

The right of nature, which writers commonly call *jus naturale*, is the liberty each man hath to use his own power as he will himself for the preservation of his own nature; that is to say, of his own life; and consequently, of doing anything which, in his own judgement and reason, he shall conceive to be the aptest means thereunto.

By liberty is understood, according to the proper signification of the word, the absence of external impediments;

which impediments may oft take away part of a man's power to do what he would, but cannot hinder him from using the power left him according as his judgement and reason shall dictate to him.

A law of nature, *lex naturalis*, is a precept, or general rule, found out by reason, by which a man is forbidden to do that which is destructive of his life, or taketh away the means of preserving the same, and to omit that by which he thinketh it may be best preserved. For though they that speak of this subject use to confound *jus* and *lex*, right and law, yet they ought to be distinguished, because right consisteth in liberty to do, or to forbear; whereas law determineth and bindeth to one of them: so that law and right differ as much as obligation and liberty, which in one and the same matter are inconsistent.

And because the condition of man (as hath been declared in the precedent chapter) is a condition of war of every one against every one, in which case every one is governed by his own reason, and there is nothing he can make use of that may not be a help unto him in preserving his life against his enemies; it followeth that in such a condition every man has a right to every thing, even to one another's body. And therefore, as long as this natural right of every man to every thing endureth, there can be no security to any man, how strong or wise soever he be, of living out the time which nature ordinarily alloweth men to live.

And consequently it is a precept, or general rule of reason: that every man ought to endeavour peace, as far as he has hope of obtaining it; and when he cannot obtain it, that he may seek and use all helps and advantages of war. The first branch of which rule containeth the first and fundamental law of nature, which is: to seek peace and follow it. The second, the sum of the right of nature, which is: by all means we can to defend ourselves.

From this fundamental law of nature, by which men are commanded to endeavour peace, is derived this second law: that a man be willing, when others are so too, as far forth as for peace and defence of himself he shall think it necessary, to lay down this right to all things; and be contented with so much liberty against other men as he would allow other men against himself. For as long as every man holdeth this right, of doing anything he liketh; so long are all men in the condition of war. But if other men will not lay down their right, as well as he, then there is no reason for anyone to divest himself of his: for that were to expose himself to prey, which no man is bound to, rather than to dispose himself to peace. This is that law of the gospel: Whatsoever you require that others should do to you, that do ye to them. And that law of all men, *quod tibi fieri non vis, alteri ne feceris*.

To lay down a man's right to anything is to divest himself of the liberty of hindering another of the benefit of his own right to the same. For he that renounceth or passeth away his right giveth not to any other man a right which he had not before, because there is nothing to which every man had not right by nature, but only standeth out of his way that he may enjoy his own original right without hindrance from him, not without hindrance from another. So that the effect which redoundeth to one man by another man's defect of right is but so much diminution of impediments to the use of his own right original.

Right is laid aside, either by simply renouncing it, or by transferring it to another. By simply renouncing, when he cares not to whom the benefit thereof redoundeth. By transferring, when he intendeth the benefit thereof to some certain person or persons. And when a man hath in either manner abandoned or granted away his right, then is he said to be obliged, or bound, not to hinder those to whom such right is granted, or abandoned, from the benefit of it: and that he ought, and it is duty, not to make void that voluntary act of his own: and that such hindrance is injustice, and injury, as being *sine jure*; the right being before renounced or transferred. So that injury or injustice, in the controversies of the world, is somewhat like to that which in the disputations of scholars is called absurdity.

For as it is there called an absurdity to contradict what one maintained in the beginning; so in the world it is called injustice, and injury voluntarily to undo that which from the beginning he had voluntarily done. The way by which a man either simply renounceth or transferreth his right is a declaration, or signification, by some voluntary and sufficient sign, or signs, that he doth so renounce or transfer, or hath so renounced or transferred the same, to him that accepteth it. And these signs are either words only, or actions only; or, as it happeneth most often, both words and actions. And the same are the bonds, by which men are bound and obliged: bonds that have their strength, not from their own nature (for nothing is more easily broken than a man's word), but from fear of some evil consequence upon the rupture.

Whensoever a man transferreth his right, or renounceth it, it is either in consideration of some right reciprocally transferred to himself, or for some other good he hopeth for thereby. For it is a voluntary act: and of the voluntary acts of every man, the object is some good to himself. And therefore there be some rights which no man can be understood by any words, or other signs, to have abandoned or transferred. As first a man cannot lay down the right of resisting them that assault him by force to take away his life, because he cannot be understood to aim thereby at any good to himself. The same may be said of wounds, and chains, and imprisonment, both because there is no benefit consequent to such patience, as there is to the patience of suffering another to be wounded or imprisoned, as also because a man cannot tell when he seeth men proceed against him by violence whether they intend his death or not. And lastly the motive and end for which this renouncing and transferring of right is introduced is nothing else but the security of a man's person, in his life, and in the means of so preserving life as not to be weary of it. And therefore if a man by words, or other signs, seem to despoil himself of the end for which those signs were intended, he is not to be understood as if he meant it, or that it was his will, but that he was ignorant of how such words and actions were to be interpreted.

The mutual transferring of right is that which men call contract.

There is difference between transferring of right to the thing, and transferring or tradition, that is, delivery of the thing itself. For the thing may be delivered together with the translation of the right, as in buying and selling with ready money, or exchange of goods or lands, and it may be delivered some time after.

Again, one of the contractors may deliver the thing contracted for on his part, and leave the other to perform his part at some determinate time after, and in the meantime be trusted; and then the contract on his part is called pact, or covenant: or both parts may contract now to perform hereafter, in which cases he that is to perform in time to come, being trusted, his performance is called keeping of promise, or faith, and the failing of performance, if it be voluntary, violation of faith.

When the transferring of right is not mutual, but one of the parties transferreth in hope to gain thereby friendship or service from another, or from his friends; or in hope to gain the reputation of charity, or magnanimity; or to deliver his mind from the pain of compassion; or in hope of reward in heaven; this is not contract, but gift, free gift, grace: which words signify one and the same thing.

Signs of contract are either express or by inference. Express are words spoken with understanding of what they signify: and such words are either of the time present or past; as, I give, I grant, I have given, I have granted, I will that this be yours: or of the future; as, I will give, I will grant, which words of the future are called promise.

Signs by inference are sometimes the consequence of words; sometimes the consequence of silence; sometimes

the consequence of actions; sometimes the consequence of forbearing an action: and generally a sign by inference, of any contract, is whatsoever sufficiently argues the will of the contractor.

Words alone, if they be of the time to come, and contain a bare promise, are an insufficient sign of a free gift and therefore not obligatory. For if they be of the time to come, as, tomorrow I will give, they are a sign I have not given yet, and consequently that my right is not transferred, but remaineth till I transfer it by some other act. But if the words be of the time present, or past, as, I have given, or do give to be delivered tomorrow, then is my tomorrow's right given away today; and that by the virtue of the words, though there were no other argument of my will. And there is a great difference in the signification of these words, *volo hoc tuum esse cras*, and *cras dabo*; that is, between I will that this be thine tomorrow, and, I will give it thee tomorrow: for the word I will, in the former manner of speech, signifies an act of the will present; but in the latter, it signifies a promise of an act of the will to come: and therefore the former words, being of the present, transfer a future right; the latter, that be of the future, transfer nothing. But if there be other signs of the will to transfer a right besides words; then, though the gift be free, yet may the right be understood to pass by words of the future: as if a man propound a prize to him that comes first to the end of a race, the gift is free; and though the words be of the future, yet the right passeth: for if he would not have his words so be understood, he should not have let them run.

In contracts the right passeth, not only where the words are of the time present or past, but also where they are of the future, because all contract is mutual translation, or change of right; and therefore he that promiseth only, because he hath already received the benefit for which he promiseth, is to be understood as if he intended the right should pass: for unless he had been content to have his words so understood, the other would not have performed his part first. And for that cause, in buying, and selling, and other acts of contract, a promise is equivalent to a covenant, and therefore obligatory.

He that performeth first in the case of a contract is said to merit that which he is to receive by the performance of the other, and he hath it as due. Also when a prize is propounded to many, which is to be given to him only that winneth, or money is thrown amongst many to be enjoyed by them that catch it; though this be a free gift, yet so to win, or so to catch, is to merit, and to have it as due. For the right is transferred in the propounding of the prize, and in throwing down the money, though it be not determined to whom, but by the event of the contention. But there is between these two sorts of merit this difference, that in contract I merit by virtue of my own power and the contractor's need, but in this case of free gift I am enabled to merit only by the benignity of the giver: in contract I merit at the contractor's hand that he should depart with his right; in this case of gift, I merit not that the giver should part with his right, but that when he has parted with it, it should be mine rather than another's. And this I think to be the meaning of that distinction of the Schools between *meritum congrui* and *meritum condigni*. For God Almighty, having promised paradise to those men, hoodwinked with carnal desires, that can walk through this world according to the precepts and limits prescribed by him, they say he that shall so walk shall merit paradise *ex congruo*. But because no man can demand a right to it by his own righteousness, or any other power in himself, but by the free grace of God only, they say no man can merit paradise *ex condigno*. This, I say, I think is the meaning of that distinction; but because disputers do not agree upon the signification of their own terms of art longer than it serves their turn, I will not affirm anything of their meaning: only this I say; when a gift is given indefinitely, as a prize to be contended for, he that winneth meriteth, and may claim the prize as due.

If a covenant be made wherein neither of the parties perform presently, but trust one another, in the condition of mere nature (which is a condition of war of every man against every man) upon any reasonable suspicion, it is

void: but if there be a common power set over them both, with right and force sufficient to compel performance, it is not void. For he that performeth first has no assurance the other will perform after, because the bonds of words are too weak to bridle men's ambition, avarice, anger, and other passions, without the fear of some coercive power; which in the condition of mere nature, where all men are equal, and judges of the justness of their own fears, cannot possibly be supposed. And therefore he which performeth first does but betray himself to his enemy, contrary to the right he can never abandon of defending his life and means of living.

But in a civil estate, where there is a power set up to constrain those that would otherwise violate their faith, that fear is no more reasonable; and for that cause, he which by the covenant is to perform first is obliged so to do.

The cause of fear, which maketh such a covenant invalid, must be always something arising after the covenant made, as some new fact or other sign of the will not to perform, else it cannot make the covenant void. For that which could not hinder a man from promising ought not to be admitted as a hindrance of performing.

He that transferreth any right transferreth the means of enjoying it, as far as lieth in his power. As he that selleth land is understood to transfer the herbage and whatsoever grows upon it; nor can he that sells a mill turn away the stream that drives it. And they that give to a man the right of government in sovereignty are understood to give him the right of levying money to maintain soldiers, and of appointing magistrates for the administration of justice.

To make covenants with brute beasts is impossible, because not understanding our speech, they understand not, nor accept of any translation of right, nor can translate any right to another: and without mutual acceptation, there is no covenant.

To make covenant with God is impossible but by mediation of such as God speaketh to, either by revelation supernatural or by His lieutenants that govern under Him and in His name: for otherwise we know not whether our covenants be accepted or not. And therefore they that vow anything contrary to any law of nature, vow in vain, as being a thing unjust to pay such vow. And if it be a thing commanded by the law of nature, it is not the vow, but the law that binds them.

The matter or subject of a covenant is always something that falleth under deliberation, for to covenant is an act of the will; that is to say, an act, and the last act, of deliberation; and is therefore always understood to be something to come, and which judged possible for him that covenanteth to perform.

And therefore, to promise that which is known to be impossible is no covenant. But if that prove impossible afterwards, which before was thought possible, the covenant is valid and bindeth, though not to the thing itself, yet to the value; or, if that also be impossible, to the unfeigned endeavour of performing as much as is possible, for to more no man can be obliged.

Men are freed of their covenants two ways; by performing, or by being forgiven. For performance is the natural end of obligation, and forgiveness the restitution of liberty, as being a retransferring of that right in which the obligation consisted.

Covenants entered into by fear, in the condition of mere nature, are obligatory. For example, if I covenant to pay a ransom, or service for my life, to an enemy, I am bound by it. For it is a contract, wherein one receiveth the benefit of life; the other is to receive money, or service for it, and consequently, where no other law (as in the

condition of mere nature) forbiddeth the performance, the covenant is valid. Therefore prisoners of war, if trusted with the payment of their ransom, are obliged to pay it: and if a weaker prince make a disadvantageous peace with a stronger, for fear, he is bound to keep it; unless (as hath been said before) there ariseth some new and just cause of fear to renew the war. And even in Commonwealths, if I be forced to redeem myself from a thief by promising him money, I am bound to pay it, till the civil law discharge me. For whatsoever I may lawfully do without obligation, the same I may lawfully covenant to do through fear: and what I lawfully covenant, I cannot lawfully break.

A former covenant makes void a later. For a man that hath passed away his right to one man today hath it not to pass tomorrow to another: and therefore the later promise passeth no right, but is null.

A covenant not to defend myself from force, by force, is always void. For (as I have shown before) no man can transfer or lay down his right to save himself from death, wounds, and imprisonment, the avoiding whereof is the only end of laying down any right; and therefore the promise of not resisting force, in no covenant transferreth any right, nor is obliging. For though a man may covenant thus, unless I do so, or so, kill me; he cannot covenant thus, unless I do so, or so, I will not resist you when you come to kill me. For man by nature chooseth the lesser evil, which is danger of death in resisting, rather than the greater, which is certain and present death in not resisting. And this is granted to be true by all men, in that they lead criminals to execution, and prison, with armed men, notwithstanding that such criminals have consented to the law by which they are condemned.

A covenant to accuse oneself, without assurance of pardon, is likewise invalid. For in the condition of nature where every man is judge, there is no place for accusation: and in the civil state the accusation is followed with punishment, which, being force, a man is not obliged not to resist. The same is also true of the accusation of those by whose condemnation a man falls into misery; as of a father, wife, or benefactor. For the testimony of such an accuser, if it be not willingly given, is presumed to be corrupted by nature, and therefore not to be received: and where a man's testimony is not to be credited, he is not bound to give it. Also accusations upon torture are not to be reputed as testimonies. For torture is to be used but as means of conjecture, and light, in the further examination and search of truth: and what is in that case confessed tendeth to the ease of him that is tortured, not to the informing of the torturers, and therefore ought not to have the credit of a sufficient testimony: for whether he deliver himself by true or false accusation, he does it by the right of preserving his own life.

The force of words being (as I have formerly noted) too weak to hold men to the performance of their covenants, there are in man's nature but two imaginable helps to strengthen it. And those are either a fear of the consequence of breaking their word, or a glory or pride in appearing not to need to break it. This latter is a generosity too rarely found to be presumed on, especially in the pursuers of wealth, command, or sensual pleasure, which are the greatest part of mankind. The passion to be reckoned upon is fear; whereof there be two very general objects: one, the power of spirits invisible; the other, the power of those men they shall therein offend. Of these two, though the former be the greater power, yet the fear of the latter is commonly the greater fear. The fear of the former is in every man his own religion, which hath place in the nature of man before civil society. The latter hath not so; at least not place enough to keep men to their promises, because in the condition of mere nature, the inequality of power is not discerned, but by the event of battle. So that before the time of civil society, or in the interruption thereof by war, there is nothing can strengthen a covenant of peace agreed on against the temptations of avarice, ambition, lust, or other strong desire, but the fear of that invisible power which they every one worship as God, and fear as a revenger of their perfidy. All therefore that can be done between two men not subject to civil power is to put one another to swear by the God he feareth: which swearing, or oath, is a form of speech, added to a

promise, by which he that promiseth signifieth that unless he perform he renounceth the mercy of his God, or calleth to him for vengeance on himself. Such was the heathen form, Let Jupiter kill me else, as I kill this beast. So is our form, I shall do thus, and thus, so help me God. And this, with the rites and ceremonies which every one useth in his own religion, that the fear of breaking faith might be the greater.

By this it appears that an oath taken according to any other form, or rite, than his that sweareth is in vain and no oath, and that there is no swearing by anything which the swearer thinks not God. For though men have sometimes used to swear by their kings, for fear, or flattery; yet they would have it thereby understood they attributed to them divine honour. And that swearing unnecessarily by God is but profaning of his name: and swearing by other things, as men do in common discourse, is not swearing, but an impious custom, gotten by too much vehemence of talking.

It appears also that the oath adds nothing to the obligation. For a covenant, if lawful, binds in the sight of God, without the oath, as much as with it; if unlawful, bindeth not at all, though it be confirmed with an oath.

Chapter XV: Of Other Laws of Nature

From that law of nature by which we are obliged to transfer to another such rights as, being retained, hinder the peace of mankind, there followeth a third; which is this: that men perform their covenants made; without which covenants are in vain, and but empty words; and the right of all men to all things remaining, we are still in the condition of war.

And in this law of nature consisteth the fountain and original of justice. For where no covenant hath preceded, there hath no right been transferred, and every man has right to everything and consequently, no action can be unjust. But when a covenant is made, then to break it is unjust and the definition of injustice is no other than the not performance of covenant. And whatsoever is not unjust is just.

But because covenants of mutual trust, where there is a fear of not performance on either part (as hath been said in the former chapter), are invalid, though the original of justice be the making of covenants, yet injustice actually there can be none till the cause of such fear be taken away; which, while men are in the natural condition of war, cannot be done. Therefore before the names of just and unjust can have place, there must be some coercive power to compel men equally to the performance of their covenants, by the terror of some punishment greater than the benefit they expect by the breach of their covenant, and to make good that propriety which by mutual contract men acquire in recompense of the universal right they abandon: and such power there is none before the erection of a Commonwealth. And this is also to be gathered out of the ordinary definition of justice in the Schools, for they say that justice is the constant will of giving to every man his own. And therefore where there is no own, that is, no propriety, there is no injustice; and where there is no coercive power erected, that is, where there is no Commonwealth, there is no propriety, all men having right to all things: therefore where there is no Commonwealth, there nothing is unjust. So that the nature of justice consisteth in keeping of valid covenants, but the validity of covenants begins not but with the constitution of a civil power sufficient to compel men to keep them: and then it is also that propriety begins.

The fool hath said in his heart, there is no such thing as justice, and sometimes also with his tongue, seriously alleging that every man's conservation and contentment being committed to his own care, there could be no reason why every man might not do what he thought conduced thereunto: and therefore also to make, or not make; keep,

or not keep, covenants was not against reason when it conduced to one's benefit. He does not therein deny that there be covenants; and that they are sometimes broken, sometimes kept; and that such breach of them may be called injustice, and the observance of them justice: but he questioneth whether injustice, taking away the fear of God (for the same fool hath said in his heart there is no God), may not sometimes stand with that reason which dictateth to every man his own good; and particularly then, when it conduceth to such a benefit as shall put a man in a condition to neglect not only the dispraise and revilings, but also the power of other men. The kingdom of God is gotten by violence: but what if it could be gotten by unjust violence? Were it against reason so to get it, when it is impossible to receive hurt by it? And if it be not against reason, it is not against justice: or else justice is not to be approved for good. From such reasoning as this, successful wickedness hath obtained the name of virtue: and some that in all other things have disallowed the violation of faith, yet have allowed it when it is for the getting of a kingdom. And the heathen that believed that Saturn was deposed by his son Jupiter believed nevertheless the same Jupiter to be the avenger of injustice, somewhat like to a piece of law in Coke's Commentaries on Littleton; where he says if the right heir of the crown be attainted of treason, yet the crown shall descend to him, and eo instante the attainder be void: from which instances a man will be very prone to infer that when the heir apparent of a kingdom shall kill him that is in possession, though his father, you may call it injustice, or by what other name you will; yet it can never be against reason, seeing all the voluntary actions of men tend to the benefit of themselves; and those actions are most reasonable that conduce most to their ends. This specious reasoning is nevertheless false.

For the question is not of promises mutual, where there is no security of performance on either side, as when there is no civil power erected over the parties promising; for such promises are no covenants: but either where one of the parties has performed already, or where there is a power to make him perform, there is the question whether it be against reason; that is, against the benefit of the other to perform, or not. And I say it is not against reason. For the manifestation whereof we are to consider; first, that when a man doth a thing, which notwithstanding anything can be foreseen and reckoned on tendeth to his own destruction, howsoever some accident, which he could not expect, arriving may turn it to his benefit; yet such events do not make it reasonably or wisely done. Secondly, that in a condition of war, wherein every man to every man, for want of a common power to keep them all in awe, is an enemy, there is no man can hope by his own strength, or wit, to himself from destruction without the help of confederates; where every one expects the same defence by the confederation that any one else does: and therefore he which declares he thinks it reason to deceive those that help him can in reason expect no other means of safety than what can be had from his own single power. He, therefore, that breaketh his covenant, and consequently declareth that he thinks he may with reason do so, cannot be received into any society that unite themselves for peace and defence but by the error of them that receive him; nor when he is received be retained in it without seeing the danger of their error; which errors a man cannot reasonably reckon upon as the means of his security: and therefore if he be left, or cast out of society, he perisheth; and if he live in society, it is by the errors of other men, which he could not foresee nor reckon upon, and consequently against the reason of his preservation; and so, as all men that contribute not to his destruction forbear him only out of ignorance of what is good for themselves.

As for the instance of gaining the secure and perpetual felicity of heaven by any way, it is frivolous; there being but one way imaginable, and that is not breaking, but keeping of covenant.

And for the other instance of attaining sovereignty by rebellion; it is manifest that, though the event follow, yet because it cannot reasonably be expected, but rather the contrary, and because by gaining it so, others are taught

to gain the same in like manner, the attempt thereof is against reason. Justice therefore, that is to say, keeping of covenant, is a rule of reason by which we are forbidden to do anything destructive to our life, and consequently a law of nature.

There be some that proceed further and will not have the law of nature to be those rules which conduce to the preservation of man's life on earth, but to the attaining of an eternal felicity after death; to which they think the breach of covenant may conduce, and consequently be just and reasonable; such are they that think it a work of merit to kill, or depose, or rebel against the sovereign power constituted over them by their own consent. But because there is no natural knowledge of man's estate after death, much less of the reward that is then to be given to breach of faith, but only a belief grounded upon other men's saying that they know it supernaturally or that they know those that knew them that knew others that knew it supernaturally, breach of faith cannot be called a precept of reason or nature.

Others, that allow for a law of nature the keeping of faith, do nevertheless make exception of certain persons; as heretics, and such as use not to perform their covenant to others; and this also is against reason. For if any fault of a man be sufficient to discharge our covenant made, the same ought in reason to have been sufficient to have hindered the making of it.

The names of just and unjust when they are attributed to men, signify one thing, and when they are attributed to actions, another. When they are attributed to men, they signify conformity, or inconformity of manners, to reason. But when they are attributed to action they signify the conformity, or inconformity to reason, not of manners, or manner of life, but of particular actions. A just man therefore is he that taketh all the care he can that his actions may be all just; and an unjust man is he that neglecteth it. And such men are more often in our language styled by the names of righteous and unrighteous than just and unjust though the meaning be the same. Therefore a righteous man does not lose that title by one or a few unjust actions that proceed from sudden passion, or mistake of things or persons, nor does an unrighteous man lose his character for such actions as he does, or forbears to do, for fear: because his will is not framed by the justice, but by the apparent benefit of what he is to do. That which gives to human actions the relish of justice is a certain nobleness or gallantness of courage, rarely found, by which a man scorns to be beholding for the contentment of his life to fraud, or breach of promise. This justice of the manners is that which is meant where justice is called a virtue; and injustice, a vice.

But the justice of actions denominates men, not just, but guiltless: and the injustice of the same (which is also called injury) gives them but the name of guilty.

Again, the injustice of manners is the disposition or aptitude to do injury, and is injustice before it proceed to act, and without supposing any individual person injured. But the injustice of an action (that is to say, injury) supposeth an individual person injured; namely him to whom the covenant was made: and therefore many times the injury is received by one man when the damage redoundeth to another. As when the master commandeth his servant to give money to stranger; if it be not done, the injury is done to the master, whom he had before covenanted to obey; but the damage redoundeth to the stranger, to whom he had no obligation, and therefore could not injure him. And so also in Commonwealths private men may remit to one another their debts, but not robberies or other violences, whereby they are endamaged; because the detaining of debt is an injury to themselves, but robbery and violence are injuries to the person of the Commonwealth.

Whatsoever is done to a man, conformable to his own will signified to the doer, is not injury to him. For if he

that doeth it hath not passed away his original right to do what he please by some antecedent covenant, there is no breach of covenant, and therefore no injury done him. And if he have, then his will to have it done, being signified, is a release of that covenant, and so again there is no injury done him.

Justice of actions is by writers divided into commutative and distributive: and the former they say consisteth in proportion arithmetical; the latter in proportion geometrical. Commutative, therefore, they place in the equality of value of the things contracted for; and distributive, in the distribution of equal benefit to men of equal merit. As if it were injustice to sell dearer than we buy, or to give more to a man than he merits. The value of all things contracted for is measured by the appetite of the contractors, and therefore the just value is that which they be contented to give. And merit (besides that which is by covenant, where the performance on one part meriteth the performance of the other part, and falls under justice commutative, not distributive) is not due by justice, but is rewarded of grace only. And therefore this distinction, in the sense wherein it useth to be expounded, is not right. To speak properly, commutative justice is the justice of a contractor; that is, a performance of covenant in buying and selling, hiring and letting to hire, lending and borrowing, exchanging, bartering, and other acts of contract.

And distributive justice, the justice of an arbitrator; that is to say, the act of defining what is just. Wherein, being trusted by them that make him arbitrator, if he perform his trust, he is said to distribute to every man his own: and this is indeed just distribution, and may be called, though improperly, distributive justice, but more properly equity, which also is a law of nature, as shall be shown in due place.

As justice dependeth on antecedent covenant; so does gratitude depend on antecedent grace; that is to say, antecedent free gift; and is the fourth law of nature, which may be conceived in this form: that a man which receiveth benefit from another of mere grace endeavour that he which giveth it have no reasonable cause to repent him of his good will. For no man giveth but with intention of good to himself, because gift is voluntary; and of all voluntary acts, the object is to every man his own good; of which if men see they shall be frustrated, there will be no beginning of benevolence or trust, nor consequently of mutual help, nor of reconciliation of one man to another; and therefore they are to remain still in the condition of war, which is contrary to the first and fundamental law of nature which commandeth men to seek peace. The breach of this law is called ingratitude, and hath the same relation to grace that injustice hath to obligation by covenant.

A fifth law of nature is complaisance; that is to say, that every man strive to accommodate himself to the rest. For the understanding whereof we may consider that there is in men's aptness to society a diversity of nature, rising from their diversity of affections, not unlike to that we see in stones brought together for building of an edifice. For as that stone which by the asperity and irregularity of figure takes more room from others than itself fills, and for hardness cannot be easily made plain, and thereby hindereth the building, is by the builders cast away as unprofitable and troublesome: so also, a man that by asperity of nature will strive to retain those things which to himself are superfluous, and to others necessary, and for the stubbornness of his passions cannot be corrected, is to be left or cast out of society as cumbersome thereunto. For seeing every man, not only by right, but also by necessity of nature, is supposed to endeavour all he can to obtain that which is necessary for his conservation, he that shall oppose himself against it for things superfluous is guilty of the war that thereupon is to follow, and therefore doth that which is contrary to the fundamental law of nature, which commandeth to seek peace. The observers of this law may be called sociable, (the Latins call them *commodi*); the contrary, stubborn, insociable, forward, intractable.

A sixth law of nature is this: that upon caution of the future time, a man ought to pardon the offences past of them

that, repenting, desire it. For pardon is nothing but granting of peace; which though granted to them that persevere in their hostility, be not peace, but fear; yet not granted to them that give caution of the future time is sign of an aversion to peace, and therefore contrary to the law of nature.

A seventh is: that in revenges (that is, retribution of evil for evil), men look not at the greatness of the evil past, but the greatness of the good to follow. Whereby we are forbidden to inflict punishment with any other design than for correction of the offender, or direction of others. For this law is consequent to the next before it, that commandeth pardon upon security of the future time. Besides, revenge without respect to the example and profit to come is a triumph, or glorying in the hurt of another, tending to no end (for the end is always somewhat to come); and glorying to no end is vain-glory, and contrary to reason; and to hurt without reason tendeth to the introduction of war, which is against the law of nature, and is commonly styled by the name of cruelty.

And because all signs of hatred, or contempt, provoke to fight; insomuch as most men choose rather to hazard their life than not to be revenged, we may in the eighth place, for a law of nature, set down this precept: that no man by deed, word, countenance, or gesture, declare hatred or contempt of another. The breach of which law is commonly called contumely.

The question who is the better man has no place in the condition of mere nature, where (as has been shown before) all men are equal. The inequality that now is has been introduced by the laws civil. I know that Aristotle in the first book of his Politics, for a foundation of his doctrine, maketh men by nature, some more worthy to command, meaning the wiser sort, such as he thought himself to be for his philosophy; others to serve, meaning those that had strong bodies, but were not philosophers as he; as master and servant were not introduced by consent of men, but by difference of wit: which is not only against reason, but also against experience. For there are very few so foolish that had not rather govern themselves than be governed by others: nor when the wise, in their own conceit, contend by force with them who distrust their own wisdom, do they always, or often, or almost at any time, get the victory. If nature therefore have made men equal, that equality is to be acknowledged: or if nature have made men unequal, yet because men that think themselves equal will not enter into conditions of peace, but upon equal terms, such equality must be admitted. And therefore for the ninth law of nature, I put this: that every man acknowledge another for his equal by nature. The breach of this precept is pride. On this law dependeth another: that at the entrance into conditions of peace, no man require to reserve to himself any right which he is not content should be reserved to every one of the rest. As it is necessary for all men that seek peace to lay down certain rights of nature; that is to say, not to have liberty to do all they list, so is it necessary for man's life to retain some: as right to govern their own bodies; enjoy air, water, motion, ways to go from place to place; and all things else without which a man cannot live, or not live well. If in this case, at the making of peace, men require for themselves that which they would not have to be granted to others, they do contrary to the precedent law that commandeth the acknowledgement of natural equality, and therefore also against the law of nature. The observers of this law are those we call modest, and the breakers arrogant men. The Greeks call the violation of this law *pleonexia*; that is, a desire of more than their share.

Also, if a man he trusted to judge between man and man, it is a precept of the law of nature that he deal equally between them. For without that, the controversies of men cannot be determined but by war. He therefore that is partial in judgement, doth what in him lies to deter men from the use of judges and arbitrators, and consequently, against the fundamental law of nature, is the cause of war.

The observance of this law, from the equal distribution to each man of that which in reason belonged to him, is called equity, and (as I have said before) distributive justice: the violation, acception of persons, prosopolepsia.

And from this followeth another law: that such things as cannot be divided be enjoyed in common, if it can be; and if the quantity of the thing permit, without stint; otherwise proportionably to the number of them that have right. For otherwise the distribution is unequal, and contrary to equity.

But some things there be that can neither be divided nor enjoyed in common. Then, the law of nature which prescribeth equity requireth: that the entire right, or else (making the use alternate) the first possession, be determined by lot. For equal distribution is of the law of nature; and other means of equal distribution cannot be imagined. OF lots there be two sorts, arbitrary and natural. Arbitrary is that which is agreed on by the competitors; natural is either primogeniture (which the Greek calls kleronomia, which signifies, given by lot), or first seizure.

And therefore those things which cannot be enjoyed in common, nor divided, ought to be adjudged to the first possessor; and in some cases to the first born, as acquired by lot.

It is also a law of nature: that all men that mediate peace be allowed safe conduct. For the law that commandeth peace, as the end, commandeth intercession, as the means; and to intercession the means is safe conduct.

And because, though men be never so willing to observe these laws, there may nevertheless arise questions concerning a man's action; first, whether it were done, or not done; secondly, if done, whether against the law, or not against the law; the former whereof is called a question of fact, the latter a question of right; therefore unless the parties to the question covenant mutually to stand to the sentence of another, they are as far from peace as ever. This other, to whose sentence they submit, is called an arbitrator. And therefore it is of the law of nature that they that are at controversy submit their right to the judgement of an arbitrator.

And seeing every man is presumed to do all things in order to his own benefit, no man is a fit arbitrator in his own cause: and if he were never so fit, yet equity allowing to each party equal benefit, if one be admitted to be judge, the other is to be admitted also; and so the controversy, that is, the cause of war, remains, against the law of nature.

For the same reason no man in any cause ought to be received for arbitrator to whom greater profit, or honour, or pleasure apparently ariseth out of the victory of one party than of the other: for he hath taken, though an unavoidable bribe, yet a bribe; and no man can be obliged to trust him. And thus also the controversy and the condition of war remaineth, contrary to the law of nature.

And in a controversy of fact, the judge being to give no more credit to one than to the other, if there be no other arguments, must give credit to a third; or to a third and fourth; or more: for else the question is undecided, and left to force, contrary to the law of nature.

These are the laws of nature, dictating peace, for a means of the conservation of men in multitudes; and which only concern the doctrine of civil society. There be other things tending to the destruction of particular men; as drunkenness, and all other parts of intemperance, which may therefore also be reckoned amongst those things which the law of nature hath forbidden, but are not necessary to be mentioned, nor are pertinent enough to this place.

And though this may seem too subtle a deduction of the laws of nature to be taken notice of by all men, whereof the most part are too busy in getting food, and the rest too negligent to understand; yet to leave all men inexcusable, they have been contracted into one easy sum, intelligible even to the meanest capacity; and that is: Do not that to another which thou wouldest not have done to thyself, which showeth him that he has no more to do in learning the laws of nature but, when weighing the actions of other men with his own they seem too heavy, to put them into the other part of the balance, and his own into their place, that his own passions and self-love may add nothing to the weight; and then there is none of these laws of nature that will not appear unto him very reasonable.

John Locke – On Property and the Formation of Societies

The Second Treatise of Government

BOOK II.

Chap. V. *Of Property*

25. Whether we consider natural reason, which tells us, that men, being once born, have a right to their preservation, and consequently to meat and drink, and such other things as nature affords for their subsistence: or revelation, which gives us an account of those grants God made of the world to Adam, and to Noah, and his sons, it is very clear, that God, as king David says, Psal. cxv. 16. has given the earth to the children of men; given it to mankind in common. But this being supposed, it seems to some a very great difficulty, how any one should ever come to have a property in any thing: I will not content myself to answer, that if it be difficult to make out property, upon a supposition that God gave the world to Adam, and his posterity in common, it is impossible that any man, but one universal monarch, should have any property upon a supposition, that God gave the world to Adam, and his heirs in succession, exclusive of all the rest of his posterity. But I shall endeavour to shew, how men might come to have a property in several parts of that which God gave to mankind in common, and that without any express compact of all the commoners.

26. God, who hath given the world to men in common, hath also given them reason to make use of it to the best advantage of life, and convenience. The earth, and all that is therein, is given to men for the support and comfort of their being. And tho' all the fruits it naturally produces, and beasts it feeds, belong to mankind in common, as they are produced by the spontaneous hand of nature; and no body has originally a private dominion, exclusive of the rest of mankind, in any of them, as they are thus in their natural state: yet being given for the use of men, there must of necessity be a means to appropriate them some way or other, before they can be of any use, or at all beneficial to any particular man. The fruit, or venison, which nourishes the wild Indian, who knows no enclosure, and is still a tenant in common, must be his, and so his, i.e. a part of him, that another can no longer have any right to it, before it can do him any good for the support of his life.

27. Though the earth, and all inferior creatures, be common to all men, yet every man has a property in his own person: this no body has any right to but himself. The labour of his body, and the work of his hands, we may say,

are properly his. Whatsoever then he removes out of the state that nature hath provided, and left it in, he hath mixed his labour with, and joined to it something that is his own, and thereby makes it his property. It being by him removed from the common state nature hath placed it in, it hath by this labour something annexed to it, that excludes the common right of other men: for this labour being the unquestionable property of the labourer, no man but he can have a right to what that is once joined to, at least where there is enough, and as good, left in common for others.

28. He that is nourished by the acorns he picked up under an oak, or the apples he gathered from the trees in the wood, has certainly appropriated them to himself. No body can deny but the nourishment is his. I ask then, when did they begin to be his? when he digested? or when he eat? or when he boiled? or when he brought them home? or when he picked them up? and it is plain, if the first gathering made them not his, nothing else could. That labour put a distinction between them and common: that added something to them more than nature, the common mother of all, had done; and so they became his private right. And will any one say, he had no right to those acorns or apples, he thus appropriated, because he had not the consent of all mankind to make them his? Was it a robbery thus to assume to himself what belonged to all in common? If such a consent as that was necessary, man had starved, notwithstanding the plenty God had given him. We see in commons, which remain so by compact, that it is the taking any part of what is common, and removing it out of the state nature leaves it in, which begins the property; without which the common is of no use. And the taking of this or that part, does not depend on the express consent of all the commoners. Thus the grass my horse has bit; the turfs my servant has cut; and the ore I have digged in any place, where I have a right to them in common with others, become my property, without the assignation or consent of any body. The labour that was mine, removing them out of that common state they were in, hath fixed my property in them.

29. By making an explicit consent of every commoner, necessary to any one's appropriating to himself any part of what is given in common, children or servants could not cut the meat, which their father or master had provided for them in common, without assigning to every one his peculiar part. Though the water running in the fountain be every one's, yet who can doubt, but that in the pitcher is his only who drew it out? His labour hath taken it out of the hands of nature, where it was common, and belonged equally to all her children, and hath thereby appropriated it to himself.

30. Thus this law of reason makes the deer that Indian's who hath killed it; it is allowed to be his goods, who hath bestowed his labour upon it, though before it was the common right of every one. And amongst those who are counted the civilized part of mankind, who have made and multiplied positive laws to determine property, this original law of nature, for the beginning of property, in what was before common, still takes place; and by virtue thereof, what fish any one catches in the ocean, that great and still remaining common of mankind; or what ambergrise any one takes up here, is by the labour that removes it out of that common state nature left it in, made his property, who takes that pains about it. And even amongst us, the hare that any one is hunting, is thought his who pursues her during the chase: for being a beast that is still looked upon as common, and no man's private possession; whoever has employed so much labour about any of that kind, as to find and pursue her, has thereby removed her from the state of nature, wherein she was common, and hath begun a property.

31. It will perhaps be objected to this, that if gathering the acorns, or other fruits of the earth, &c. makes a right to them, then any one may ingross as much as he will. To which I answer, Not so. The same law of nature, that does by this means give us property, does also bound that property too. God has given us all things richly, 1 Tim. vi. 12. is the voice of reason confirmed by inspiration. But how far has he given it us? To enjoy. As much as any

one can make use of to any advantage of life before it spoils, so much he may by his labour fix a property in: whatever is beyond this, is more than his share, and belongs to others. Nothing was made by God for man to spoil or destroy. And thus, considering the plenty of natural provisions there was a long time in the world, and the few spenders; and to how small a part of that provision the industry of one man could extend itself, and ingross it to the prejudice of others; especially keeping within the bounds, set by reason, of what might serve for his use; there could be then little room for quarrels or contentions about property so established.

32. But the chief matter of property being now not the fruits of the earth, and the beasts that subsist on it, but the earth itself; as that which takes in and carries with it all the rest; I think it is plain, that property in that too is acquired as the former. As much land as a man tills, plants, improves, cultivates, and can use the product of, so much is his property. He by his labour does, as it were, inclose it from the common. Nor will it invalidate his right, to say every body else has an equal title to it; and therefore he cannot appropriate, he cannot inclose, without the consent of all his fellow-commoners, all mankind. God, when he gave the world in common to all mankind, commanded man also to labour, and the penury of his condition required it of him. God and his reason commanded him to subdue the earth, i.e. improve it for the benefit of life, and therein lay out something upon it that was his own, his labour. He that in obedience to this command of God, subdued, tilled and sowed any part of it, thereby annexed to it something that was his property, which another had no title to, nor could without injury take from him.

33. Nor was this appropriation of any parcel of land, by improving it, any prejudice to any other man, since there was still enough, and as good left; and more than the yet unprovided could use. So that, in effect, there was never the less left for others because of his enclosure for himself: for he that leaves as much as another can make use of, does as good as take nothing at all. No body could think himself injured by the drinking of another man, though he took a good draught, who had a whole river of the same water left him to quench his thirst: and the case of land and water, where there is enough of both, is perfectly the same.

34. God gave the world to men in common; but since he gave it them for their benefit, and the greatest conveniencies of life they were capable to draw from it, it cannot be supposed he meant it should always remain common and uncultivated. He gave it to the use of the industrious and rational, (and labour was to be his title to it;) not to the fancy or covetousness of the quarrelsome and contentious. He that had as good left for his improvement, as was already taken up, needed not complain, ought not to meddle with what was already improved by another's labour: if he did, it is plain he desired the benefit of another's pains, which he had no right to, and not the ground which God had given him in common with others to labour on, and whereof there was as good left, as that already possessed, and more than he knew what to do with, or his industry could reach to.

35. It is true, in land that is common in England, or any other country, where there is plenty of people under government, who have money and commerce, no one can inclose or appropriate any part, without the consent of all his fellow commoners; because this is left common by compact, i.e. by the law of the land, which is not to be violated. And though it be common, in respect of some men, it is not so to all mankind; but is the joint property of this country, or this parish. Besides, the remainder, after such enclosure, would not be as good to the rest of the commoners, as the whole was when they could all make use of the whole; whereas in the beginning and first peopling of the great common of the world, it was quite otherwise. The law man was under, was rather for appropriating. God commanded, and his wants forced him to labour. That was his property which could not be taken from him where-ever he had fixed it. And hence subduing or cultivating the earth, and having dominion, we see are joined together. The one gave title to the other. So that God, by commanding to subdue, gave authority

so far to appropriate: and the condition of human life, which requires labour and materials to work on, necessarily introduces private possessions.

36. The measure of property nature has well set by the extent of men's labour and the conveniencies of life: no man's labour could subdue, or appropriate all; nor could his enjoyment consume more than a small part; so that it was impossible for any man, this way, to intrench upon the right of another, or acquire to himself a property, to the prejudice of his neighbour, who would still have room for as good, and as large a possession (after the other had taken out his) as before it was appropriated. This measure did confine every man's possession to a very moderate proportion, and such as he might appropriate to himself, without injury to any body, in the first ages of the world, when men were more in danger to be lost, by wandering from their company, in the then vast wilderness of the earth, than to be straitened for want of room to plant in. And the same measure may be allowed still without prejudice to any body, as full as the world seems: for supposing a man, or family, in the state they were at first peopling of the world by the children of Adam, or Noah; let him plant in some inland, vacant places of America, we shall find that the possessions he could make himself, upon the measures we have given, would not be very large, nor, even to this day, prejudice the rest of mankind, or give them reason to complain, or think themselves injured by this man's incroachment, though the race of men have now spread themselves to all the corners of the world, and do infinitely exceed the small number was at the beginning. Nay, the extent of ground is of so little value, without labour, that I have heard it affirmed, that in Spain itself a man may be permitted to plough, sow and reap, without being disturbed, upon land he has no other title to, but only his making use of it. But, on the contrary, the inhabitants think themselves beholden to him, who, by his industry on neglected, and consequently waste land, has increased the stock of corn, which they wanted. But be this as it will, which I lay no stress on; this I dare boldly affirm, that the same rule of propriety, (*viz.*) that every man should have as much as he could make use of, would hold still in the world, without straitening any body; since there is land enough in the world to suffice double the inhabitants, had not the invention of money, and the tacit agreement of men to put a value on it, introduced (by consent) larger possessions, and a right to them; which, how it has done, I shall by and by shew more at large.

37. This is certain, that in the beginning, before the desire of having more than man needed had altered the intrinsic value of things, which depends only on their usefulness to the life of man; or had agreed, that a little piece of yellow metal, which would keep without wasting or decay, should be worth a great piece of flesh, or a whole heap of corn; though men had a right to appropriate, by their labour, each one of himself, as much of the things of nature, as he could use: yet this could not be much, nor to the prejudice of others, where the same plenty was still left to those who would use the same industry. To which let me add, that he who appropriates land to himself by his labour, does not lessen, but increase the common stock of mankind: for the provisions serving to the support of human life, produced by one acre of inclosed and cultivated land, are (to speak much within compass) ten times more than those which are yielded by an acre of land of an equal richness lying waste in common. And therefore he that incloses land, and has a greater plenty of the conveniencies of life from ten acres, than he could have from an hundred left to nature, may truly be said to give ninety acres to mankind: for his labour now supplies him with provisions out of ten acres, which were but the product of an hundred lying in common. I have here rated the improved land very low, in making its product but as ten to one, when it is much nearer an hundred to one: for I ask, whether in the wild woods and uncultivated waste of America, left to nature, without any improvement, tillage or husbandry, a thousand acres yield the needy and wretched inhabitants as many conveniencies of life, as ten acres of equally fertile land do in Devonshire, where they are well cultivated?

Before the appropriation of land, he who gathered as much of the wild fruit, killed, caught, or tamed, as many of the beasts, as he could; he that so employed his pains about any of the spontaneous products of nature, as any way to alter them from the state which nature put them in, by placing any of his labour on them, did thereby acquire a propriety in them: but if they perished, in his possession, without their due use; if the fruits rotted, or the venison putrified, before he could spend it, he offended against the common law of nature, and was liable to be punished; he invaded his neighbour's share, for he had no right, farther than his use called for any of them, and they might serve to afford him conveniencies of life.

38. The same measures governed the possession of land too: whatsoever he tilled and reaped, laid up and made use of, before it spoiled, that was his peculiar right; whatsoever he enclosed, and could feed, and make use of, the cattle and product was also his. But if either the grass of his enclosure rotted on the ground, or the fruit of his planting perished without gathering, and laying up, this part of the earth, notwithstanding his enclosure, was still to be looked on as waste, and might be the possession of any other. Thus, at the beginning, Cain might take as much ground as he could till, and make it his own land, and yet leave enough to Abel's sheep to feed on; a few acres would serve for both their possessions. But as families increased, and industry enlarged their stocks, their possessions enlarged with the need of them; but yet it was commonly without any fixed property in the ground they made use of, till they incorporated, settled themselves together, and built cities; and then, by consent, they came in time, to set out the bounds of their distinct territories, and agree on limits between them and their neighbours; and by laws within themselves, settled the properties of those of the same society: for we see, that in that part of the world which was first inhabited, and therefore like to be best peopled, even as low down as Abraham's time, they wandered with their flocks, and their herds, which was their substance, freely up and down; and this Abraham did, in a country where he was a stranger. Whence it is plain, that at least a great part of the land lay in common; that the inhabitants valued it not, nor claimed property in any more than they made use of. But when there was not room enough in the same place, for their herds to feed together, they by consent, as Abraham and Lot did, Gen. xiii. 5. separated and enlarged their pasture, where it best liked them. And for the same reason Esau went from his father, and his brother, and planted in mount Seir, Gen. xxxvi. 6.

39. And thus, without supposing any private dominion, and property in Adam, over all the world, exclusive of all other men, which can no way be proved, nor any one's property be made out from it; but supposing the world given, as it was, to the children of men in common, we see how labour could make men distinct titles to several parcels of it, for their private uses; wherein there could be no doubt of right, no room for quarrel.

40. Nor is it so strange, as perhaps before consideration it may appear, that the property of labour should be able to over-balance the community of land: for it is labour indeed that puts the difference of value on every thing; and let any one consider what the difference is between an acre of land planted with tobacco or sugar, sown with wheat or barley, and an acre of the same land lying in common, without any husbandry upon it, and he will find, that the improvement of labour makes the far greater part of the value. I think it will be but a very modest computation to say, that of the products of the earth useful to the life of man nine tenths are the effects of labour: nay, if we will rightly estimate things as they come to our use, and cast up the several expences about them, what in them is purely owing to nature, and what to labour, we shall find, that in most of them ninety-nine hundredths are wholly to be put on the account of labour.

41. There cannot be a clearer demonstration of any thing, than several nations of the Americans are of this, who are rich in land, and poor in all the comforts of life; whom nature having furnished as liberally as any other people, with the materials of plenty, i.e. a fruitful soil, apt to produce in abundance, what might serve for food, raiment,

and delight; yet for want of improving it by labour, have not one hundredth part of the conveniencies we enjoy: and a king of a large and fruitful territory there, feeds, lodges, and is clad worse than a day-labourer in England.

42. To make this a little clearer, let us but trace some of the ordinary provisions of life, through their several progresses, before they come to our use, and see how much they receive of their value from human industry. Bread, wine and cloth, are things of daily use, and great plenty; yet notwithstanding, acorns, water and leaves, or skins, must be our bread, drink and cloathing, did not labour furnish us with these more useful commodities: for whatever bread is more worth than acorns, wine than water, and cloth or silk, than leaves, skins or moss, that is wholly owing to labour and industry; the one of these being the food and raiment which unassisted nature furnishes us with; the other, provisions which our industry and pains prepare for us, which how much they exceed the other in value, when any one hath computed, he will then see how much labour makes the far greatest part of the value of things we enjoy in this world: and the ground which produces the materials, is scarce to be reckoned in, as any, or at most, but a very small part of it; so little, that even amongst us, land that is left wholly to nature, that hath no improvement of pasturage, tillage, or planting, is called, as indeed it is, waste; and we shall find the benefit of it amount to little more than nothing.

This shews how much numbers of men are to be preferred to largeness of dominions; and that the increase of lands, and the right employing of them, is the great art of government: and that prince, who shall be so wise and godlike, as by established laws of liberty to secure protection and encouragement to the honest industry of mankind, against the oppression of power and narrowness of party, will quickly be too hard for his neighbours: but this by the by. To return to the argument in hand,

43. An acre of land, that bears here twenty bushels of wheat, and another in America, which, with the same husbandry, would do the like, are, without doubt, of the same natural intrinsic value: but yet the benefit mankind receives from the one in a year, is worth 5l. and from the other possibly not worth a penny, if all the profit an Indian received from it were to be valued, and sold here; at least, I may truly say, not one thousandth. It is labour then which puts the greatest part of value upon land, without which it would scarcely be worth any thing: it is to that we owe the greatest part of all its useful products; for all that the straw, bran, bread, of that acre of wheat, is more worth than the product of an acre of as good land, which lies waste, is all the effect of labour: for it is not barely the plough-man's pains, the reaper's and thresher's toil, and the baker's sweat, is to be counted into the bread we eat; the labour of those who broke the oxen, who digged and wrought the iron and stones, who felled and framed the timber employed about the plough, mill, oven, or any other utensils, which are a vast number, requisite to this corn, from its being feed to be sown to its being made bread, must all be charged on the account of labour, and received as an effect of that: nature and the earth furnished only the almost worthless materials, as in themselves. It would be a strange catalogue of things, that industry provided and made use of, about every loaf of bread, before it came to our use, if we could trace them; iron, wood, leather, bark, timber, stone, bricks, coals, lime, cloth, dying drugs, pitch, tar, masts, ropes, and all the materials made use of in the ship, that brought any of the commodities made use of by any of the workmen, to any part of the work; all which it would be almost impossible, at least too long, to reckon up.

44. From all which it is evident, that though the things of nature are given in common, yet man, by being master of himself, and proprietor of his own person, and the actions or labour of it, had still in himself the great foundation of property; and that, which made up the great part of what he applied to the support or comfort of his being, when invention and arts had improved the conveniencies of life, was perfectly his own, and did not belong in common to others.

45. Thus labour, in the beginning, gave a right of property, wherever any one was pleased to employ it upon what was common, which remained a long while the far greater part, and is yet more than mankind makes use of. Men, at first, for the most part, contented themselves with what unassisted nature offered to their necessities: and though afterwards, in some parts of the world, (where the increase of people and stock, with the use of money, had made land scarce, and so of some value) the several communities settled the bounds of their distinct territories, and by laws within themselves regulated the properties of the private men of their society, and so, by compact and agreement, settled the property which labour and industry began; and the leagues that have been made between several states and kingdoms, either expressly or tacitly disowning all claim and right to the land in the others possession, have, by common consent, given up their pretences to their natural common right, which originally they had to those countries, and so have, by positive agreement, settled a property amongst themselves, in distinct parts and parcels of the earth; yet there are still great tracts of ground to be found, which (the inhabitants thereof not having joined with the rest of mankind, in the consent of the use of their common money) lie waste, and are more than the people who dwell on it do, or can make use of, and so still lie in common; tho' this can scarce happen amongst that part of mankind that have consented to the use of money.

46. The greatest part of things really useful to the life of man, and such as the necessity of subsisting made the first commoners of the world look after, as it doth the Americans now, are generally things of short duration; such as, if they are not consumed by use, will decay and perish of themselves: gold, silver and diamonds, are things that fancy or agreement hath put the value on, more than real use, and the necessary support of life. Now of those good things which nature hath provided in common, every one had a right (as hath been said) to as much as he could use, and property in all that he could effect with his labour; all that his industry could extend to, to alter from the state nature had put it in, was his. He that gathered a hundred bushels of acorns or apples, had thereby a property in them, they were his goods as soon as gathered. He was only to look, that he used them before they spoiled, else he took more than his share, and robbed others. And indeed it was a foolish thing, as well as dishonest, to hoard up more than he could make use of. If he gave away a part to any body else, so that it perished not uselessly in his possession, these he also made use of. And if he also bartered away plums, that would have rotted in a week, for nuts that would last good for his eating a whole year, he did no injury; he wasted not the common stock; destroyed no part of the portion of goods that belonged to others, so long as nothing perished uselessly in his hands. Again, if he would give his nuts for a piece of metal, pleased with its colour; or exchange his sheep for shells, or wool for a sparkling pebble or a diamond, and keep those by him all his life he invaded not the right of others, he might heap up as much of these durable things as he pleased; the exceeding of the bounds of his just property not lying in the largeness of his possession, but the perishing of any thing uselessly in it.

47. And thus came in the use of money, some lasting thing that men might keep without spoiling, and that by mutual consent men would take in exchange for the truly useful, but perishable supports of life.

48. And as different degrees of industry were apt to give men possessions in different proportions, so this invention of money gave them the opportunity to continue and enlarge them: for supposing an island, separate from all possible commerce with the rest of the world, wherein there were but an hundred families, but there were sheep, horses and cows, with other useful animals, wholesome fruits, and land enough for corn for a hundred thousand times as many, but nothing in the island, either because of its commonness, or perishableness, fit to supply the place of money; what reason could any one have there to enlarge his possessions beyond the use of his family, and a plentiful supply to its consumption, either in what their own industry produced, or they could barter for like perishable, useful commodities, with others? Where there is not some thing, both lasting and scarce,

and so valuable to be hoarded up, there men will not be apt to enlarge their possessions of land, were it never so rich, never so free for them to take: for I ask, what would a man value ten thousand, or an hundred thousand acres of excellent land, ready cultivated, and well stocked too with cattle, in the middle of the inland parts of America, where he had no hopes of commerce with other parts of the world, to draw money to him by the sale of the product? It would not be worth the enclosing, and we should see him give up again to the wild common of nature, whatever was more than would supply the conveniencies of life to be had there for him and his family.

49. Thus in the beginning all the world was America, and more so than that is now; for no such thing as money was any where known. Find out something that hath the use and value of money amongst his neighbours, you shall see the same man will begin presently to enlarge his possessions.

50. But since gold and silver, being little useful to the life of man in proportion to food, raiment, and carriage, has its value only from the consent of men, whereof labour yet makes, in great part, the measure, it is plain, that men have agreed to a disproportionate and unequal possession of the earth, they having, by a tacit and voluntary consent, found out, a way how a man may fairly possess more land than he himself can use the product of, by receiving in exchange for the overplus gold and silver, which may be hoarded up without injury to any one; these metals not spoiling or decaying in the hands of the possessor. This partage of things in an inequality of private possessions, men have made practicable out of the bounds of society, and without compact, only by putting a value on gold and silver, and tacitly agreeing in the use of money: for in governments, the laws regulate the right of property, and the possession of land is determined by positive constitutions.

51. And thus, I think, it is very easy to conceive, without any difficulty, how labour could at first begin a title of property in the common things of nature, and how the spending it upon our uses bounded it. So that there could then be no reason of quarrelling about title, nor any doubt about the largeness of possession it gave. Right and conveniency went together; for as a man had a right to all he could employ his labour upon, so he had no temptation to labour for more than he could make use of. This left no room for controversy about the title, nor for encroachment on the right of others; what portion a man carved to himself, was easily seen; and it was useless, as well as dishonest, to carve himself too much, or take more than he needed.

Chap. VIII. *Of the Beginning of Political Societies*

95. MEN being, as has been said, by nature, all free, equal, and independent, no one can be put out of this estate, and subjected to the political power of another, without his own consent. The only way whereby any one divests himself of his natural liberty, and puts on the bonds of civil society, is by agreeing with other men to join and unite into a community for their comfortable, safe, and peaceable living one amongst another, in a secure enjoyment of their properties, and a greater security against any, that are not of it. This any number of men may do, because it injures not the freedom of the rest; they are left as they were in the liberty of the state of nature. When any number of men have so consented to make one community or government, they are thereby presently incorporated, and make one body politic, wherein the majority have a right to act and conclude the rest.

96. For when any number of men have, by the consent of every individual, made a community, they have thereby made that community one body, with a power to act as one body, which is only by the will and determination of the majority: for that which acts any community, being only the consent of the individuals of it, and it being necessary to that which is one body to move one way; it is necessary the body should move that way whither the greater force carries it, which is the consent of the majority: or else it is impossible it should act or continue one

body, one community, which the consent of every individual that united into it, agreed that it should; and so every one is bound by that consent to be concluded by the majority. And therefore we see, that in assemblies, impowered to act by positive laws, where no number is set by that positive law which impowers them, the act of the majority passes for the act of the whole, and of course determines, as having, by the law of nature and reason, the power of the whole.

97. And thus every man, by consenting with others to make one body politic under one government, puts himself under an obligation, to every one of that society, to submit to the determination of the majority, and to be concluded by it; or else this original compact, whereby he with others incorporates into one society, would signify nothing, and be no compact, if he be left free, and under no other ties than he was in before in the state of nature. For what appearance would there be of any compact? what new engagement if he were no farther tied by any decrees of the society, than he himself thought fit, and did actually consent to? This would be still as great a liberty, as he himself had before his compact, or any one else in the state of nature hath, who may submit himself, and consent to any acts of it if he thinks fit.

98. For if the consent of the majority shall not, in reason, be received as the act of the whole, and conclude every individual; nothing but the consent of every individual can make any thing to be the act of the whole: but such a consent is next to impossible ever to be had, if we consider the infirmities of health, and avocations of business, which in a number, though much less than that of a common-wealth, will necessarily keep many away from the public assembly. To which if we add the variety of opinions, and contrariety of interests, which unavoidably happen in all collections of men, the coming into society upon such terms would be only like Cato's coming into the theatre, only to go out again. Such a constitution as this would make the mighty Leviathan of a shorter duration, than the feeblest creatures, and not let it outlast the day it was born in: which cannot be supposed, till we can think, that rational creatures should desire and constitute societies only to be dissolved: for where the majority cannot conclude the rest, there they cannot act as one body, and consequently will be immediately dissolved again.

99. Whosoever therefore out of a state of nature unite into a community, must be understood to give up all the power, necessary to the ends for which they unite into society, to the majority of the community, unless they expressly agreed in any number greater than the majority. And this is done by barely agreeing to unite into one political society, which is all the compact that is, or needs be, between the individuals, that enter into, or make up a commonwealth. And thus that, which begins and actually constitutes any political society, is nothing but the consent of any number of freemen capable of a majority to unite and incorporate into such a society. And this is that, and that only, which did, or could give beginning to any lawful government in the world.

100. To this I find two objections made.

First, That there are no instances to be found in story, of a company of men independent, and equal one amongst another, that met together, and in this way began and set up a government.

Secondly, It is impossible of right, that men should do so, because all men being born under government, they are to submit to that, and are not at liberty to begin a new one.

101. To the first there is this to answer, That it is not at all to be wondered, that history gives us but a very little account of men, that lived together in the state of nature. The inconveniences of that condition, and the love and want of society, no sooner brought any number of them together, but they presently united and incorporated, if they designed to continue together. And if we may not suppose men ever to have been in the state of nature,

because we hear not much of them in such a state, we may as well suppose the armies of Salmanasser or Xerxes were never children, because we hear little of them, till they were men, and imbodyed in armies. Government is every where antecedent to records, and letters seldom come in amongst a people till a long continuation of civil society has, by other more necessary arts, provided for their safety, ease, and plenty: and then they begin to look after the history of their founders, and search into their original, when they have outlived the memory of it: for it is with commonwealths as with particular persons, they are commonly ignorant of their own births and infancies: and if they know any thing of their original, they are beholden for it, to the accidental records that others have kept of it. And those that we have, of the beginning of any polities in the world, excepting that of the Jews, where God himself immediately interposed, and which favours not at all paternal dominion, are all either plain instances of such a beginning as I have mentioned, or at least have manifest footsteps of it.

102. He must shew a strange inclination to deny evident matter of fact, when it agrees not with his hypothesis, who will not allow, that the beginning of Rome and Venice were by the uniting together of several men free and independent one of another, amongst whom there was no natural superiority or subjection. And if Josephus Acosta's word may be taken, he tells us, that in many parts of America there was no government at all. There are great and apparent conjectures, says he, that these men, speaking of those of Peru, for a long time had neither kings nor commonwealths, but lived in troops, as they do this day in Florida, the Cheriquanas, those of Brazil, and many other nations, which have no certain kings, but as occasion is offered, in peace or war, they choose their captains as they please, 1. i. c. 25. If it be said, that every man there was born subject to his father, or the head of his family; that the subjection due from a child to a father took not away his freedom of uniting into what political society he thought fit, has been already proved. But be that as it will, these men, it is evident, were actually free; and whatever superiority some politicians now would place in any of them, they themselves claimed it not, but by consent were all equal, till by the same consent they set rulers over themselves. So that their politic societies all began from a voluntary union, and the mutual agreement of men freely acting in the choice of their governors, and forms of government.

103. And I hope those who went away from Sparta with Palantus, mentioned by Justin, 1. iii. c. 4. will be allowed to have been freemen independent one of another, and to have set up a government over themselves, by their own consent. Thus I have given several examples, out of history, of people free and in the state of nature, that being met together incorporated and began a commonwealth. And if the want of such instances be an argument to prove that government were not, nor could not be so begun, I suppose the contenders for paternal empire were better let it alone, than urge it against natural liberty: for if they can give so many instances, out of history, of governments begun upon paternal right, I think (though at best an argument from what has been, to what should of right be, has no great force) one might, without any great danger, yield them the cause. But if I might advise them in the case, they would do well not to search too much into the original of governments, as they have begun de facto, lest they should find, at the foundation of most of them, something very little favourable to the design they promote, and such a power as they contend for.

104. But to conclude, reason being plain on our side, that men are naturally free, and the examples of history shewing, that the governments of the world, that were begun in peace, had their beginning laid on that foundation, and were made by the consent of the people; there can be little room for doubt, either where the right is, or what has been the opinion, or practice of mankind, about the first erecting of governments.

105. I will not deny, that if we look back as far as history will direct us, towards the original of commonwealths, we shall generally find them under the government and administration of one man. And I am also apt to believe,

that where a family was numerous enough to subsist by itself, and continued entire together, without mixing with others, as it often happens, where there is much land, and few people, the government commonly began in the father: for the father having, by the law of nature, the same power with every man else to punish, as he thought fit, any offences against that law, might thereby punish his transgressing children, even when they were men, and out of their pupilage; and they were very likely to submit to his punishment, and all join with him against the offender, in their turns, giving him thereby power to execute his sentence against any transgression, and so in effect make him the law-maker, and governor over all that remained in conjunction with his family. He was fittest to be trusted; paternal affection secured their property and interest under his care; and the custom of obeying him, in their childhood, made it easier to submit to him, rather than to any other. If therefore they must have one to rule them, as government is hardly to be avoided amongst men that live together; who so likely to be the man as he that was their common father; unless negligence, cruelty, or any other defect of mind or body made him unfit for it? But when either the father died, and left his next heir, for want of age, wisdom, courage, or any other qualities, less fit for rule; or where several families met, and consented to continue together; there, it is not to be doubted, but they used their natural freedom, to set up him, whom they judged the ablest, and most likely, to rule well over them. Conformable hereunto we find the people of America, who (living out of the reach of the conquering swords, and spreading domination of the two great empires of Peru and Mexico) enjoyed their own natural freedom, though, *caeteris paribus*, they commonly prefer the heir of their deceased king; yet if they find him any way weak, or uncapable, they pass him by, and set up the stoutest and bravest man for their ruler.

106. Thus, though looking back as far as records give us any account of peopling the world, and the history of nations, we commonly find the government to be in one hand; yet it destroys not that which I affirm, viz. that the beginning of politic society depends upon the consent of the individuals, to join into, and make one society; who, when they are thus incorporated, might set up what form of government they thought fit. But this having given occasion to men to mistake, and think, that by nature government was monarchical, and belonged to the father, it may not be amiss here to consider, why people in the beginning generally pitched upon this form, which though perhaps the father's pre-eminency might, in the first institution of some commonwealths, give a rise to, and place in the beginning, the power in one hand; yet it is plain that the reason, that continued the form of government in a single person, was not any regard, or respect to paternal authority; since all petty monarchies, that is, almost all monarchies, near their original, have been commonly, at least upon occasion, elective.

107. First then, in the beginning of things, the father's government of the childhood of those sprung from him, having accustomed them to the rule of one man, and taught them that where it was exercised with care and skill, with affection and love to those under it, it was sufficient to procure and preserve to men all the political happiness they sought for in society. It was no wonder that they should pitch upon, and naturally run into that form of government, which from their infancy they had been all accustomed to; and which, by experience, they had found both easy and safe. To which, if we add, that monarchy being simple, and most obvious to men, whom neither experience had instructed in forms of government, nor the ambition or insolence of empire had taught to beware of the encroachments of prerogative, or the inconveniences of absolute power, which monarchy in succession was apt to lay claim to, and bring upon them, it was not at all strange, that they should not much trouble themselves to think of methods of restraining any exorbitances of those to whom they had given the authority over them, and of balancing the power of government, by placing several parts of it in different hands. They had neither felt the oppression of tyrannical dominion, nor did the fashion of the age, nor their possessions, or way of living, (which afforded little matter for covetousness or ambition) give them any reason to apprehend or provide against it; and therefore it is no wonder they put themselves into such a frame of government, as was not only, as I said, most

obvious and simple, but also best suited to their present state and condition; which stood more in need of defence against foreign invasions and injuries, than of multiplicity of laws. The equality of a simple poor way of living, confining their desires within the narrow bounds of each man's small property, made few controversies, and so no need of many laws to decide them, or variety of officers to superintend the process, or look after the execution of justice, where there were but few trespasses, and few offenders. Since then those, who like one another so well as to join into society, cannot but be supposed to have some acquaintance and friendship together, and some trust one in another; they could not but have greater apprehensions of others, than of one another: and therefore their first care and thought cannot but be supposed to be, how to secure themselves against foreign force. It was natural for them to put themselves under a frame of government which might best serve to that end, and chuse the wisest and bravest man to conduct them in their wars, and lead them out against their enemies, and in this chiefly be their ruler.

108. Thus we see, that the kings of the Indians in America, which is still a pattern of the first ages in Asia and Europe, whilst the inhabitants were too few for the country, and want of people and money gave men no temptation to enlarge their possessions of land, or contest for wider extent of ground, are little more than generals of their armies; and though they command absolutely in war, yet at home and in time of peace they exercise very little dominion, and have but a very moderate sovereignty, the resolutions of peace and war being ordinarily either in the people, or in a council. Tho' the war itself, which admits not of plurality of governors, naturally devolves the command into the king's sole authority.

109. And thus in Israel itself, the chief business of their judges, and first kings, seems to have been to be captains in war, and leaders of their armies; which (besides what is signified by going out and in before the people, which was, to march forth to war, and home again in the heads of their forces) appears plainly in the story of lephtha. The Ammonites making war upon Israel, the Gileadites in fear send to lephtha, a bastard of their family whom they had cast off, and article with him, if he will assist them against the Ammonites, to make him their ruler; which they do in these words, And the people made him head and captain over them, Judg. xi, ii. which was, as it seems, all one as to be judge. And he judged Israel, judg. xii. 7. that is, was their captain-general six years. So when lotham upbraids the Shechemites with the obligation they had to Gideon, who had been their judge and ruler, he tells them, He fought for you, and adventured his life far, and delivered you out of the hands of Midian, Judg. ix. 17. Nothing mentioned of him but what he did as a general: and indeed that is all is found in his history, or in any of the rest of the judges. And Abimelech particularly is called king, though at most he was but their general. And when, being weary of the ill conduct of Samuel's sons, the children of Israel desired a king, like all the nations to judge them, and to go out before them, and to fight their battles, I. Sam viii. 20. God granting their desire, says to Samuel, I will send thee a man, and thou shalt anoint him to be captain over my people Israel, that he may save my people out of the hands of the Philistines, ix. 16. As if the only business of a king had been to lead out their armies, and fight in their defence; and accordingly at his inauguration pouring a vial of oil upon him, declares to Saul, that the Lord had anointed him to be captain over his inheritance, x. 1. And therefore those, who after Saul's being solemnly chosen and saluted king by the tribes at Mispah, were unwilling to have him their king, made no other objection but this, How shall this man save us? v. 27. as if they should have said, this man is unfit to be our king, not having skill and conduct enough in war, to be able to defend us. And when God resolved to transfer the government to David, it is in these words, But now thy kingdom shall not continue: the Lord hath sought him a man after his own heart, and the Lord hath commanded him to be captain over his people, xiii. 14. As if the whole kingly authority were nothing else but to be their general: and therefore the tribes who had stuck to Saul's family, and opposed David's reign, when they came to Hebron with terms of submission to him, they tell him, amongst

other arguments they had to submit to him as to their king, that he was in effect their king in Saul's time, and therefore they had no reason but to receive him as their king now. Also (say they) in time past, when Saul was king over us, thou wast he that reddest out and broughtest in Israel, and the Lord said unto thee, Thou shalt feed my people Israel, and thou shalt be a captain over Israel . . .

110. Thus, whether a family by degrees grew up into a common-wealth, and the fatherly authority being continued on to the elder son, every one in his turn growing up under it, tacitly submitted to it, and the easiness and equality of it not offending any one, every one acquiesced, till time seemed to have confirmed it, and settled a right of succession by prescription: or whether several families, or the descendants of several families, whom chance, neighbourhood, or business brought together, uniting into society, the need of a general, whose conduct might defend them against their enemies in war, and the great confidence the innocence and sincerity of that poor but virtuous age, (such as are almost all those which begin governments, that ever come to last in the world) gave men one of another, made the first beginners of commonwealths generally put the rule into one man's hand, without any other express limitation or restraint, but what the nature of the thing, and the end of government required: which ever of those it was that at first put the rule into the hands of a single person, certain it is no body was intrusted with it but for the public good and safety, and to those ends, in the infancies of commonwealths, those who had it commonly used it. And unless they had done so, young societies could not have subsisted; without such nursing fathers tender and careful of the public weal, all governments would have sunk under the weakness and infirmities of their infancy, and the prince and the people had soon perished together.

111. But though the golden age (before vain ambition, and amor sceleratus habendi, evil concupiscence, had corrupted men's minds into a mistake of true power and honour) had more virtue, and consequently better governors, as well as less vicious subjects, and there was then no stretching prerogative on the one side, to oppress the people; nor consequently on the other, any dispute about privilege, to lessen or restrain the power of the magistrate, and so no contest betwixt rulers and people about governors or government: yet, when ambition and luxury in future ages* would retain and increase the power, without doing the business for which it was given; and aided by flattery, taught princes to have distinct and separate interests from their people, men found it necessary to examine more carefully the original and rights of government; and to find out ways to restrain the exorbitances, and prevent the abuses of that power, which they having intrusted in another's hands only for their own good, they found was made use of to hurt them.

(*At first, when some certain kind of regiment was once approved, it may be nothing was then farther thought upon for the manner of governing, but all permitted unto their wisdom and discretion which were to rule, till by experience they found this for all parts very inconvenient, so as the thing which they had devised for a remedy, did indeed but increase the sore which it should have cured. They saw, that to live by one man's will, became the cause of all men's misery. This constrained them to come unto laws wherein all men might see their duty before hand, and know the penalties of transgressing them. Hooker's *Eccl. Pol.* l. i. sect. 10.)

112. Thus we may see how probable it is, that people that were naturally free, and by their own consent either submitted to the government of their father, or united together out of different families to make a government, should generally put the rule into one man's hands, and chuse to be under the conduct of a single person, without so much as by express conditions limiting or regulating his power, which they thought safe enough in his honesty and prudence; though they never dreamed of monarchy being lure Divino, which we never heard of among mankind, till it was revealed to us by the divinity of this last age; nor ever allowed paternal power to have a right to dominion, or to be the foundation of all government. And thus much may suffice to shew, that as far as we have

any light from history, we have reason to conclude, that all peaceful beginnings of government have been laid in the consent of the people. I say peaceful, because I shall have occasion in another place to speak of conquest, which some esteem a way of beginning of governments.

The other objection I find urged against the beginning of polities, in the way I have mentioned, is this, viz.

113. That all men being born under government, some or other, it is impossible any of them should ever be free, and at liberty to unite together, and begin a new one, or ever be able to erect a lawful government.

If this argument be good; I ask, how came so many lawful monarchies into the world? for if any body, upon this supposition, can shew me any one man in any age of the world free to begin a lawful monarchy, I will be bound to shew him ten other free men at liberty, at the same time to unite and begin a new government under a regal, or any other form; it being demonstration, that if any one, born under the dominion of another, may be so free as to have a right to command others in a new and distinct empire, every one that is born under the dominion of another may be so free too, and may become a ruler, or subject, of a distinct separate government. And so by this their own principle, either all men, however born, are free, or else there is but one lawful prince, one lawful government in the world. And then they have nothing to do, but barely to shew us which that is; which when they have done, I doubt not but all mankind will easily agree to pay obedience to him.

114. Though it be a sufficient answer to their objection, to shew that it involves them in the same difficulties that it doth those they use it against; yet I shall endeavour to discover the weakness of this argument a little farther. All men, say they, are born under government, and therefore they cannot be at liberty to begin a new one. Every one is born a subject to his father, or his prince, and is therefore under the perpetual tie of subjection and allegiance. It is plain mankind never owned nor considered any such natural subjection that they were born in, to one or to the other that tied them, without their own consents, to a subjection to them and their heirs.

115. For there are no examples so frequent in history, both sacred and profane, as those of men withdrawing themselves, and their obedience, from the jurisdiction they were born under, and the family or community they were bred up in, and setting up new governments in other places; from whence sprang all that number of petty commonwealths in the beginning of ages, and which always multiplied, as long as there was room enough, till the stronger, or more fortunate, swallowed the weaker; and those great ones again breaking to pieces, dissolved into lesser dominions. All which are so many testimonies against paternal sovereignty, and plainly prove, that it was not the natural right of the father descending to his heirs, that made governments in the beginning, since it was impossible, upon that ground, there should have been so many little kingdoms; all must have been but only one universal monarchy, if men had not been at liberty to separate themselves from their families, and the government, be it what it will, that was set up in it, and go and make distinct commonwealths and other governments, as they thought fit.

116. This has been the practice of the world from its first beginning to this day; nor is it now any more hindrance to the freedom of mankind, that they are born under constituted and ancient polities, that have established laws, and set forms of government, than if they were born in the woods, amongst the unconfined inhabitants, that run loose in them: for those, who would persuade us, that by being born under any government, we are naturally subjects to it, and have no more any title or pretence to the freedom of the state of nature, have no other reason (bating that of paternal power, which we have already answered) to produce for it, but only, because our fathers or progenitors passed away their natural liberty, and thereby bound up themselves and their posterity to a perpetual subjection

to the government, which they themselves submitted to. It is true, that whatever engagements or promises any one has made for himself, he is under the obligation of them, but cannot, by any compact whatsoever, bind his children or posterity: for his son, when a man, being altogether as free as the father, any act of the father can no more give away the liberty of the son, than it can of any body else: he may indeed annex such conditions to the land, he enjoyed as a subject of any common-wealth, as may oblige his son to be of that community, if he will enjoy those possessions which were his father's; because that estate being his father's property, he may dispose, or settle it, as he pleases.

117. And this has generally given the occasion to mistake in this matter; because commonwealths not permitting any part of their dominions to be dismembered, nor to be enjoyed by any but those of their community, the son cannot ordinarily enjoy the possessions of his father, but under the same terms his father did, by becoming a member of the society; whereby he puts himself presently under the government he finds there established, as much as any other subject of that common-wealth. And thus the consent of freemen, born under government, which only makes them members of it, being given separately in their turns, as each comes to be of age, and not in a multitude together; people take no notice of it, and thinking it not done at all, or not necessary, conclude they are naturally subjects as they are men.

118. But, it is plain, governments themselves understand it otherwise; they claim no power over the son, because of that they had over the father; nor look on children as being their subjects, by their fathers being so. If a subject of England have a child, by an English woman in France, whose subject is he? Not the king of England's; for he must have leave to be admitted to the privileges of it: nor the king of France's; for how then has his father a liberty to bring him away, and breed him as he pleases? and who ever was judged as a traytor or deserter, if he left, or warred against a country, for being barely born in it of parents that were aliens there? It is plain then, by the practice of governments themselves, as well as by the law of right reason, that a child is born a subject of no country or government. He is under his father's tuition and authority, till he comes to age of discretion; and then he is a freeman, at liberty what government he will put himself under, what body politic he will unite himself to: for if an Englishman's son, born in France, be at liberty, and may do so, it is evident there is no tie upon him by his father's being a subject of this kingdom; nor is he bound up by any compact of his ancestors. And why then hath not his son, by the same reason, the same liberty, though he be born any where else? Since the power that a father hath naturally over his children, is the same, where-ever they be born, and the ties of natural obligations, are not bounded by the positive limits of kingdoms and commonwealths.

119. Every man being, as has been shewed, naturally free, and nothing being able to put him into subjection to any earthly power, but only his own consent; it is to be considered, what shall be understood to be a sufficient declaration of a man's consent, to make him subject to the laws of any government. There is a common distinction of an express and a tacit consent, which will concern our present case. No body doubts but an express consent, of any man entering into any society, makes him a perfect member of that society, a subject of that government. The difficulty is, what ought to be looked upon as a tacit consent, and how far it binds, i.e. how far any one shall be looked on to have consented, and thereby submitted to any government, where he has made no expressions of it at all. And to this I say, that every man, that hath any possessions, or enjoyment, of any part of the dominions of any government, doth thereby give his tacit consent, and is as far forth obliged to obedience to the laws of that government, during such enjoyment, as any one under it; whether this his possession be of land, to him and his heirs for ever, or a lodging only for a week; or whether it be barely travelling freely on the highway; and in effect, it reaches as far as the very being of any one within the territories of that government.

120. To understand this the better, it is fit to consider, that every man, when he at first incorporates himself into any commonwealth, he, by his uniting himself thereunto, annexed also, and submits to the community, those possessions, which he has, or shall acquire, that do not already belong to any other government: for it would be a direct contradiction, for any one to enter into society with others for the securing and regulating of property; and yet to suppose his land, whose property is to be regulated by the laws of the society, should be exempt from the jurisdiction of that government, to which he himself, the proprietor of the land, is a subject. By the same act therefore, whereby any one unites his person, which was before free, to any common-wealth, by the same he unites his possessions, which were before free, to it also; and they become, both of them, person and possession, subject to the government and dominion of that common-wealth, as long as it hath a being. Whoever therefore, from thenceforth, by inheritance, purchase, permission, or otherways, enjoys any part of the land, so annexed to, and under the government of that common-wealth, must take it with the condition it is under; that is, of submitting to the government of the common-wealth, under whose jurisdiction it is, as far forth as any subject of it.

121. But since the government has a direct jurisdiction only over the land, and reaches the possessor of it, (before he has actually incorporated himself in the society) only as he dwells upon, and enjoys that; the obligation any one is under, by virtue of such enjoyment, to submit to the government, begins and ends with the enjoyment; so that whenever the owner, who has given nothing but such a tacit consent to the government, will, by donation, sale, or otherwise, quit the said possession, he is at liberty to go and incorporate himself into any other common-wealth; or to agree with others to begin a new one, in vacuis locis, in any part of the world, they can find free and unpossessed: whereas he, that has once, by actual agreement, and any express declaration, given his consent to be of any commonwealth, is perpetually and indispensably obliged to be, and remain unalterably a subject to it, and can never be again in the liberty of the state of nature; unless, by any calamity, the government he was under comes to be dissolved; or else by some public act cuts him off from being any longer a member of it.

122. But submitting to the laws of any country, living quietly, and enjoying privileges and protection under them, makes not a man a member of that society: this is only a local protection and homage due to and from all those, who, not being in a state of war, come within the territories belonging to any government, to all parts whereof the force of its laws extends. But this no more makes a man a member of that society, a perpetual subject of that common-wealth, than it would make a man a subject to another, in whose family he found it convenient to abide for some time; though, whilst he continued in it, he were obliged to comply with the laws, and submit to the government he found there. And thus we see, that foreigners, by living all their lives under another government, and enjoying the privileges and protection of it, though they are bound, even in conscience, to submit to its administration, as far forth as any denison; yet do not thereby come to be subjects or members of that commonwealth. Nothing can make any man so, but his actually entering into it by positive engagement, and express promise and compact. This is that, which I think, concerning the beginning of political societies, and that consent which makes any one a member of any common-wealth.

Jean-Jacques Rousseau - On Inequality

Discourse on the Origin and Basis of Inequality Among Men

THE first man who, having enclosed a piece of ground, bethought himself of saying This is mine, and found people simple enough to believe him, was the real founder of civil society. From how many crimes, wars and murders, from how many horrors and misfortunes might not any one have saved mankind, by pulling up the stakes, or filling up the ditch, and crying to his fellows, “Beware of listening to this impostor; you are undone if you once forget that the fruits of the earth belong to us all, and the earth itself to nobody.” But there is great probability that things had then already come to such a pitch, that they could no longer continue as they were; for the idea of property depends on many prior ideas, which could only be acquired successively, and cannot have been formed all at once in the human mind. Mankind must have made very considerable progress, and acquired considerable knowledge and industry which they must also have transmitted and increased from age to age, before they arrived at this last point of the state of nature. Let us then go farther back, and endeavour to unify under a single point of view that slow succession of events and discoveries in the most natural order.

Man’s first feeling was that of his own existence, and his first care that of self-preservation. The produce of the earth furnished him with all he needed, and instinct told him how to use it. Hunger and other appetites made him at various times experience various modes of existence; and among these was one which urged him to propagate his species — a blind propensity that, having nothing to do with the heart, produced a merely animal act. The want once gratified, the two sexes knew each other no more; and even the offspring was nothing to its mother, as soon as it could do without her.

Such was the condition of infant man; the life of an animal limited at first to mere sensations, and hardly profiting by the gifts nature bestowed on him, much less capable of entertaining a thought of forcing anything from her. But difficulties soon presented themselves, and it became necessary to learn how to surmount them: the height of the trees, which prevented him from gathering their fruits, the competition of other animals desirous of the same fruits, and the ferocity of those who needed them for their own preservation, all obliged him to apply himself to bodily exercises. He had to be active, swift of foot, and vigorous in fight. Natural weapons, stones and sticks, were easily found: he learnt to surmount the obstacles of nature, to contend in case of necessity with other animals, and to dispute for the means of subsistence even with other men, or to indemnify himself for what he was forced to give up to a stronger.

In proportion as the human race grew more numerous, men's cares increased. The difference of soils, climates and seasons, must have introduced some differences into their manner of living. Barren years, long and sharp winters, scorching summers which parched the fruits of the earth, must have demanded a new industry. On the seashore and the banks of rivers, they invented the hook and line, and became fishermen and eaters of fish. In the forests they made bows and arrows, and became huntsmen and warriors. In cold countries they clothed themselves with the skins of the beasts they had slain. The lightning, a volcano, or some lucky chance acquainted them with fire, a new resource against the rigours of winter: they next learned how to preserve this element, then how to reproduce it, and finally how to prepare with it the flesh of animals which before they had eaten raw.

This repeated relevance of various beings to himself, and one to another, would naturally give rise in the human mind to the perceptions of certain relations between them. Thus the relations which we denote by the terms, great, small, strong, weak, swift, slow, fearful, bold, and the like, almost insensibly compared at need, must have at length produced in him a kind of reflection, or rather a mechanical prudence, which would indicate to him the precautions most necessary to his security.

The new intelligence which resulted from this development increased his superiority over other animals, by making him sensible of it. He would now endeavour, therefore, to ensnare them, would play them a thousand tricks, and though many of them might surpass him in swiftness or in strength, would in time become the master of some and the scourge of others. Thus, the first time he looked into himself, he felt the first emotion of pride; and, at a time when he scarce knew how to distinguish the different orders of beings, by looking upon his species as of the highest order, he prepared the way for assuming pre-eminence as an individual.

Other men, it is true, were not then to him what they now are to us, and he had no greater intercourse with them than with other animals; yet they were not neglected in his observations. The conformities, which he would in time discover between them, and between himself and his female, led him to judge of others which were not then perceptible; and finding that they all behaved as he himself would have done in like circumstances, he naturally inferred that their manner of thinking and acting was altogether in conformity with his own. This important truth, once deeply impressed on his mind, must have induced him, from an intuitive feeling more certain and much more rapid than any kind of reasoning, to pursue the rules of conduct, which he had best observe towards them, for his own security and advantage.

Taught by experience that the love of well-being is the sole motive of human actions, he found himself in a position to distinguish the few cases, in which mutual interest might justify him in relying upon the assistance of his fellows; and also the still fewer cases in which a conflict of interests might give cause to suspect them. In the former case, he joined in the same herd with them, or at most in some kind of loose association, that laid no restraint on its members, and lasted no longer than the transitory occasion that formed it. In the latter case, every one sought his own private advantage, either by open force, if he thought himself strong enough, or by address and cunning, if he felt himself the weaker.

In this manner, men may have insensibly acquired some gross ideas of mutual undertakings, and of the advantages of fulfilling them: that is, just so far as their present and apparent interest was concerned: for they were perfect strangers to foresight, and were so far from troubling themselves about the distant future, that they hardly thought of the morrow. If a deer was to be taken, every one saw that, in order to succeed, he must abide faithfully by his post: but if a hare happened to come within the reach of any one of them, it is not to be doubted that he pursued

it without scruple, and, having seized his prey, cared very little, if by so doing he caused his companions to miss theirs.

It is easy to understand that such intercourse would not require a language much more refined than that of rooks or monkeys, who associate together for much the same purpose. Inarticulate cries, plenty of gestures and some imitative sounds, must have been for a long time the universal language; and by the addition, in every country, of some conventional articulate sounds (of which, as I have already intimated, the first institution is not too easy to explain) particular languages were produced; but these were rude and imperfect, and nearly such as are now to be found among some savage nations.

Hurried on by the rapidity of time, by the abundance of things I have to say, and by the almost insensible progress of things in their beginnings, I pass over in an instant a multitude of ages; for the slower the events were in their succession, the more rapidly may they be described.

These first advances enabled men to make others with greater rapidity. In proportion as they grew enlightened, they grew industrious. They ceased to fall asleep under the first tree, or in the first cave that afforded them shelter; they invented several kinds of implements of hard and sharp stones, which they used to dig up the earth, and to cut wood; they then made huts out of branches, and afterwards learnt to plaster them over with mud and clay. This was the epoch of a first revolution, which established and distinguished families, and introduced a kind of property, in itself the source of a thousand quarrels and conflicts. As, however, the strongest were probably the first to build themselves huts which they felt themselves able to defend, it may be concluded that the weak found it much easier and safer to imitate, than to attempt to dislodge them: and of those who were once provided with huts, none could have any inducement to appropriate that of his neighbour; not indeed so much because it did not belong to him, as because it could be of no use, and he could not make himself master of it without exposing himself to a desperate battle with the family which occupied it.

The first expansions of the human heart were the effects of a novel situation, which united husbands and wives, fathers and children, under one roof. The habit of living together soon gave rise to the finest feelings known to humanity, conjugal love and paternal affection. Every family became a little society, the more united because liberty and reciprocal attachment were the only bonds of its union. The sexes, whose manner of life had been hitherto the same, began now to adopt different ways of living. The women became more sedentary, and accustomed themselves to mind the hut and their children, while the men went abroad in search of their common subsistence. From living a softer life, both sexes also began to lose something of their strength and ferocity: but, if individuals became to some extent less able to encounter wild beasts separately, they found it, on the other hand, easier to assemble and resist in common.

The simplicity and solitude of man's life in this new condition, the paucity of his wants, and the implements he had invented to satisfy them, left him a great deal of leisure, which he employed to furnish himself with many conveniences unknown to his fathers: and this was the first yoke he inadvertently imposed on himself, and the first source of the evils he prepared for his descendants. For, besides continuing thus to enervate both body and mind, these conveniences lost with use almost all their power to please, and even degenerated into real needs, till the want of them became far more disagreeable than the possession of them had been pleasant. Men would have been unhappy at the loss of them, though the possession did not make them happy.

We can here see a little better how the use of speech became established, and insensibly improved in each family,

and we may form a conjecture also concerning the manner in which various causes may have extended and accelerated the progress of language, by making it more and more necessary. Floods or earthquakes surrounded inhabited districts with precipices or waters: revolutions of the globe tore off portions from the continent, and made them islands. It is readily seen that among men thus collected and compelled to live together, a common idiom must have arisen much more easily than among those who still wandered through the forests of the continent. Thus it is very possible that after their first essays in navigation the islanders brought over the use of speech to the continent: and it is at least very probable that communities and languages were first established in islands, and even came to perfection there before they were known on the mainland.

Everything now begins to change its aspect. Men, who have up to now been roving in the woods, by taking to a more settled manner of life, come gradually together, form separate bodies, and at length in every country arises a distinct nation, united in character and manners, not by regulations or laws, but by uniformity of life and food, and the common influence of climate. Permanent neighbourhood could not fail to produce, in time, some connection between different families. Among young people of opposite sexes, living in neighbouring huts, the transient commerce required by nature soon led, through mutual intercourse, to another kind not less agreeable, and more permanent. Men began now to take the difference between objects into account, and to make comparisons; they acquired imperceptibly the ideas of beauty and merit, which soon gave rise to feelings of preference. In consequence of seeing each other often, they could not do without seeing each other constantly. A tender and pleasant feeling insinuated itself into their souls, and the least opposition turned it into an impetuous fury: with love arose jealousy; discord triumphed, and human blood was sacrificed to the gentlest of all passions.

As ideas and feelings succeeded one another, and heart and head were brought into play, men continued to lay aside their original wildness; their private connections became every day more intimate as their limits extended. They accustomed themselves to assemble before their huts round a large tree; singing and dancing, the true offspring of love and leisure, became the amusement, or rather the occupation, of men and women thus assembled together with nothing else to do. Each one began to consider the rest, and to wish to be considered in turn; and thus a value came to be attached to public esteem. Whoever sang or danced best, whoever was the handsomest, the strongest, the most dexterous, or the most eloquent, came to be of most consideration; and this was the first step towards inequality, and at the same time towards vice. From these first distinctions arose on the one side vanity and contempt and on the other shame and envy: and the fermentation caused by these new leavens ended by producing combinations fatal to innocence and happiness.

As soon as men began to value one another, and the idea of consideration had got a footing in the mind, every one put in his claim to it, and it became impossible to refuse it to any with impunity. Hence arose the first obligations of civility even among savages; and every intended injury became an affront; because, besides the hurt which might result from it, the party injured was certain to find in it a contempt for his person, which was often more insupportable than the hurt itself.

Thus, as every man punished the contempt shown him by others, in proportion to his opinion of himself, revenge became terrible, and men bloody and cruel. This is precisely the state reached by most of the savage nations known to us: and it is for want of having made a proper distinction in our ideas, and see how very far they already are from the state of nature, that so many writers have hastily concluded that man is naturally cruel, and requires civil institutions to make him more mild; whereas nothing is more gentle than man in his primitive state, as he is placed by nature at an equal distance from the stupidity of brutes, and the fatal ingenuity of civilised man. Equally confined by instinct and reason to the sole care of guarding himself against the mischiefs which threaten him, he

is restrained by natural compassion from doing any injury to others, and is not led to do such a thing even in return for injuries received. For, according to the axiom of the wise Locke, There can be no injury, where there is no property.

But it must be remarked that the society thus formed, and the relations thus established among men, required of them qualities different from those which they possessed from their primitive constitution. Morality began to appear in human actions, and every one, before the institution of law, was the only judge and avenger of the injuries done him, so that the goodness which was suitable in the pure state of nature was no longer proper in the new-born state of society. Punishments had to be made more severe, as opportunities of offending became more frequent, and the dread of vengeance had to take the place of the rigour of the law. Thus, though men had become less patient, and their natural compassion had already suffered some diminution, this period of expansion of the human faculties, keeping a just mean between the indolence of the primitive state and the petulant activity of our egoism, must have been the happiest and most stable of epochs. The more we reflect on it, the more we shall find that this state was the least subject to revolutions, and altogether the very best man could experience; so that he can have departed from it only through some fatal accident, which, for the public good, should never have happened. The example of savages, most of whom have been found in this state, seems to prove that men were meant to remain in it, that it is the real youth of the world, and that all subsequent advances have been apparently so many steps towards the perfection of the individual, but in reality towards the decrepitude of the species.

So long as men remained content with their rustic huts, so long as they were satisfied with clothes made of the skins of animals and sewn together with thorns and fish-bones, adorned themselves only with feathers and shells, and continued to paint their bodies different colours, to improve and beautify their bows and arrows and to make with sharp-edged stones fishing boats or clumsy musical instruments; in a word, so long as they undertook only what a single person could accomplish, and confined themselves to such arts as did not require the joint labour of several hands, they lived free, healthy, honest and happy lives, so long as their nature allowed, and as they continued to enjoy the pleasures of mutual and independent intercourse. But from the moment one man began to stand in need of the help of another; from the moment it appeared advantageous to any one man to have enough provisions for two, equality disappeared, property was introduced, work became indispensable, and vast forests became smiling fields, which man had to water with the sweat of his brow, and where slavery and misery were soon seen to germinate and grow up with the crops.

Metallurgy and agriculture were the two arts which produced this great revolution. The poets tell us it was gold and silver, but, for the philosophers, it was iron and corn, which first civilised men, and ruined humanity. Thus both were unknown to the savages of America, who for that reason are still savage: the other nations also seem to have continued in a state of barbarism while they practised only one of these arts. One of the best reasons, perhaps, why Europe has been, if not longer, at least more constantly and highly civilised than the rest of the world, is that it is at once the most abundant in iron and the most fertile in corn.

It is difficult to conjecture how men first came to know and use iron; for it is impossible to suppose they would of themselves think of digging the ore out of the mine, and preparing it for smelting, before they knew what would be the result. On the other hand, we have the less reason to suppose this discovery the effect of any accidental fire, as mines are only formed in barren places, bare of trees and plants; so that it looks as if nature had taken pains to keep that fatal secret from us. There remains, therefore, only the extraordinary accident of some volcano which, by ejecting metallic substances already in fusion, suggested to the spectators the idea of imitating the natural operation. And we must further conceive them as possessed of uncommon courage and foresight, to undertake so

laborious a work, with so distant a prospect of drawing advantage from it; yet these qualities are united only in minds more advanced than we can suppose those of these first discoverers to have been.

With regard to agriculture, the principles of it were known long before they were put in practice; and it is indeed hardly possible that men, constantly employed in drawing their subsistence from plants and trees, should not readily acquire a knowledge of the means made use of by nature for the propagation of vegetables. It was in all probability very long, however, before their industry took that turn, either because trees, which together with hunting and fishing afforded them food, did not require their attention; or because they were ignorant of the use of corn, or without instruments to cultivate it; or because they lacked foresight to future needs; or lastly, because they were without means of preventing others from robbing them of the fruit of their labour.

When they grew more industrious, it is natural to believe that they began, with the help of sharp stones and pointed sticks, to cultivate a few vegetables or roots around their huts; though it was long before they knew how to prepare corn, or were provided with the implements necessary for raising it in any large quantity; not to mention how essential it is, for husbandry, to consent to immediate loss, in order to reap a future gain — a precaution very foreign to the turn of a savage's mind; for, as I have said, he hardly foresees in the morning what he will need at night.

The invention of the other arts must therefore have been necessary to compel mankind to apply themselves to agriculture. No sooner were artificers wanted to smelt and forge iron, than others were required to maintain them; the more hands that were employed in manufactures, the fewer were left to provide for the common subsistence, though the number of mouths to be furnished with food remained the same: and as some required commodities in exchange for their iron, the rest at length discovered the method of making iron serve for the multiplication of commodities. By this means the arts of husbandry and agriculture were established on the one hand, and the art of working metals and multiplying their uses on the other.

The cultivation of the earth necessarily brought about its distribution; and property, once recognised, gave rise to the first rules of justice; for, to secure each man his own, it had to be possible for each to have something. Besides, as men began to look forward to the future, and all had something to lose, every one had reason to apprehend that reprisals would follow any injury he might do to another. This origin is so much the more natural, as it is impossible to conceive how property can come from anything but manual labour: for what else can a man add to things which he does not originally create, so as to make them his own property? It is the husbandman's labour alone that, giving him a title to the produce of the ground he has tilled, gives him a claim also to the land itself, at least till harvest, and so, from year to year, a constant possession which is easily transformed into property. When the ancients, says Grotius, gave to Ceres the title of *Legislatrix*, and to a festival celebrated in her honour the name of *Thesmophoria*, they meant by that that the distribution of lands had produced a new kind of right: that is to say, the right of property, which is different from the right deducible from the law of nature.

In this state of affairs, equality might have been sustained, had the talents of individuals been equal, and had, for example, the use of iron and the consumption of commodities always exactly balanced each other; but, as there was nothing to preserve this balance, it was soon disturbed; the strongest did most work; the most skilful turned his labour to best account; the most ingenious devised methods of diminishing his labour: the husbandman wanted more iron, or the smith more corn, and, while both laboured equally, the one gained a great deal by his work, while the other could hardly support himself. Thus natural inequality unfolds itself insensibly with that of

combination, and the difference between men, developed by their different circumstances, becomes more sensible and permanent in its effects, and begins to have an influence, in the same proportion, over the lot of individuals.

Matters once at this pitch, it is easy to imagine the rest. I shall not detain the reader with a description of the successive invention of other arts, the development of language, the trial and utilisation of talents, the inequality of fortunes, the use and abuse of riches, and all the details connected with them which the reader can easily supply for himself. I shall confine myself to a glance at mankind in this new situation.

Behold then all human faculties developed, memory and imagination in full play, egoism interested, reason active, and the mind almost at the highest point of its perfection. Behold all the natural qualities in action, the rank and condition of every man assigned him; not merely his share of property and his power to serve or injure others, but also his wit, beauty, strength or skill, merit or talents: and these being the only qualities capable of commanding respect, it soon became necessary to possess or to affect them.

It now became the interest of men to appear what they really were not. To be and to seem became two totally different things; and from this distinction sprang insolent pomp and cheating trickery, with all the numerous vices that go in their train. On the other hand, free and independent as men were before, they were now, in consequence of a multiplicity of new wants, brought into subjection, as it were, to all nature, and particularly to one another; and each became in some degree a slave even in becoming the master of other men: if rich, they stood in need of the services of others; if poor, of their assistance; and even a middle condition did not enable them to do without one another. Man must now, therefore, have been perpetually employed in getting others to interest themselves in his lot, and in making them, apparently at least, if not really, find their advantage in promoting his own. Thus he must have been sly and artful in his behaviour to some, and imperious and cruel to others; being under a kind of necessity to ill-use all the persons of whom he stood in need, when he could not frighten them into compliance, and did not judge it his interest to be useful to them. Insatiable ambition, the thirst of raising their respective fortunes, not so much from real want as from the desire to surpass others, inspired all men with a vile propensity to injure one another, and with a secret jealousy, which is the more dangerous, as it puts on the mask of benevolence, to carry its point with greater security. In a word, there arose rivalry and competition on the one hand, and conflicting interests on the other, together with a secret desire on both of profiting at the expense of others. All these evils were the first effects of property, and the inseparable attendants of growing inequality.

Before the invention of signs to represent riches, wealth could hardly consist in anything but lands and cattle, the only real possessions men can have. But, when inheritances so increased in number and extent as to occupy the whole of the land, and to border on one another, one man could aggrandise himself only at the expense of another; at the same time the supernumeraries, who had been too weak or too indolent to make such acquisitions, and had grown poor without sustaining any loss, because, while they saw everything change around them, they remained still the same, were obliged to receive their subsistence, or steal it, from the rich; and this soon bred, according to their different characters, dominion and slavery, or violence and rapine. The wealthy, on their part, had no sooner begun to taste the pleasure of command, than they disdained all others, and, using their old slaves to acquire new, thought of nothing but subduing and enslaving their neighbours; like ravenous wolves, which, having once tasted human flesh, despise every other food and thenceforth seek only men to devour.

Thus, as the most powerful or the most miserable considered their might or misery as a kind of right to the possessions of others, equivalent, in their opinion, to that of property, the destruction of equality was attended by the most terrible disorders.

Usurpations by the rich, robbery by the poor, and the unbridled passions of both, suppressed the cries of natural compassion and the still feeble voice of justice, and filled men with avarice, ambition and vice. Between the title of the strongest and that of the first occupier, there arose perpetual conflicts, which never ended but in battles and bloodshed. The new-born state of society thus gave rise to a horrible state of war; men thus harassed and deprived were no longer capable of retracing their steps or renouncing the fatal acquisitions they had made, but, labouring by the abuse of the faculties which do them honour, merely to their own confusion, brought themselves to the brink of ruin.

Attonitus novitate mali, divesque miserque, Effugere optat opes; et quæ modo voverat odit[1].

It is impossible that men should not at length have reflected on so wretched a situation, and on the calamities that overwhelmed them. The rich, in particular, must have felt how much they suffered by a constant state of war, of which they bore all the expense; and in which, though all risked their lives, they alone risked their property. Besides, however speciously they might disguise their usurpations, they knew that they were founded on precarious and false titles; so that, if others took from them by force what they themselves had gained by force, they would have no reason to complain. Even those who had been enriched by their own industry, could hardly base their proprietorship on better claims. It was in vain to repeat, "I built this well; I gained this spot by my industry." Who gave you your standing, it might be answered, and what right have you to demand payment of us for doing what we never asked you to do? Do you not know that numbers of your fellow-creatures are starving, for want of what you have too much of? You ought to have had the express and universal consent of mankind, before appropriating more of the common subsistence than you needed for your own maintenance. Destitute of valid reasons to justify and sufficient strength to defend himself, able to crush individuals with ease, but easily crushed himself by a troop of bandits, one against all, and incapable, on account of mutual jealousy, of joining with his equals against numerous enemies united by the common hope of plunder, the rich man, thus urged by necessity, conceived at length the profoundest plan that ever entered the mind of man: this was to employ in his favour the forces of those who attacked him, to make allies of his adversaries, to inspire them with different maxims, and to give them other institutions as favourable to himself as the law of nature was unfavourable.

With this view, after having represented to his neighbours the horror of a situation which armed every man against the rest, and made their possessions as burdensome to them as their wants, and in which no safety could be expected either in riches or in poverty, he readily devised plausible arguments to make them close with his design. "Let us join," said he, "to guard the weak from oppression, to restrain the ambitious, and secure to every man the possession of what belongs to him: let us institute rules of justice and peace, to which all without exception may be obliged to conform; rules that may in some measure make amends for the caprices of fortune, by subjecting equally the powerful and the weak to the observance of reciprocal obligations. Let us, in a word, instead of turning our forces against ourselves, collect them in a supreme power which may govern us by wise laws, protect and defend all the members of the association, repulse their common enemies, and maintain eternal harmony among us."

Far fewer words to this purpose would have been enough to impose on men so barbarous and easily seduced; especially as they had too many disputes among themselves to do without arbitrators, and too much ambition and avarice to go long without masters. All ran headlong to their chains, in hopes of securing their liberty; for they had just wit enough to perceive the advantages of political institutions, without experience enough to enable them to foresee the dangers. The most capable of foreseeing the dangers were the very persons who expected to benefit

by them; and even the most prudent judged it not inexpedient to sacrifice one part of their freedom to ensure the rest; as a wounded man has his arm cut off to save the rest of his body.

Such was, or may well have been, the origin of society and law, which bound new fetters on the poor, and gave new powers to the rich; which irretrievably destroyed natural liberty, eternally fixed the law of property and inequality, converted clever usurpation into unalterable right, and, for the advantage of a few ambitious individuals, subjected all mankind to perpetual labour, slavery and wretchedness. It is easy to see how the establishment of one community made that of all the rest necessary, and how, in order to make head against united forces, the rest of mankind had to unite in turn. Societies soon multiplied and spread over the face of the earth, till hardly a corner of the world was left in which a man could escape the yoke, and withdraw his head from beneath the sword which he saw perpetually hanging over him by a thread. Civil right having thus become the common rule among the members of each community, the law of nature maintained its place only between different communities, where, under the name of the right of nations, it was qualified by certain tacit conventions, in order to make commerce practicable, and serve as a substitute for natural compassion, which lost, when applied to societies, almost all the influence it had over individuals, and survived no longer except in some great cosmopolitan spirits, who, breaking down the imaginary barriers that separate different peoples, follow the example of our Sovereign Creator, and include the whole human race in their benevolence.

But bodies politic, remaining thus in a state of nature among themselves, presently experienced the inconveniences which had obliged individuals to forsake it; for this state became still more fatal to these great bodies than it had been to the individuals of whom they were composed. Hence arose national wars, battles, murders, and reprisals, which shock nature and outrage reason; together with all those horrible prejudices which class among the virtues the honour of shedding human blood. The most distinguished men hence learned to consider cutting each other's throats a duty; at length men massacred their fellow-creatures by thousands without so much as knowing why, and committed more murders in a single day's fighting, and more violent outrages in the sack of a single town, than were committed in the state of nature during whole ages over the whole earth. Such were the first effects which we can see to have followed the division of mankind into different communities. But let us return to their institutions.

I know that some writers have given other explanations of the origin of political societies, such as the conquest of the powerful, or the association of the weak. It is, indeed, indifferent to my argument which of these causes we choose. That which I have just laid down, however, appears to me the most natural for the following reasons. First: because, in the first case, the right of conquest, being no right in itself, could not serve as a foundation on which to build any other; the victor and the vanquished people still remained with respect to each other in the state of war, unless the vanquished, restored to the full possession of their liberty, voluntarily made choice of the victor for their chief. For till then, whatever capitulation may have been made being founded on violence, and therefore ipso facto void, there could not have been on this hypothesis either a real society or body politic, or any law other than that of the strongest. Secondly: because the words strong and weak are, in the second case, ambiguous; for during the interval between the establishment of a right of property, or prior occupancy, and that of political government, the meaning of these words is better expressed by the terms rich and poor: because, in fact, before the institution of laws, men had no other way of reducing their equals to submission, than by attacking their goods, or making some of their own over to them. Thirdly: because, as the poor had nothing but their freedom to lose, it would have been in the highest degree absurd for them to resign voluntarily the only good they still enjoyed, without getting anything in exchange: whereas the rich having feelings, if I may so express myself, in every part of their

possessions, it was much easier to harm them, and therefore more necessary for them to take precautions against it; and, in short, because it is more reasonable to suppose a thing to have been invented by those to whom it would be of service, than by those whom it must have harmed.

Government had, in its infancy, no regular and constant form. The want of experience and philosophy prevented men from seeing any but present inconveniences, and they thought of providing against others only as they presented themselves. In spite of the endeavours of the wisest legislators, the political state remained imperfect, because it was little more than the work of chance; and, as it had begun ill, though time revealed its defects and suggested remedies, the original faults were never repaired. It was continually being patched up, when the first task should have been to get the site cleared and all the old materials removed, as was done by Lycurgus at Sparta, if a stable and lasting edifice was to be erected. Society consisted at first merely of a few general conventions, which every member bound himself to observe; and for the performance of covenants the whole body went security to each individual. Experience only could show the weakness of such a constitution, and how easily it might be infringed with impunity, from the difficulty of convicting men of faults, where the public alone was to be witness and judge: the laws could not but be eluded in many ways; disorders and inconveniences could not but multiply continually, till it became necessary to commit the dangerous trust of public authority to private persons, and the care of enforcing obedience to the deliberations of the people to the magistrate. For to say that chiefs were chosen before the confederacy was formed, and that the administrators of the laws were there before the laws themselves, is too absurd a supposition to consider seriously.

It would be as unreasonable to suppose that men at first threw themselves irretrievably and unconditionally into the arms of an absolute master, and that the first expedient which proud and unsubdued men hit upon for their common security was to run headlong into slavery. For what reason, in fact, did they take to themselves superiors, if it was not in order that they might be defended from oppression, and have protection for their lives, liberties and properties, which are, so to speak, the constituent elements of their being? Now, in the relations between man and man, the worst that can happen is for one to find himself at the mercy of another, and it would have been inconsistent with common-sense to begin by bestowing on a chief the only things they wanted his help to preserve. What equivalent could he offer them for so great a right? And if he had presumed to exact it under pretext of defending them, would he not have received the answer recorded in the fable: "What more can the enemy do to us?" It is therefore beyond dispute, and indeed the fundamental maxim of all political right, that people have set up chiefs to protect their liberty, and not to enslave them. If we have a prince, said Pliny to Trajan, it is to save ourselves from having a master.

Politicians indulge in the same sophistry about the love of liberty as philosophers about the state of nature. They judge, by what they see, of very different things, which they have not seen; and attribute to man a natural propensity to servitude, because the slaves within their observation are seen to bear the yoke with patience; they fail to reflect that it is with liberty as with innocence and virtue; the value is known only to those who possess them, and the taste for them is forfeited when they are forfeited themselves. "I know the charms of your country," said Brasidas to a satrap, who was comparing the life at Sparta with that at Persepolis, "but you cannot know the pleasures of mine."

An unbroken horse erects his mane, paws the ground and starts back impetuously at the sight of the bridle; while one which is properly trained suffers patiently even whip and spur: so savage man will not bend his neck to the yoke to which civilised man submits without a murmur, but prefers the most turbulent state of liberty to the most peaceful slavery. We cannot therefore, from the servility of nations already enslaved, judge of the natural

disposition of mankind for or against slavery; we should go by the prodigious efforts of every free people to save itself from oppression. I know that the former are for ever holding forth in praise of the tranquillity they enjoy in their chains, and that they call a state of wretched servitude a state of peace: *miserrimam servitutem pacem appellat*[2]. But when I observe the latter sacrificing pleasure, peace, wealth, power and life itself to the preservation of that one treasure, which is so disdained by those who have lost it; when I see free-born animals dash their brains out against the bars of their cage, from an innate impatience of captivity; when I behold numbers of naked savages, that despise European pleasures, braving hunger, fire, the sword and death, to preserve nothing but their independence, I feel that it is not for slaves to argue about liberty.

With regard to paternal authority, from which some writers have derived absolute government and all society, it is enough, without going back to the contrary arguments of Locke and Sidney, to remark that nothing on earth can be further from the ferocious spirit of despotism than the mildness of that authority which looks more to the advantage of him who obeys than to that of him who commands; that, by the law of nature, the father is the child's master no longer than his help is necessary; that from that time they are both equal, the son being perfectly independent of the father, and owing him only respect and not obedience. For gratitude is a duty which ought to be paid, but not a right to be exacted: instead of saying that civil society is derived from paternal authority, we ought to say rather that the latter derives its principal force from the former. No individual was ever acknowledged as the father of many, till his sons and daughters remained settled around him. The goods of the father, of which he is really the master, are the ties which keep his children in dependence, and he may bestow on them, if he pleases, no share of his property, unless they merit it by constant deference to his will. But the subjects of an arbitrary despot are so far from having the like favour to expect from their chief, that they themselves and everything they possess are his property, or at least are considered by him as such; so that they are forced to receive, as a favour, the little of their own he is pleased to leave them. When he despoils them, he does but justice, and mercy in that he permits them to live.

By proceeding thus to test fact by right, we should discover as little reason as truth in the voluntary establishment of tyranny. It would also be no easy matter to prove the validity of a contract binding on only one of the parties, where all the risk is on one side, and none on the other; so that no one could suffer but he who bound himself. This hateful system is indeed, even in modern times, very far from being that of wise and good monarchs, and especially of the kings of France; as may be seen from several passages in their edicts; particularly from the following passage in a celebrated edict published in 1667 in the name and by order of Louis XIV.

“Let it not, therefore, be said that the Sovereign is not subject to the laws of his State; since the contrary is a true proposition of the right of nations, which flattery has sometimes attacked but good princes have always defended as the tutelary divinity of their dominions. How much more legitimate is it to say with the wise Plato, that the perfect felicity of a kingdom consists in the obedience of subjects to their prince, and of the prince to the laws, and in the laws being just and constantly directed to the public good!”[3]

I shall not stay here to inquire whether, as liberty is the noblest faculty of man, it is not degrading our very nature, reducing ourselves to the level of the brutes, which are mere slaves of instinct, and even an affront to the Author of our being, to renounce without reserve the most precious of all His gifts, and to bow to the necessity of committing all the crimes He has forbidden, merely to gratify a mad or a cruel master; or if this sublime craftsman ought not to be less angered at seeing His workmanship entirely destroyed than thus dishonoured. I will waive (if my opponents please) the authority of Barbeyrac, who, following Locke, roundly declares that no man can so far sell his liberty as to submit to an arbitrary power which may use him as it likes. For, he adds, this would be to sell his

own life, of which he is not master. I shall ask only what right those who were not afraid thus to debase themselves could have to subject their posterity to the same ignominy, and to renounce for them those blessings which they do not owe to the liberality of their progenitors, and without which life itself must be a burden to all who are worthy of it.

Puffendorf says that we may divest ourselves of our liberty in favour of other men, just as we transfer our property from one to another by contracts and agreements. But this seems a very weak argument. For in the first place, the property I alienate becomes quite foreign to me, nor can I suffer from the abuse of it; but it very nearly concerns me that my liberty should not be abused, and I cannot without incurring the guilt of the crimes I may be compelled to commit, expose myself to become an instrument of crime. Besides, the right of property being only a convention of human institution, men may dispose of what they possess as they please: but this is not the case with the essential gifts of nature, such as life and liberty, which every man is permitted to enjoy, and of which it is at least doubtful whether any have a right to divest themselves. By giving up the one, we degrade our being; by giving up the other, we do our best to annul it; and, as no temporal good can indemnify us for the loss of either, it would be an offence against both reason and nature to renounce them at any price whatsoever. But, even if we could transfer our liberty, as we do our property, there would be a great difference with regard to the children, who enjoy the father's substance only by the transmission of his right; whereas, liberty being a gift which they hold from nature as being men, their parents have no right whatever to deprive them of it. As then, to establish slavery, it was necessary to do violence to nature, so, in order to perpetuate such a right, nature would have to be changed. Jurists, who have gravely determined that the child of a slave comes into the world a slave, have decided, in other words, that a man shall come into the world not a man.

I regard it then as certain, that government did not begin with arbitrary power, but that this is the depravation, the extreme term, of government, and brings it back, finally, to just the law of the strongest, which it was originally designed to remedy.

Supposing, however, it had begun in this manner, such power, being in itself illegitimate, could not have served as a basis for the laws of society, nor, consequently, for the inequality they instituted.

Without entering at present upon the investigations which still remain to be made into the nature of the fundamental compact underlying all government, I content myself with adopting the common opinion concerning it, and regard the establishment of the political body as a real contract between the people and the chiefs chosen by them: a contract by which both parties bind themselves to observe the laws therein expressed, which form the ties of their union. The people having in respect of their social relations concentrated all their wills in one, the several articles, concerning which this will is explained, become so many fundamental laws, obligatory on all the members of the State without exception, and one of these articles regulates the choice and power of the magistrates appointed to watch over the execution of the rest. This power extends to everything which may maintain the constitution, without going so far as to alter it. It is accompanied by honours, in order to bring the laws and their administrators into respect. The ministers are also distinguished by personal prerogatives, in order to recompense them for the cares and labour which good administration involves. The magistrate, on his side, binds himself to use the power he is entrusted with only in conformity with the intention of his constituents, to maintain them all in the peaceable possession of what belongs to them, and to prefer on every occasion the public interest to his own.

Before experience had shown, or knowledge of the human heart enabled men to foresee, the unavoidable abuses of such a constitution, it must have appeared so much the more excellent, as those who were charged with the

care of its preservation had themselves most interest in it; for magistracy and the rights attaching to it being based solely on the fundamental laws, the magistrates would cease to be legitimate as soon as these ceased to exist; the people would no longer owe them obedience; and as not the magistrates, but the laws, are essential to the being of a State, the members of it would regain the right to their natural liberty.

If we reflect with ever so little attention on this subject, we shall find new arguments to confirm this truth, and be convinced from the very nature of the contract that it cannot be irrevocable: for, if there were no superior power capable of ensuring the fidelity of the contracting parties, or compelling them to perform their reciprocal engagements, the parties would be sole judges in their own cause, and each would always have a right to renounce the contract, as soon as he found that the other had violated its terms, or that they no longer suited his convenience. It is upon this principle that the right of abdication may possibly be founded. Now, if, as here, we consider only what is human in this institution, it is certain that, if the magistrate, who has all the power in his own hands, and appropriates to himself all the advantages of the contract, has none the less a right to renounce his authority, the people, who suffer for all the faults of their chief, must have a much better right to renounce their dependence. But the terrible and innumerable quarrels and disorders that would necessarily arise from so dangerous a privilege, show, more than anything else, how much human government stood in need of a more solid basis than mere reason, and how expedient it was for the public tranquillity that the divine will should interpose to invest the sovereign authority with a sacred and inviolable character, which might deprive subjects of the fatal right of disposing of it. If the world had received no other advantages from religion, this would be enough to impose on men the duty of adopting and cultivating it, abuses and all, since it has been the means of saving more blood than fanaticism has ever spilt. But let us follow the thread of our hypothesis.

The different forms of government owe their origin to the differing degrees of inequality which existed between individuals at the time of their institution. If there happened to be any one man among them pre-eminent in power, virtue, riches or personal influence, he became sole magistrate, and the State assumed the form of monarchy. If several, nearly equal in point of eminence, stood above the rest, they were elected jointly, and formed an aristocracy. Again, among a people who had deviated less from a state of nature, and between whose fortune or talents there was less disproportion, the supreme administration was retained in common, and a democracy was formed. It was discovered in process of time which of these forms suited men the best. Some peoples remained altogether subject to the laws; others soon came to obey their magistrates. The citizens laboured to preserve their liberty; the subjects, irritated at seeing others enjoying a blessing they had lost, thought only of making slaves of their neighbours. In a word, on the one side arose riches and conquests, and on the other happiness and virtue.

In these different governments, all the offices were at first elective; and when the influence of wealth was out of the question, the preference was given to merit, which gives a natural ascendancy, and to age, which is experienced in business and deliberate in council. The Elders of the Hebrews, the Gerontes at Sparta, the Senate at Rome, and the very etymology of our word Seigneur, show how old age was once held in veneration. But the more often the choice fell upon old men, the more often elections had to be repeated, and the more they became a nuisance; intrigues set in, factions were formed, party feeling grew bitter, civil wars broke out; the lives of individuals were sacrificed to the pretended happiness of the State; and at length men were on the point of relapsing into their primitive anarchy. Ambitious chiefs profited by these circumstances to perpetuate their offices in their own families: at the same time the people, already used to dependence, ease, and the conveniences of life, and already incapable of breaking its fetters, agreed to an increase of its slavery, in order to secure its tranquillity. Thus magistrates, having become hereditary, contracted the habit of considering their offices as a family estate,

and themselves as proprietors of the communities of which they were at first only the officers, of regarding their fellow-citizens as their slaves, and numbering them, like cattle, among their belongings, and of calling themselves the equals of the gods and kings of kings.

If we follow the progress of inequality in these various revolutions, we shall find that the establishment of laws and of the right of property was its first term, the institution of magistracy the second, and the conversion of legitimate into arbitrary power the third and last; so that the condition of rich and poor was authorised by the first period; that of powerful and weak by the second; and only by the third that of master and slave, which is the last degree of inequality, and the term at which all the rest remain, when they have got so far, till the government is either entirely dissolved by new revolutions, or brought back again to legitimacy.

To understand this progress as necessary we must consider not so much the motives for the establishment of the body politic, as the forms it assumes in actuality, and the faults that necessarily attend it: for the flaws which make social institutions necessary are the same as make the abuse of them unavoidable. If we except Sparta, where the laws were mainly concerned with the education of children, and where Lycurgus established such morality as practically made laws needles — for laws as a rule, being weaker than the passions, restrain men without altering them — it would not be difficult to prove that every government, which scrupulously complied with the ends for which it was instituted, and guarded carefully against change and corruption, was set up unnecessarily. For a country, in which no one either evaded the laws or made a bad use of magisterial power, could require neither laws nor magistrates.

Political distinctions necessarily produce civil distinctions. The growing equality between the chiefs and the people is soon felt by individuals, and modified in a thousand ways according to passions, talents and circumstances. The magistrate could not usurp any illegitimate power, without giving distinction to the creatures with whom he must share it. Besides, individuals only allow themselves to be oppressed so far as they are hurried on by blind ambition, and, looking rather below than above them, come to love authority more than independence, and submit to slavery, that they may in turn enslave others. It is no easy matter to reduce to obedience a man who has no ambition to command; nor would the most adroit politician find it possible to enslave a people whose only desire was to be independent. But inequality easily makes its way among cowardly and ambitious minds, which are ever ready to run the risks of fortune, and almost indifferent whether they command or obey, as it is favourable or adverse. Thus, there must have been a time, when the eyes of the people were so fascinated, that their rules had only to say to the least of men, “Be great, you and all your posterity,” to make him immediately appear great in the eyes of every one as well as in his own. His descendants took still more upon them, in proportion to their distance from him; the more obscure and uncertain the cause, the greater the effect: the greater the number of idlers one could count in a family, the more illustrious it was held to be.

If this were the place to go into details, I could readily explain how, even without the intervention of government, inequality of credit and authority became unavoidable among private persons, as soon as their union in a single society made them compare themselves one with another, and take into account the differences which they found out from the continual intercourse every man had to have with his neighbours[4]. These differences are of several kinds; but riches, nobility or rank, power and personal merit being the principal distinctions by which men form an estimate of each other in society, I could prove that the harmony or conflict of these different forces is the surest indication of the good or bad constitution of a State. I could show that among these four kinds of inequality, personal qualities being the origin of all the others, wealth is the one to which they are all reduced in the end; for, as riches tend most immediately to the prosperity of individuals, and are easiest to communicate, they are used

to purchase every other distinction. By this observation we are enabled to judge pretty exactly how far a people has departed from its primitive constitution, and of its progress towards the extreme term of corruption. I could explain how much this universal desire for reputation, honours and advancement, which inflames us all, exercises and holds up to comparison our faculties and powers; how it excites and multiplies our passions, and, by creating universal competition and rivalry, or rather enmity, among men, occasions numberless failures, successes and disturbances of all kinds by making so many aspirants run the same course. I could show that it is to this desire of being talked about, and this unremitting rage of distinguishing ourselves, that we owe the best and the worst things we possess, both our virtues and our vices, our science and our errors, our conquerors and our philosophers; that is to say, a great many bad things, and a very few good ones. In a word, I could prove that, if we have a few rich and powerful men on the pinnacle of fortune and grandeur, while the crowd grovels in want and obscurity, it is because the former prize what they enjoy only in so far as others are destitute of it; and because, without changing their condition, they would cease to be happy the moment the people ceased to be wretched.

These details alone, however, would furnish matter for a considerable work, in which the advantages and disadvantages of every kind of government might be weighed, as they are related to man in the state of nature, and at the same time all the different aspects, under which inequality has up to the present appeared, or may appear in ages yet to come, according to the nature of the several governments, and the alterations which time must unavoidably occasion in them, might be demonstrated. We should then see the multitude oppressed from within, in consequence of the very precautions it had taken to guard against foreign tyranny. We should see oppression continually gain ground without it being possible for the oppressed to know where it would stop, or what legitimate means was left them of checking its progress. We should see the rights of citizens, and the freedom of nations slowly extinguished, and the complaints, protests and appeals of the weak treated as seditious murmurings. We should see the honour of defending the common cause confined by statecraft to a mercenary part of the people. We should see taxes made necessary by such means, and the disheartened husbandman deserting his fields even in the midst of peace, and leaving the plough to gird on the sword. We should see fatal and capricious codes of honour established; and the champions of their country sooner or later becoming its enemies, and for ever holding their daggers to the breasts of their fellow-citizens. The time would come when they would be heard saying to the oppressor of their country —

Pectore si fratris gladium juguloque parentis

Condere me jubeas, gravidæque in viscera partu Conjugis, invita peragam tamen omnia dextrâ.

Lucan, i. 376

From great inequality of fortunes and conditions, from the vast variety of passions and of talents, of useless and pernicious arts, of vain sciences, would arise a multitude of prejudices equally contrary to reason, happiness and virtue. We should see the magistrates fomenting everything that might weaken men united in society, by promoting dissension among them; everything that might sow in it the seeds of actual division, while it gave society the air of harmony; everything that might inspire the different ranks of people with mutual hatred and distrust, by setting the rights and interests of one against those of another, and so strengthen the power which comprehended them all.

It is from the midst of this disorder and these revolutions, that despotism, gradually raising up its hideous head and devouring everything that remained sound and untainted in any part of the State, would at length trample on both

the laws and the people, and establish itself on the ruins of the republic. The times which immediately preceded this last change would be times of trouble and calamity; but at length the monster would swallow up everything, and the people would no longer have either chiefs or laws, but only tyrants. From this moment there would be no question of virtue or morality; for despotism cui ex honesto nulla est spes, wherever it prevails, admits no other master; it no sooner speaks than probity and duty lose their weight and blind obedience is the only virtue which slaves can still practise.

This is the last term of inequality, the extreme point that closes the circle, and meets that from which we set out. Here all private persons return to their first equality, because they are nothing; and, subjects having no law but the will of their master, and their master no restraint but his passions, all notions of good and all principles of equity again vanish. There is here a complete return to the law of the strongest, and so to a new state of nature, differing from that we set out from; for the one was a state of nature in its first purity, while this is the consequence of excessive corruption. There is so little difference between the two states in other respects, and the contract of government is so completely dissolved by despotism, that the despot is master only so long as he remains the strongest; as soon as he can be expelled, he has no right to complain of violence. The popular insurrection that ends in the death or deposition of a Sultan is as lawful an act as those by which he disposed, the day before, of the lives and fortunes of his subjects. As he was maintained by force alone, it is force alone that overthrows him. Thus everything takes place according to the natural order; and, whatever may be the result of such frequent and precipitate revolutions, no one man has reason to complain of the injustice of another, but only of his own ill-fortune or indiscretion.

If the reader thus discovers and retraces the lost and forgotten road, by which man must have passed from the state of nature to the state of society; if he carefully restores, along with the intermediate situations which I have just described, those which want of time has compelled me to suppress, or my imagination has failed to suggest, he cannot fail to be struck by the vast distance which separates the two states. It is in tracing this slow succession that he will find the solution of a number of problems of politics and morals, which philosophers cannot settle. He will feel that, men being different in different ages, the reason why Diogenes could not find a man was that he sought among his contemporaries a man of an earlier period. He will see that Cato died with Rome and liberty, because he did not fit the age in which he lived; the greatest of men served only to astonish a world which he would certainly have ruled, had he lived five hundred years sooner. In a word, he will explain how the soul and the passions of men insensibly change their very nature; why our wants and pleasures in the end seek new objects; and why, the original man having vanished by degrees, society offers to us only an assembly of artificial men and factitious passions, which are the work of all these new relations, and without any real foundation in nature. We are taught nothing on this subject, by reflection, that is not entirely confirmed by observation. The savage and the civilised man differ so much in the bottom of their hearts and in their inclinations, that what constitutes the supreme happiness of one would reduce the other to despair. The former breathes only peace and liberty; he desires only to live and be free from labour; even the ataraxia of the Stoic falls far short of his profound indifference to every other object. Civilised man, on the other hand, is always moving, sweating, toiling and racking his brains to find still more laborious occupations: he goes on in drudgery to his last moment, and even seeks death to put himself in a position to live, or renounces life to acquire immortality. He pays his court to men in power, whom he hates, and to the wealthy, whom he despises; he stops at nothing to have the honour of serving them; he is not ashamed to value himself on his own meanness and their protection; and, proud of his slavery, he speaks with disdain of those, who have not the honour of sharing it. What a sight would the perplexing and envied labours of a European minister of State present to the eyes of a Caribbean! How many cruel deaths would not this indolent savage prefer

to the horrors of such a life, which is seldom even sweetened by the pleasure of doing good! But, for him to see into the motives of all this solicitude, the words power and reputation, would have to bear some meaning in his mind; he would have to know that there are men who set a value on the opinion of the rest of the world; who can be made happy and satisfied with themselves rather on the testimony of other people than on their own. In reality, the source of all these differences is, that the savage lives within himself, while social man lives constantly outside himself, and only knows how to live in the opinion of others, so that he seems to receive the consciousness of his own existence merely from the judgment of others concerning him. It is not to my present purpose to insist on the indifference to good and evil which arises from this disposition, in spite of our many fine works on morality, or to show how, everything being reduced to appearances, there is but art and mummery in even honour, friendship, virtue, and often vice itself, of which we at length learn the secret of boasting; to show, in short, how, always asking others what we are, and never daring to ask ourselves, in the midst of so much philosophy, humanity and civilisation, and of such sublime codes of morality, we have nothing to show for ourselves but a frivolous and deceitful appearance, honour without virtue, reason without wisdom, and pleasure without happiness. It is sufficient that I have proved that this is not by any means the original state of man, but that it is merely the spirit of society, and the inequality which society produces, that thus transform and alter all our natural inclinations.

I have endeavoured to trace the origin and progress of inequality, and the institution and abuse of political societies, as far as these are capable of being deduced from the nature of man merely by the light of reason, and independently of those sacred dogmas which give the sanction of divine right to sovereign authority. It follows from this survey that, as there is hardly any inequality in the state of nature, all the inequality which now prevails owes its strength and growth to the development of our faculties and the advance of the human mind, and becomes at last permanent and legitimate by the establishment of property and laws. Secondly, it follows that moral inequality, authorised by positive right alone, clashes with natural right, whenever it is not proportionate to physical inequality; a distinction which sufficiently determines what we ought to think of that species of inequality which prevails in all civilised, countries; since it is plainly contrary to the law of nature, however defined, that children should command old men, fools wise men, and that the privileged few should gorge themselves with superfluities, while the starving multitude are in want of the bare necessities of life.

NOTES:

[1]. Ovid, *Metamorphoses*, xi. 127.

Both rich and poor, shocked at their new-found ills, Would fly from wealth, and lose what they had sought.

[2]. Tacitus, *Hist.* iv. 17. The most wretched slavery they call peace.

[3]. *Of the Rights of the Most Christian Queen over Various States of the Monarchy of Spain*, 1667.

[4]. Distributive justice would oppose this rigorous equality of the state of nature, even were it practicable in civil society; as all the members of the State owe it their services in proportion to their talents and abilities, they ought, on their side, to be distinguished and favoured in proportion to the services they have actually rendered. It is in this sense we must understand that passage of Isocrates, in which he extols the primitive Athenians, for having determined which of the two kinds of equality was the most useful, viz., that which consists in dividing the same advantages indiscriminately among all the citizens, or that which consists in distributing them to each according to his deserts. These able politicians, adds the orator, banishing that unjust inequality which makes no distinction

between good and bad men, adhered inviolably to that which rewards and punishes every man according to his deserts.

But in the first place, there never existed a society, however corrupt some may have become, where no difference was made between the good and the bad; and with regard to morality, where no measures can be prescribed by law exact enough to serve as a practical rule for a magistrate, it is with great prudence that, in order not to leave the fortune or quality of the citizens to his discretion, it prohibits him from passing judgment on persons and confines his judgment to actions. Only morals such as those of the ancient Romans can bear censors, and such a tribunal among us would throw everything into confusion. The difference between good and bad men is determined by public esteem; the magistrate being strictly a judge of right alone; whereas the public is the truest judge of morals, and is of such integrity and penetration on this head, that although it may be sometimes deceived, it can never be corrupted. The rank of citizens ought, therefore, to be regulated, not according to their personal merit — for this would put it in the power of the magistrate to apply the law almost arbitrarily — but according to the actual services done to the State, which are capable of being more exactly estimated.

Mary Wollstonecraft – On the Rights of Women

A Vindication of the Rights of Woman: with Strictures on Political and Moral Subjects

To account for, and excuse the tyranny of man, many ingenious arguments have been brought forward to prove, that the two sexes, in the acquirement of virtue, ought to aim at attaining a very different character: or, to speak explicitly, women are not allowed to have sufficient strength of mind to acquire what really deserves the name of virtue. Yet it should seem, allowing them to have souls, that there is but one way appointed by Providence to lead *mankind* to either virtue or happiness.

If then women are not a swarm of ephemeron triflers, why should they be kept in ignorance under the specious name of innocence? Men complain, and with reason, of the follies and caprices of our sex, when they do not keenly satirize our head- strong passions and groveling vices. Behold, I should answer, the natural effect of ignorance! The mind will ever be unstable that has only prejudices to rest on, and the current will run with destructive fury when there are no barriers to break its force. Women are told from their infancy, and taught by the example of their mothers, that a little knowledge of human weakness, justly termed cunning, softness or temper, *outward* obedience, and a scrupulous attention to a puerile kind of propriety, will obtain for them the protection of man; and should they be beautiful, every thing else is needless, for, at least, twenty years of their lives.

Thus Milton describes our first frail mother; though when he tells us that women are formed for softness and sweet attractive grace[1], I cannot comprehend his meaning, unless, in the true Mahometan strain, he meant to deprive us of souls, and insinuate that we were beings only designed by sweet attractive grace, and docile blind obedience, to gratify the senses of man when he can no longer soar on the wing of contemplation.

How grossly do they insult us who thus advise us only to render ourselves gentle, domestic brutes! For instance, the winning softness so warmly, and frequently, recommended, that governs by obeying. What childish expression, and how insignificant is the being – can it be an immortal one? who will condescend to govern by such sinister methods! ‘Certainly,’ says Lord Bacon, ‘man is of kin to the beasts by his body; and if he be not of kin to God by his spirit, he is a base and ignoble creature!’[2] Men, indeed, appear to me to act in a very unphilosophical manner when they try to secure the good conduct of women by attempting to keep them always in a state of childhood. Rousseau was more consistent when he wished to stop the progress of reason in both sexes, for if men eat of the tree of knowledge, women will come in for a taste; but, from the imperfect cultivation which their understandings now receive, they only attain a knowledge of evil.

Children, I grant, should be innocent; but when the epithet is applied to men, or women, it is but a civil term for weakness. For if it be allowed that women were destined by Providence to acquire human virtues, and by the exercise of their understandings, that stability of character which is the firmest ground to rest our future hopes upon, they must be permitted to turn to the fountain of light, and not forced to shape their course by the twinkling of a mere satellite. Milton, I grant, was of a very different opinion; for he only bends to the indefeasible right of beauty, though it would be difficult to render two passages which I now mean to contrast, consistent. But into similar inconsistencies are great men often led by their senses.

‘To whom thus Eve with *perfect beauty* adorn’d. My Author and Disposer, what thou bidst *Unargued* I obey; so God ordains;

God is *thy law, thou mine*: to know no more

Is Woman’s *happiest* knowledge and her *praise*.’[3]

These are exactly the arguments that I have used to children; but I have added, your reason is now gaining strength, and, till it arrives at some degree of maturity, you must look up to me for advice – then you ought to *think*, and only rely on God.

Yet in the following lines Milton seems to coincide with me; when he makes Adam thus expostulate with his Maker.

Hast thou not made me here thy substitute,

And these inferior far beneath me set? Among *unequals* what society

Can sort, what harmony or true delight? Which must be mutual, in proportion due Giv’n and receiv’d; but in *disparity*

The one intense, the other still remiss Cannot well suit with either, but soon prove Tedious alike: of *fellowship* I speak

Such as I seek, fit to participate All rational delight –’[4]

In treating, therefore, of the manners of women, let us, disregarding sensual arguments, trace what we should endeavour to make them in order to co-operate, if the expression be not too bold, with the supreme Being.

By individual education, I mean, for the sense of the word is not precisely defined, such an attention to a child as will slowly sharpen the senses, form the temper, regulate the passions as they begin to ferment, and set the understanding to work before the body arrives at maturity; so that the man may only have to proceed, not to begin, the important task of learning to think and reason.

To prevent any misconstruction, I must add, that I do not believe that a private education can work the wonders which some sanguine writers have attributed to it. Men and women must be educated, in a great degree, by the opinions and manners of the society they live in. In every age there has been a stream of popular opinion that has carried all before it, and given a family character, as it were, to the century. It may then fairly be inferred, that, till society be differently constituted, much cannot be expected from education. It is, however, sufficient for

my present purpose to assert, that, whatever effect circumstances have on the abilities, every being may become virtuous by the exercise of its own reason; for if but one being was created with vicious inclinations, that is positively bad, what can save us from atheism? or if we worship a God, is not that God a devil?

Consequently, the most perfect education, in my opinion, is such an exercise of the understanding as is best calculated to strengthen the body and form the heart. Or, in other words, to enable the individual to attain such habits of virtue as will render it independent. In fact, it is a farce to call any being virtuous whose virtues do not result from the exercise of its own reason. This was Rousseau's opinion respecting men: I extend it to women, and confidently assert that they have been drawn out of their sphere by false refinement, and not by an endeavour to acquire masculine qualities. Still the regal homage which they receive is so intoxicating, that till the manners of the times are changed, and formed on more reasonable principles, it may be impossible to convince them that the illegitimate power, which they obtain, by degrading themselves, is a curse, and that they must return to nature and equality, if they wish to secure the placid satisfaction that unsophisticated affections impart. But for this epoch we must wait – wait, perhaps, till kings and nobles, enlightened by reason, and, preferring the real dignity of man to childish state, throw off their gaudy hereditary trappings: and if then women do not resign the arbitrary power of beauty – they will prove that they have *less* mind than man.

I may be accused of arrogance; still I must declare what I firmly believe, that all the writers who have written on the subject of female education and manners from Rousseau to Dr Gregory[5], have contributed to render women more artificial, weak characters, than they would otherwise have been; and, consequently, more useless members of society. I might have expressed this conviction in a lower key; but I am afraid it would have been the whine of affectation, and not the faithful expression of my feelings, of the clear result, which experience and reflection have led me to draw. When I come to that division of the subject, I shall advert to the passages that I more particularly disapprove of, in the works of the authors I have just alluded to; but it is first necessary to observe, that my objection extends to the whole purport of those books, which tend, in my opinion, to degrade one half of the human species, and render women pleasing at the expence of every solid virtue.

Though, to reason on Rousseau's ground, if man did attain a degree of perfection of mind when his body arrived at maturity, it might be proper, in order to make a man and his wife *one*, that she should rely entirely on his understanding; and the graceful ivy, clasping the oak that supported it, would form a whole in which strength and beauty would be equally conspicuous. But, alas! husbands, as well as their helpmates, are often only overgrown children; nay, thanks to early debauchery, scarcely men in their outward form – and if the blind lead the blind, one need not come from heaven to tell us the consequence.

Many are the causes that, in the present corrupt state of society, contribute to enslave women by cramping their understandings and sharpening their senses. One, perhaps, that silently does more mischief than all the rest, is their disregard of order.

To do every thing in an orderly manner, is a most important precept, which women, who, generally speaking, receive only a disorderly kind of education, seldom attend to with that degree of exactness that men, who from their infancy are broken into method, observe. This negligent kind of guesswork, for what other epithet can be used to point out the random exertions of a sort of instinctive common sense, never brought to the test of reason? prevents their generalizing matters of fact – so they do to-day, what they did yesterday, merely because they did it yesterday.

This contempt of the understanding in early life has more baneful consequences than is commonly supposed; for the little knowledge which women of strong minds attain, is, from various circumstances, of a more desultory kind than the knowledge of men, and it is acquired more by sheer observations on real life, than from comparing what has been individually observed with the results of experience generalized by speculation. Led by their dependent situation and domestic employments more into society, what they learn is rather by snatches; and as learning is with them, in general, only a secondary thing, they do not pursue any one branch with that persevering ardour necessary to give vigour to the faculties, and clearness to the judgment. In the present state of society, a little learning is required to support the character of a gentleman; and boys are obliged to submit to a few years of discipline. But in the education of women, the cultivation of the understanding is always subordinate to the acquirement of some corporeal accomplishment; even while enervated by confinement and false notions of modesty, the body is prevented from attaining that grace and beauty which relaxed half-formed limbs never exhibit. Besides, in youth their faculties are not brought forward by emulation; and having no serious scientific study, if they have natural sagacity it is turned too soon on life and manners. They dwell on effects, and modifications, without tracing them back to causes; and complicated rules to adjust behaviour are a weak substitute for simple principles.

As a proof that education gives this appearance of weakness to females, we may instance the example of military men, who are, like them, sent into the world before their minds have been stored with knowledge or fortified by principles. The consequences are similar, soldiers acquire a little superficial knowledge, snatched from the muddy current of conversation, and, from continually mixing with society, they gain, what is termed a knowledge of the world, and this acquaintance with manners and customs has frequently been confounded with a knowledge of the human heart. But can the crude fruit of casual observation, never brought to the test of judgment, formed by comparing speculation and experience, deserve such a distinction? Soldiers, as well as women, practise the minor virtues with punctilious politeness. Where is then the sexual difference, when the education has been the same? All the difference that I can discern, arises from the superior advantage of liberty, which enables the former to see more of life.

It is wandering from my present subject, perhaps, to make a political remark; but, as it was produced naturally by the train of my reflections, I shall not pass it silently over.

Standing armies can never consist of resolute, robust men; they may be well disciplined machines, but they will seldom contain men under the influence of strong passions, or with very vigorous faculties. And as for any depth of understanding, I will venture to affirm, that it is as rarely to be found in the army as amongst women; and the cause, I maintain, is the same. It may be further observed, that officers are also particularly attentive to their persons, fond of dancing, crowded rooms, adventures, and ridicule.[6] Like the *fair sex*, the business of their lives is gallantry. – They were taught to please, and they only live to please. Yet they do not lose their rank in the distinction of sexes, for they are still reckoned superior to women, though in what their superiority consists, beyond what I have just mentioned, it is difficult to discover.

The great misfortune is this, that they both acquire manners before morals, and a knowledge of life before they have, from reflection, any acquaintance with the grand ideal outline of human nature. The consequence is natural; satisfied with common nature, they become a prey to prejudices, and taking all their opinions on credit, they blindly submit to authority. So that if they have any sense, it is a kind of instinctive glance, that catches proportions, and decides with respect to manners; but fails when arguments are to be pursued below the surface, or opinions analyzed.

May not the same remark be applied to women? Nay, the argument may be carried still further, for they are both thrown out of a useful station by the unnatural distinctions established in civilized life. Riches and hereditary honours have made cyphers of women to give consequence to the numerical figure; and idleness has produced a mixture of gallantry and despotism into society, which leads the very men who are the slaves of their mistresses to tyrannize over their sisters, wives, and daughters. This is only keeping them in rank and file, it is true. Strengthen the female mind by enlarging it, and there will be an end to blind obedience; but, as blind obedience is ever sought for by power, tyrants and sensualists are in the right when they endeavour to keep women in the dark, because the former only want slaves, and the latter a play-thing. The sensualist, indeed, has been the most dangerous of tyrants, and women have been duped by their lovers, as princes by their ministers, whilst dreaming that they reigned over them.

I now principally allude to Rousseau, for his character of Sophia is, undoubtedly, a captivating one, though it appears to me grossly unnatural; however it is not the superstructure, but the foundation of her character, the principles on which her education was built, that I mean to attack; nay, warmly as I admire the genius of that able writer, whose opinions I shall often have occasion to cite, indignation always takes place of admiration, and the rigid frown of insulted virtue effaces the smile of complacency, which his eloquent periods are wont to raise, when I read his voluptuous reveries. Is this the man, who, in his ardour for virtue, would banish all the soft arts of peace, and almost carry us back to Spartan discipline? Is this the man who delights to paint the useful struggles of passion, the triumphs of good dispositions, and the heroic flights which carry the glowing soul out of itself? – How are these mighty sentiments lowered when he describes the pretty foot and enticing airs of his little favourite! But, for the present, I wave the subject, and, instead of severely reprehending the transient effusions of overweening sensibility, I shall only observe, that whoever has cast a benevolent eye on society, must often have been gratified by the sight of humble mutual love, not dignified by sentiment, or strengthened by a union in intellectual pursuits. The domestic trifles of the day have afforded matters for cheerful converse, and innocent caresses have softened toils which did not require great exercise of mind or stretch of thought: yet, has not the sight of this moderate felicity excited more tenderness than respect? An emotion similar to what we feel when children are playing, or animals sporting,[7] whilst the contemplation of the noble struggles of suffering merit has raised admiration, and carried our thoughts to that world where sensation will give place to reason.

Women are, therefore, to be considered either as moral beings, or so weak that they must be entirely subjected to the superior faculties of men.

Let us examine this question. Rousseau declares that a woman should never, for a moment, feel herself independent, that she should be governed by fear to exercise her *natural* cunning, and made a coquetish slave in order to render her a more alluring object of desire, a *sweeter* companion to man, whenever he chooses to relax himself. He carries the arguments, which he pretends to draw from the indications of nature, still further, and insinuates that truth and fortitude, the corner stones of all human virtue, should be cultivated with certain restrictions, because, with respect to the female character, obedience is the grand lesson which ought to be impressed with unrelenting rigour.

What nonsense! when will a great man arise with sufficient strength of mind to puff away the fumes which pride and sensuality have thus spread over the subject! If women are by nature inferior to men, their virtues must be the same in quality, if not in degree, or virtue is a relative idea; consequently, their conduct should be founded on the same principles, and have the same aim.

Connected with man as daughters, wives, and mothers, their moral character may be estimated by their manner of fulfilling those simple duties; but the end, the grand end of their exertions should be to unfold their own faculties and acquire the dignity of conscious virtue. They may try to render their road pleasant; but ought never to forget, in common with man, that life yields not the felicity which can satisfy an immortal soul. I do not mean to insinuate, that either sex should be so lost in abstract reflections or distant views, as to forget the affections and duties that lie before them, and are, in truth, the means appointed to produce the fruit of life; on the contrary, I would warmly recommend them, even while I assert, that they afford most satisfaction when they are considered in their true, sober light.

Probably the prevailing opinion, that woman was created for man, may have taken its rise from Moses's poetical story; yet, as very few, it is presumed, who have bestowed any serious thought on the subject, ever supposed that Eve was, literally speaking, one of Adam's ribs, the deduction must be allowed to fall to the ground; or, only be so far admitted as it proves that man, from the remotest antiquity, found it convenient to exert his strength to subjugate his companion, and his invention to shew that she ought to have her neck bent under the yoke, because the whole creation was only created for his convenience or pleasure.

Let it not be concluded that I wish to invert the order of things; I have already granted, that, from the constitution of their bodies, men seem to be designed by Providence to attain a greater degree of virtue. I speak collectively of the whole sex; but I see not the shadow of a reason to conclude that their virtues should differ in respect to their nature. In fact, how can they, if virtue has only one eternal standard? I must therefore, if I reason consequentially, as strenuously maintain that they have the same simple direction, as that there is a God.

It follows then that cunning should not be opposed to wisdom, little cares to great exertions, or insipid softness, varnished over with the name of gentleness, to that fortitude which grand views alone can inspire.

I shall be told that woman would then lose many of her peculiar graces, and the opinion of a well known poet might be quoted to refute my unqualified assertion. For Pope has said, in the name of the whole male sex,

'Yet ne'er so sure our passion to create,

As when she touch'd the brink of all we hate.'^[8]

In what light this sally places men and women, I shall leave to the judicious to determine; meanwhile I shall content myself with observing, that I cannot discover why, unless they are mortal, females should always be degraded by being made subservient to love or lust.

To speak disrespectfully of love is, I know, high treason against sentiment and fine feelings; but I wish to speak the simple language of truth, and rather to address the head than the heart. To endeavour to reason love out of the world, would be to out Quixote Cervantes, and equally offend against common sense; but an endeavour to restrain this tumultuous passion, and to prove that it should not be allowed to dethrone superior powers, or to usurp the sceptre which the understanding should ever coolly wield, appears less wild.

Youth is the season for love in both sexes; but in those days of thoughtless enjoyment provision should be made for the more important years of life, when reflection takes place of sensation. But Rousseau, and most of the male writers who have followed his steps, have warmly inculcated that the whole tendency of female education ought to be directed to one point: – to render them pleasing.

Let me reason with the supporters of this opinion who have any knowledge of human nature, do they imagine that marriage can eradicate the habitude of life? The woman who has only been taught to please will soon find that her charms are oblique sunbeams, and that they cannot have much effect on her husband's heart when they are seen every day, when the summer is passed and gone. Will she then have sufficient native energy to look into herself for comfort, and cultivate her dormant faculties? or, is it not more rational to expect that she will try to please other men; and, in the emotions raised by the expectation of new conquests, endeavour to forget the mortification her love or pride has received? When the husband ceases to be a lover – and the time will inevitably come, her desire of pleasing will then grow languid, or become a spring of bitterness; and love, perhaps, the most evanescent of all passions, gives place to jealousy or vanity.

I now speak of women who are restrained by principle or prejudice; such women, though they would shrink from an intrigue with real abhorrence, yet, nevertheless, wish to be convinced by the homage of gallantry that they are cruelly neglected by their husbands; or, days and weeks are spent in dreaming of the happiness enjoyed by congenial souls till their health is undermined and their spirits broken by discontent. How then can the great art of pleasing be such a necessary study; it is only useful to a mistress; the chaste wife, and serious mother, should only consider her power to please as the polish of her virtues, and the affection of her husband as one of the comforts that render her task less difficult and her life happier. – But, whether she be loved or neglected, her first wish should be to make herself respectable, and not to rely for all her happiness on a being subject to like infirmities with herself.

The worthy Dr Gregory fell into a similar error. I respect his heart; but entirely disapprove of his celebrated Legacy to his Daughters.

He advises them to cultivate a fondness for dress, because a fondness for dress, he asserts, is natural to them. I am unable to comprehend what either he or Rousseau mean, when they frequently use this indefinite term. If they told us that in a pre-existent state the soul was fond of dress, and brought this inclination with it into a new body, I should listen to them with a half smile, as I often do when I hear a rant about innate elegance. – But if he only meant to say that the exercise of the faculties will produce this fondness – I deny it. It is not natural; but arises, like false ambition in men, from a love of power.

Dr Gregory goes much further; he actually recommends dissimulation, and advises an innocent girl to give the lie to her feelings, and not dance with spirit, when gaiety of heart would make her feet eloquent without making her gestures immodest. In the name of truth and common sense, why should not one woman acknowledge that she can take more exercise than another? or, in other words, that she has a sound constitution; and why, to damp innocent vivacity, is she darkly to be told that men will draw conclusions which she little thinks of? – Let the libertine draw what inference he pleases; but, I hope, that no sensible mother will restrain the natural frankness of youth by instilling such indecent cautions. Out of the abundance of the heart the mouth speaketh[9]; and a wiser than Solomon hath said, that the heart should be made clean, and not trivial ceremonies observed, which it is not very difficult to fulfil with scrupulous exactness when vice reigns in the heart.

Women ought to endeavour to purify their heart; but can they do so when their uncultivated understandings make them entirely dependent on their senses for employment and amusement, when no noble pursuit sets them above the little vanities of the day, or enables them to curb the wild emotions that agitate a reed over which every passing breeze has power? To gain the affections of a virtuous man is affectation necessary? Nature has given woman a weaker frame than man; but, to ensure her husband's affections, must a wife, who by the exercise of her mind and

body whilst she was discharging the duties of a daughter, wife, and mother, has allowed her constitution to retain its natural strength, and her nerves a healthy tone, is she, I say, to condescend to use art and feign a sickly delicacy in order to secure her husband's affection? Weakness may excite tenderness, and gratify the arrogant pride of man; but the lordly caresses of a protector will not gratify a noble mind that pants for, and deserves to be respected. Fondness is a poor substitute for friendship!

In a seraglio, I grant, that all these arts are necessary; the epicure must have his palate tickled, or he will sink into apathy; but have women so little ambition as to be satisfied with such a condition? Can they supinely dream life away in the lap of pleasure, or the languor of weariness, rather than assert their claim to pursue reasonable pleasures and render themselves conspicuous by practising the virtues which dignify mankind? Surely she has not an immortal soul who can loiter life away merely employed to adorn her person, that she may amuse the languid hours, and soften the cares of a fellow-creature who is willing to be enlivened by her smiles and tricks, when the serious business of life is over.

Besides, the woman who strengthens her body and exercises her mind will, by managing her family and practising various virtues, become the friend, and not the humble dependent of her husband; and if she, by possessing such substantial qualities, merit his regard, she will not find it necessary to conceal her affection, nor to pretend to an unnatural coldness of constitution to excite her husband's passions. In fact, if we revert to history, we shall find that the women who have distinguished themselves have neither been the most beautiful nor the most gentle of their sex.

Nature, or, to speak with strict propriety, God, has made all things right; but man has sought him out many inventions to mar the work. I now allude to that part of Dr Gregory's treatise, where he advises a wife never to let her husband know the extent of her sensibility or affection. Voluptuous precaution, and as ineffectual as absurd. – Love, from its very nature, must be transitory. To seek for a secret that would render it constant, would be as wild a search as for the philosopher's stone, or the grand panacea: and the discovery would be equally useless, or rather pernicious, to mankind. The most holy band of society is friendship. It has been well said, by a shrewd satirist, 'that rare as true love is, true friendship is still rarer.'^[10]

This is an obvious truth, and the cause not lying deep, will not elude a slight glance of inquiry.

Love, the common passion, in which chance and sensation take place of choice and reason, is, in some degree, felt by the mass of mankind; for it is not necessary to speak, at present, of the emotions that rise above or sink below love. This passion, naturally increased by suspense and difficulties, draws the mind out of its accustomed state, and exalts the affections; but the security of marriage, allowing the fever of love to subside, a healthy temperature is thought insipid, only by those who have not sufficient intellect to substitute the calm tenderness of friendship, the confidence of respect, instead of blind admiration, and the sensual emotions of fondness.

This is, must be, the course of nature – friendship or indifference inevitably succeeds love. – And this constitution seems perfectly to harmonize with the system of government which prevails in the moral world. Passions are spurs to action, and open the mind; but they sink into mere appetites, become a personal and momentary gratification, when the object is gained, and the satisfied mind rests in enjoyment. The man who had some virtue whilst he was struggling for a crown, often becomes a voluptuous tyrant when it graces his brow; and, when the lover is not lost in the husband, the dotard, a prey to childish caprices, and fond jealousies, neglects the serious duties of life, and the caresses which should excite confidence in his children are lavished on the overgrown child, his wife.

In order to fulfil the duties of life, and to be able to pursue with vigour the various employments which form the moral character, a master and mistress of a family ought not to continue to love each other with passion. I mean to say, that they ought not to indulge those emotions which disturb the order of society, and engross the thoughts that should be otherwise employed. The mind that has never been engrossed by one object wants vigour – if it can long be so, it is weak.

A mistaken education, a narrow, uncultivated mind, and many sexual prejudices, tend to make women more constant than men; but, for the present, I shall not touch on this branch of the subject. I will go still further, and advance, without dreaming of a paradox, that an unhappy marriage is often very advantageous to a family, and that the neglected wife is, in general, the best mother. And this would almost always be the consequence if the female mind were more enlarged: for, it seems to be the common dispensation of Providence, that what we gain in present enjoyment should be deducted from the treasure of life, experience; and that when we are gathering the flowers of the day and revelling in pleasure, the solid fruit of toil and wisdom should not be caught at the same time. The way lies before us, we must turn to the right or left; and he who will pass life away in bounding from one pleasure to another, must not complain if he acquire neither wisdom nor respectability of character.

Supposing, for a moment, that the soul is not immortal, and that man was only created for the present scene, – I think we should have reason to complain that love, infantine fondness, ever grew insipid and palled upon the sense. Let us eat, drink, and love, for to-morrow we die, would be, in fact, the language of reason, the morality of life; and who but a fool would part with a reality for a fleeting shadow? But, if awed by observing the improbable powers of the mind, we disdain to confine our wishes or thoughts to such a comparatively mean field of action; that only appears grand and important, as it is connected with a boundless prospect and sublime hopes, what necessity is there for falsehood in conduct, and why must the sacred majesty of truth be violated to detain a deceitful good that saps the very foundation of virtue? Why must the female mind be tainted by coquetish arts to gratify the sensualist, and prevent love from subsiding into friendship, or compassionate tenderness, when there are not qualities on which friendship can be built? Let the honest heart shew itself, and *reason* teach passion to submit to necessity; or, let the dignified pursuit of virtue and knowledge raise the mind above those emotions which rather imbitter than sweeten the cup of life, when they are not restrained within due bounds.

I do not mean to allude to the romantic passion, which is the concomitant of genius. – Who can clip its wing? But that grand passion not proportioned to the puny enjoyments of life, is only true to the sentiment, and feeds on itself. The passions which have been celebrated for their durability have always been unfortunate. They have acquired strength by absence and constitutional melancholy. – The fancy has hovered round a form of beauty dimly seen – but familiarity might have turned admiration into disgust; or, at least, into indifference, and allowed the imagination leisure to start fresh game. With perfect propriety, according to this view of things, does Rousseau make the mistress of his soul, Eloisa, love St Preux, when life was fading before her; but this is no proof of the immortality of the passion.

Of the same complexion is Dr Gregory's advice respecting delicacy of sentiment, which he advises a woman not to acquire, if she have determined to marry. This determination, however, perfectly consistent with his former advice, he calls *indelicate*, and earnestly persuades his daughters to conceal it, though it may govern their conduct: – as if it were indelicate to have the common appetites of human nature.

Noble morality! and consistent with the cautious prudence of a little soul that cannot extend its views beyond the present minute division of existence. If all the faculties of woman's mind are only to be cultivated as they

respect her dependence on man; if, when a husband be obtained, she have arrived at her goal, and meanly proud rests satisfied with such a paltry crown, let her grovel contentedly, scarcely raised by her employments above the animal kingdom; but, if, struggling for the prize of her high calling, she looks beyond the present scene, let her cultivate her understanding without stopping to consider what character the husband may have whom she is destined to marry. Let her only determine, without being too anxious about present happiness, to acquire the qualities that ennoble a rational being, and a rough inelegant husband may shock her taste without destroying her peace of mind. She will not model her soul to suit the frailties of her companion, but to bear with them: his character may be a trial, but not an impediment to virtue.

If Dr Gregory confined his remark to romantic expectations of constant love and congenial feelings, he should have recollected that experience will banish what advice can never make us cease to wish for, when the imagination is kept alive at the expence of reason.

I own it frequently happens that women who have fostered a romantic unnatural delicacy of feeling, waste their^[11] lives in *imagining* how happy they should have been with a husband who could love them with a fervid increasing affection every day, and all day. But they might as well pine married as single – and would not be a jot more unhappy with a bad husband than longing for a good one. That a proper education; or, to speak with more precision, a well stored mind, would enable a woman to support a single life with dignity, I grant; but that she should avoid cultivating her taste, lest her husband should occasionally shock it, is quitting a substance for a shadow. To say the truth, I do not know of what use is an improved taste, if the individual be not rendered more independent of the casualties of life; if new sources of enjoyment, only dependent on the solitary operations of the mind, are not opened. People of taste, married or single, without distinction, will ever be disgusted by various things that touch not less observing minds. On this conclusion the argument must not be allowed to hinge; but in the whole sum of enjoyment is taste to be denominated a blessing?

The question is, whether it procures most pain or pleasure? The answer will decide the propriety of Dr Gregory's advice, and shew how absurd and tyrannic it is thus to lay down a system of slavery; or to attempt to educate moral beings by any other rules than those deduced from pure reason, which apply to the whole species.

Gentleness of manners, forbearance and long-suffering, are such amiable Godlike qualities, that in sublime poetic strains the Deity has been invested with them; and, perhaps, no representation of his goodness so strongly fastens on the human affections as those that represent him abundant in mercy and willing to pardon. Gentleness, considered in this point of view, bears on its front all the characteristics of grandeur, combined with the winning graces of condescension; but what a different aspect it assumes when it is the submissive demeanour of dependence, the support of weakness that loves, because it wants protection; and is forbearing, because it must silently endure injuries; smiling under the lash at which it dare not snarl. Abject as this picture appears, it is the portrait of an accomplished woman, according to the received opinion of female excellence, separated by specious reasoners from human excellence. Or, they^[12] kindly restore the rib, and make one moral being of a man and woman; not forgetting to give her all the 'submissive charms.'^[13]

How woman are to exist in that state where there is to be neither marrying nor giving in marriage, we are not told. For though moralists have agreed that the tenor of life seems to prove that *man* is prepared by various circumstances for a future state, they constantly concur in advising *woman* only to provide for the present. Gentleness, docility, and a spaniel-like affection are, on this ground, consistently recommended as the cardinal virtues of the sex; and, disregarding the arbitrary economy of nature, one writer has declared that it is masculine

for a woman to be melancholy. She was created to be the toy of man, his rattle, and it must jingle in his ears whenever, dismissing reason, he chooses to be amused.

To recommend gentleness, indeed, on a broad basis is strictly philosophical. A frail being should labour to be gentle. But when forbearance confounds right and wrong, it ceases to be a virtue; and, however convenient it may be found in a companion – that companion will ever be considered as an inferior, and only inspire a vapid tenderness, which easily degenerates into contempt. Still, if advice could really make a being gentle, whose natural disposition admitted not of such a fine polish, something towards the advancement of order would be attained; but if, as might quickly be demonstrated, only affectation be produced by this indiscriminate counsel, which throws a stumbling-block in the way of gradual improvement, and true melioration of temper, the sex is not much benefited by sacrificing solid virtues to the attainment of superficial graces, though for a few years they may procure the individuals regal sway.

As a philosopher, I read with indignation the plausible epithets which men use to soften their insults; and, as a moralist, I ask what is meant by such heterogeneous associations, as fair defects, amiable weaknesses, etc.? If there be but one criterion of morals, but one archetype for man, women appear to be suspended by destiny, according to the vulgar tale of Mahomet's coffin; they have neither the unerring instinct of brutes, nor are allowed to fix the eye of reason on a perfect model. They were made to be loved, and must not aim at respect, lest they should be hunted out of society as masculine.

But to view the subject in another point of view. Do passive indolent women make the best wives? Confining our discussion to the present moment of existence, let us see how such weak creatures perform their part? Do the women who, by the attainment of a few superficial accomplishments, have strengthened the prevailing prejudice, merely contribute to the happiness of their husbands? Do they display their charms merely to amuse them? And have women, who have early imbibed notions of passive obedience, sufficient character to manage a family or educate children? So far from it, that, after surveying the history of woman, I cannot help, agreeing with the severest satirist, considering the sex as the weakest as well as the most oppressed half of the species. What does history disclose but marks of inferiority, and how few women have emancipated themselves from the galling yoke of sovereign man? – So few, that the exceptions remind me of an ingenious conjecture respecting Newton: that he was probably a being of a superior order, accidentally caged in a human body. Following the same train of thinking, I have been led to imagine that the few extraordinary women who have rushed in eccentric directions out of the orbit prescribed to their sex, were *male* spirits, confined by mistake in female frames. But if it be not philosophical to think of sex when the soul is mentioned, the inferiority must depend on the organs; or the heavenly fire, which is to ferment the clay, is not given in equal portions.

But avoiding, as I have hitherto done, any direct comparison of the two sexes collectively, or frankly acknowledging the inferiority of woman, according to the present appearance of things, I shall only insist that men have increased that inferiority till women are almost sunk below the standard of rational creatures. Let their faculties have room to unfold, and their virtues to gain strength, and then determine where the whole sex must stand in the intellectual scale. Yet let it be remembered, that for a small number of distinguished women I do not ask a place.

It is difficult for us purblind mortals to say to what height human discoveries and improvements may arrive when the gloom of despotism subsides, which makes us stumble at every step; but, when morality shall be settled on a more solid basis, then, without being gifted with a prophetic spirit, I will venture to predict that woman will be

either the friend or slave of man. We shall not, as at present, doubt whether she is a moral agent, or the link which unites man with brutes. But, should it then appear, that like the brutes they were principally created for the use of man, he will let them patiently bite the bridle, and not mock them with empty praise; or, should their rationality be proved, he will not impede their improvement merely to gratify his sensual appetites. He will not, with all the graces of rhetoric, advise them to submit implicitly their understanding to the guidance of man. He will not, when he treats of the education of women, assert that they ought never to have the free use of reason, nor would he recommend cunning and dissimulation to beings who are acquiring, in like manner as himself, the virtues of humanity.

Surely there can be but one rule of right, if morality has an eternal foundation, and whoever sacrifices virtue, strictly so called, to present convenience, or whose *duty* it is to act in such a manner, lives only for the passing day, and cannot be an accountable creature.

The poet then should have dropped his sneer when he says, ‘If weak women go astray,

The stars are more in fault than they’ [15]

For that they are bound by the adamant chain of destiny is most certain, if it be proved that they are never to exercise their own reason, never to be independent, never to rise above opinion, or to feel the dignity of a rational will that only bows to God, and often forgets that the universe contains any being but itself and the model of perfection to which its ardent gaze is turned, to adore attributes that, softened into virtues, may be imitated in kind, though the degree overwhelms the enraptured mind.

If, I say, for I would not impress by declamation when Reason offers her sober light, if they be really capable of acting like rational creatures, let them not be treated like slaves; or, like the brutes who are dependent on the reason of man, when they associate with him; but cultivate their minds, give them the salutary, sublime curb of principle, and let them attain conscious dignity by feeling themselves only dependent on God. Teach them, in common with man, to submit to necessity, instead of giving, to render them more pleasing, a sex to morals.

Further, should experience prove that they cannot attain the same degree of strength of mind, perseverance, and fortitude, let their virtues be the same in kind, though they may vainly struggle for the same degree; and the superiority of man will be equally clear, if not clearer; and truth, as it is a simple principle, which admits of no modification, would be common to both. Nay, the order of society as it is at present regulated would not be inverted, for woman would then only have the rank that reason assigned her, and arts could not be practised to bring the balance even, much less to turn it.

These may be termed utopian dreams. – Thanks to that Being who impressed them on my soul, and gave me sufficient strength of mind to dare to exert my own reason, till, becoming dependent only on him for the support of my virtue, I view, with indignation, the mistaken notions that enslave my sex.

I love man as my fellow; but his scepter, real, or usurped, extends not to me, unless the reason of an individual demands my homage; and even then the submission is to reason, and not to man. In fact, the conduct of an accountable being must be regulated by the operations of its own reason; or on what foundation rests the throne of God?

It appears to me necessary to dwell on these obvious truths, because females have been insulated, as it were; and,

while they have been stripped of the virtues that should clothe humanity, they have been decked with artificial graces that enable them to exercise a short-lived tyranny. Love, in their bosoms, taking place of every nobler passion, their sole ambition is to be fair, to raise emotion instead of inspiring respect; and this ignoble desire, like the servility in absolute monarchies, destroys all strength of character. Liberty is the mother of virtue, and if women be, by their very constitution, slaves, and not allowed to breathe the sharp invigorating air of freedom, they must ever languish like exotics, and be reckoned beautiful flaws in nature.

As to the argument respecting the subjection in which the sex has ever been held, it retorts on man. The many have always been enthralled by the few; and monsters, who scarcely have shewn any discernment of human excellence, have tyrannized over thousands of their fellow-creatures. Why have men of superior endowments submitted to such degradation? For, is it not universally acknowledged that kings, viewed collectively, have ever been inferior, in abilities and virtue, to the same number of men taken from the common mass of mankind – yet, have they not, and are they not still treated with a degree of reverence that is an insult to reason; China is not the only country where a living man has been made a God. *Men* have submitted to superior strength to enjoy with impunity the pleasure of the moment – *women* have only done the same, and therefore till it is proved that the courtier, who servilely resigns the birthright of a man, is not a moral agent, it cannot be demonstrated that woman is essentially inferior to man because she has always been subjugated.

Brutal force has hitherto governed the world, and that the science of politics is in its infancy, is evident from philosophers scrupling to give the knowledge most useful to man that determinate distinction.

I shall not pursue this argument any further than to establish an obvious inference, that as sound politics diffuse liberty, mankind, including woman, will become more wise and virtuous.

Notes

1. *Paradise Lost*, Book IV, 298.
2. From Bacon's "Of Atheism" essay.
3. *Paradise Lost*, Book IV, 634-8.
4. *Paradise Lost*, Book VIII, 381-91.
5. Dr. John Gregory (1724-1773), author of *A Father's Legacy To His Daughters* (1774).
6. Why should women be censured with petulant acrimony, because they seem to have a passion for a scarlet coat? Has not education placed them more on a level with soldiers than any other class of men?
7. Similar feelings has Milton's pleasing picture of paradisiacal happiness ever raised in my mind; yet, instead of envying the lovely pair, I have, with conscious dignity, or Satanic pride, turned to hell for sublimer objects. In the same style, when viewing some noble monument of human art, I have traced the emanation of the Deity in the order I admired, till, descending from that giddy height, I have caught myself contemplating the grandest of all human sights, – for fancy quickly placed, in some solitary recess, an outcast of fortune, rising superior to passion and discontent.
8. *Epistles to Several Persons* (also known as the *Moral Essays*), Epistle II, "To a Lady: Of the Characters of Women", 51-52.
9. Matthew 12:34.

10. François de La Rochefoucauld, *Reflections; or Sentences and Moral Maxims*, 473.
11. For example, the herd of Novelists.
12. Vide Rousseau, and Swedenborg.
13. *Paradise Lost*, Book IV, 498.
14. Matthew Prior, “Hans Carvel” (1700).

Karl Marx & Frederick Engels – On Communism

The Communist Manifesto

Preface.

The “Manifesto” was published as the platform of the “Communist League” a workingmen’s association, first exclusively German, later an international, and under the political conditions of the Continent before 1848, unavoidably a secret society. At a Congress of the League, held in London in November, 1847, Marx and Engels were commissioned to prepare for publication a complete theoretical and practical party-program. Drawn up in German, in January, 1848, the manuscript was sent to the printer in London a few weeks before the French revolution of February 24th. A French translation was brought out in Paris, shortly before the insurrection of June, 1848. The first English translation, by Miss Helen Macfarlane, appeared in George Julian Harney’s “Red Republican,” London, 1850. A Danish and a Polish edition had also been published.

The defeat of the Parisian insurrection of June, 1848—the first great battle between Proletariat and Bourgeoisie—drove again into the background, for a time, the social and political aspirations of the European working class. Thenceforth, the struggle for supremacy was again, as it had been before the revolution of February, solely between different sections of the propertied class; the working class was reduced to a fight for political elbow-room, and to the position of extreme wing of the Middle-class Radicals. Wherever independent proletarian movements continued to show signs of life, they were ruthlessly hunted down. Thus the Prussian police hunted out the Central Board of the Communist League, then located in Cologne. The members were arrested, and after eighteen months’ imprisonment, they were tried in October, 1852. This celebrated “Cologne Communist trial” lasted from October 4th till November 12th; seven of the prisoners were sentenced to terms of imprisonment in a fortress, varying from three to six years. Immediately after the sentence the League was formally dissolved by the remaining members. As to the “Manifesto,” it seemed thenceforth to be doomed to oblivion.

When the European working class had recovered sufficient strength for another attack on the ruling classes, the International Working Men’s Association sprang up. But this association, formed with the express aim of welding into one body the whole militant proletariat of Europe and America, could not at once proclaim the principles laid down in the “Manifesto.” The International was bound to have a program broad enough to be acceptable to the English Trades’ Unions, to the followers of Proudhon in France, Belgium, Italy and Spain and

to the Lassalleans[1] in Germany. Marx, who drew up this program to the satisfaction of all parties, entirely trusted to the intellectual development of the working-class, which was sure to result from combined action and mutual discussion. The very events and vicissitudes of the struggle against Capital, the defeats even more than the victories, could not help bringing home to men's minds the insufficiency of their various favorite nostrums, and preparing the way for a more complete insight into the true conditions of working-class emancipation. And Marx was right. The International, on its breaking up in 1874, left the workers quite different men from what it had found them in 1864. Proudhonism in France, Lasalleanism in Germany were dying out, and even the Conservative English Trades' Unions, though most of them had long since severed their connection with the International, were gradually advancing towards that point at which, last year at Swansea, their president could say in their name, "Continental Socialism has lost its terrors for us." In fact, the principles of the "Manifesto" had made considerable headway among the working men of all countries.

The Manifesto itself thus came to the front again. The German text had been, since 1850, reprinted several times in Switzerland, England and America. In 1872, it was translated into English in New York, where the translation was published in "Woodhull and Claflin's Weekly." From this English version, a French one was made in "Le Socialiste" of New York. Since then at least two more English translations, more or less mutilated, have been brought out in America, and one of them has been reprinted in England. The first Russian translation, made by Bakounine, was published at Herzen's "Kolokol" office in Geneva, about 1863; a second one, by the heroic Vera Zasulich, also in Geneva, 1882. A new Danish edition is to be found in "Socialdemokratisk Bibliothek," Copenhagen, 1885; a fresh French translation in "Le Socialiste," Paris, 1886. From this latter a Spanish version was prepared and published in Madrid, 1886. The German reprints are not to be counted, there have been twelve altogether at the least. An Armenian translation, which was to be published in Constantinople some months ago, did not see the light, I am told, because the publisher was afraid of bringing out a book with the name of Marx on it, while the translator declined to call it his own production. Of further translations into other languages I have heard, but have not seen them. Thus the history of the Manifesto reflects, to a great extent, the history of the modern working-class movement; at present it is undoubtedly the most widespread, the most international production of all Socialist literature, the common platform acknowledged by millions of working men from Siberia to California.

Yet, when it was written, we could not have called it a Socialist Manifesto. By Socialists, in 1847, were understood, on the one hand, the adherents of the various Utopian systems: Owenites in England, Fourierists in France, both of them already reduced to the position of mere sects, and gradually dying out; on the other hand, the most multifarious social quacks, who, by all manners of tinkering, professed to redress, without any danger to capital and profit, all sorts of social grievances, in both cases men outside the working class movement, and looking rather to the "educated" classes for support. Whatever portion of the working class had become convinced of the insufficiency of mere political revolutions, and had proclaimed the necessity of a total social change, that portion, then, called itself Communist. It was a crude, rough-hewn, purely instinctive sort of Communism; still, it touched the cardinal point and was powerful enough amongst the working class to produce the Utopian Communism, in France, of Cabet, and in Germany, of Weitling. Thus, Socialism was, in 1847, a middle-class movement, Communism a working class movement. Socialism was, on the Continent at least, "respectable"; Communism was the very opposite. And as our notion, from the very beginning, was that "the emancipation of the working class must be the act of the working class itself," there could be no doubt as to which of the two names we must take. Moreover, we have, ever since, been far from repudiating it.

The “Manifesto” being our joint production, I consider myself bound to state that the fundamental proposition which forms its nucleus, belongs to Marx. That proposition is: that in every historical epoch, the prevailing mode of economic production and exchange, and the social organization necessarily following from it, form the basis upon which is built up, and from which alone can be explained, the political and intellectual history of that epoch; that consequently the whole history of mankind (since the dissolution of primitive tribal society, holding land in common ownership) has been a history of class struggles, contests between exploiting and exploited, ruling and oppressed classes; that the history of these class struggles forms a series of evolution in which, now-a-days, a stage has been reached where the exploited and oppressed class—the proletariat—cannot attain its emancipation from the sway of the exploiting and ruling class—the bourgeoisie—without, at the same time, and once and for all, emancipating society at large from all exploitation, oppression, class-distinctions and class struggles.

This proposition which, in my opinion, is destined to do for history what Darwin’s theory has done for biology, we, both of us, had been gradually approaching for some years before 1845. How far I had independently progressed towards it, is best shown by my “Condition of the Working Class in England.”[2] But when I again met Marx at Brussels, in spring, 1845, he had it ready worked out, and put it before me, in terms almost as clear as those in which I have stated it here.

From our joint preface to the German edition of 1872, I quote the following:

“However much the state of things may have altered during the last 25 years, the general principles laid down in this Manifesto, are, on the whole, as correct today as ever. Here and there some detail might be improved. The practical application of the principles will depend, as the manifesto itself states, everywhere and at all times, on the historical conditions for the time being existing, and, for that reason, no special stress is laid on the revolutionary measures proposed at the end of Section II. That passage would, in many respects, be very differently worded today. In view of the gigantic strides of Modern Industry since 1848, and of the accompanying improved and extended organization of the working-class, in view of the practical experience gained, first in the February revolution, and then, still more, in the Paris Commune, where the proletariat for the first time held political power for two whole months, this program has in some details become antiquated. One thing especially was proved by the Commune, viz., that “the working-class cannot simply lay hold of the ready-made State machinery, and wield it for its own purposes.” (See “The Civil War in France; Address of the General Council of the International Working-men’s Association,” Chicago, Charles H. Kerr & Co., where this point is further developed). Further, it is self-evident, that the criticism of socialist literature is deficient in relation to the present time, because it comes down only to 1847; also, that the remarks on the relation of the Communists to the various opposition-parties (Section IV.), although in principle still correct, yet in practice are antiquated, because the political situation has been entirely changed, and the progress of history has swept from off the earth the greater portion of the political parties there enumerated.

“But then, the Manifesto has become a historical document which we have no longer any right to alter.”

The present translation is by Mr. Samuel Moore, the translator of the greater portion of Marx’s “Capital”. We have revised it in common, and I have added a few notes explanatory of historical allusions.

Frederick Engels.

London, 30th January, 1888.

antagonisms. Society as a whole is more and more splitting up into two great hostile camps, into two great classes directly facing each other: Bourgeoisie and Proletariat.

From the serfs of the middle ages sprang the chartered burghers of the earliest towns. From these burgesses the first elements of the bourgeoisie were developed.

The discovery of America, the rounding of the Cape, opened up fresh ground for the rising bourgeoisie. The East-Indian and Chinese markets, the colonization of America, trade with the colonies, the increase in the means of exchange and in commodities generally, gave to commerce, to navigation, to industry, an impulse never before known, and thereby, to the revolutionary element in the tottering feudal society, a rapid development.

The feudal system of industry, under which industrial production was monopolized by close guilds, now no longer sufficed for the growing wants of the new markets. The manufacturing system took its place. The guild-masters were pushed on one side by the manufacturing middle-class; division of labor between the different corporate guilds vanished in the face of division of labor in each single workshop.

Meantime the markets kept ever growing, the demand, ever rising. Even manufacture no longer sufficed. Thereupon, steam and machinery revolutionized industrial production. The place of manufacture was taken by the giant, Modern Industry, the place of the industrial middle-class, by industrial millionaires, the leaders of whole industrial armies, the modern bourgeois.

Modern industry has established the world-market, for which the discovery of America paved the way. This market has given an immense development to commerce, to navigation, to communication by land. This development has, in its turn, reacted on the extension of industry; and in proportion as industry, commerce, navigation, railways extended, in the same proportion the bourgeoisie developed, increased its capital, and pushed into the background every class handed down from the Middle Ages.

We see, therefore, how the modern bourgeoisie is itself the product of a long course of development, of a series of revolutions in the modes of production and of exchange.

Each step in the development of the bourgeoisie was accompanied by a corresponding political advance of that class. An oppressed class under the sway of the feudal nobility, an armed and self-governing association in the mediaeval commune,[4] here independent urban republic (as in Italy and Germany), there taxable “third estate” of the monarchy (as in France), afterwards, in the period of manufacture proper, serving either the semi-feudal or the absolute monarchy as a counterpoise against the nobility, and, in fact, corner stone of the great monarchies in general, the bourgeoisie has at last, since the establishment of Modern Industry and of the world-market, conquered for itself, in the modern representative State, exclusive political sway. The executive of the modern State is but a committee for managing the common affairs of the whole bourgeoisie.

The bourgeoisie, historically, has played a most revolutionary part.

The bourgeoisie, wherever it has got the upper hand, has put an end to all feudal, patriarchal, idyllic relations. It has pitilessly torn asunder the motley feudal ties that bound man to his “natural superiors,” and has left remaining no other nexus between man and man than naked self-interest, than callous “cash payment.” It has drowned the most heavenly ecstasies of religious fervor, of chivalrous enthusiasm, of philistine sentimentalism, in the icy water of egotistical calculation. It has resolved personal worth into exchange value, and in place of the

numberless indefeasible chartered freedoms, has set up that single, unconscionable freedom—Free Trade. In one word, for exploitation, veiled by religious and political illusions, it has substituted naked, shameless, direct, brutal exploitation.

The bourgeoisie has stripped of its halo every occupation hitherto honored and looked up to with reverent awe. It has converted the physician, the lawyer, the priest, the poet, the man of science, into its paid wage-laborers.

The bourgeoisie has torn away from the family its sentimental veil, and has reduced the family relation to a mere money relation.

The bourgeoisie has disclosed how it came to pass that the brutal display of vigor in the Middle Ages, which Reactionists so much admire, found its fitting complement in the most slothful indolence. It has been the first to show what man's activity can bring about. It has accomplished wonders far surpassing Egyptian pyramids, Roman aqueducts, and Gothic cathedrals; it has conducted expeditions that put in the shade all former Exoduses of nations and crusades.

The bourgeoisie cannot exist without constantly revolutionizing the instruments of production, and thereby the relations of production, and with them the whole relations of society. Conservation of the old modes of production in unaltered form, was, on the contrary, the first condition of existence for all earlier industrial classes. Constant revolutionizing of production, uninterrupted disturbance of all social conditions, everlasting uncertainty and agitation distinguish the bourgeois epoch from all earlier ones. All fixed, fast-frozen relations, with their train of ancient and venerable prejudices and opinions, are swept away, all new-formed ones become antiquated before they can ossify. All that is solid melts into air, all that is holy is profaned, and man is at last compelled to face with sober senses, his real conditions of life, and his relations with his kind.

The need of a constantly expanding market for its products chases the bourgeoisie over the whole surface of the globe. It must nestle everywhere, settle everywhere, establish connections everywhere.

The bourgeoisie has through its exploitation of the world-market given a cosmopolitan character to production and consumption in every country. To the great chagrin of Reactionists, it has drawn from under the feet of industry the national ground on which it stood. All old-established national industries have been destroyed or are daily being destroyed. They are dislodged by new industries, whose introduction becomes a life and death question for all civilized nations, by industries that no longer work up indigenous raw material, but raw material drawn from the remotest zones; industries whose products are consumed, not only at home, but in every quarter of the globe. In place of the old wants, satisfied by the productions of the country, we find new wants, requiring for their satisfaction the products of distant lands and climes. In place of the old local and national seclusion and self-sufficiency, we have intercourse in every direction, universal inter-dependence of nations. And as in material, so also in intellectual production. The intellectual creations of individual nations become common property.

National one-sidedness and narrow-mindedness become more and more impossible, and from the numerous national and local literatures there arises a world-literature.

The bourgeoisie, by the rapid improvement of all instruments of production, by the immensely facilitated means of communication, draws all, even the most barbarian, nations into civilization. The cheap prices of its commodities are the heavy artillery with which it batters down all Chinese walls, with which it forces the barbarians' intensely obstinate hatred of foreigners to capitulate. It compels all nations, on pain of extinction, to adopt the bourgeois

mode of production; it compels them to introduce what it calls civilization into their midst, i. e., to become bourgeois themselves. In a word, it creates a world after its own image.

The bourgeoisie has subjected the country to the rule of the towns. It has created enormous cities, has greatly increased the urban population as compared with the rural, and has thus rescued a considerable part of the population from the idiocy of rural life. Just as it has made the country dependent on the towns, so it has made barbarian and semi-barbarian countries dependent on the civilized ones, nations of peasants on nations of bourgeois, the East on the West.

The bourgeoisie keeps more and more doing away with the scattered state of the population, of the means of production, and of property. It has agglomerated population, centralized means of production, and has concentrated property in a few hands. The necessary consequence of this was political centralization. Independent, or but loosely connected provinces, with separate interests, laws, governments and systems of taxation, became lumped together in one nation, with one government, one code of laws, one national class-interest, one frontier and one customs-tariff.

The bourgeoisie, during its rule of scarce one hundred years, has created more massive and more colossal productive forces than have all preceding generations together. Subjection of Nature's forces to man, machinery, application of chemistry to industry and agriculture, steam-navigation, railways, electric telegraphs, clearing of whole continents for cultivation, canalization of rivers, whole populations conjured out of the ground—what earlier century had even a presentiment that such productive forces slumbered in the lap of social labor?

We see then: the means of production and of exchange on whose foundation the bourgeoisie built itself up, were generated in feudal society. At a certain stage in the development of these means of production and of exchange, the conditions under which feudal society produced and exchanged, the feudal organization of agriculture and manufacturing industry, in one word, the feudal relations of property became no longer compatible with the already developed productive forces; they became so many fetters. They had to burst asunder; they were burst asunder.

Into their places stepped free competition, accompanied by a social and political constitution adapted to it, and by the economical and political sway of the bourgeois class.

A similar movement is going on before our own eyes. Modern bourgeois society with its relations of production, of exchange and of property, a society that has conjured up such gigantic means of production and of exchange, is like the sorcerer, who is no longer able to control the powers of the nether world whom he has called up by his spells. For many a decade past the history of industry and commerce is but the history of the revolt of modern productive forces against modern conditions of production, against the property relations that are the conditions for the existence of the bourgeoisie and of its rule. It is enough to mention the commercial crises that by their periodical return put on its trial, each time more threateningly, the existence of the entire bourgeois society. In these crises a great part not only of the existing products, but also of the previously created productive forces, are periodically destroyed. In these crises there breaks out an epidemic that, in all earlier epochs, would have seemed an absurdity—the epidemic of over-production. Society suddenly finds itself put back into a state of momentary barbarism; it appears as if a famine, a universal war of devastation had cut off the supply of every means of subsistence; industry and commerce seem to be destroyed; and why? Because there is too much civilization, too much means of subsistence, too much industry, too much commerce. The productive forces at the disposal of

society no longer tend to further the development of the conditions of bourgeois property; on the contrary, they have become too powerful for these conditions, by which they are fettered, and so soon as they overcome these fetters, they bring disorder into the whole of bourgeois society, endanger the existence of bourgeois property. The conditions of bourgeois society are too narrow to comprise the wealth created by them. And how does the bourgeoisie get over these crises? On the one hand by enforced destruction of a mass of productive forces; on the other, by the conquest of new markets, and by the more thorough exploitation of the old ones. That is to say, by paving the way for more extensive and more destructive crises, and by diminishing the means whereby crises are prevented.

The weapons with which the bourgeoisie felled feudalism to the ground are now turned against the bourgeoisie itself.

But not only has the bourgeoisie forged the weapons that bring death to itself; it has also called into existence the men who are to wield those weapons—the modern working-class—the proletarians.

In proportion as the bourgeoisie, i. e., capital, is developed, in the same proportion is the proletariat, the modern working-class, developed, a class of laborers, who live only so long as they find work, and who find work only so long as their labor increases capital. These laborers, who must sell themselves piecemeal, are a commodity, like every other article of commerce, and are consequently exposed to all the vicissitudes of competition, to all the fluctuations of the market.

Owing to the extensive use of machinery and to division of labor, the work of the proletarians has lost all individual character, and, consequently, all charm for the workman. He becomes an appendage of the machine, and it is only the most simple, most monotonous, and most easily acquired knack that is required of him. Hence, the cost of production of a workman is restricted, almost entirely, to the means of subsistence that he requires for his maintenance, and for the propagation of his race. But the price of a commodity, and also of labor, is equal to its cost of production. In proportion, therefore, as the repulsiveness of the work increases, the wage decreases. Nay more, in proportion as the use of machinery and division of labor increases, in the same proportion the burden of toil also increases, whether by prolongation of the working hours, by increase of the work enacted in a given time, or by increased speed of the machinery, etc.

Modern industry has converted the little workshop of the patriarchal master into the great factory of the industrial capitalist. Masses of laborers, crowded into the factory, are organized like soldiers. As privates of the industrial army they are placed under the command of a perfect hierarchy of officers and sergeants. Not only are they the slaves of the bourgeois class, and of the bourgeois State, they are daily and hourly enslaved by the machine, by the over-looker, and, above all, by the individual bourgeois manufacturer himself. The more openly this despotism proclaims gain to be its end and aim, the more petty, the more hateful and the more embittering it is.

The less the skill and exertion or strength implied in manual labor, in other words, the more modern industry becomes developed, the more is the labor of men superseded by that of women. Differences of age and sex have no longer any distinctive social validity for the working class. All are instruments of labor, more or less expensive to use, according to their age and sex.

No sooner is the exploitation of the laborer by the manufacturer, so far at an end, that he receives his wages in cash, than he is set upon by the other portions of the bourgeoisie, the landlord, the shopkeeper, the pawnbroker, etc.

The lower strata of the Middle class—the small tradespeople, shopkeepers, and retired tradesmen generally, the handicraftsmen and peasants—all these sink gradually into the proletariat, partly because their diminutive capital does not suffice for the scale on which Modern Industry is carried on, and is swamped in the competition with the large capitalists, partly because their specialized skill is rendered worthless by new methods of production. Thus the proletariat is recruited from all classes of the population.

The proletariat goes through various stages of development. With its birth begins its struggle with the bourgeoisie. At first the contest is carried on by individual laborers, then by the workpeople of a factory, then by the operatives of one trade, in one locality, against the individual bourgeois who directly exploits them. They direct their attacks not against the bourgeois conditions of production, but against the instruments of production themselves; they destroy imported wares that compete with their labor, they smash to pieces machinery, they set factories ablaze, they seek to restore by force the vanished status of the workman of the Middle Ages.

At this stage the laborers still form an incoherent mass scattered over the whole country, and broken up by their mutual competition. If anywhere they unite to form more compact bodies, this is not yet the consequence of their own active union, but of the union of the bourgeoisie, which class, in order to attain its own political ends, is compelled to set the whole proletariat in motion, and is moreover yet, for a time, able to do so. At this stage, therefore, the proletarians do not fight their enemies, but the enemies of their enemies, the remnants of absolute monarchy, the landowners, the non-industrial bourgeois, the petty bourgeoisie. Thus the whole historical movement is concentrated in the hands of the bourgeoisie; every victory so obtained is a victory for the bourgeoisie.

But with the development of industry the proletariat not only increases in number, it becomes concentrated in greater masses, its strength grows, and it feels that strength more. The various interests and conditions of life within the ranks of the proletariat are more and more equalized, in proportion as machinery obliterates all distinctions of labor, and nearly everywhere reduces wages to the same low level. The growing competition among the bourgeois, and the resulting commercial crises, make the wages of the workers ever more fluctuating. The unceasing improvement of machinery, ever more rapidly developing, makes their livelihood more and more precarious; the collisions between individual workmen and individual bourgeois take more and more the character of collisions between two classes. Thereupon the workers begin to form combinations (Trades' Unions) against the bourgeois; they club together in order to keep up the rate of wages; they found permanent associations in order to make provision beforehand for these occasional revolts. Here and there the contest breaks out into riots.

Now and then the workers are victorious, but only for a time. The real fruit of their battles lies, not in the immediate result, but in the ever expanding union of the workers. This union is helped on by the improved means of communication that are created by modern industry, and that place the workers of different localities in contact with one another. It was just this contact that was needed to centralize the numerous local struggles, all of the same character, into one national struggle between classes. But every class struggle is a political struggle. And that union, to attain which the burghers of the Middle Ages, with their miserable highways, required centuries, the modern proletarians, thanks to railways, achieve in a few years.

This organization of the proletarians into a class, and consequently into a political party, is continually being upset again by the competition between the workers themselves. But it ever rises up again, stronger, firmer, mightier. It compels legislative recognition of particular interests of the workers, by taking advantage of the divisions among the bourgeoisie itself. Thus the ten-hour bill in England was carried.

Altogether collisions between the classes of the old society further, in many ways, the course of development of the proletariat. The bourgeoisie finds itself involved in a constant battle. At first with the aristocracy; later on, with those portions of the bourgeoisie itself, whose interests have become antagonistic to the progress of industry; at all times, with the bourgeoisie of foreign countries. In all these battles it sees itself compelled to appeal to the proletariat, to ask for its help, and thus, to drag it into the political arena. The bourgeoisie itself, therefore, supplies the proletariat with its own elements of political and general education, in other words, it furnishes the proletariat with weapons for fighting the bourgeoisie.

Further, as we have already seen, entire sections of the ruling classes are, by the advance of industry, precipitated into the proletariat, or are at least threatened in their conditions of existence. These also supply the proletariat with fresh elements of enlightenment and progress.

Finally, in times when the class-struggle nears the decisive hour, the process of dissolution going on within the ruling class, in fact, within the whole range of old society, assumes such a violent, glaring character, that a small section of the ruling class cuts itself adrift, and joins the revolutionary class, the class that holds the future in its hands. Just as, therefore, at an earlier period, a section of the nobility went over to the bourgeoisie, so now a portion of the bourgeoisie goes over to the proletariat, and in particular, a portion of the bourgeois ideologists, who have raised themselves to the level of comprehending theoretically the historical movements as a whole.

Of all the classes that stand face to face with the bourgeoisie today, the proletariat alone is a really revolutionary class. The other classes decay and finally disappear in the face of modern industry; the proletariat is its special and essential product.

The lower middle-class, the small manufacturer, the shopkeeper, the artisan, the peasant, all these fight against the bourgeoisie, to save from extinction their existence as fractions of the middle class. They are, therefore, not revolutionary, but conservative. Nay more, they are reactionary, for they try to roll back the wheel of history. If by chance they are revolutionary, they are so, only in view of their impending transfer into the proletariat, they thus defend not their present, but their future interests, they desert their own standpoint to place themselves at that of the proletariat.

The "dangerous class," the social scum, that passively rotting mass thrown off by the lowest layers of old society, may, here and there, be swept into the movement by a proletarian revolution; its conditions of life, however, prepare it far more for the part of a bribed tool of reactionary intrigue.

In the conditions of the proletariat, those of old society at large are already virtually swamped. The proletarian is without property; his relation to his wife and children has no longer anything in common with the bourgeois family-relations; modern industrial labor, modern subjection to capital, the same in England as in France, in America as in Germany, has stripped him of every trace of national character. Law, morality, religion, are to him so many bourgeois prejudices, behind which lurk in ambush just as many bourgeois interests.

All the preceding classes that got the upper hand, sought to fortify their already acquired status by subjecting society at large to their conditions of appropriation. The proletarians cannot become masters of the productive forces of society, except by abolishing their own previous mode of appropriation, and thereby also every other previous mode of appropriation. They have nothing of their own to secure and to fortify; their mission is to destroy all previous securities for, and insurances of, individual property.

All previous historical movements were movements of minorities, or in the interest of minorities. The proletarian movement is the self-conscious, independent movement of the immense majority, in the interest of the immense majority. The proletariat, the lowest stratum of our present society, cannot stir, cannot raise itself up, without the whole superincumbent strata of official society being sprung into the air.

Though not in substance, yet in form, the struggle of the proletariat with the bourgeoisie is at first a national struggle. The proletariat of each country must, of course, first of all settle matters with its own bourgeoisie.

In depicting the most general phases of the development of the proletariat, we traced the more or less veiled civil war, raging within existing society, up to the point where that war breaks out into open revolution, and where the violent overthrow of the bourgeoisie, lays the foundation for the sway of the proletariat.

Hitherto, every form of society has been based, as we have already seen, on the antagonism of oppressing and oppressed classes. But in order to oppress a class, certain conditions must be assured to it under which it can, at least, continue its slavish existence. The serf, in the period of serfdom, raised himself to membership in the commune, just as the petty bourgeois, under the yoke of feudal absolutism, managed to develop into a bourgeois. The modern laborer, on the contrary, instead of rising with the progress of industry, sinks deeper and deeper below the conditions of existence of his own class. He becomes a pauper, and pauperism develops more rapidly than population and wealth. And here it becomes evident, that the bourgeoisie is unfit any longer to be the ruling class in society, and to impose its conditions of existence upon society as an over-riding law. It is unfit to rule, because it is incompetent to assure an existence to its slave within his slavery, because it cannot help letting him sink into such a state that it has to feed him, instead of being fed by him. Society can no longer live under this bourgeoisie, in other words, its existence is no longer compatible with society.

The essential condition for the existence, and for the sway of the bourgeois class, is the formation and augmentation of capital; the condition for capital is wage-labor. Wage-labor rests exclusively on competition between the laborers. The advance of industry, whose involuntary promoter is the bourgeoisie, replaces the isolation of the laborers, due to competition, by their revolutionary combination, due to association. The development of Modern Industry, therefore, cuts from under its feet the very foundation on which the bourgeoisie produces and appropriates products. What the bourgeoisie therefore produces, above all, are its own grave-diggers. Its fall and the victory of the proletariat are equally inevitable.

1. By bourgeoisie is meant the class of modern Capitalists, owners of the means of social production and employers of wage-labor. By proletariat, the class of modern wage-laborers who, having no means of production of their own, are reduced to selling their labor-power in order to live.

2. That is, all written history . In 1847, the pre-history of society, the social organization existing previous to recorded history , was all but unknown. Since then, Haxthausen discovered common ownership of land in Russia, Maurer proved it to be the social foundation from which all Teutonic races started in history , and by and by village communities were found to be, or to have been, the primitive form of society everywhere from India to Ireland. The inner organization of this primitive Communistic society was laid bare, in its typical form, by Morgan's crowning discovery of the true nature of the gens and its relation to the tribe. With the dissolution of these primeval communities society begins to be differentiated into separate and finally

antagonistic classes. I have attempted to retrace this process of dissolution in “The Origin of the Family, Private Property and the State”. (Chicago, Charles H. Kerr & Co.)

3. Guild-master, that is a full member of a guild, a master within, not a head of, a guild.

4. “Commune” was the name taken, in France, by the nascent towns even before they had conquered from their feudal lords and masters, local self-government and political rights as “the Third Estate.” Generally speaking, for the economical development of the bourgeoisie, England it here taken as the typical country, for its political development, France.

II.

PROLETARIANS AND COMMUNISTS.

In what relation do the Communists stand to the proletarians as a whole?

The Communists do not form a separate party opposed to other working-class parties. They have no interests separate and apart from those of the proletariat as a whole.

They do not set up any sectarian principles of their own, by which to shape and mould the proletarian movement.

The Communists are distinguished from the other working class parties by this only: 1. In the national struggles of the proletarians of the different countries, they point out and bring to the front the common interests of the entire proletariat independently of all nationality. 2. In the various stages of development which the struggle of the working class against the bourgeoisie has to pass through, they always and everywhere represent the interests of the movement as a whole.

The Communists, therefore, are on the one hand, practically, the most advanced and resolute section of the working class parties of every country, that section which pushes forward all others; on the other hand, theoretically, they have over the great mass of the proletariat the advantage of clearly understanding the line of march, the conditions, and the ultimate general results of the proletarian movement.

The immediate aim of the Communists is the same as that of all the other proletarian parties; formation of the proletariat into a class, overthrow of the bourgeois supremacy, conquest of political power by the proletariat.

The theoretical conclusions of the Communists are in no way based on ideas or principles that have been invented, or discovered, by this or that would-be universal reformer.

They merely express, in general terms, actual relations springing from an existing class struggle, from a historical movement going on under our very eyes. The abolition of existing property relations is not at all a distinctive feature of Communism.

All property relations in the past have continually been subject to historical change consequent upon the change in historical conditions.

The French Revolution, for example, abolished feudal property in favor of bourgeois property.

The distinguishing feature of Communism is not the abolition of property generally, but the abolition of bourgeois

property. But modern bourgeois private property is the final and most complete expression of the system of producing and appropriating products, that is based on class antagonism, on the exploitation of the many by the few.

In this sense, the theory of the Communists may be summed up in the single sentence: Abolition of private property.

We Communists have been reproached with the desire of abolishing the right of personally acquiring property as the fruit of a man's own labor, which property is alleged to be the ground work of all personal freedom, activity and independence.

Hard-won, self-acquired, self-earned property! Do you mean the property of the petty artisan and of the small peasant, a form of property that preceded the bourgeois form? There is no need to abolish that; the development of industry has to a great extent already destroyed it, and is still destroying it daily.

Or do you mean modern bourgeois private property?

But does wage-labor create any property for the laborer? Not a bit. It creates capital, i. e., that kind of property which exploits wage-labor, and which cannot increase except upon condition of getting a new supply of wage-labor for fresh exploitation. Property, in its present form, is based on the antagonism of capital and wage-labor. Let us examine both sides of this antagonism.

To be a capitalist, is to have not only a purely personal, but a social status in production. Capital is a collective product, and only by the united action of many members, nay, in the last resort, only by the united action of all members of society, can it be set in motion.

Capital is therefore not a personal, it is a social power.

When, therefore, capital is converted into common property, into the property of all members of society, personal property is not thereby transformed into social property. It is only the social character of the property that is changed. It loses its class-character.

Let us now take wage-labor.

The average price of wage-labor is the minimum wage, i. e., that quantum of the means of subsistence, which is absolutely requisite to keep the laborer in bare existence as a laborer. What, therefore, the wage-laborer appropriates by means of his labor, merely suffices to prolong and reproduce a bare existence. We by no means intend to abolish this personal appropriation of the products of labor, an appropriation that is made for the maintenance and reproduction of human life, and that leaves no surplus wherewith to command the labor of others. All that we want to do away with is the miserable character of this appropriation, under which the laborer lives merely to increase capital, and is allowed to live only in so far as the interest of the ruling class requires it.

In bourgeois society, living labor is but a mean to increase accumulated labor. In Communist society, accumulated labor is but a means to widen, to enrich, to promote the existence of the laborer. In bourgeois society, therefore, the past dominates the present; in communist society, the present dominates the past. In bourgeois society capital is independent and has individuality, while the living person is dependent and has no individuality.

And the abolition of this state of things is called by the bourgeois, abolition of individuality and freedom! And rightly so. The abolition of bourgeois individuality, bourgeois independence, and bourgeois freedom is undoubtedly aimed at.

By freedom is meant, under the present bourgeois conditions of production, free trade, free selling and buying.

But if selling and buying disappears, free selling and buying disappears also. This talk about free selling and buying, and all the other “brave words” of our bourgeoisie about freedom in general, have a meaning, if any, only in contrast with restricted selling and buying, with the fettered traders of the Middle Ages, but have no meaning when opposed to the Communistic abolition of buying and selling, of the bourgeois conditions of production, and of the bourgeoisie itself.

You are horrified at our intending to do away with private property. But in your existing society, private property is already done away with for nine-tenths of the population; its existence for the few is solely due to its non-existence in the hands of those nine-tenths. You reproach us, therefore, with intending to do away with a form of property, the necessary condition for whose existence is, the non-existence of any property for the immense majority of society.

In one word, you reproach us with intending to do away with your property. Precisely so; that is just what we intend.

From the moment when labor can no longer be converted into capital, money, or rent, into a social power capable of being monopolized, i.e., from the moment when individual property can no longer be transformed into bourgeois property, into capital, from that moment, you say, individuality vanishes.

You must, therefore, confess that by “individual” you mean no other person than the bourgeois, than the middle-class owner of property. This person must, indeed, be swept out of the way, and made impossible.

Communism deprives no man of the power to appropriate the products of society: all that it does is to deprive him of the power to subjugate the labor of others by means of such appropriation.

It has been objected, that upon the abolition of private property all work will cease, and universal laziness will overtake us.

According to this, bourgeois society ought long ago to have gone to the dogs through sheer idleness; for those of its members who work, acquire nothing, and those who acquire anything, do not work. The whole of this objection is but another expression of the tautology: that there can no longer be any wage-labor when there is no longer any capital.

All objections urged against the Communistic mode of producing and appropriating material products, have, in the same way, been urged against the Communistic modes of producing and appropriating intellectual products. Just as, to the bourgeois, the disappearance of class property is the disappearance of production itself, so the disappearance of class culture is to him identical with the disappearance of all culture.

That culture, the loss of which he laments, is, for the enormous majority, a mere training to act as a machine.

But don't wrangle with us so long as you apply, to our intended abolition of bourgeois property, the standard of

your bourgeois notions of freedom, culture, law, etc. Your very ideas are but the outgrowth of the conditions of your bourgeois production and bourgeois property, just as your jurisprudence is but the will of your class made into a law for all, a will, whose essential character and direction are determined by the economic conditions of existence of your class.

The selfish misconception that induces you to transform into eternal laws of nature and of reason, the social forms springing from your present mode of production and form of property—historical relations that rise and disappear in the progress of production—this misconception you share with every ruling class that has preceded you. What you see clearly in the case of ancient property, what you admit in the case of feudal property, you are of course forbidden to admit in the case of your own bourgeois form of property.

Abolition of the family! Even the most radical flare up at this infamous proposal of the Communists.

On what foundation is the present family, the bourgeois family, based? On capital, on private gain. In its completely developed form this family exists only among the bourgeoisie. But this state of things finds its complement in the practical absence of the family among the proletarians, and in public prostitution.

The bourgeois family will vanish as a matter of course when its complement vanishes, and both will vanish with the vanishing of capital.

Do you charge us with wanting to stop the exploitation of children by their parents? To this crime we plead guilty. But, you will say, we destroy the most hallowed of relations, when we replace home education by social.

And your education! Is not that also social, and determined by the social conditions under which you educate, by the intervention, direct or indirect, of society by means of schools, etc.? The Communists have not invented the intervention of society in education; they do but seek to alter the character of that intervention, and to rescue education from the influence of the ruling class.

The bourgeois clap-trap about the family and education, about the hallowed co-relation of parent and child, becomes all the more disgusting, the more, by the action of Modern Industry, all family ties among the proletarians are torn asunder, and their children transformed into simple articles of commerce and instruments of labor.

But you Communists would introduce community of women, screams the whole bourgeoisie in chorus.

The bourgeois sees in his wife a mere instrument of production. He hears that the instruments of production are to be exploited in common, and, naturally, can come to no other conclusion, than that the lot of being common to all will likewise fall to the women.

He has not even a suspicion that the real point aimed at is to do away with the status of women as mere instruments of production.

For the rest, nothing is more ridiculous than the virtuous indignation of our bourgeois at the community of women which, they pretend, is to be openly and officially established by the Communists. The Communists have no need to introduce community of women; it has existed almost from time immemorial.

Our bourgeois, not content with having the wives and daughters of their proletarians at their disposal, not to speak of common prostitutes, take the greatest pleasure in seducing each others' wives.

Bourgeois marriage is in reality a system of wives in common and thus, at the most, what the Communists might possibly be reproached with, is that they desire to introduce, in substitution for a hypocritically concealed, an openly legalized community of women. For the rest, it is self-evident, that the abolition of the present system of production must bring with it the abolition of the community of women springing from that system, i. e., of prostitution both public and private.

The Communists are further reproached with desiring to abolish countries and nationalities.

The working men have no country. We cannot take from them what they have not got. Since the proletariat must first of all acquire political supremacy, must rise to be the leading class of the nation, must constitute itself the nation, it is, so far, itself national, though not in the bourgeois sense of the word.

National differences, and antagonisms between peoples, are daily more and more vanishing, owing to the development of the bourgeoisie, to freedom of commerce, to the world-market, to uniformity in the mode of production and in the conditions of life corresponding thereto.

The supremacy of the proletariat will cause them to vanish still faster. United action, of the leading civilized countries at least, is one of the first conditions for the emancipation of the proletariat.

In proportion as the exploitation of one individual by another is put an end to, the exploitation of one nation by another will also be put an end to. In proportion as the antagonism between classes within the nation vanishes, the hostility of one nation to another will come to an end.

The charges against Communism made from a religious, a philosophical, and generally, from an ideological standpoint, are not deserving of serious examination.

Does it require deep intuition to comprehend that man's ideas, views, and conceptions, in one word, man's consciousness, changes with every change in the conditions of his material existence, in his social relations and in his social life?

What else does the history of ideas prove, than that intellectual production changes in character in proportion as material production is changed? The ruling ideas of each age have ever been the ideas of its ruling class.

When people speak of ideas that revolutionize society, they do but express the fact, that within the old society, the elements of a new one have been created, and that the dissolution of the old ideas keeps even pace with the dissolution of the old conditions of existence.

When the ancient world was in its last throes, the ancient religions were overcome by Christianity. When Christian ideas succumbed in the 18th century to rationalist ideas, feudal society fought its death-battle with the then revolutionary bourgeoisie. The ideas of religious liberty and freedom of conscience, merely gave expression to the sway of free competition within the domain of knowledge.

“Undoubtedly,” it will be said, “religious, moral, philosophical and juridical ideas have been modified in the course of historical development. But religion, morality, philosophy, political science, and law, constantly survived this change.”

“There are, besides, eternal truths, such as Freedom, Justice, etc., that are common to all states of society. But

Communism abolishes eternal truths, it abolishes all religion, and all morality, instead of constituting them on a new basis; it therefore acts in contradiction to all past historical experience.”

What does this accusation reduce itself to? The history of all past society has consisted in the development of class antagonisms, antagonisms that assumed different forms at different epochs.

But whatever form they may have taken, one fact is common to all past ages, viz., the exploitation of one part of society by the other. No wonder, then, that the social consciousness of past ages, despite all the multiplicity and variety it displays, moves within certain common forms, or general ideas, which cannot completely vanish except with the total disappearance of class antagonisms.

The Communist revolution is the most radical rupture with traditional property-relations; no wonder that its development involves the most radical rupture with traditional ideas.

But let us have done with the bourgeois objections to Communism.

We have seen above, that the first step in the revolution by the working class, is to raise the proletariat to the position of ruling class, to win the battle of democracy.

The proletariat will use its political supremacy, to wrest, by degrees, all capital from the bourgeoisie, to centralize all instruments of production in the hands of the State, i. e., of the proletariat organized as the ruling class; and to increase the total of productive forces as rapidly as possible.

Of course, in the beginning, this cannot be effected except by means of despotic inroads on the rights of property, and on the conditions of bourgeois production; by means of measures, therefore, which appear economically insufficient and untenable, but which, in the course of the movement, outstrip themselves, necessitate further inroads upon the old social order, and are unavoidable as a means of entirely revolutionizing the mode of production.

These measures will of course be different in different countries.

Nevertheless in the most advanced countries the following will be pretty generally applicable:

1. Abolition of property in land and application of all rents of land to public purposes.
2. A heavy progressive or graduated income tax.
3. Abolition of all right of inheritance.
4. Confiscation of the property of all emigrants and rebels.
5. Centralization of credit in the hands of the state, by means of a national bank with State capital and an exclusive monopoly.
6. Centralization of the means of communication and transport in the hands of the State.
7. Extension of factories and instruments of production owned by the State; the bringing into cultivation of waste lands, and the improvement of the soil generally in accordance with a common plan.
8. Equal liability of all to labor. Establishment of industrial armies, especially for agriculture.
9. Combination of agriculture with manufacturing industries; gradual abolition of the distinction between town

and country, by a more equable distribution of population over the country.

10. Free education for all children in public schools. Abolition of children's factory labor in its present form. Combination of education with industrial production, etc., etc.

When, in the course of development, class distinctions have disappeared, and all production has been concentrated in the hands of a vast association of the whole nation, the public power will lose its political character. Political power, properly so called, is merely the organized power of one class for oppressing another. If the proletariat during its contest with the bourgeoisie is compelled, by the force of circumstances, to organize itself as a class, if, by means of a revolution, it makes itself the ruling class, and, as such, sweeps away by force the old conditions of production, then it will, along with these conditions, have swept away the conditions for the existence of class antagonisms, and of classes generally, and will thereby have abolished its own supremacy as a class.

In place of the old bourgeois society, with its classes and class antagonisms, we shall have an association, in which the free development of each is the condition for the free development of all.

Bertrand Russell – On Anti-Suffragist Arguments

Anti-Suffragist Anxieties

THE ARGUMENTS AGAINST women's suffrage were, until lately, by no means easy to discover. For, though much had been written and spoken in its favour, opponents still felt themselves securely entrenched behind the ramparts of prejudice and custom, and did not think it necessary or prudent to venture on the open ground of explicit discussion. Now, however, owing to the activities of the Anti-Suffrage League and the writings of an eminent Professor,¹ it has become possible to discover what are the reasons for opposition which it is thought wise to avow. It must be confessed that they do not make a very formidable array, and that many of them are old friends which have done duty against every reform since the ancient Britons first ceased to dye themselves with woad. But such as they are, they deserve examination. In this examination, Professor Dicey's book will afford a useful text.

We will begin, as the Professor himself does, with minor arguments which have not much persuasive power in themselves, but serve to raise a prejudice or a presumption which may make the reader more receptive when he comes to the really serious objections.

"The concession of Parliamentary votes to women," we are told, "must be in the United Kingdom, either for good or bad, a revolution" (p. 10). Certainly we must admit that it shares this distinction with the Budget and flying-machines and wireless telegraphy and most other things. But that alone would not, at first sight, have any bearing on the question whether this revolution was for good or for bad; yet it is supposed that, if it were not for bad, it would have been made long ago. Thus Professor Dicey asserts that Mill, in *The Subjection of Women*, "in effect inculcates the neglect of the lessons to be derived from historical experience embodied in the general, if not universal, customs of mankind" (p. 7). This is the familiar argument of "the wisdom of our ancestors." But there is a special fallacy in speaking of "lessons to be derived from historical experience." For the only thing that history teaches is that men, as a rule, have not in fact allowed power to women. This is part of the larger "teaching," that the strong have almost everywhere been ruthless, and the weak have almost everywhere been oppressed. But how can history teach us that this state of things ought to continue? The world we read of in history is not so perfect a paradise as to make us feel that the institutions upon which it rested must have been wise. Are we merely to imitate the long record of war and cruelty and extortion which constitutes "the general, if not universal, customs of mankind?" The "lesson" to be learnt is – so in effect we are told – that we ought ourselves to commit every

crime commonly committed by our ancestors. But if such a lesson is to be inculcated, it is rather the fault of the historian than of the history.

And, considered more scientifically, if the custom of keeping women in subjection were in fact “universal,” no inference could be drawn from history as to its good or bad effects. In order to argue inductively as to the good or bad effects of an institution, there must be examples both ways: it must be possible to compare the effects of its presence with the effects of its absence. Otherwise, it is impossible to disentangle, by mere history, the good and the bad in all human societies, and say which of them is due to this universal custom. Now owing to the existence of women’s suffrage in some countries, we can, to some extent, make such a comparison. This comparison, however, Professor Dicey has very wisely abstained from making. There is no hint or suggestion, throughout his book, that women’s suffrage, where it has been tried, has been found harmful. Only a very careful reader can discover, from Professor Dicey, that any countries at all exist where women vote, and even the most careful reader could not discover how numerous they are. For one who professes to learn from history, it is odd to ignore entirely the most relevant history there is. But this history is only to be learnt, as yet, by travel or conversation, not by the unearthing of dusty archives; it would be, therefore, beneath the dignity of the historian to notice what, as yet, forms no part of “polite learning.” We may suspect, however, that if any moral against women’s suffrage were to be derived from the countries where it is practised, the Professor would not have ignored their existence so completely. And having learnt this “lesson of history,” we can pass on to other aspects of the question.

We are told that there is no such thing as a “right” to vote, that a vote is conferred for the benefit of the community, not of the individual, and that the philosophy of natural right was long ago exploded by Burke and Bentham. As a matter of abstract ethics, this is of course true; but if it is argued that therefore there is no harm in injustice, and no truth in the contention that justice requires women’s enfranchisement, then there is a far too hasty and crude application of theory to practice. The argument from justice does not require any fallacious foundation in the philosophy of natural rights. To inflict a special disability upon one class in the community is in itself an evil, and is calculated to generate resentment on one side and arrogance on the other. It may be admitted that this evil, in some cases, is more than balanced by compensating advantages; but it remains an evil, and any gain for the sake of which it is to be endured must be very great and very certain.

And when it is said that a vote is conferred for the benefit of the community, not of the individual, there is a false antithesis which is very misleading. The community is only the sum of the individuals; and if a vote confers a benefit on the individual woman, then the enfranchisement of women would confer a benefit on half the members of the community, which goes near to proving that it would confer a benefit on the community.

The Professor makes a distinction between civil and political rights, and states that while women ought to have civil rights, they ought not to have political rights.² But the distinction, as he states it, is too subtle to be comprehensible to the lay mind. Civil rights, he says, consist in the right to govern oneself, and political rights consist in the right to govern others. But in that case, men, by the possession of political rights, have the right to govern others, i.e., women, and women therefore cannot govern themselves. This is, of course, the fact at present. By factory acts, by marriage laws, and so on, women are controlled in innumerable ways which may be good or bad, but in any case have been imposed by men, in virtue of men’s political rights. The pretence that a person who does not possess political rights can possess the same control over his or her own circumstances as the person who possesses political rights, may, for aught I know, be enshrined in legal theory; but whoever considers facts cannot maintain it for a moment.

Anti-Suffragists, however, are persuaded that, as it is, women secure whatever is good for them from the bounty of Parliament, which is perfectly ready to offend the electors in order to remedy the minutest grievance of the voteless. It is astonishing what noble and self-sacrificing virtue our legislators display; but oddly enough, one finds on examination that, taking Professor Dicey's own evidence, they only began to display this virtue after the agitation for women's suffrage had achieved a certain strength, when it became undesirable to leave good arguments to those who complained of the injustices inflicted on women. "The desired innovation or revolution is, we are further told, needed to deliver English women from, or guard them against, grievous wrongs. But we now know from happy experience that such wrongs may be, as they in fact have been, removed or averted by a Parliament consisting solely of men, and in the election whereof no woman had a part." ³ Why now? Because now the suffrage agitation has made men conscious of some of the more glaring injustices from which women suffer. But many injustices remain; and, what is perhaps the greatest injustice of all, none of them count as injustices unless they appear to be such to those who profit by them. Parliament, we are told, will give women "relief from every *proved* wrong" (p. 27. Italics mine). But to have to prove the wrong to those who inflict it, and who have every motive, both private and political, for paying no attention to the proof, is a severe preliminary to relief. Abdul Hamid, it is said, is about to publish his memoirs, and doubtless he will state that he was always ready to grant to the Armenians relief from every *proved* wrong, but as for an occasional massacre, that was necessary in the interests of the community, for citizens have no abstract *right* to life, and therefore ought only to be allowed to live if the Sultan judges that their lives are useful. Garnished with allusions to Burke and Bentham, a very eloquent apologia might be constructed on these lines. But, to do Professor Dicey justice, he is compelled, after all, to admit that women's interests do not receive that attention which they would receive if women had the vote. After conceding that trade unions have received better legislative treatment since working men have had the vote, and that the case of women is parallel, he says: "Nor can any impartial critic maintain that, even at the present day, the desires of women, about matters in which they are vitally concerned, obtain from Parliament all the attention they deserve" (p. 22). While giving due respect to his candour, we must maintain that, with this admission, his whole argument collapses.

The contention that the vote will raise women's wages is discussed by the Professor by means of one of those false antitheses which do duty so constantly among opponents of reform. "The plain answer to it," we are told, "is that the prediction, if it means (as every working woman does understand it to mean) that a vote will in itself raise the market value of a woman's work, is false. The ordinary current price of labour depends on economical causes" (p. 38). I do not know how many working women Professor Dicey has examined as to the sense in which they believe that a vote would raise wages, but I greatly doubt if they are quite so simpleminded as he believes, or so ignorant of the conditions which really determine wages. The contention that "the ordinary current price of labour depends on economical causes" has been used, ever since the industrial revolution, by the opponents of trade unions and labour legislation. Yet the wages-fund theory, upon which this contention formerly rested, has been relegated to the lumber-room of obsolete errors, and every extension of the franchise has been followed (at a respectful distance) by a modification of the orthodox economics. The plain fact that the "economical causes" which determine the price of labour are themselves intimately dependent upon political causes, is entirely overlooked, at each fresh stage, by those who maintain that political power cannot help the wage-earner. Yet the whole history of trade unionism and of methods of taxation is an illustration of this obvious truth.

All such more or less indirect ways in which the vote may raise wages are, however, classed by Professor Dicey as "bribery." "There is," he says, "another sense in which a vote or political power may, I admit, have its pecuniary value. It may be used by women, and still more by a body of women, to wring money, or money's worth, from

the State. A Ministry in want of support may bid high for the votes of women. But such traffic in votes is nothing better than sheer bribery” (p. 40). This is surely the most strangely unreal alternative. The more correct account of the matter would be that a class which is suffering injustice cannot, unless by some unusual combination of circumstances, secure the attention of Parliament or the recognition of its wrongs, without that power of insisting upon its needs which only the vote can give. The Professor’s view seems to be that Parliament should consist of 670 philosophers, who, without regard to the wishes of their constituents, decide, out of the plenitude of their wisdom, what boons they may prudently grant to a grateful nation. Any other method of securing legislation is apparently regarded as corrupt. But if so, corruption is of the essence of representative government. The whole effect of representative government on the choice of candidates, on the selection of questions to be dealt with by legislation, on the matters to which members are forced to give their attention – all this would have to be condemned as corruption. The legitimate weight which a member naturally gives to the representations of those who will be most affected by any proposed change would also have to be counted as corruption. If any of these things are not considered corrupt, then it will follow that, without corruption, women’s suffrage will tend to raise women’s wages. For, whatever may be said by some belated adherents of the “classical” political economy, it cannot be denied that legislation and government action can affect wages – by helping or hindering collective bargaining, by increasing or diminishing the opportunities of employment, by varying the methods of raising revenue, or by the effect of raising or lowering the wages of government employees. If women had the vote, they would, in all these respects, be in a better position. In the first place, candidates would be likely to be selected who were sympathetic to their claims. In the second place, the measures that would be to the fore at elections and in Parliament would be more likely to be such as afforded a prospect of improving the economic position of women. In the third place, members would become much more aware of the needs and wishes of women, if the women in their constituency could approach them with the status of voters. If such influences are corrupt when brought to bear by women, they are corrupt when brought to bear by men, and the only pure government left in the world is that of Russia.

Professor Dicey shares with other Anti-Suffragists the fear of introducing some undefined quality called “feminine emotion” into politics. Experience alone can dispel such fears, and as far as experience has gone at present, wherever women are seen taking part in public life, they show a remarkable absence of any so-called “feminine emotion.” The actions of women poor-law guardians are decided by their economic opinions, socialist women taking one line, women who believe in C.O.S. doctrines taking another. Women on Educational Committees and teachers consider the needs of the children in a serious and practical way. Organizations of working women take most level-headed views of industrial and social reforms.

On the other hand, it seems to be forgotten how emotional men can be. Religious revivalism, attacks of Imperialism, Mafficking celebrations, panics, all show that excitable forms of emotion are not confined to one sex, or to one class.

But it is time to turn our attention to the arguments upon which Professor Dicey lays most stress. There are four of them.

“Woman suffrage must ultimately, and probably in no long time, lead to adult suffrage, and will increase all the admitted defects of so-called universal, or in strictness manhood, suffrage” (p. 55).

We will not reply by denying that adult suffrage must come, since, on the contrary, we hold that it ought to come, if possible without any intervening period during which some women only are enfranchised, and we agree that

“every reason and every sentiment which supports the cry of ‘Votes for women!’ tells, at any rate with nine people out of ten, in favour of adult suffrage” (pp. 56-7). But we will ask: What are the “admitted defects of so-called universal, or in strictness manhood, suffrage?” There is only one defect which we are prepared to concede as “admitted” about “so-called universal” suffrage, and that is, that it is not universal; and this defect will not be increased by adult suffrage. Let us see, however, what are the defects which are supposed to be “admitted.” In the first place, we are told that large constituencies are worse than small ones. “A huge constituency is, just because of its size, a bad electoral body. As the number of electors is increased, the power and the responsibility of each man are diminished. Authority passes into the hands of persons who possess neither the independence due to the possession of property nor the intelligence due to education” (pp. 58-9).

This objection to large constituencies appears to be widely felt, and to lead many people to oppose adult suffrage. Yet it is difficult to see on what it is based. The existing constituencies are of very varying size, and it is notorious that those in which corruption is most prevalent are among the smallest. This is, indeed, only what might be expected, since a given sum spent in bribery will go nearer to securing election where there are few electors than where there are many. If Professor Dicey were right, it would seem a pity that rotten boroughs were abolished. Yet we do not find it recorded that the elector of Old Sarum possessed either “the independence due to property” or “the intelligence due to education.” It is to be supposed, however, that he means to argue against women’s suffrage on the ground that women are poorer than men and are not given so good an education. This ground seems scarcely compatible with the view that women suffer no serious injustice at present. To be handicapped, as compared with men, both in property and in education, seems scarcely a trivial injustice. The Professor’s argument is therefore the familiar argument of possessors of power: that certain things, which only power will give, are necessary to the wise use of power, and therefore only those who already have power are fit to have it. It follows that all injustices should be perpetuated, and all wrongs must be eternal.

There are, of course, other reasons which lead people to oppose adult suffrage. The Professor makes a great deal of one of these objections, namely that, since adult suffrage would produce a majority of women, it would place government in the hands of the physically weaker half of the nation, and so lead to instability. This argument we shall consider shortly. Other objections, though not urged by Professor Dicey, deserve a passing mention. The objection based upon the view that it is essentially the possession of property that confers a right to the vote belongs to another order of ideas. But it may be said in passing that no ground exists for protesting against the disfranchisement of women on the ground of sex which does not apply equally against the disfranchisement of the poor on the ground that they have no property sufficient to qualify for a vote. Objections to a majority of women, other than that derived from a possible appeal to force on the part of men, are simply variants of the denial that women ought to be placed on an equality with men. The objection is, in a word: “By all means let some women have the vote, provided you can be sure that it will make no difference, and that no grievance suffered by women will be removed by it. But if you allow women to become the majority, we, the Lords of Creation, may be outvoted, and may be forced to discontinue some of the injustices dearest to our hearts. This is a disaster not to be contemplated for a moment, and therefore it would never do to admit all women to the vote.” This, however, is merely the argument of the tyrant, who is prepared, if necessary, to conceal his tyranny, but is not prepared to abandon it. And against such an argument there would seem to be no weapon but moral exhortation, directed to extort a recognition that others also have their rights.

After some vague generalizations about the character of “Woman,” which may be summed up in the two remarks that women have less tenacity than men (p. 60), and that it would be a misfortune if British policy were determined

by the fighting suffragists (p. 62) – I suppose because of their sad lack of tenacity – we come to the second great argument against women’s suffrage. This is, in its entirety, as follows:

The grant of votes to women settles nothing. If conceded tomorrow, it must be followed by the cry of ‘Seats in Parliament for women!’ ‘Places in the Cabinet for women!’ ‘Judgeships for

women!’ For the avowed aim of every suffragist, down from John Stuart Mill to Mrs. Pankhurst, is the complete political equality of men and of women. The opening of the Parliamentary franchise to women is the encouragement, not the close, of a long agitation.

It is difficult to know how to treat this argument, except by the exclamation “How awful!” For in fact there is no argument. It is our old friend, the thin end of the wedge, with the usual absence of any attempt to show that there is any harm in the thick end. All the same arguments might have been used – probably were used – against the enfranchisement of working men. Yet – though working men have always been eligible to Parliament and the Cabinet – they still form a small minority in Parliament, and their admission to the Cabinet has not been found to promote revolution. Such changes as are dreaded by Professor Dicey will happen very gradually, and whatever objections there may be to them at present will diminish as women acquire the political experience due to possession of the vote.

We are told next that women ought not to have the vote because they do not want it. To this, it would seem a sufficient answer to deny the fact. The number of women who desire the vote is increasing every day, and, though no means exist of ascertaining whether it has yet become a majority, there is a practical certainty that, if not yet the majority, it soon will be. But the proper answer is that the question is not so much whether women desire the vote, as whether it is for the good of the community that they should have it. And, oddly enough, this answer is given by the Professor himself, but it is given in rebutting the contention that women ought to have the vote because they want it. He has failed to perceive the double application of his words, which are as follows:

My conviction as to the true nature of a Parliamentary vote led inevitably to the conclusion that the expediency, or what in such a matter is the same thing, the justice, of giving Parliamentary votes to English women depends on the answer to the inquiry, not whether a large number of English women, or English women generally, wish for votes, but whether the establishment of woman suffrage will be a benefit to England? (P. 8)

The question, therefore, whether or not a majority of English women desire the vote is, on the Professor’s own showing, irrelevant.

The strongest argument against women’s suffrage is the argument that all government is based, in the last resort, on force, and therefore the vote ought to be confined to those who are able to use force. The argument is, that if all women are enfranchised, they will form a majority of the electorate, and laws may be enacted, by their votes, to which a large majority of men are vehemently opposed – laws, for example, dealing with temperance or with the suppression of vice. Such laws men might refuse to obey; and the majority, being mainly composed of women, would be unable to enforce its will. Hence the government would be unstable, and might be upset by a successful revolution. The only way to avoid this is to confine the vote to those who can fight, i.e. to men.

This view seems to involve a radical misconception of political facts. In the first place, it is scarcely conceivable that any law would be passed if it were strongly opposed by a large majority of men. We have to remember that, when women are first enfranchised, they will find a political system established which has been made by

men, where the parties are divided according to the divisions of opinion among men, where all the candidates are men, and all the questions mainly discussed at elections will be such as have been considered important by men. The inertia of this state of things will make it impossible to change it suddenly. There will not be any sudden emergence of a large women's party, advocating the supposed special interests of women. Most women would, at first, obtain their political knowledge through the views expressed by men. Gradually, as they acquire more political knowledge, they will no doubt become more independent. But as they become more independent, they will also become better judges of what is feasible and prudent: they will realize that legislation which is detested, beyond a certain point, by a large section of the community, is unwise legislation, and they will avoid such action as might produce a conflict between men and women. An exact parallel to what is probable may be found in the rise of the Labour party. There is much more apparent opposition of interests between labour and capital than between women and men; yet, although urban working men have had the vote for over forty years, a large majority of them still prefer to vote for one or other of what Socialists call the capitalistic parties. And as the Labour party grows in numbers, it grows also in wisdom, so that it cannot be seriously maintained that the Labour party affords a menace to public order. Yet the argument that government is based upon force, if it were valid, would have applied as much against admitting working men as against admitting women. For the "force" that is meant is not actual prowess with the fists, but the power of placing an army in the field; and it is obvious that if the richer third of the nation were to engage in a conflict with the poorer two-thirds, the richer third could hire mercenaries who would utterly annihilate the poorer two-thirds. Yet this does not happen. Why? Because neither the rich nor the poor are so wholly reckless as theorists suppose. Rather than plunge the nation into civil war, the poor moderate the burdens they inflict upon the rich, and the rich confine their protests to letters to the press and diminution of charitable subscriptions. So it would be if women were the majority of the voters. Both sides would have enough forbearance and enough common sense to avoid any such sharpness of opposition as could possibly shake the stability of the government.

In fact, instead of saying that government is based on force, it would be quite as true to say that force is based on government. In a civilized community, an armed conflict with the executive is too serious a matter to be lightly undertaken, and the powers of the executive are such that a conflict can hardly ever be successful. On the other hand, respect for the rights of minorities is, in England, so ingrained in our political traditions, that it is inconceivable that they should be disregarded to such a degree as would produce any temptation to armed resistance. And in the particular application to women's suffrage, one is tempted to wonder whether those who speak of a possible conflict ever remember that it is men and women they are speaking of. When we consider the closeness of the relations of men and women, the daily and hourly need of cooperation between them, it seems the merest fantastic nightmare to imagine men ranged in one camp and women in the other. Long before this had happened, the necessities of private life would have compelled some sort of adjustment. The man's desire for his dinner, and the woman's need of her husband's support, are sufficient safeguards of the public peace in this respect. Thus the argument that government is based on force, and ought therefore to be in the hands of the strong, may be dismissed as one which takes no account of the actual facts of human life. A sex-war might provide material for a farce, but could not be conceived in sober earnest.

It might, on the contrary, be urged with more truth that, since the strong will always have a preponderating influence by virtue of their strength, it is specially important that the weak should have such protection as is afforded by the vote. The vote will still leave them in a position in which they will have to pay respect to the wishes of the strong, but it will do what is possible to remedy the inequality due to natural causes. Indeed the whole progress from barbarism to the civilized state may be represented as an increasing protection of the weak

against the strong. We no longer permit a man to steal a woman's property by means of his superior physical strength, but we still allow him to steal her means of livelihood by excluding her from professions and trades. The protection of the weak against the strong, so far as direct use of physical force is concerned, is undertaken by the police; but indirect attacks, made by means of law and custom, cannot be prevented except by the protection of the vote. The comparative weakness of women, therefore, so far from affording an argument against giving them the vote, affords an argument in favour of giving them every protection against injustice which the laws can provide, and, as the chief protection, the right to a voice as to what the laws shall be.

The objections which are explicitly urged against women's suffrage are, of course, not those which weigh most with most men. Men fear that their liberty to act in ways that are injurious to women will be curtailed, and that they will lose that pleasing sense of dominion which at present makes "no place like home." The instinct of the master to retain his mastery cannot be met by mere political arguments. But it is an instinct which finds less and less scope in the modern world, and it is fast being driven from this stronghold as it has been driven from others. To substitute cooperation for subjection is everywhere the effort of democracy, and it is one of the strongest arguments in favour of the enfranchisement of women that it will further this substitution in all that concerns the relations of men and women.

1 *Letters to a Friend on Votes for Women*. By A. V. Dicey, K.C., LL.D., HON. D.C.L. (Murray, 1909)

2 See pp. 32-4 and 79-80

3 Pp. 78-9. My italics

John Stuart Mill – On The Equality of Women

The Subjection of Women

CHAPTER I.

THE object of this Essay is to explain as clearly as I am able, the grounds of an opinion which I have held from the very earliest period when I had formed any opinions at all on social or political matters, and which, instead of being weakened or modified, has been constantly growing stronger by the progress of reflection and the experience of life: That the principle which regulates the existing social relations between the two sexes—the legal subordination of one sex to the other—is wrong in itself, and now one of the chief hindrances to human improvement; and that it ought to be replaced by a principle of perfect equality, admitting no power or privilege on the one side, nor disability on the other.

The very words necessary to express the task I have undertaken, show how arduous it is. But it would be a mistake to suppose that the difficulty of the case must lie in the insufficiency or obscurity of the grounds of reason on which my conviction rests. The difficulty is that which exists in all cases in which there is a mass of feeling to be contended against. So long as an opinion is strongly rooted in the feelings, it gains rather than loses in stability by having a preponderating weight of argument against it. For if it were accepted as a result of argument, the refutation of the argument might shake the solidity of the conviction; but when it rests solely on feeling, the worse it fares in argumentative contest, the more persuaded its adherents are that their feeling must have some deeper ground, which the arguments do not reach; and while the feeling remains, it is always throwing up fresh intrenchments of argument to repair any breach made in the old. And there are so many causes tending to make the feelings connected with this subject the most intense and most deeply-rooted of all those which gather round and protect old institutions and customs, that we need not wonder to find them as yet less undermined and loosened than any of the rest by the progress of the great modern spiritual and social transition; nor suppose that the barbarisms to which men cling longest must be less barbarisms than those which they earlier shake off.

In every respect the burthen is hard on those who attack an almost universal opinion. They must be very fortunate as well as unusually capable if they obtain a hearing at all. They have more difficulty in obtaining a trial, than any other litigants have in getting a verdict. If they do extort a hearing, they are subjected to a set of logical requirements totally different from those exacted from other people. In all other cases, the burthen of proof is supposed to lie with the affirmative. If a person is charged with a murder, it rests with those who accuse him

to give proof of his guilt, not with himself to prove his innocence. If there is a difference of opinion about the reality of any alleged historical event, in which the feelings of men in general are not much interested, as the Siege of Troy for example, those who maintain that the event took place are expected to produce their proofs, before those who take the other side can be required to say anything; and at no time are these required to do more than show that the evidence produced by the others is of no value. Again, in practical matters, the burthen of proof is supposed to be with those who are against liberty; who contend for any restriction or prohibition; either any limitation of the general freedom of human action, or any disqualification or disparity of privilege affecting one person or kind of persons, as compared with others. The *à priori* presumption is in favour of freedom and impartiality. It is held that there should be no restraint not required by the general good, and that the law should be no respecter of persons, but should treat all alike, save where dissimilarity of treatment is required by positive reasons, either of justice or of policy. But of none of these rules of evidence will the benefit be allowed to those who maintain the opinion I profess. It is useless for me to say that those who maintain the doctrine that men have a right to command and women are under an obligation to obey, or that men are fit for government and women unfit, are on the affirmative side of the question, and that they are bound to show positive evidence for the assertions, or submit to their rejection. It is equally unavailing for me to say that those who deny to women any freedom or privilege rightly allowed to men, having the double presumption against them that they are opposing freedom and recommending partiality, must be held to the strictest proof of their case, and unless their success be such as to exclude all doubt, the judgment ought to go against them. These would be thought good pleas in any common case; but they will not be thought so in this instance. Before I could hope to make any impression, I should be expected not only to answer all that has ever been said by those who take the other side of the question, but to imagine all that could be said by them—to find them in reasons, as well as answer all I find: and besides refuting all arguments for the affirmative, I shall be called upon for invincible positive arguments to prove a negative. And even if I could do all this, and leave the opposite party with a host of unanswered arguments against them, and not a single unrefuted one on their side, I should be thought to have done little; for a cause supported on the one hand by universal usage, and on the other by so great a preponderance of popular sentiment, is supposed to have a presumption in its favour, superior to any conviction which an appeal to reason has power to produce in any intellects but those of a high class.

I do not mention these difficulties to complain of them; first, because it would be useless; they are inseparable from having to contend through people's understandings against the hostility of their feelings and practical tendencies: and truly the understandings of the majority of mankind would need to be much better cultivated than has ever yet been the case, before they can be asked to place such reliance in their own power of estimating arguments, as to give up practical principles in which they have been born and bred and which are the basis of much of the existing order of the world, at the first argumentative attack which they are not capable of logically resisting. I do not therefore quarrel with them for having too little faith in argument, but for having too much faith in custom and the general feeling. It is one of the characteristic prejudices of the reaction of the nineteenth century against the eighteenth, to accord to the unreasoning elements in human nature the infallibility which the eighteenth century is supposed to have ascribed to the reasoning elements. For the apotheosis of Reason we have substituted that of Instinct; and we call everything instinct which we find in ourselves and for which we cannot trace any rational foundation. This idolatry, infinitely more degrading than the other, and the most pernicious of the false worships of the present day, of all of which it is now the main support, will probably hold its ground until it gives way before a sound psychology, laying bare the real root of much that is bowed down to as the intention of Nature and the ordinance of God. As regards the present question, I am willing to accept the unfavourable conditions which the prejudice assigns to me. I consent that established custom, and the general feeling, should be

deemed conclusive against me, unless that custom and feeling from age to age can be shown to have owed their existence to other causes than their soundness, and to have derived their power from the worse rather than the better parts of human nature. I am willing that judgment should go against me, unless I can show that my judge has been tampered with. The concession is not so great as it might appear; for to prove this, is by far the easiest portion of my task.

The generality of a practice is in some cases a strong presumption that it is, or at all events once was, conducive to laudable ends. This is the case, when the practice was first adopted, or afterwards kept up, as a means to such ends, and was grounded on experience of the mode in which they could be most effectually attained. If the authority of men over women, when first established, had been the result of a conscientious comparison between different modes of constituting the government of society; if, after trying various other modes of social organization—the government of women over men, equality between the two, and such mixed and divided modes of government as might be invented—it had been decided, on the testimony of experience, that the mode in which women are wholly under the rule of men, having no share at all in public concerns, and each in private being under the legal obligation of obedience to the man with whom she has associated her destiny, was the arrangement most conducive to the happiness and well being of both; its general adoption might then be fairly thought to be some evidence that, at the time when it was adopted, it was the best: though even then the considerations which recommended it may, like so many other primeval social facts of the greatest importance, have subsequently, in the course of ages, ceased to exist. But the state of the case is in every respect the reverse of this. In the first place, the opinion in favour of the present system, which entirely subordinates the weaker sex to the stronger, rests upon theory only; for there never has been trial made of any other: so that experience, in the sense in which it is vulgarly opposed to theory, cannot be pretended to have pronounced any verdict. And in the second place, the adoption of this system of inequality never was the result of deliberation, or forethought, or any social ideas, or any notion whatever of what conduced to the benefit of humanity or the good order of society. It arose simply from the fact that from the very earliest twilight of human society, every woman (owing to the value attached to her by men, combined with her inferiority in muscular strength) was found in a state of bondage to some man. Laws and systems of polity always begin by recognising the relations they find already existing between individuals. They convert what was a mere physical fact into a legal right, give it the sanction of society, and principally aim at the substitution of public and organized means of asserting and protecting these rights, instead of the irregular and lawless conflict of physical strength. Those who had already been compelled to obedience became in this manner legally bound to it. Slavery, from being a mere affair of force between the master and the slave, became regularized and a matter of compact among the masters, who, binding themselves to one another for common protection, guaranteed by their collective strength the private possessions of each, including his slaves. In early times, the great majority of the male sex were slaves, as well as the whole of the female. And many ages elapsed, some of them ages of high cultivation, before any thinker was bold enough to question the rightfulness, and the absolute social necessity, either of the one slavery or of the other. By degrees such thinkers did arise: and (the general progress of society assisting) the slavery of the male sex has, in all the countries of Christian Europe at least (though, in one of them, only within the last few years) been at length abolished, and that of the female sex has been gradually changed into a milder form of dependence. But this dependence, as it exists at present, is not an original institution, taking a fresh start from considerations of justice and social expediency—it is the primitive state of slavery lasting on, through successive mitigations and modifications occasioned by the same causes which have softened the general manners, and brought all human relations more under the control of justice and the influence of humanity. It has not lost the taint of its brutal origin. No presumption in its favour, therefore, can be drawn from the fact of its existence. The only such presumption which it could be supposed to have, must be

grounded on its having lasted till now, when so many other things which came down from the same odious source have been done away with. And this, indeed, is what makes it strange to ordinary ears, to hear it asserted that the inequality of rights between men and women has no other source than the law of the strongest.

That this statement should have the effect of a paradox, is in some respects creditable to the progress of civilization, and the improvement of the moral sentiments of mankind. We now live—that is to say, one or two of the most advanced nations of the world now live—in a state in which the law of the strongest seems to be entirely abandoned as the regulating principle of the world's affairs: nobody professes it, and, as regards most of the relations between human beings, nobody is permitted to practise it. When any one succeeds in doing so, it is under cover of some pretext which gives him the semblance of having some general social interest on his side. This being the ostensible state of things, people flatter themselves that the rule of mere force is ended; that the law of the strongest cannot be the reason of existence of anything which has remained in full operation down to the present time. However any of our present institutions may have begun, it can only, they think, have been preserved to this period of advanced civilization by a well-grounded feeling of its adaptation to human nature, and conduciveness to the general good. They do not understand the great vitality and durability of institutions which place right on the side of might; how intensely they are clung to; how the good as well as the bad propensities and sentiments of those who have power in their hands, become identified with retaining it; how slowly these bad institutions give way, one at a time, the weakest first, beginning with those which are least interwoven with the daily habits of life; and how very rarely those who have obtained legal power because they first had physical, have ever lost their hold of it until the physical power had passed over to the other side. Such shifting of the physical force not having taken place in the case of women; this fact, combined with all the peculiar and characteristic features of the particular case, made it certain from the first that this branch of the system of right founded on might, though softened in its most atrocious features at an earlier period than several of the others, would be the very last to disappear. It was inevitable that this one case of a social relation grounded on force, would survive through generations of institutions grounded on equal justice, an almost solitary exception to the general character of their laws and customs; but which, so long as it does not proclaim its own origin, and as discussion has not brought out its true character, is not felt to jar with modern civilization, any more than domestic slavery among the Greeks jarred with their notion of themselves as a free people.

The truth is, that people of the present and the last two or three generations have lost all practical sense of the primitive condition of humanity; and only the few who have studied history accurately, or have much frequented the parts of the world occupied by the living representatives of ages long past, are able to form any mental picture of what society then was. People are not aware how entirely, in former ages, the law of superior strength was the rule of life; how publicly and openly it was avowed, I do not say cynically or shamelessly—for these words imply a feeling that there was something in it to be ashamed of, and no such notion could find a place in the faculties of any person in those ages, except a philosopher or a saint. History gives a cruel experience of human nature, in shewing how exactly the regard due to the life, possessions, and entire earthly happiness of any class of persons, was measured by what they had the power of enforcing; how all who made any resistance to authorities that had arms in their hands, however dreadful might be the provocation, had not only the law of force but all other laws, and all the notions of social obligation against them; and in the eyes of those whom they resisted, were not only guilty of crime, but of the worst of all crimes, deserving the most cruel chastisement which human beings could inflict. The first small vestige of a feeling of obligation in a superior to acknowledge any right in inferiors, began when he had been induced, for convenience, to make some promise to them. Though these promises, even when sanctioned by the most solemn oaths, were for many ages revoked or violated on the most trifling provocation

or temptation, it is probable that this, except by persons of still worse than the average morality, was seldom done without some twinges of conscience. The ancient republics, being mostly grounded from the first upon some kind of mutual compact, or at any rate formed by an union of persons not very unequal in strength, afforded, in consequence, the first instance of a portion of human relations fenced round, and placed under the dominion of another law than that of force. And though the original law of force remained in full operation between them and their slaves, and also (except so far as limited by express compact) between a commonwealth and its subjects, or other independent commonwealths; the banishment of that primitive law even from so narrow a field, commenced the regeneration of human nature, by giving birth to sentiments of which experience soon demonstrated the immense value even for material interests, and which thenceforward only required to be enlarged, not created. Though slaves were no part of the commonwealth, it was in the free states that slaves were first felt to have rights as human beings. The Stoics were, I believe, the first (except so far as the Jewish law constitutes an exception) who taught as a part of morality that men were bound by moral obligations to their slaves. No one, after Christianity became ascendant, could ever again have been a stranger to this belief, in theory; nor, after the rise of the Catholic Church, was it ever without persons to stand up for it. Yet to enforce it was the most arduous task which Christianity ever had to perform. For more than a thousand years the Church kept up the contest, with hardly any perceptible success. It was not for want of power over men's minds. Its power was prodigious. It could make kings and nobles resign their most valued possessions to enrich the Church. It could make thousands, in the prime of life and the height of worldly advantages, shut themselves up in convents to work out their salvation by poverty, fasting, and prayer. It could send hundreds of thousands across land and sea, Europe and Asia, to give their lives for the deliverance of the Holy Sepulchre. It could make kings relinquish wives who were the object of their passionate attachment, because the Church declared that they were within the seventh (by our calculation the fourteenth) degree of relationship. All this it did; but it could not make men fight less with one another, nor tyrannize less cruelly over the serfs, and when they were able, over burgesses. It could not make them renounce either of the applications of force; force militant, or force triumphant. This they could never be induced to do until they were themselves in their turn compelled by superior force. Only by the growing power of kings was an end put to fighting except between kings, or competitors for kingship; only by the growth of a wealthy and warlike bourgeoisie in the fortified towns, and of a plebeian infantry which proved more powerful in the field than the undisciplined chivalry, was the insolent tyranny of the nobles over the bourgeoisie and peasantry brought within some bounds. It was persisted in not only until, but long after, the oppressed had obtained a power enabling them often to take conspicuous vengeance; and on the Continent much of it continued to the time of the French Revolution, though in England the earlier and better organization of the democratic classes put an end to it sooner, by establishing equal laws and free national institutions.

If people are mostly so little aware how completely, during the greater part of the duration of our species, the law of force was the avowed rule of general conduct, any other being only a special and exceptional consequence of peculiar ties—and from how very recent a date it is that the affairs of society in general have been even pretended to be regulated according to any moral law; as little do people remember or consider, how institutions and customs which never had any ground but the law of force, last on into ages and states of general opinion which never would have permitted their first establishment. Less than forty years ago, Englishmen might still by law hold human beings in bondage as saleable property: within the present century they might kidnap them and carry them off, and work them literally to death. This absolutely extreme case of the law of force, condemned by those who can tolerate almost every other form of arbitrary power, and which, of all others, presents features the most revolting to the feelings of all who look at it from an impartial position, was the law of civilized and Christian England within the memory of persons now living; and in one half of Anglo-Saxon America three or

four years ago, not only did slavery exist, but the slave trade, and the breeding of slaves expressly for it, was a general practice between slave states. Yet not only was there a greater strength of sentiment against it, but, in England at least, a less amount either of feeling or of interest in favour of it, than of any other of the customary abuses of force: for its motive was the love of gain, unmixed and undisguised; and those who profited by it were a very small numerical fraction of the country, while the natural feeling of all who were not personally interested in it, was unmitigated abhorrence. So extreme an instance makes it almost superfluous to refer to any other: but consider the long duration of absolute monarchy. In England at present it is the almost universal conviction that military despotism is a case of the law of force, having no other origin or justification. Yet in all the great nations of Europe except England it either still exists, or has only just ceased to exist, and has even now a strong party favourable to it in all ranks of the people, especially among persons of station and consequence. Such is the power of an established system, even when far from universal; when not only in almost every period of history there have been great and well-known examples of the contrary system, but these have almost invariably been afforded by the most illustrious and most prosperous communities. In this case, too, the possessor of the undue power, the person directly interested in it, is only one person, while those who are subject to it and suffer from it are literally all the rest. The yoke is naturally and necessarily humiliating to all persons, except the one who is on the throne, together with, at most, the one who expects to succeed to it. How different are these cases from that of the power of men over women! I am not now prejudging the question of its justifiableness. I am showing how vastly more permanent it could not but be, even if not justifiable, than these other dominations which have nevertheless lasted down to our own time. Whatever gratification of pride there is in the possession of power, and whatever personal interest in its exercise, is in this case not confined to a limited class, but common to the whole male sex. Instead of being, to most of its supporters, a thing desirable chiefly in the abstract, or, like the political ends usually contended for by factious, of little private importance to any but the leaders; it comes home to the person and hearth of every male head of a family, and of every one who looks forward to being so. The clodhopper exercises, or is to exercise, his share of the power equally with the highest nobleman. And the case is that in which the desire of power is the strongest: for every one who desires power, desires it most over those who are nearest to him, with whom his life is passed, with whom he has most concerns in common, and in whom any independence of his authority is oftenest likely to interfere with his individual preferences. If, in the other cases specified, powers manifestly grounded only on force, and having so much less to support them, are so slowly and with so much difficulty got rid of, much more must it be so with this, even if it rests on no better foundation than those. We must consider, too, that the possessors of the power have facilities in this case, greater than in any other, to prevent any uprising against it. Every one of the subjects lives under the very eye, and almost, it may be said, in the hands, of one of the masters—in closer intimacy with him than with any of her fellow-subjects; with no means of combining against him, no power of even locally overmastering him, and, on the other hand, with the strongest motives for seeking his favour and avoiding to give him offence. In struggles for political emancipation, everybody knows how often its champions are bought off by bribes, or daunted by terrors. In the case of women, each individual of the subject-class is in a chronic state of bribery and intimidation combined. In setting up the standard of resistance, a large number of the leaders, and still more of the followers, must make an almost complete sacrifice of the pleasures or the alleviations of their own individual lot. If ever any system of privilege and enforced subjection had its yoke tightly riveted on the necks of those who are kept down by it, this has. I have not yet shown that it is a wrong system: but every one who is capable of thinking on the subject must see that even if it is, it was certain to outlast all other forms of unjust authority. And when some of the grossest of the other forms still exist in many civilized countries, and have only recently been got rid of in others, it would be strange if that which is so much the deepest-rooted had yet been perceptibly shaken anywhere. There is more

reason to wonder that the protests and testimonies against it should have been so numerous and so weighty as they are.

Some will object, that a comparison cannot fairly be made between the government of the male sex and the forms of unjust power which I have adduced in illustration of it, since these are arbitrary, and the effect of mere usurpation, while it on the contrary is natural. But was there ever any domination which did not appear natural to those who possessed it? There was a time when the division of mankind into two classes, a small one of masters and a numerous one of slaves, appeared, even to the most cultivated minds, to be a natural, and the only natural, condition of the human race. No less an intellect, and one which contributed no less to the progress of human thought, than Aristotle, held this opinion without doubt or misgiving; and rested it on the same premises on which the same assertion in regard to the dominion of men over women is usually based, namely that there are different natures among mankind, free natures, and slave natures; that the Greeks were of a free nature, the barbarian races of Thracians and Asiatics of a slave nature. But why need I go back to Aristotle? Did not the slaveowners of the Southern United States maintain the same doctrine, with all the fanaticism with which men cling to the theories that justify their passions and legitimate their personal interests? Did they not call heaven and earth to witness that the dominion of the white man over the black is natural, that the black race is by nature incapable of freedom, and marked out for slavery? some even going so far as to say that the freedom of manual labourers is an unnatural order of things anywhere. Again, the theorists of absolute monarchy have always affirmed it to be the only natural form of government; issuing from the patriarchal, which was the primitive and spontaneous form of society, framed on the model of the paternal, which is anterior to society itself, and, as they contend, the most natural authority of all. Nay, for that matter, the law of force itself, to those who could not plead any other, has always seemed the most natural of all grounds for the exercise of authority. Conquering races hold it to be Nature's own dictate that the conquered should obey the conquerors, or, as they euphoniously paraphrase it, that the feebler and more unwarlike races should submit to the braver and manlier. The smallest acquaintance with human life in the middle ages, shows how supremely natural the dominion of the feudal nobility over men of low condition appeared to the nobility themselves, and how unnatural the conception seemed, of a person of the inferior class claiming equality with them, or exercising authority over them. It hardly seemed less so to the class held in subjection. The emancipated serfs and burgesses, even in their most vigorous struggles, never made any pretension to a share of authority; they only demanded more or less of limitation to the power of tyrannizing over them. So true is it that unnatural generally means only uncustomary, and that everything which is usual appears natural. The subjection of women to men being a universal custom, any departure from it quite naturally appears unnatural. But how entirely, even in this case, the feeling is dependent on custom, appears by ample experience. Nothing so much astonishes the people of distant parts of the world, when they first learn anything about England, as to be told that it is under a queen: the thing seems to them so unnatural as to be almost incredible. To Englishmen this does not seem in the least degree unnatural, because they are used to it; but they do feel it unnatural that women should be soldiers or members of parliament. In the feudal ages, on the contrary, war and politics were not thought unnatural to women, because not unusual; it seemed natural that women of the privileged classes should be of manly character, inferior in nothing but bodily strength to their husbands and fathers. The independence of women seemed rather less unnatural to the Greeks than to other ancients, on account of the fabulous Amazons (whom they believed to be historical), and the partial example afforded by the Spartan women; who, though no less subordinate by law than in other Greek states, were more free in fact, and being trained to bodily exercises in the same manner with men, gave ample proof that they were not naturally disqualified for them. There can be little doubt that Spartan experience suggested to Plato, among many other of his doctrines, that of the social and political equality of the two sexes.

But, it will be said, the rule of men over women differs from all these others in not being a rule of force: it is accepted voluntarily; women make no complaint, and are consenting parties to it. In the first place, a great number of women do not accept it. Ever since there have been women able to make their sentiments known by their writings (the only mode of publicity which society permits to them), an increasing number of them have recorded protests against their present social condition: and recently many thousands of them, headed by the most eminent women known to the public, have petitioned Parliament for their admission to the Parliamentary Suffrage. The claim of women to be educated as solidly, and in the same branches of knowledge, as men, is urged with growing intensity, and with a great prospect of success; while the demand for their admission into professions and occupations hitherto closed against them, becomes every year more urgent. Though there are not in this country, as there are in the United States, periodical Conventions and an organized party to agitate for the Rights of Women, there is a numerous and active Society organized and managed by women, for the more limited object of obtaining the political franchise. Nor is it only in our own country and in America that women are beginning to protest, more or less collectively, against the disabilities under which they labour. France, and Italy, and Switzerland, and Russia now afford examples of the same thing. How many more women there are who silently cherish similar aspirations, no one can possibly know; but there are abundant tokens how many *would* cherish them, were they not so strenuously taught to repress them as contrary to the proprieties of their sex. It must be remembered, also, that no enslaved class ever asked for complete liberty at once. When Simon de Montfort called the deputies of the commons to sit for the first time in Parliament, did any of them dream of demanding that an assembly, elected by their constituents, should make and destroy ministries, and dictate to the king in affairs of state? No such thought entered into the imagination of the most ambitious of them. The nobility had already these pretensions; the commons pretended to nothing but to be exempt from arbitrary taxation, and from the gross individual oppression of the king's officers. It is a political law of nature that those who are under any power of ancient origin, never begin by complaining of the power itself, but only of its oppressive exercise. There is never any want of women who complain of ill usage by their husbands. There would be infinitely more, if complaint were not the greatest of all provocatives to a repetition and increase of the ill usage. It is this which frustrates all attempts to maintain the power but protect the woman against its abuses. In no other case (except that of a child) is the person who has been proved judicially to have suffered an injury, replaced under the physical power of the culprit who inflicted it. Accordingly wives, even in the most extreme and protracted cases of bodily ill usage, hardly ever dare avail themselves of the laws made for their protection: and if, in a moment of irrepressible indignation, or by the interference of neighbours, they are induced to do so, their whole effort afterwards is to disclose as little as they can, and to beg off their tyrant from his merited chastisement.

All causes, social and natural, combine to make it unlikely that women should be collectively rebellious to the power of men. They are so far in a position different from all other subject classes, that their masters require something more from them than actual service. Men do not want solely the obedience of women, they want their sentiments. All men, except the most brutish, desire to have, in the woman most nearly connected with them, not a forced slave but a willing one, not a slave merely, but a favourite. They have therefore put everything in practice to enslave their minds. The masters of all other slaves rely, for maintaining obedience, on fear; either fear of themselves, or religious fears. The masters of women wanted more than simple obedience, and they turned the whole force of education to effect their purpose. All women are brought up from the very earliest years in the belief that their ideal of character is the very opposite to that of men; not self-will, and government by self-control, but submission, and yielding to the control of others. All the moralities tell them that it is the duty of women, and all the current sentimentalities that it is their nature, to live for others; to make complete abnegation of themselves, and to have no life but in their affections. And by their affections are meant the only ones they are

allowed to have—those to the men with whom they are connected, or to the children who constitute an additional and indefeasible tie between them and a man. When we put together three things—first, the natural attraction between opposite sexes; secondly, the wife’s entire dependence on the husband, every privilege or pleasure she has being either his gift, or depending entirely on his will; and lastly, that the principal object of human pursuit, consideration, and all objects of social ambition, can in general be sought or obtained by her only through him, it would be a miracle if the object of being attractive to men had not become the polar star of feminine education and formation of character. And, this great means of influence over the minds of women having been acquired, an instinct of selfishness made men avail themselves of it to the utmost as a means of holding women in subjection, by representing to them meekness, submissiveness, and resignation of all individual will into the hands of a man, as an essential part of sexual attractiveness. Can it be doubted that any of the other yokes which mankind have succeeded in breaking, would have subsisted till now if the same means had existed, and had been as sedulously used, to bow down their minds to it? If it had been made the object of the life of every young plebeian to find personal favour in the eyes of some patrician, of every young serf with some seigneur; if domestication with him, and a share of his personal affections, had been held out as the prize which they all should look out for, the most gifted and aspiring being able to reckon on the most desirable prizes; and if, when this prize had been obtained, they had been shut out by a wall of brass from all interests not centering in him, all feelings and desires but those which he shared or inculcated; would not serfs and seigneurs, plebeians and patricians, have been as broadly distinguished at this day as men and women are? and would not all but a thinker here and there, have believed the distinction to be a fundamental and unalterable fact in human nature?

The preceding considerations are amply sufficient to show that custom, however universal it may be, affords in this case no presumption, and ought not to create any prejudice, in favour of the arrangements which place women in social and political subjection to men. But I may go farther, and maintain that the course of history, and the tendencies of progressive human society, afford not only no presumption in favour of this system of inequality of rights, but a strong one against it; and that, so far as the whole course of human improvement up to this time, the whole stream of modern tendencies, warrants any inference on the subject, it is, that this relic of the past is discordant with the future, and must necessarily disappear.

For, what is the peculiar character of the modern world—the difference which chiefly distinguishes modern institutions, modern social ideas, modern life itself, from those of times long past? It is, that human beings are no longer born to their place in life, and chained down by an inexorable bond to the place they are born to, but are free to employ their faculties, and such favourable chances as offer, to achieve the lot which may appear to them most desirable. Human society of old was constituted on a very different principle. All were born to a fixed social position, and were mostly kept in it by law, or interdicted from any means by which they could emerge from it. As some men are born white and others black, so some were born slaves and others freemen and citizens; some were born patricians, others plebeians; some were born feudal nobles, others commoners and *roturiers*. A slave or serf could never make himself free, nor, except by the will of his master, become so. In most European countries it was not till towards the close of the middle ages, and as a consequence of the growth of regal power, that commoners could be ennobled. Even among nobles, the eldest son was born the exclusive heir to the paternal possessions, and a long time elapsed before it was fully established that the father could disinherit him. Among the industrious classes, only those who were born members of a guild, or were admitted into it by its members, could lawfully practise their calling within its local limits; and nobody could practise any calling deemed important, in any but the legal manner—by processes authoritatively prescribed. Manufacturers have stood in the pillory for presuming to carry on their business by new and improved methods. In modern Europe, and most in those parts of it which

have participated most largely in all other modern improvements, diametrically opposite doctrines now prevail. Law and government do not undertake to prescribe by whom any social or industrial operation shall or shall not be conducted, or what modes of conducting them shall be lawful. These things are left to the unfettered choice of individuals. Even the laws which required that workmen should serve an apprenticeship, have in this country been repealed: there being ample assurance that in all cases in which an apprenticeship is necessary, its necessity will suffice to enforce it. The old theory was, that the least possible should be left to the choice of the individual agent; that all he had to do should, as far as practicable, be laid down for him by superior wisdom. Left to himself he was sure to go wrong. The modern conviction, the fruit of a thousand years of experience, is, that things in which the individual is the person directly interested, never go right but as they are left to his own discretion; and that any regulation of them by authority, except to protect the rights of others, is sure to be mischievous. This conclusion, slowly arrived at, and not adopted until almost every possible application of the contrary theory had been made with disastrous result, now (in the industrial department) prevails universally in the most advanced countries, almost universally in all that have pretensions to any sort of advancement. It is not that all processes are supposed to be equally good, or all persons to be equally qualified for everything; but that freedom of individual choice is now known to be the only thing which procures the adoption of the best processes, and throws each operation into the hands of those who are best qualified for it. Nobody thinks it necessary to make a law that only a strong-armed man shall be a blacksmith. Freedom and competition suffice to make blacksmiths strong-armed men, because the weak-armed can earn more by engaging in occupations for which they are more fit. In consonance with this doctrine, it is felt to be an overstepping of the proper bounds of authority to fix beforehand, on some general presumption, that certain persons are not fit to do certain things. It is now thoroughly known and admitted that if some such presumptions exist, no such presumption is infallible. Even if it be well grounded in a majority of cases, which it is very likely not to be, there will be a minority of exceptional cases in which it does not hold: and in those it is both an injustice to the individuals, and a detriment to society, to place barriers in the way of their using their faculties for their own benefit and for that of others. In the cases, on the other hand, in which the unfitness is real, the ordinary motives of human conduct will on the whole suffice to prevent the incompetent person from making, or from persisting in, the attempt.

If this general principle of social and economical science is not true; if individuals, with such help as they can derive from the opinion of those who know them, are not better judges than the law and the government, of their own capacities and vocation; the world cannot too soon abandon this principle, and return to the old system of regulations and disabilities. But if the principle is true, we ought to act as if we believed it, and not to ordain that to be born a girl instead of a boy, any more than to be born black instead of white, or a commoner instead of a nobleman, shall decide the person's position through all life—shall interdict people from all the more elevated social positions, and from all, except a few, respectable occupations. Even were we to admit the utmost that is ever pretended as to the superior fitness of men for all the functions now reserved to them, the same argument applies which forbids a legal qualification for members of Parliament. If only once in a dozen years the conditions of eligibility exclude a fit person, there is a real loss, while the exclusion of thousands of unfit persons is no gain; for if the constitution of the electoral body disposes them to choose unfit persons, there are always plenty of such persons to choose from. In all things of any difficulty and importance, those who can do them well are fewer than the need, even with the most unrestricted latitude of choice: and any limitation of the field of selection deprives society of some chances of being served by the competent, without ever saving it from the incompetent.

At present, in the more improved countries, the disabilities of women are the only case, save one, in which laws and institutions take persons at their birth, and ordain that they shall never in all their lives be allowed to

compete for certain things. The one exception is that of royalty. Persons still are born to the throne; no one, not of the reigning family, can ever occupy it, and no one even of that family can, by any means but the course of hereditary succession, attain it. All other dignities and social advantages are open to the whole male sex: many indeed are only attainable by wealth, but wealth may be striven for by any one, and is actually obtained by many men of the very humblest origin. The difficulties, to the majority, are indeed insuperable without the aid of fortunate accidents; but no male human being is under any legal ban: neither law nor opinion superadd artificial obstacles to the natural ones. Royalty, as I have said, is excepted: but in this case every one feels it to be an exception—an anomaly in the modern world, in marked opposition to its customs and principles, and to be justified only by extraordinary special expedencies, which, though individuals and nations differ in estimating their weight, unquestionably do in fact exist. But in this exceptional case, in which a high social function is, for important reasons, bestowed on birth instead of being put up to competition, all free nations contrive to adhere in substance to the principle from which they nominally derogate; for they circumscribe this high function by conditions avowedly intended to prevent the person to whom it ostensibly belongs from really performing it; while the person by whom it is performed, the responsible minister, does obtain the post by a competition from which no full-grown citizen of the male sex is legally excluded. The disabilities, therefore, to which women are subject from the mere fact of their birth, are the solitary examples of the kind in modern legislation. In no instance except this, which comprehends half the human race, are the higher social functions closed against any one by a fatality of birth which no exertions, and no change of circumstances, can overcome; for even religious disabilities (besides that in England and in Europe they have practically almost ceased to exist) do not close any career to the disqualified person in case of conversion.

The social subordination of women thus stands out an isolated fact in modern social institutions; a solitary breach of what has become their fundamental law; a single relic of an old world of thought and practice exploded in everything else, but retained in the one thing of most universal interest; as if a gigantic dolmen, or a vast temple of Jupiter Olympius, occupied the site of St. Paul's and received daily worship, while the surrounding Christian churches were only resorted to on fasts and festivals. This entire discrepancy between one social fact and all those which accompany it, and the radical opposition between its nature and the progressive movement which is the boast of the modern world, and which has successively swept away everything else of an analogous character, surely affords, to a conscientious observer of human tendencies, serious matter for reflection. It raises a *primâ facie* presumption on the unfavourable side, far outweighing any which custom and usage could in such circumstances create on the favourable; and should at least suffice to make this, like the choice between republicanism and royalty, a balanced question.

The least that can be demanded is, that the question should not be considered as prejudged by existing fact and existing opinion, but open to discussion on its merits, as a question of justice and expediency: the decision on this, as on any of the other social arrangements of mankind, depending on what an enlightened estimate of tendencies and consequences may show to be most advantageous to humanity in general, without distinction of sex. And the discussion must be a real discussion, descending to foundations, and not resting satisfied with vague and general assertions. It will not do, for instance, to assert in general terms, that the experience of mankind has pronounced in favour of the existing system. Experience cannot possibly have decided between two courses, so long as there has only been experience of one. If it be said that the doctrine of the equality of the sexes rests only on theory, it must be remembered that the contrary doctrine also has only theory to rest upon. All that is proved in its favour by direct experience, is that mankind have been able to exist under it, and to attain the degree of improvement and prosperity which we now see; but whether that prosperity has been attained sooner, or is now greater, than it

would have been under the other system, experience does not say. On the other hand, experience does say, that every step in improvement has been so invariably accompanied by a step made in raising the social position of women, that historians and philosophers have been led to adopt their elevation or debasement as on the whole the surest test and most correct measure of the civilization of a people or an age. Through all the progressive period of human history, the condition of women has been approaching nearer to equality with men.

This does not of itself prove that the assimilation must go on to complete equality; but it assuredly affords some presumption that such is the case.

Neither does it avail anything to say that the *nature* of the two sexes adapts them to their present functions and position, and renders these appropriate to them. Standing on the ground of common sense and the constitution of the human mind, I deny that any one knows, or can know, the nature of the two sexes, as long as they have only been seen in their present relation to one another. If men had ever been found in society without women, or women without men, or if there had been a society of men and women in which the women were not under the control of the men, something might have been positively known about the mental and moral differences which may be inherent in the nature of each. What is now called the nature of women is an eminently artificial thing—the result of forced repression in some directions, unnatural stimulation in others. It may be asserted without scruple, that no other class of dependents have had their character so entirely distorted from its natural proportions by their relation with their masters; for, if conquered and slave races have been, in some respects, more forcibly repressed, whatever in them has not been crushed down by an iron heel has generally been let alone, and if left with any liberty of development, it has developed itself according to its own laws; but in the case of women, a hot-house and stove cultivation has always been carried on of some of the capabilities of their nature, for the benefit and pleasure of their masters. Then, because certain products of the general vital force sprout luxuriantly and reach a great development in this heated atmosphere and under this active nurture and watering, while other shoots from the same root, which are left outside in the wintry air, with ice purposely heaped all round them, have a stunted growth, and some are burnt off with fire and disappear; men, with that inability to recognise their own work which distinguishes the unanalytic mind, indolently believe that the tree grows of itself in the way they have made it grow, and that it would die if one half of it were not kept in a vapour bath and the other half in the snow.

Of all difficulties which impede the progress of thought, and the formation of well-grounded opinions on life and social arrangements, the greatest is now the unspeakable ignorance and inattention of mankind in respect to the influences which form human character. Whatever any portion of the human species now are, or seem to be, such, it is supposed, they have a natural tendency to be: even when the most elementary knowledge of the circumstances in which they have been placed, clearly points out the causes that made them what they are.

Because a cottier deeply in arrears to his landlord is not industrious, there are people who think that the Irish are naturally idle. Because constitutions can be overthrown when the authorities appointed to execute them turn their arms against them, there are people who think the French incapable of free government. Because the Greeks cheated the Turks, and the Turks only plundered the Greeks, there are persons who think that the Turks are naturally more sincere: and because women, as is often said, care nothing about politics except their personalities, it is supposed that the general good is naturally less interesting to women than to men. History, which is now so much better understood than formerly, teaches another lesson: if only by showing the extraordinary susceptibility of human nature to external influences, and the extreme variableness of those of its manifestations which are supposed to be most universal and uniform. But in history, as in travelling, men usually see only what they already had in their own minds; and few learn much from history, who do not bring much with them to its study.

Hence, in regard to that most difficult question, what are the natural differences between the two sexes—a subject on which it is impossible in the present state of society to obtain complete and correct knowledge—while almost everybody dogmatizes upon it, almost all neglect and make light of the only means by which any partial insight can be obtained into it. This is, an analytic study of the most important department of psychology, the laws of the influence of circumstances on character. For, however great and apparently ineradicable the moral and intellectual differences between men and women might be, the evidence of their being natural differences could only be negative. Those only could be inferred to be natural which could not possibly be artificial—the residuum, after deducting every characteristic of either sex which can admit of being explained from education or external circumstances. The profoundest knowledge of the laws of the formation of character is indispensable to entitle any one to affirm even that there is any difference, much more what the difference is, between the two sexes considered as moral and rational beings; and since no one, as yet, has that knowledge, (for there is hardly any subject which, in proportion to its importance, has been so little studied), no one is thus far entitled to any positive opinion on the subject. Conjectures are all that can at present be made; conjectures more or less probable, according as more or less authorized by such knowledge as we yet have of the laws of psychology, as applied to the formation of character.

Even the preliminary knowledge, what the differences between the sexes now are, apart from all question as to how they are made what they are, is still in the crudest and most incomplete state. Medical practitioners and physiologists have ascertained, to some extent, the differences in bodily constitution; and this is an important element to the psychologist: but hardly any medical practitioner is a psychologist. Respecting the mental characteristics of women; their observations are of no more worth than those of common men. It is a subject on which nothing final can be known, so long as those who alone can really know it, women themselves, have given but little testimony, and that little, mostly suborned. It is easy to know stupid women. Stupidity is much the same all the world over. A stupid person's notions and feelings may confidently be inferred from those which prevail in the circle by which the person is surrounded. Not so with those whose opinions and feelings are an emanation from their own nature and faculties. It is only a man here and there who has any tolerable knowledge of the character even of the women of his own family. I do not mean, of their capabilities; these nobody knows, not even themselves, because most of them have never been called out. I mean their actually existing thoughts and feelings. Many a man thinks he perfectly understands women, because he has had amatory relations with several, perhaps with many of them. If he is a good observer, and his experience extends to quality as well as quantity, he may have learnt something of one narrow department of their nature—an important department, no doubt. But of all the rest of it, few persons are generally more ignorant, because there are few from whom it is so carefully hidden. The most favourable case which a man can generally have for studying the character of a woman, is that of his own wife: for the opportunities are greater, and the cases of complete sympathy not so unspeakably rare.

And in fact, this is the source from which any knowledge worth having on the subject has, I believe, generally come. But most men have not had the opportunity of studying in this way more than a single case: accordingly one can, to an almost laughable degree, infer what a man's wife is like, from his opinions about women in general. To make even this one case yield any result, the woman must be worth knowing, and the man not only a competent judge, but of a character so sympathetic in itself, and so well adapted to hers, that he can either read her mind by sympathetic intuition, or has nothing in himself which makes her shy of disclosing it. Hardly anything, I believe, can be more rare than this conjunction. It often happens that there is the most complete unity of feeling and community of interests as to all external things, yet the one has as little admission into the internal life of the other as if they were common acquaintance. Even with true affection, authority on the one side and subordination on

the other prevent perfect confidence. Though nothing may be intentionally withheld, much is not shown. In the analogous relation of parent and child, the corresponding phenomenon must have been in the observation of every one. As between father and son, how many are the cases in which the father, in spite of real affection on both sides, obviously to all the world does not know, nor suspect, parts of the son's character familiar to his companions and equals. The truth is, that the position of looking up to another is extremely unpropitious to complete sincerity and openness with him. The fear of losing ground in his opinion or in his feelings is so strong, that even in an upright character, there is an unconscious tendency to show only the best side, or the side which, though not the best, is that which he most likes to see: and it may be confidently said that thorough knowledge of one another hardly ever exists, but between persons who, besides being intimates, are equals. How much more true, then, must all this be, when the one is not only under the authority of the other, but has it inculcated on her as a duty to reckon everything else subordinate to his comfort and pleasure, and to let him neither see nor feel anything coming from her, except what is agreeable to him. All these difficulties stand in the way of a man's obtaining any thorough knowledge even of the one woman whom alone, in general, he has sufficient opportunity of studying. When we further consider that to understand one woman is not necessarily to understand any other woman; that even if he could study many women of one rank, or of one country, he would not thereby understand women of other ranks or countries; and even if he did, they are still only the women of a single period of history; we may safely assert that the knowledge which men can acquire of women, even as they have been and are, without reference to what they might be, is wretchedly imperfect and superficial, and always will be so, until women themselves have told all that they have to tell.

And this time has not come; nor will it come otherwise than gradually. It is but of yesterday that women have either been qualified by literary accomplishments, or permitted by society, to tell anything to the general public. As yet very few of them dare tell anything, which men, on whom their literary success depends, are unwilling to hear. Let us remember in what manner, up to a very recent time, the expression, even by a male author, of uncustomary opinions, or what are deemed eccentric feelings, usually was, and in some degree still is, received; and we may form some faint conception under what impediments a woman, who is brought up to think custom and opinion her sovereign rule, attempts to express in books anything drawn from the depths of her own nature. The greatest woman who has left writings behind her sufficient to give her an eminent rank in the literature of her country, thought it necessary to prefix as a motto to her boldest work, "Un homme peut braver l'opinion; une femme doit s'y soumettre." [1] The greater part of what women write about women is mere sycophancy to men. In the case of married women, much of it seems only intended to increase their chance of a husband. Many, both married and unmarried, overstep the mark, and inculcate a servility beyond what is desired or relished by any man, except the very vulgarest. But this is not so often the case as, even at a quite late period, it still was. Literary women are becoming more freespoken, and more willing to express their real sentiments. Unfortunately, in this country especially, they are themselves such artificial products, that their sentiments are compounded of a small element of individual observation and consciousness, and a very large one of acquired associations. This will be less and less the case, but it will remain true to a great extent, as long as social institutions do not admit the same free development of originality in women which is possible to men. When that time comes, and not before, we shall see, and not merely hear, as much as it is necessary to know of the nature of women, and the adaptation of other things to it.

I have dwelt so much on the difficulties which at present obstruct any real knowledge by men of the true nature of women, because in this as in so many other things "*opinio copiæ inter maximas causas inopiæ est;*" and there is little chance of reasonable thinking on the matter, while people flatter themselves that they perfectly understand

a subject of which most men know absolutely nothing, and of which it is at present impossible that any man, or all men taken together, should have knowledge which can qualify them to lay down the law to women as to what is, or is not, their vocation. Happily, no such knowledge is necessary for any practical purpose connected with the position of women in relation to society and life. For, according to all the principles involved in modern society, the question rests with women themselves—to be decided by their own experience, and by the use of their own faculties. There are no means of finding what either one person or many can do, but by trying—and no means by which any one else can discover for them what it is for their happiness to do or leave undone.

One thing we may be certain of—that what is contrary to women’s nature to do, they never will be made to do by simply giving their nature free play. The anxiety of mankind to interfere in behalf of nature, for fear lest nature should not succeed in effecting its purpose, is an altogether unnecessary solicitude. What women by nature cannot do, it is quite superfluous to forbid them from doing. What they can do, but not so well as the men who are their competitors, competition suffices to exclude them from; since nobody asks for protective duties and bounties in favour of women; it is only asked that the present bounties and protective duties in favour of men should be recalled. If women have a greater natural inclination for some things than for others, there is no need of laws or social inculcation to make the majority of them do the former in preference to the latter. Whatever women’s services are most wanted for, the free play of competition will hold out the strongest inducements to them to undertake. And, as the words imply, they are most wanted for the things for which they are most fit; by the apportionment of which to them, the collective faculties of the two sexes can be applied on the whole with the greatest sum of valuable result.

The general opinion of men is supposed to be, that the natural vocation of a woman is that of a wife and mother. I say, is supposed to be, because, judging from acts—from the whole of the present constitution of society—one might infer that their opinion was the direct contrary. They might be supposed to think that the alleged natural vocation of women was of all things the most repugnant to their nature; insomuch that if they are free to do anything else—if any other means of living, or occupation of their time and faculties, is open, which has any chance of appearing desirable to them—there will not be enough of them who will be willing to accept the condition said to be natural to them. If this is the real opinion of men in general, it would be well that it should be spoken out. I should like to hear somebody openly enunciating the doctrine (it is already implied in much that is written on the subject)—“It is necessary to society that women should marry and produce children. They will not do so unless they are compelled. Therefore it is necessary to compel them.” The merits of the case would then be clearly defined. It would be exactly that of the slaveholders of South Carolina and Louisiana. “It is necessary that cotton and sugar should be grown. White men cannot produce them. Negroes will not, for any wages which we choose to give. *Ergo* they must be compelled.” An illustration still closer to the point is that of impressment.

Sailors must absolutely be had to defend the country. It often happens that they will not voluntarily enlist. Therefore there must be the power of forcing them. How often has this logic been used! and, but for one flaw in it, without doubt it would have been successful up to this day. But it is open to the retort—First pay the sailors the honest value of their labour. When you have made it as well worth their while to serve you, as to work for other employers, you will have no more difficulty than others have in obtaining their services. To this there is no logical answer except “I will not:” and as people are now not only ashamed, but are not desirous, to rob the labourer of his hire, impressment is no longer advocated. Those who attempt to force women into marriage by closing all other doors against them, lay themselves open to a similar retort. If they mean what they say, their opinion must evidently be, that men do not render the married condition so desirable to women, as to induce them to accept

it for its own recommendations. It is not a sign of one's thinking the boon one offers very attractive, when one allows only Hobson's choice, "that or none." And here, I believe, is the clue to the feelings of those men, who have a real antipathy to the equal freedom of women. I believe they are afraid, not lest women should be unwilling to marry, for I do not think that any one in reality has that apprehension; but lest they should insist that marriage should be on equal conditions; lest all women of spirit and capacity should prefer doing almost anything else, not in their own eyes degrading, rather than marry, when marrying is giving themselves a master, and a master too of all their earthly possessions. And truly, if this consequence were necessarily incident to marriage, I think that the apprehension would be very well founded. I agree in thinking it probable that few women, capable of anything else, would, unless under an irresistible *entrainement*, rendering them for the time insensible to anything but itself, choose such a lot, when any other means were open to them of filling a conventionally honourable place in life: and if men are determined that the law of marriage shall be a law of despotism, they are quite right, in point of mere policy, in leaving to women only Hobson's choice. But, in that case, all that has been done in the modern world to relax the chain on the minds of women, has been a mistake. They never should have been allowed to receive a literary education. Women who read, much more women who write, are, in the existing constitution of things, a contradiction and a disturbing element: and it was wrong to bring women up with any acquirements but those of an odalisque, or of a domestic servant.

CHAPTER II.

IT will be well to commence the detailed discussion of the subject by the particular branch of it to which the course of our observations has led us: the conditions which the laws of this and all other countries annex to the marriage contract. Marriage being the destination appointed by society for women, the prospect they are brought up to, and the object which it is intended should be sought by all of them, except those who are too little attractive to be chosen by any man as his companion; one might have supposed that everything would have been done to make this condition as eligible to them as possible, that they might have no cause to regret being denied the option of any other. Society, however, both in this, and, at first, in all other cases, has preferred to attain its object by foul rather than fair means: but this is the only case in which it has substantially persisted in them even to the present day. Originally women were taken by force, or regularly sold by their father to the husband. Until a late period in European history, the father had the power to dispose of his daughter in marriage at his own will and pleasure, without any regard to hers. The Church, indeed, was so far faithful to a better morality as to require a formal "yes" from the woman at the marriage ceremony; but there was nothing to shew that the consent was other than compulsory; and it was practically impossible for the girl to refuse compliance if the father persevered, except perhaps when she might obtain the protection of religion by a determined resolution to take monastic vows. After marriage, the man had anciently (but this was anterior to Christianity) the power of life and death over his wife. She could invoke no law against him; he was her sole tribunal and law. For a long time he could repudiate her, but she had no corresponding power in regard to him. By the old laws of England, the husband was called the *lord* of the wife; he was literally regarded as her sovereign, inasmuch that the murder of a man by his wife was called treason (*petty* as distinguished from *high* treason), and was more cruelly avenged than was usually the case with high treason, for the penalty was burning to death. Because these various enormities have fallen into disuse (for most of them were never formally abolished, or not until they had long ceased to be practised) men suppose that all is now as it should be in regard to the marriage contract; and we are continually told that civilization and Christianity have restored to the woman her just rights. Meanwhile the wife is the actual bond-servant of her husband: no less so, as far as legal obligation goes, than slaves commonly so called. She vows a lifelong obedience to him at the altar, and is held to it all through her life by law. Casuists may say that the obligation

of obedience stops short of participation in crime, but it certainly extends to everything else. She can do no act whatever but by his permission, at least tacit. She can acquire no property but for him; the instant it becomes hers, even if by inheritance, it becomes *ipso facto* his. In this respect the wife's position under the common law of England is worse than that of slaves in the laws of many countries: by the Roman law, for example, a slave might have his peculium, which to a certain extent the law guaranteed to him for his exclusive use. The higher classes in this country have given an analogous advantage to their women, through special contracts setting aside the law, by conditions of pin-money, &c.: since parental feeling being stronger with fathers than the class feeling of their own sex, a father generally prefers his own daughter to a son-in-law who is a stranger to him. By means of settlements, the rich usually contrive to withdraw the whole or part of the inherited property of the wife from the absolute control of the husband: but they do not succeed in keeping it under her own control; the utmost they can do only prevents the husband from squandering it, at the same time debarring the rightful owner from its use. The property itself is out of the reach of both; and as to the income derived from it, the form of settlement most favourable to the wife (that called "to her separate use") only precludes the husband from receiving it instead of her: it must pass through her hands, but if he takes it from her by personal violence as soon as she receives it, he can neither be punished, nor compelled to restitution. This is the amount of the protection which, under the laws of this country, the most powerful nobleman can give to his own daughter as respects her husband. In the immense majority of cases there is no settlement: and the absorption of all rights, all property, as well as all freedom of action, is complete. The two are called "one person in law," for the purpose of inferring that whatever is hers is his, but the parallel inference is never drawn that whatever is his is hers; the maxim is not applied against the man, except to make him responsible to third parties for her acts, as a master is for the acts of his slaves or of his cattle. I am far from pretending that wives are in general no better treated than slaves; but no slave is a slave to the same lengths, and in so full a sense of the word, as a wife is. Hardly any slave, except one immediately attached to the master's person, is a slave at all hours and all minutes; in general he has, like a soldier, his fixed task, and when it is done, or when he is off duty, he disposes, within certain limits, of his own time, and has a family life into which the master rarely intrudes. "Uncle Tom" under his first master had his own life in his "cabin," almost as much as any man whose work takes him away from home, is able to have in his own family. But it cannot be so with the wife. Above all, a female slave has (in Christian countries) an admitted right, and is considered under a moral obligation, to refuse to her master the last familiarity. Not so the wife: however brutal a tyrant she may unfortunately be chained to—though she may know that he hates her, though it may be his daily pleasure to torture her, and though she may feel it impossible not to loathe him—he can claim from her and enforce the lowest degradation of a human being, that of being made the instrument of an animal function contrary to her inclinations. While she is held in this worst description of slavery as to her own person, what is her position in regard to the children in whom she and her master have a joint interest? They are by law *his* children. He alone has any legal rights over them. Not one act can she do towards or in relation to them, except by delegation from him. Even after he is dead she is not their legal guardian, unless he by will has made her so. He could even send them away from her, and deprive her of the means of seeing or corresponding with them, until this power was in some degree restricted by Serjeant Talfourd's Act. This is her legal state. And from this state she has no means of withdrawing herself. If she leaves her husband, she can take nothing with her, neither her children nor anything which is rightfully her own. If he chooses, he can compel her to return, by law, or by physical force; or he may content himself with seizing for his own use anything which she may earn, or which may be given to her by her relations. It is only legal separation by a decree of a court of justice, which entitles her to live apart, without being forced back into the custody of an exasperated jailer—or which empowers her to apply any earnings to her own use, without fear that a man whom perhaps she has not seen for twenty years will pounce upon her some day and carry all off. This legal separation, until lately, the courts of justice would only give at an expense which made it

inaccessible to any one out of the higher ranks. Even now it is only given in cases of desertion, or of the extreme of cruelty; and yet complaints are made every day that it is granted too easily. Surely, if a woman is denied any lot in life but that of being the personal body-servant of a despot, and is dependent for everything upon the chance of finding one who may be disposed to make a favourite of her instead of merely a drudge, it is a very cruel aggravation of her fate that she should be allowed to try this chance only once. The natural sequel and corollary from this state of things would be, that since her all in life depends upon obtaining a good master, she should be allowed to change again and again until she finds one. I am not saying that she ought to be allowed this privilege. That is a totally different consideration. The question of divorce, in the sense involving liberty of remarriage, is one into which it is foreign to my purpose to enter. All I now say is, that to those to whom nothing but servitude is allowed, the free choice of servitude is the only, though a most insufficient, alleviation. Its refusal completes the assimilation of the wife to the slave—and the slave under not the mildest form of slavery: for in some slave codes the slave could, under certain circumstances of ill usage, legally compel the master to sell him. But no amount of ill usage, without adultery superadded, will in England free a wife from her tormentor.

I have no desire to exaggerate, nor does the case stand in any need of exaggeration. I have described the wife's legal position, not her actual treatment. The laws of most countries are far worse than the people who execute them, and many of them are only able to remain laws by being seldom or never carried into effect. If married life were all that it might be expected to be, looking to the laws alone, society would be a hell upon earth. Happily there are both feelings and interests which in many men exclude, and in most, greatly temper, the impulses and propensities which lead to tyranny: and of those feelings, the tie which connects a man with his wife affords, in a normal state of things, incomparably the strongest example. The only tie which at all approaches to it, that between him and his children, tends, in all save exceptional cases, to strengthen, instead of conflicting with, the first. Because this is true; because men in general do not inflict, nor women suffer, all the misery which could be inflicted and suffered if the full power of tyranny with which the man is legally invested were acted on; the defenders of the existing form of the institution think that all its iniquity is justified, and that any complaint is merely quarrelling with the evil which is the price paid for every great good. But the mitigations in practice, which are compatible with maintaining in full legal force this or any other kind of tyranny, instead of being any apology for despotism, only serve to prove what power human nature possesses of reacting against the vilest institutions, and with what vitality the seeds of good as well as those of evil in human character diffuse and propagate themselves. Not a word can be said for despotism in the family which cannot be said for political despotism. Every absolute king does not sit at his window to enjoy the groans of his tortured subjects, nor strips them of their last rag and turns them out to shiver in the road. The despotism of Louis XVI. was not the despotism of Philippe le Bel, or of Nadir Shah, or of Caligula; but it was bad enough to justify the French Revolution, and to palliate even its horrors. If an appeal be made to the intense attachments which exist between wives and their husbands, exactly as much may be said of domestic slavery. It was quite an ordinary fact in Greece and Rome for slaves to submit to death by torture rather than betray their masters. In the proscriptions of the Roman civil wars it was remarked that wives and slaves were heroically faithful, sons very commonly treacherous. Yet we know how cruelly many Romans treated their slaves. But in truth these intense individual feelings nowhere rise to such a luxuriant height as under the most atrocious institutions. It is part of the irony of life, that the strongest feelings of devoted gratitude of which human nature seems to be susceptible, are called forth in human beings towards those who, having the power entirely to crush their earthly existence, voluntarily refrain from using that power. How great a place in most men this sentiment fills, even in religious devotion, it would be cruel to inquire. We daily see how much their gratitude to Heaven appears to be stimulated by the contemplation of fellow-creatures to whom God has not been so merciful as he has to themselves.

Whether the institution to be defended is slavery, political absolutism, or the absolutism of the head of a family, we are always expected to judge of it from its best instances; and we are presented with pictures of loving exercise of authority on one side, loving submission to it on the other—superior wisdom ordering all things for the greatest good of the dependents, and surrounded by their smiles and benedictions. All this would be very much to the purpose if any one pretended that there are no such things as good men. Who doubts that there may be great goodness, and great happiness, and great affection, under the absolute government of a good man?

Meanwhile, laws and institutions require to be adapted, not to good men, but to bad. Marriage is not an institution designed for a select few. Men are not required, as a preliminary to the marriage ceremony, to prove by testimonials that they are fit to be trusted with the exercise of absolute power. The tie of affection and obligation to a wife and children is very strong with those whose general social feelings are strong, and with many who are little sensible to any other social ties; but there are all degrees of sensibility and insensibility to it, as there are all grades of goodness and wickedness in men, down to those whom no ties will bind, and on whom society has no action but through its *ultima ratio*, the penalties of the law. In every grade of this descending scale are men to whom are committed all the legal powers of a husband. The vilest malefactor has some wretched woman tied to him, against whom he can commit any atrocity except killing her, and, if tolerably cautious, can do that without much danger of the legal penalty. And how many thousands are there among the lowest classes in every country, who, without being in a legal sense malefactors in any other respect, because in every other quarter their aggressions meet with resistance, indulge the utmost habitual excesses of bodily violence towards the unhappy wife, who alone, at least of grown persons, can neither repel nor escape from their brutality; and towards whom the excess of dependence inspires their mean and savage natures, not with a generous forbearance, and a point of honour to behave well to one whose lot in life is trusted entirely to their kindness, but on the contrary with a notion that the law has delivered her to them as their thing, to be used at their pleasure, and that they are not expected to practise the consideration towards her which is required from them towards everybody else. The law, which till lately left even these atrocious extremes of domestic oppression practically unpunished, has within these few years made some feeble attempts to repress them. But its attempts have done little, and cannot be expected to do much, because it is contrary to reason and experience to suppose that there can be any real check to brutality, consistent with leaving the victim still in the power of the executioner. Until a conviction for personal violence, or at all events a repetition of it after a first conviction, entitles the woman *ipso facto* to a divorce, or at least to a judicial separation, the attempt to repress these “aggravated assaults” by legal penalties will break down for want of a prosecutor, or for want of a witness.

When we consider how vast is the number of men, in any great country, who are little higher than brutes, and that this never prevents them from being able, through the law of marriage, to obtain a victim, the breadth and depth of human misery caused in this shape alone by the abuse of the institution swells to something appalling. Yet these are only the extreme cases. They are the lowest abysses, but there is a sad succession of depth after depth before reaching them. In domestic as in political tyranny, the case of absolute monsters chiefly illustrates the institution by showing that there is scarcely any horror which may not occur under it if the despot pleases, and thus setting in a strong light what must be the terrible frequency of things only a little less atrocious. Absolute fiends are as rare as angels, perhaps rarer: ferocious savages, with occasional touches of humanity, are however very frequent: and in the wide interval which separates these from any worthy representatives of the human species, how many are the forms and gradations of animalism and selfishness, often under an outward varnish of civilization and even cultivation, living at peace with the law, maintaining a creditable appearance to all who are not under their power, yet sufficient often to make the lives of all who are so, a torment and a burthen to them! It would be tiresome to

repeat the commonplaces about the unfitness of men in general for power, which, after the political discussions of centuries, every one knows by heart, were it not that hardly any one thinks of applying these maxims to the case in which above all others they are applicable, that of power, not placed in the hands of a man here and there, but offered to every adult male, down to the basest and most ferocious. It is not because a man is not known to have broken any of the Ten Commandments, or because he maintains a respectable character in his dealings with those whom he cannot compel to have intercourse with him, or because he does not fly out into violent bursts of ill-temper against those who are not obliged to bear with him, that it is possible to surmise of what sort his conduct will be in the unrestraint of home. Even the commonest men reserve the violent, the sulky, the undisguisedly selfish side of their character for those who have no power to withstand it. The relation of superiors to dependents is the nursery of these vices of character, which, wherever else they exist, are an overflowing from that source. A man who is morose or violent to his equals, is sure to be one who has lived among inferiors, whom he could frighten or worry into submission. If the family in its best forms is, as it is often said to be, a school of sympathy, tenderness, and loving forgetfulness of self, it is still oftener, as respects its chief, a school of wilfulness, overbearingness, unbounded self-indulgence, and a double-dyed and idealized selfishness, of which sacrifice itself is only a particular form: the care for the wife and children being only care for them as parts of the man's own interests and belongings, and their individual happiness being immolated in every shape to his smallest preferences. What better is to be looked for under the existing form of the institution? We know that the bad propensities of human nature are only kept within bounds when they are allowed no scope for their indulgence. We know that from impulse and habit, when not from deliberate purpose, almost every one to whom others yield, goes on encroaching upon them, until a point is reached at which they are compelled to resist. Such being the common tendency of human nature; the almost unlimited power which present social institutions give to the man over at least one human being—the one with whom he resides, and whom he has always present—this power seeks out and evokes the latent germs of selfishness in the remotest corners of his nature—fans its faintest sparks and smouldering embers—offers to him a license for the indulgence of those points of his original character which in all other relations he would have found it necessary to repress and conceal, and the repression of which would in time have become a second nature. I know that there is another side to the question. I grant that the wife, if she cannot effectually resist, can at least retaliate; she, too, can make the man's life extremely uncomfortable, and by that power is able to carry many points which she ought, and many which she ought not, to prevail in. But this instrument of self-protection—which may be called the power of the scold, or the shrewish sanction—has the fatal defect, that it avails most against the least tyrannical superiors, and in favour of the least deserving dependents. It is the weapon of irritable and self-willed women; of those who would make the worst use of power if they themselves had it, and who generally turn this power to a bad use. The amiable cannot use such an instrument, the highminded disdain it. And on the other hand, the husbands against whom it is used most effectively are the gentler and more inoffensive; those who cannot be induced, even by provocation, to resort to any very harsh exercise of authority. The wife's power of being disagreeable generally only establishes a counter-tyranny, and makes victims in their turn chiefly of those husbands who are least inclined to be tyrants.

What is it, then, which really tempers the corrupting effects of the power, and makes it compatible with such amount of good as we actually see? Mere feminine blandishments, though of great effect in individual instances, have very little effect in modifying the general tendencies of the situation; for their power only lasts while the woman is young and attractive, often only while her charm is new, and not dimmed by familiarity; and on many men they have not much influence at any time. The real mitigating causes are, the personal affection which is the growth of time, in so far as the man's nature is susceptible of it, and the woman's character sufficiently congenial with his to excite it; their common interests as regards the children, and their general community of interest as

concerns third persons (to which however there are very great limitations); the real importance of the wife to his daily comforts and enjoyments, and the value he consequently attaches to her on his personal account, which, in a man capable of feeling for others, lays the foundation of caring for her on her own; and lastly, the influence naturally acquired over almost all human beings by those near to their persons (if not actually disagreeable to them): who, both by their direct entreaties, and by the insensible contagion of their feelings and dispositions, are often able, unless counteracted by some equally strong personal influence, to obtain a degree of command over the conduct of the superior, altogether excessive and unreasonable. Through these various means, the wife frequently exercises even too much power over the man; she is able to affect his conduct in things in which she may not be qualified to influence it for good—in which her influence may be not only unenlightened, but employed on the morally wrong side; and in which he would act better if left to his own prompting. But neither in the affairs of families nor in those of states is power a compensation for the loss of freedom. Her power often gives her what she has no right to, but does not enable her to assert her own rights. A Sultan's favourite slave has slaves under her, over whom she tyrannizes; but the desirable thing would be that she should neither have slaves nor be a slave. By entirely sinking her own existence in her husband; by having no will (or persuading him that she has no will) but his, in anything which regards their joint relation, and by making it the business of her life to work upon his sentiments, a wife may gratify herself by influencing, and very probably perverting, his conduct, in those of his external relations which she has never qualified herself to judge of, or in which she is herself wholly influenced by some personal or other partiality or prejudice. Accordingly, as things now are, those who act most kindly to their wives, are quite as often made worse, as better, by the wife's influence, in respect to all interests extending beyond the family. She is taught that she has no business with things out of that sphere; and accordingly she seldom has any honest and conscientious opinion on them; and therefore hardly ever meddles with them for any legitimate purpose, but generally for an interested one. She neither knows nor cares which is the right side in politics, but she knows what will bring in money or invitations, give her husband a title, her son a place, or her daughter a good marriage.

But how, it will be asked, can any society exist without government? In a family, as in a state, some one person must be the ultimate ruler. Who shall decide when married people differ in opinion? Both cannot have their way, yet a decision one way or the other must be come to.

It is not true that in all voluntary association between two people, one of them must be absolute master: still less that the law must determine which of them it shall be. The most frequent case of voluntary association, next to marriage, is partnership in business: and it is not found or thought necessary to enact that in every partnership, one partner shall have entire control over the concern, and the others shall be bound to obey his orders. No one would enter into partnership on terms which would subject him to the responsibilities of a principal, with only the powers and privileges of a clerk or agent. If the law dealt with other contracts as it does with marriage, it would ordain that one partner should administer the common business as if it was his private concern; that the others should have only delegated powers; and that this one should be designated by some general presumption of law, for example as being the eldest. The law never does this: nor does experience show it to be necessary that any theoretical inequality of power should exist between the partners, or that the partnership should have any other conditions than what they may themselves appoint by their articles of agreement. Yet it might seem that the exclusive power might be conceded with less danger to the rights and interests of the inferior, in the case of partnership than in that of marriage, since he is free to cancel the power by withdrawing from the connexion. The wife has no such power, and even if she had, it is almost always desirable that she should try all measures before resorting to it.

It is quite true that things which have to be decided every day, and cannot adjust themselves gradually, or wait for a compromise, ought to depend on one will: one person must have their sole control. But it does not follow that this should always be the same person. The natural arrangement is a division of powers between the two; each being absolute in the executive branch of their own department, and any change of system and principle requiring the consent of both. The division neither can nor should be pre-established by the law, since it must depend on individual capacities and suitabilities. If the two persons chose, they might pre-appoint it by the marriage contract, as pecuniary arrangements are now often pre-appointed. There would seldom be any difficulty in deciding such things by mutual consent, unless the marriage was one of those unhappy ones in which all other things, as well as this, become subjects of bickering and dispute. The division of rights would naturally follow the division of duties and functions; and that is already made by consent, or at all events not by law, but by general custom, modified and modifiable at the pleasure of the persons concerned.

The real practical decision of affairs, to whichever may be given the legal authority, will greatly depend, as it even now does, upon comparative qualifications. The mere fact that he is usually the eldest, will in most cases give the preponderance to the man; at least until they both attain a time of life at which the difference in their years is of no importance. There will naturally also be a more potential voice on the side, whichever it is, that brings the means of support. Inequality from this source does not depend on the law of marriage, but on the general conditions of human society, as now constituted. The influence of mental superiority, either general or special, and of superior decision of character, will necessarily tell for much. It always does so at present. And this fact shows how little foundation there is for the apprehension that the powers and responsibilities of partners in life (as of partners in business), cannot be satisfactorily apportioned by agreement between themselves. They always are so apportioned, except in cases in which the marriage institution is a failure. Things never come to an issue of downright power on one side, and obedience on the other, except where the connexion altogether has been a mistake, and it would be a blessing to both parties to be relieved from it. Some may say that the very thing by which an amicable settlement of differences becomes possible, is the power of legal compulsion known to be in reserve; as people submit to an arbitration because there is a court of law in the background, which they know that they can be forced to obey. But to make the cases parallel, we must suppose that the rule of the court of law was, not to try the cause, but to give judgment always for the same side, suppose the defendant. If so, the amenability to it would be a motive with the plaintiff to agree to almost any arbitration, but it would be just the reverse with the defendant. The despotic power which the law gives to the husband may be a reason to make the wife assent to any compromise by which power is practically shared between the two, but it cannot be the reason why the husband does. That there is always among decently conducted people a practical compromise, though one of them at least is under no physical or moral necessity of making it, shows that the natural motives which lead to a voluntary adjustment of the united life of two persons in a manner acceptable to both, do on the whole, except in unfavourable cases, prevail. The matter is certainly not improved by laying down as an ordinance of law, that the superstructure of free government shall be raised upon a legal basis of despotism on one side and subjection on the other, and that every concession which the despot makes may, at his mere pleasure, and without any warning, be recalled. Besides that no freedom is worth much when held on so precarious a tenure, its conditions are not likely to be the most equitable when the law throws so prodigious a weight into one scale; when the adjustment rests between two persons one of whom is declared to be entitled to everything, the other not only entitled to nothing except during the good pleasure of the first, but under the strongest moral and religious obligation not to rebel under any excess of oppression.

A pertinacious adversary, pushed to extremities, may say, that husbands indeed are willing to be reasonable, and

to make fair concessions to their partners without being compelled to it, but that wives are not: that if allowed any rights of their own, they will acknowledge no rights at all in any one else, and never will yield in anything, unless they can be compelled, by the man's mere authority, to yield in everything. This would have been said by many persons some generations ago, when satires on women were in vogue, and men thought it a clever thing to insult women for being what men made them. But it will be said by no one now who is worth replying to. It is not the doctrine of the present day that women are less susceptible of good feeling, and consideration for those with whom they are united by the strongest ties, than men are. On the contrary, we are perpetually told that women are better than men, by those who are totally opposed to treating them as if they were as good; so that the saying has passed into a piece of tiresome cant, intended to put a complimentary face upon an injury, and resembling those celebrations of royal clemency which, according to Gulliver, the king of Lilliput always prefixed to his most sanguinary decrees. If women are better than men in anything, it surely is in individual self-sacrifice for those of their own family. But I lay little stress on this, so long as they are universally taught that they are born and created for self-sacrifice. I believe that equality of rights would abate the exaggerated self-abnegation which is the present artificial ideal of feminine character, and that a good woman would not be more self-sacrificing than the best man: but on the other hand, men would be much more unselfish and self-sacrificing than at present, because they would no longer be taught to worship their own will as such a grand thing that it is actually the law for another rational being. There is nothing which men so easily learn as this self-worship: all privileged persons, and all privileged classes, have had it. The more we descend in the scale of humanity, the intenser it is; and most of all in those who are not, and can never expect to be, raised above any one except an unfortunate wife and children. The honourable exceptions are proportionally fewer than in the case of almost any other human infirmity. Philosophy and religion, instead of keeping it in check, are generally suborned to defend it; and nothing controls it but that practical feeling of the equality of human beings, which is the theory of Christianity, but which Christianity will never practically teach, while it sanctions institutions grounded on an arbitrary preference of one human being over another.

There are, no doubt, women, as there are men, whom equality of consideration will not satisfy; with whom there is no peace while any will or wish is regarded but their own. Such persons are a proper subject for the law of divorce. They are only fit to live alone, and no human beings ought to be compelled to associate their lives with them. But the legal subordination tends to make such characters among women more, rather than less, frequent. If the man exerts his whole power, the woman is of course crushed: but if she is treated with indulgence, and permitted to assume power, there is no rule to set limits to her encroachments. The law, not determining her rights, but theoretically allowing her none at all, practically declares that the measure of what she has a right to, is what she can contrive to get.

The equality of married persons before the law, is not only the sole mode in which that particular relation can be made consistent with justice to both sides, and conducive to the happiness of both, but it is the only means of rendering the daily life of mankind, in any high sense, a school of moral cultivation. Though the truth may not be felt or generally acknowledged for generations to come, the only school of genuine moral sentiment is society between equals. The moral education of mankind has hitherto emanated chiefly from the law of force, and is adapted almost solely to the relations which force creates. In the less advanced states of society, people hardly recognise any relation with their equals. To be an equal is to be an enemy. Society, from its highest place to its lowest, is one long chain, or rather ladder, where every individual is either above or below his nearest neighbour, and wherever he does not command he must obey. Existing moralities, accordingly, are mainly fitted to a relation of command and obedience. Yet command and obedience are but unfortunate necessities of human life: society in equality is its normal state. Already in modern life, and more and more as it progressively improves, command and

obedience become exceptional facts in life, equal association its general rule. The morality of the first ages rested on the obligation to submit to power; that of the ages next following, on the right of the weak to the forbearance and protection of the strong. How much longer is one form of society and life to content itself with the morality made for another? We have had the morality of submission, and the morality of chivalry and generosity; the time is now come for the morality of justice. Whenever, in former ages, any approach has been made to society in equality, Justice has asserted its claims as the foundation of virtue. It was thus in the free republics of antiquity. But even in the best of these, the equals were limited to the free male citizens; slaves, women, and the unenfranchised residents were under the law of force. The joint influence of Roman civilization and of Christianity obliterated these distinctions, and in theory (if only partially in practice) declared the claims of the human being, as such, to be paramount to those of sex, class, or social position. The barriers which had begun to be levelled were raised again by the northern conquests; and the whole of modern history consists of the slow process by which they have since been wearing away. We are entering into an order of things in which justice will again be the primary virtue; grounded as before on equal, but now also on sympathetic association; having its root no longer in the instinct of equals for self-protection, but in a cultivated sympathy between them; and no one being now left out, but an equal measure being extended to all. It is no novelty that mankind do not distinctly foresee their own changes, and that their sentiments are adapted to past, not to coming ages. To see the futurity of the species has always been the privilege of the intellectual élite, or of those who have learnt from them; to have the feelings of that futurity has been the distinction, and usually the martyrdom, of a still rarer élite. Institutions, books, education, society, all go on training human beings for the old, long after the new has come; much more when it is only coming. But the true virtue of human beings is fitness to live together as equals; claiming nothing for themselves but what they as freely concede to every one else; regarding command of any kind as an exceptional necessity, and in all cases a temporary one; and preferring, whenever possible, the society of those with whom leading and following can be alternate and reciprocal. To these virtues, nothing in life as at present constituted gives cultivation by exercise. The family is a school of despotism, in which the virtues of despotism, but also its vices, are largely nourished. Citizenship, in free countries, is partly a school of society in equality; but citizenship fills only a small place in modern life, and does not come near the daily habits or inmost sentiments. The family, justly constituted, would be the real school of the virtues of freedom. It is sure to be a sufficient one of everything else. It will always be a school of obedience for the children, of command for the parents. What is needed is, that it should be a school of sympathy in equality, of living together in love, without power on one side or obedience on the other. This it ought to be between the parents. It would then be an exercise of those virtues which each requires to fit them for all other association, and a model to the children of the feelings and conduct which their temporary training by means of obedience is designed to render habitual, and therefore natural, to them. The moral training of mankind will never be adapted to the conditions of the life for which all other human progress is a preparation, until they practise in the family the same moral rule which is adapted to the normal constitution of human society. Any sentiment of freedom which can exist in a man whose nearest and dearest intimacies are with those of whom he is absolute master, is not the genuine or Christian love of freedom, but, what the love of freedom generally was in the ancients and in the middle ages—an intense feeling of the dignity and importance of his own personality; making him disdain a yoke for himself, of which he has no abhorrence whatever in the abstract, but which he is abundantly ready to impose on others for his own interest or glorification.

I readily admit (and it is the very foundation of my hopes) that numbers of married people even under the present law, (in the higher classes of England probably a great majority,) live in the spirit of a just law of equality. Laws never would be improved, if there were not numerous persons whose moral sentiments are better than the existing laws. Such persons ought to support the principles here advocated; of which the only object is to make all other

married couples similar to what these are now. But persons even of considerable moral worth, unless they are also thinkers, are very ready to believe that laws or practices, the evils of which they have not personally experienced, do not produce any evils, but (if seeming to be generally approved of) probably do good, and that it is wrong to object to them. It would, however, be a great mistake in such married people to suppose, because the legal conditions of the tie which unites them do not occur to their thoughts once in a twelvemonth, and because they live and feel in all respects as if they were legally equals, that the same is the case with all other married couples, wherever the husband is not a notorious ruffian. To suppose this, would be to show equal ignorance of human nature and of fact. The less fit a man is for the possession of power—the less likely to be allowed to exercise it over any person with that person’s voluntary consent—the more does he hug himself in the consciousness of the power the law gives him, exact its legal rights to the utmost point which custom (the custom of men like himself) will tolerate, and take pleasure in using the power, merely to enliven the agreeable sense of possessing it. What is more; in the most naturally brutal and morally uneducated part of the lower classes, the legal slavery of the woman, and something in the merely physical subjection to their will as an instrument, causes them to feel a sort of disrespect and contempt towards their own wife which they do not feel towards any other woman, or any other human being, with whom they come in contact; and which makes her seem to them an appropriate subject for any kind of indignity. Let an acute observer of the signs of feeling, who has the requisite opportunities, judge for himself whether this is not the case: and if he finds that it is, let him not wonder at any amount of disgust and indignation that can be felt against institutions which lead naturally to this depraved state of the human mind.

We shall be told, perhaps, that religion imposes the duty of obedience; as every established fact which is too bad to admit of any other defence, is always presented to us as an injunction of religion. The Church, it is very true, enjoins it in her formularies, but it would be difficult to derive any such injunction from Christianity. We are told that St. Paul said, “Wives, obey your husbands:” but he also said, “Slaves, obey your masters.” It was not St. Paul’s business, nor was it consistent with his object, the propagation of Christianity, to incite any one to rebellion against existing laws. The apostle’s acceptance of all social institutions as he found them, is no more to be construed as a disapproval of attempts to improve them at the proper time, than his declaration, “The powers that be are ordained of God,” gives his sanction to military despotism, and to that alone, as the Christian form of political government, or commands passive obedience to it. To pretend that Christianity was intended to stereotype existing forms of government and society, and protect them against change, is to reduce it to the level of Islamism or of Brahminism. It is precisely because Christianity has not done this, that it has been the religion of the progressive portion of mankind, and Islamism, Brahminism, &c., have been those of the stationary portions; or rather (for there is no such thing as a really stationary society) of the declining portions. There have been abundance of people, in all ages of Christianity, who tried to make it something of the same kind; to convert us into a sort of Christian Mussulmans, with the Bible for a Koran, prohibiting all improvement: and great has been their power, and many have had to sacrifice their lives in resisting them. But they have been resisted, and the resistance has made us what we are, and will yet make us what we are to be.

After what has been said respecting the obligation of obedience, it is almost superfluous to say anything concerning the more special point included in the general one—a woman’s right to her own property; for I need not hope that this treatise can make any impression upon those who need anything to convince them that a woman’s inheritance or gains ought to be as much her own after marriage as before. The rule is simple: whatever would be the husband’s or wife’s if they were not married, should be under their exclusive control during marriage; which need not interfere with the power to tie up property by settlement, in order to preserve it for children. Some people are sentimentally shocked at the idea of a separate interest in money matters, as inconsistent

with the ideal fusion of two lives into one. For my own part, I am one of the strongest supporters of community of goods, when resulting from an entire unity of feeling in the owners, which makes all things common between them. But I have no relish for a community of goods resting on the doctrine, that what is mine is yours but what is yours is not mine; and I should prefer to decline entering into such a compact with any one, though I were myself the person to profit by it.

This particular injustice and oppression to women, which is, to common apprehensions, more obvious than all the rest, admits of remedy without interfering with any other mischiefs: and there can be little doubt that it will be one of the earliest remedied. Already, in many of the new and several of the old States of the American Confederation, provisions have been inserted even in the written Constitutions, securing to women equality of rights in this respect: and thereby improving materially the position, in the marriage relation, of those women at least who have property, by leaving them one instrument of power which they have not signed away; and preventing also the scandalous abuse of the marriage institution, which is perpetrated when a man entraps a girl into marrying him without a settlement, for the sole purpose of getting possession of her money. When the support of the family depends, not on property, but on earnings, the common arrangement, by which the man earns the income and the wife superintends the domestic expenditure, seems to me in general the most suitable division of labour between the two persons. If, in addition to the physical suffering of bearing children, and the whole responsibility of their care and education in early years, the wife undertakes the careful and economical application of the husband's earnings to the general comfort of the family; she takes not only her fair share, but usually the larger share, of the bodily and mental exertion required by their joint existence. If she undertakes any additional portion, it seldom relieves her from this, but only prevents her from performing it properly. The care which she is herself disabled from taking of the children and the household, nobody else takes; those of the children who do not die, grow up as they best can, and the management of the household is likely to be so bad, as even in point of economy to be a great drawback from the value of the wife's earnings. In an otherwise just state of things, it is not, therefore, I think, a desirable custom, that the wife should contribute by her labour to the income of the family. In an unjust state of things, her doing so may be useful to her, by making her of more value in the eyes of the man who is legally her master; but, on the other hand, it enables him still farther to abuse his power, by forcing her to work, and leaving the support of the family to her exertions, while he spends most of his time in drinking and idleness. The *power* of earning is essential to the dignity of a woman, if she has not independent property. But if marriage were an equal contract, not implying the obligation of obedience; if the connexion were no longer enforced to the oppression of those to whom it is purely a mischief, but a separation, on just terms (I do not now speak of a divorce), could be obtained by any woman who was morally entitled to it; and if she would then find all honourable employments as freely open to her as to men; it would not be necessary for her protection, that during marriage she should make this particular use of her faculties. Like a man when he chooses a profession, so, when a woman marries, it may in general be understood that she makes choice of the management of a household, and the bringing up of a family, as the first call upon her exertions, during as many years of her life as may be required for the purpose; and that she renounces, not all other objects and occupations, but all which are not consistent with the requirements of this. The actual exercise, in a habitual or systematic manner, of outdoor occupations, or such as cannot be carried on at home, would by this principle be practically interdicted to the greater number of married women. But the utmost latitude ought to exist for the adaptation of general rules to individual suitabilities; and there ought to be nothing to prevent faculties exceptionally adapted to any other pursuit, from obeying their vocation notwithstanding marriage: due provision being made for supplying otherwise any falling-short which might become inevitable, in her full performance of the ordinary functions of mistress of a family. These things,

if once opinion were rightly directed on the subject, might with perfect safety be left to be regulated by opinion, without any interference of law.

PART VII

Art and Aesthetics

You're being philosophical when you look at a minimalist object sitting in an empty room and wonder not just whether it's a good or bad representation of the artistic genre but whether it is even Art. In order to do so, you'll need a definition of "art" to work with. Must it be pretty? Must it look like a bowl of fruit? Must you understand it or the intentions of its creator? The piece might be ugly, garish and perhaps even horrific to look at, and for some, it is because of these elements and not in spite of them, that the work creates an emotional connection with the viewer. Nevertheless, even if it is art it might not be something you want to hang on your living room wall. The range of artistic preferences and artistic works and mediums is as varied and as wide as our imaginations.

This chapter presents a few classic works in the field of art and aesthetics. The authors examine such things as the nature of beauty, subjectivity, and various artistic concepts. Furthermore, aesthetics isn't just focused on human creations but includes the natural world as well, for example, a beautiful sunset or a stormy sky.

36.

Plato – On the Value of Art and Imitation

Republic

Book X

SOCRATES – GLAUCON

OF THE many excellences which I perceive in the order of our State, there is none which upon reflection pleases me better than the rule about poetry.

To what do you refer?

To the rejection of imitative poetry, which certainly ought not to be received; as I see far more clearly now that the parts of the soul have been distinguished.

What do you mean?

Speaking in confidence, for I should not like to have my words repeated to the tragedians and the rest of the imitative tribe— but I do not mind saying to you, that all poetical imitations are ruinous to the understanding of the hearers, and that the knowledge of their true nature is the only antidote to them.

Explain the purport of your remark.

Well, I will tell you, although I have always from my earliest youth had an awe and love of Homer, which even now makes the words falter on my lips, for he is the great captain and teacher of the whole of that charming tragic company; but a man is not to be revered more than the truth, and therefore I will speak out.

Very good, he said.

Listen to me then, or rather, answer me.

Put your question.

Can you tell me what imitation is? for I really do not know.

A likely thing, then, that I should know.

Why not? for the duller eye may often see a thing sooner than the keener.

Very true, he said; but in your presence, even if I had any faint notion, I could not muster courage to utter it. Will you enquire yourself?

Well then, shall we begin the enquiry in our usual manner: Whenever a number of individuals have a common name, we assume them to have also a corresponding idea or form. Do you understand me?

I do.

Let us take any common instance; there are beds and tables in the world— plenty of them, are there not?

Yes.

But there are only two ideas or forms of them—one the idea of a bed, the other of a table.

True.

And the maker of either of them makes a bed or he makes a table for our use, in accordance with the idea—that is our way of speaking in this and similar instances—but no artificer makes the ideas themselves: how could he?

Impossible.

And there is another artist,—I should like to know what you would say of him.

Who is he?

One who is the maker of all the works of all other workmen.

What an extraordinary man!

Wait a little, and there will be more reason for your saying so. For this is he who is able to make not only vessels of every kind, but plants and animals, himself and all other things—the earth and heaven, and the things which are in heaven or under the earth; he makes the gods also.

He must be a wizard and no mistake.

Oh! you are incredulous, are you? Do you mean that there is no such maker or creator, or that in one sense there might be a maker of all these things but in another not? Do you see that there is a way in which you could make them all yourself?

What way?

An easy way enough; or rather, there are many ways in which the feat might be quickly and easily accomplished, none quicker than that of turning a mirror round and round—you would soon enough make the sun and the heavens, and the earth and yourself, and other animals and plants, and all the, other things of which we were just now speaking, in the mirror.

Yes, he said; but they would be appearances only.

Very good, I said, you are coming to the point now. And the painter too is, as I conceive, just such another—a creator of appearances, is he not?

Of course.

But then I suppose you will say that what he creates is untrue. And yet there is a sense in which the painter also creates a bed?

Yes, he said, but not a real bed.

And what of the maker of the bed? Were you not saying that he too makes, not the idea which, according to our view, is the essence of the bed, but only a particular bed?

Yes, I did.

Then if he does not make that which exists he cannot make true existence, but only some semblance of existence; and if anyone were to say that the work of the maker of the bed, or of any other workman, has real existence, he could hardly be supposed to be speaking the truth.

At any rate, he replied, philosophers would say that he was not speaking the truth.

No wonder, then, that his work too is an indistinct expression of truth.

No wonder.

Suppose now that by the light of the examples just offered we enquire who this imitator is?

If you please.

Well then, here are three beds: one existing in nature, which is made by God, as I think that we may say—for no one else can be the maker?

No.

There is another which is the work of the carpenter?

Yes.

And the work of the painter is a third?

Yes.

Beds, then, are of three kinds, and there are three artists who superintend them: God, the maker of the bed, and the painter?

Yes, there are three of them.

God, whether from choice or from necessity, made one bed in nature and one only; two or more such ideal beds neither ever have been nor ever will be made by God.

Why is that?

Because even if He had made but two, a third would still appear behind them which both of them would have for their idea, and that would be the ideal bed and the two others.

Very true, he said.

God knew this, and He desired to be the real maker of a real bed, not a particular maker of a particular bed, and therefore He created a bed which is essentially and by nature one only.

So we believe.

Shall we, then, speak of Him as the natural author or maker of the bed?

Yes, he replied; inasmuch as by the natural process of creation He is the author of this and of all other things.

And what shall we say of the carpenter—is not he also the maker of the bed?

Yes.

But would you call the painter a creator and maker?

Certainly not.

Yet if he is not the maker, what is he in relation to the bed?

I think, he said, that we may fairly designate him as the imitator of that which the others make.

Good, I said; then you call him who is third in the descent from nature an imitator?

And the tragic poet is an imitator, and therefore, like all other imitators, he is thrice removed from the king and from the truth?

That appears to be so.

Then about the imitator we are agreed. And what about the painter?— I would like to know whether he may be thought to imitate that which originally exists in nature, or only the creations of artists?

The latter.

As they are or as they appear? You have still to determine this.

What do you mean?

I mean, that you may look at a bed from different points of view, obliquely or directly or from any other point of view, and the bed will appear different, but there is no difference in reality. And the same of all things.

Yes, he said, the difference is only apparent.

Now let me ask you another question: Which is the art of painting designed to be—an imitation of things as they are, or as they appear— of appearance or of reality?

Of appearance.

Then the imitator, I said, is a long way off the truth, and can do all things because he lightly touches on a small part of them, and that part an image. For example: A painter will paint a cobbler, carpenter, or any other artist, though he knows nothing of their arts; and, if he is a good artist, he may deceive children or simple persons, when he shows them his picture of a carpenter from a distance, and they will fancy that they are looking at a real carpenter.

Certainly.

And whenever any one informs us that he has found a man knows all the arts, and all things else that anybody knows, and every single thing with a higher degree of accuracy than any other man— whoever tells us this, I think that we can only imagine to be

a simple creature who is likely to have been deceived by some wizard or actor whom he met, and whom he thought all-knowing, because he himself was unable to analyse the nature of knowledge and ignorance and imitation.

Most true.

And so, when we hear persons saying that the tragedians, and Homer, who is at their head, know all the arts and all things human, virtue as well as vice, and divine things too, for that the good poet cannot compose well unless he knows his subject, and that he who has not this knowledge can never be a poet, we ought to consider whether here also there may not be a similar illusion. Perhaps they may have come across imitators and been deceived by them; they may not have remembered when they saw their works that these were but imitations thrice removed from the truth, and could easily be made without any knowledge of the truth, because they are appearances only and not realities? Or, after all, they may be in the right, and poets do really know the things about which they seem to the many to speak so well?

The question, he said, should by all means be considered.

Now do you suppose that if a person were able to make the original as well as the image, he would seriously devote himself to the image-making branch? Would he allow imitation to be the ruling principle of his life, as if he had nothing higher in him?

I should say not.

The real artist, who knew what he was imitating, would be interested in realities and not in imitations; and would desire to leave as memorials of himself works many and fair; and, instead of being the author of encomiums, he would prefer to be the theme of them.

Yes, he said, that would be to him a source of much greater honour and profit.

Then, I said, we must put a question to Homer; not about medicine, or any of the arts to which his poems only incidentally refer: we are not going to ask him, or any other poet, whether he has cured patients like Asclepius, or

left behind him a school of medicine such as the Asclepiads were, or whether he only talks about medicine and other arts at second hand; but we have a right to know respecting military tactics, politics, education, which are the chiefest and noblest subjects of his poems, and we may fairly ask him about them. `Friend Homer,' then we say to him, `if you are only in the second remove from truth in what you say of virtue, and not in the third— not an image maker or imitator—and if you are able to discern what pursuits make men better or worse in private or public life, tell us what State was ever better governed by your help? The good order of Lacedaemon is due to Lycurgus, and many other cities great and small have been similarly benefited by others; but who says that you have been a good legislator to them and have done them any good? Italy and Sicily boast of Charondas, and there is Solon who is renowned among us; but what city has anything to say about you?' Is there any city which he might name?

I think not, said Glaucon; not even the Homerids themselves pretend that he was a legislator.

Well, but is there any war on record which was carried on successfully by him, or aided by his counsels, when he was alive?

There is not.

Or is there any invention of his, applicable to the arts or to human life, such as Thales the Milesian or Anacharsis the Scythian, and other ingenious men have conceived, which is attributed to him?

There is absolutely nothing of the kind.

But, if Homer never did any public service, was he privately a guide or teacher of any? Had he in his lifetime friends who loved to associate with him, and who handed down to posterity an Homeric way of life, such as was established by Pythagoras who was so greatly beloved for his wisdom, and whose followers are to this day quite celebrated for the order which was named after him?

Nothing of the kind is recorded of him. For surely, Socrates, Creophylus, the companion of Homer, that child of flesh, whose name always makes us laugh, might be more justly ridiculed for his stupidity, if, as is said, Homer was greatly neglected by him and others in his own day when he was alive?

Yes, I replied, that is the tradition. But can you imagine, Glaucon, that if Homer had really been able to educate and improve mankind— if he had possessed knowledge and not been a mere imitator—can you imagine, I say, that he would not have had many followers, and been honoured and loved by them? Protagoras of Abdera, and Prodicus of Ceos, and a host of others, have only to whisper to their contemporaries: `You will never be able to manage either your own house or your own State until you appoint us to be your ministers of education'— and this ingenious device of theirs has such an effect in making them love them that their companions all but carry them about on their shoulders. And is it conceivable that the contemporaries of Homer, or again of Hesiod, would have allowed either of them to go about as rhapsodists, if they had really been able to make mankind virtuous? Would they not have been as unwilling to part with them as with gold, and have compelled them to stay at home with them? Or, if the master would not stay, then the disciples would have followed him about everywhere, until they had got education enough?

Yes, Socrates, that, I think, is quite true.

Then must we not infer that all these poetical individuals, beginning with Homer, are only imitators; they copy images of virtue and the like, but the truth they never reach? The poet is like a painter who, as we have already observed, will make a likeness of a cobbler though he understands nothing of cobbling; and his picture is good enough for those who know no more than he does, and judge only by colours and figures.

Quite so.

In like manner the poet with his words and phrases may be said to lay on the colours of the several arts, himself understanding their nature only enough to imitate them; and other people, who are as ignorant as he is, and judge only from his words, imagine that if he speaks of cobbling, or of military tactics, or of anything else, in metre and harmony and rhythm, he speaks very well— such is the sweet influence which melody and rhythm by nature have. And I think that you must have observed again and again what a poor appearance the tales of poets make when stripped of the colours which music puts upon them, and recited in simple prose.

Yes, he said.

They are like faces which were never really beautiful, but only blooming; and now the bloom of youth has passed away from them?

Exactly.

Here is another point: The imitator or maker of the image knows nothing of true existence; he knows appearances only. Am I not right?

Yes.

Then let us have a clear understanding, and not be satisfied with half an explanation.

Proceed.

Of the painter we say that he will paint reins, and he will paint a bit?

Yes.

And the worker in leather and brass will make them?

Certainly.

But does the painter know the right form of the bit and reins? Nay, hardly even the workers in brass and leather who make them; only the horseman who knows how to use them—he knows their right form.

Most true.

And may we not say the same of all things?

What?

That there are three arts which are concerned with all things: one which uses, another which makes, a third which imitates them?

Yes.

And the excellence or beauty or truth of every structure, animate or inanimate, and of every action of man, is relative to the use for which nature or the artist has intended them.

True.

Then the user of them must have the greatest experience of them, and he must indicate to the maker the good or bad qualities which develop themselves in use; for example, the flute-player will tell the flute-maker which of his flutes is satisfactory to the performer; he will tell him how he ought to make them, and the other will attend to his instructions?

Of course.

The one knows and therefore speaks with authority about the goodness and badness of flutes, while the other, confiding in him, will do what he is told by him?

True.

The instrument is the same, but about the excellence or badness of it the maker will only attain to a correct belief; and this he will gain from him who knows, by talking to him and being compelled to hear what he has to say, whereas the user will have knowledge?

True.

But will the imitator have either? Will he know from use whether or no his drawing is correct or beautiful? Or will he have right opinion from being compelled to associate with another who knows and gives him instructions about what he should draw?

Neither.

Then he will no more have true opinion than he will have knowledge about the goodness or badness of his imitations?

I suppose not.

The imitative artist will be in a brilliant state of intelligence about his own creations?

Nay, very much the reverse.

And still he will go on imitating without knowing what makes a thing good or bad, and may be expected therefore to imitate only that which appears to be good to the ignorant multitude?

Just so.

Thus far then we are pretty well agreed that the imitator has no knowledge worth mentioning of what he imitates. Imitation is only a kind of play or sport, and the tragic poets, whether they write in iambic or in Heroic verse, are imitators in the highest degree?

Very true.

And now tell me, I conjure you, has not imitation been shown by us to be concerned with that which is thrice removed from the truth?

Certainly.

And what is the faculty in man to which imitation is addressed?

What do you mean?

I will explain: The body which is large when seen near, appears small when seen at a distance?

True.

And the same object appears straight when looked at out of the water, and crooked when in the water; and the concave becomes convex, owing to the illusion about colours to which the sight is liable. Thus every sort of confusion is revealed within us; and this is that weakness of the human mind on which the art of conjuring and of deceiving by light and shadow and other

ingenious devices imposes, having an effect upon us like magic.

True.

And the arts of measuring and numbering and weighing come to the rescue of the human understanding—there is the beauty of them— and the apparent greater or less, or more or heavier, no longer have the mastery over us, but give way before calculation and measure and weight?

Most true.

And this, surely, must be the work of the calculating and rational principle in the soul

To be sure.

And when this principle measures and certifies that some things are equal, or that some are greater or less than others, there occurs an apparent contradiction?

True.

But were we not saying that such a contradiction is the same faculty cannot have contrary opinions at the same time about the same thing?

Very true.

Then that part of the soul which has an opinion contrary to measure is not the same with that which has an opinion in accordance with measure?

True.

And the better part of the soul is likely to be that which trusts to measure and calculation?

Certainly.

And that which is opposed to them is one of the inferior principles of the soul?

No doubt.

This was the conclusion at which I was seeking to arrive when I said that painting or drawing, and imitation in general, when doing their own proper work, are far removed from truth, and the companions and friends and associates of a principle within us which is equally removed from reason, and that they have no true or healthy aim.

Exactly.

The imitative art is an inferior who marries an inferior, and has inferior offspring.

Very true.

And is this confined to the sight only, or does it extend to the hearing also, relating in fact to what we term poetry?

Probably the same would be true of poetry.

Do not rely, I said, on a probability derived from the analogy of painting; but let us examine further and see whether the faculty with which poetical imitation is concerned is good or bad.

By all means.

We may state the question thus:—Imitation imitates the actions of men, whether voluntary or involuntary, on which, as they imagine, a good or bad result has ensued, and they rejoice or sorrow accordingly. Is there anything more?

No, there is nothing else.

But in all this variety of circumstances is the man at unity with himself—or rather, as in the instance of sight there was confusion and opposition in his opinions about the same things, so here also is there not strife and inconsistency in his life? Though I need hardly raise the question again, for I remember that all this has been already admitted; and the soul has been acknowledged by us to be full of these and ten thousand similar oppositions occurring at the same moment?

And we were right, he said.

Yes, I said, thus far we were right; but there was an omission which must now be supplied.

What was the omission?

Were we not saying that a good man, who has the misfortune to lose his son or anything else which is most dear to him, will bear the loss with more equanimity than another?

Yes.

But will he have no sorrow, or shall we say that although he cannot help sorrowing, he will moderate his sorrow?

The latter, he said, is the truer statement.

Tell me: will he be more likely to struggle and hold out against his sorrow when he is seen by his equals, or when he is alone?

It will make a great difference whether he is seen or not.

When he is by himself he will not mind saying or doing many things which he would be ashamed of any one hearing or seeing him do?

True.

There is a principle of law and reason in him which bids him resist, as well as a feeling of his misfortune which is forcing him to indulge his sorrow?

True.

But when a man is drawn in two opposite directions, to and from the same object, this, as we affirm, necessarily implies two distinct principles in him?

Certainly.

One of them is ready to follow the guidance of the law?

How do you mean?

The law would say that to be patient under suffering is best, and that we should not give way to impatience, as there is no knowing whether such things are good or evil; and nothing is gained by impatience; also, because no human thing is of serious importance, and grief stands in the way of that which at the moment is most required.

What is most required? he asked.

That we should take counsel about what has happened, and when the dice have been thrown order our affairs in the way which reason deems best; not, like children who have had a fall, keeping hold of the part struck and wasting time in setting up a howl, but always accustoming the soul forthwith to apply a remedy, raising up that which is sickly and fallen, banishing the cry of sorrow by the healing art.

Yes, he said, that is the true way of meeting the attacks of fortune.

Yes, I said; and the higher principle is ready to follow this suggestion of reason?

Clearly.

And the other principle, which inclines us to recollection of our troubles and to lamentation, and can never have enough of them, we may call irrational, useless, and cowardly?

Indeed, we may.

And does not the latter—I mean the rebellious principle—furnish a great variety of materials for imitation? Whereas the wise and calm temperament, being always nearly equable, is not easy to imitate or to appreciate when imitated, especially at a public festival when a promiscuous crowd is assembled in a theatre. For the feeling represented is one to which they are strangers.

Certainly.

Then the imitative poet who aims at being popular is not by nature made, nor is his art intended, to please or to affect the principle in the soul; but he will prefer the passionate and fitful temper, which is easily imitated?

Clearly.

And now we may fairly take him and place him by the side of the painter, for he is like him in two ways: first, inasmuch as his creations have an inferior degree of truth—in this, I say, he is like him; and he is also like him in being concerned with an inferior part of the soul; and therefore we shall be right in refusing to admit him into a well-ordered State, because he awakens and nourishes and strengthens the feelings and impairs the reason. As in a city when the evil are permitted to have authority and the good are put out of the way, so in the soul of man, as we maintain, the imitative poet implants an evil constitution, for he indulges the irrational nature which has no discernment of greater and less, but thinks the same thing at one time great and at another small—he is a manufacturer of images and is very far removed from the truth.

Exactly.

But we have not yet brought forward the heaviest count in our accusation:—the power which poetry has of harming even the good (and there are very few who are not harmed), is surely an awful thing?

Yes, certainly, if the effect is what you say.

Hear and judge: The best of us, as I conceive, when we listen to a passage of Homer, or one of the tragedians, in which he represents some pitiful hero who is drawling out his sorrows in a long oration, or weeping, and smiting his breast—the best of us, you know, delight in giving way to sympathy, and are in raptures at the excellence of the poet who stirs our feelings most.

Yes, of course I know.

But when any sorrow of our own happens to us, then you may observe that we pride ourselves on the opposite quality—we would fain be quiet and patient; this is the manly part, and the other which delighted us in the recitation is now deemed to be the part of a woman.

Very true, he said.

Now can we be right in praising and admiring another who is doing that which any one of us would abominate and be ashamed of in his own person?

No, he said, that is certainly not reasonable.

Nay, I said, quite reasonable from one point of view.

What point of view?

If you consider, I said, that when in misfortune we feel a natural hunger and desire to relieve our sorrow by weeping and lamentation, and that this feeling which is kept under control in our own calamities is satisfied and delighted by the poets;-the better nature in each of us, not having been sufficiently trained by reason or habit, allows the sympathetic element to break loose because the sorrow is another's; and the spectator fancies that there can be no disgrace to himself in praising and pitying any one who comes telling him what a good man he is, and making a fuss about his troubles; he thinks that the pleasure is a gain, and why should he be supercilious and lose this and the poem too? Few persons ever reflect, as I should imagine, that from the evil of other men something of evil is communicated to themselves. And so the feeling of sorrow which has gathered strength at the sight of the misfortunes of others is with difficulty repressed in our own.

How very true!

And does not the same hold also of the ridiculous? There are jests which you would be ashamed to make yourself, and yet on the comic stage, or indeed in private, when you hear them, you are greatly amused by them, and are not at all disgusted at their unseemliness;- the case of pity is repeated;-there is a principle in human nature which is disposed to raise a laugh, and this which you once restrained by reason, because you were afraid of being thought a buffoon, is now let out again; and having stimulated the risible faculty at the theatre, you are betrayed unconsciously to yourself into playing the comic poet at home.

Quite true, he said.

And the same may be said of lust and anger and all the other affections, of desire and pain and pleasure, which are held to be inseparable from every action-in all of them poetry feeds and waters the passions instead of drying them up; she lets them rule, although they ought to be controlled, if mankind are ever to increase in happiness and virtue.

I cannot deny it.

Therefore, Glaucon, I said, whenever you meet with any of the eulogists of Homer declaring that he has been the educator of Hellas, and that he is profitable for education and for the ordering of human things, and that you should take him up again and again and get to know him and regulate your whole life according to him, we may love and honour those who say these things- they are excellent people, as far as their lights extend; and we are ready to acknowledge that Homer is the greatest of poets and first of tragedy writers; but we must remain firm in our conviction that hymns to the gods and praises of famous men are the only poetry which ought to be admitted into our State. For if you go beyond this and allow the honeyed muse to enter, either in epic or lyric verse, not law and the reason of mankind, which by common consent have ever been deemed best, but pleasure and pain will be the rulers in our State.

That is most true, he said.

And now since we have reverted to the subject of poetry, let this our defence serve to show the reasonableness of our former judgment in sending away out of our State an art having the tendencies which we have described; for reason constrained us. But that she may impute to us any harshness or want of politeness, let us tell her that there is an ancient quarrel between philosophy and poetry; of which there are many proofs, such as the saying

of 'the yelping hound howling at her lord,' or of one 'mighty in the vain talk of fools,' and 'the mob of sages circumventing Zeus,' and the 'subtle thinkers who are beggars after all'; and there are innumerable other signs of ancient enmity between them. Notwithstanding this, let us assure our sweet friend and the sister arts of imitation that if she will only prove her title to exist in a well-ordered State we shall be delighted to receive her—we are very conscious of her charms; but we may not on that account betray the truth. I dare say, Glaucon, that you are as much charmed by her as I am, especially when she appears in Homer?

Yes, indeed, I am greatly charmed.

Shall I propose, then, that she be allowed to return from exile, but upon this condition only—that she make a defence of herself in lyrical or some other metre?

Certainly.

And we may further grant to those of her defenders who are lovers of poetry and yet not poets the permission to speak in prose on her behalf: let them show not only that she is pleasant but also useful to States and to human life, and we will listen in a kindly spirit; for if this can be proved we shall surely be the gainers—I mean, if there is a use in poetry as well as a delight?

Certainly, he said, we shall the gainers.

If her defence fails, then, my dear friend, like other persons who are enamoured of something, but put a restraint upon themselves when they think their desires are opposed to their interests, so too must we after the manner of lovers give her up, though not without a struggle. We too are inspired by that love of poetry which the education of noble States has implanted in us, and therefore we would have her appear at her best and truest; but so long as she is unable to make good her defence, this argument of ours shall be a charm to us, which we will repeat to ourselves while we listen to her strains; that we may not fall away into the childish love of her which captivates the many. At all events we are well aware that poetry being such as we have described is not to be regarded seriously as attaining to the truth; and he who listens to her, fearing for the safety of the city which is within him, should be on his guard against her seductions and make our words his law.

Yes, he said, I quite agree with you.

Yes, I said, my dear Glaucon, for great is the issue at stake, greater than appears, whether a man is to be good or bad. And what will any one be profited if under the influence of honour or money or power, aye, or under the excitement of poetry, he neglect justice and virtue?

Yes, he said; I have been convinced by the argument, as I believe that any one else would have been.

And yet no mention has been made of the greatest prizes and rewards which await virtue.

What, are there any greater still? If there are, they must be of an inconceivable greatness.

Why, I said, what was ever great in a short time? The whole period of threescore years and ten is surely but a little thing in comparison with eternity?

Say rather 'nothing,' he replied.

And should an immortal being seriously think of this little space rather than of the whole?

Of the whole, certainly. But why do you ask?

Are you not aware, I said, that the soul of man is immortal and imperishable?

He looked at me in astonishment, and said: No, by heaven: And are you really prepared to maintain this?

Yes, I said, I ought to be, and you too—there is no difficulty in proving it.

I see a great difficulty; but I should like to hear you state this argument of which you make so light.

Listen then.

I am attending.

There is a thing which you call good and another which you call evil?

Yes, he replied.

Would you agree with me in thinking that the corrupting and destroying element is the evil, and the saving and improving element the good?

Yes.

And you admit that every thing has a good and also an evil; as ophthalmia is the evil of the eyes and disease of the whole body; as mildew is of corn, and rot of timber, or rust of copper and iron: in everything, or in almost everything, there is an inherent evil and disease?

Yes, he said.

Aristotle – On Tragedy

Poetics

Part VI

Of the poetry which imitates in hexameter verse, and of Comedy, we will speak hereafter. Let us now discuss Tragedy, resuming its formal definition, as resulting from what has been already said.

Tragedy, then, is an imitation of an action that is serious, complete, and of a certain magnitude; in language embellished with each kind of artistic ornament, the several kinds being found in separate parts of the play; in the form of action, not of narrative; through pity and fear effecting the proper purgation of these emotions. By ‘language embellished,’ I mean language into which rhythm, ‘harmony’ and song enter. By ‘the several kinds in separate parts,’ I mean, that some parts are rendered through the medium of verse alone, others again with the aid of song.

Now as tragic imitation implies persons acting, it necessarily follows in the first place, that Spectacular equipment will be a part of Tragedy. Next, Song and Diction, for these are the media of imitation. By ‘Diction’ incidents. For Tragedy is an imitation, not of men, but of an action and of life, and life consists in action, and its end is a mode of action, not a quality. Now character determines men’s qualities, but it is by their actions that they are happy or the reverse. Dramatic action, therefore, is not with a view to the representation of character: character comes in as subsidiary to the actions. Hence the incidents and the plot are the end of a tragedy; and the end is the chief thing of all. Again, without action there cannot be a tragedy; there may be without character. The tragedies of most of our modern poets fail in the rendering of character; and of poets in general this is often true. It is the same in painting; and here lies the difference between Zeuxis and Polygnotus. Polygnotus delineates character well; the style of Zeuxis is devoid of ethical quality. Again, if you string together a set of speeches expressive of character, and well finished in point of diction and thought, you will not produce the essential tragic effect nearly so well as with a play which, however deficient in these respects, yet has a plot and artistically constructed incidents. Besides which, the most powerful elements of emotional interest in Tragedy—Peripeteia or Reversal of the Situation, and Recognition scenes—are parts of the plot. A further proof is, that novices in the art attain to finish of diction and precision of portraiture before they can construct the plot. It is the same with almost all the early poets.

The plot, then, is the first principle, and, as it were, the soul of a tragedy; Character holds the second place. A

similar fact is seen in painting. The most beautiful colors, laid on confusedly, will not give as much pleasure as the chalk outline of a portrait. Thus Tragedy is the imitation of an action, and of the agents mainly with a view to the action.

Third in order is Thought—that is, the faculty of saying what is possible and pertinent in given circumstances. In the case of oratory, this is the function of the political art and of the art of rhetoric: and so indeed the older poets make their characters speak the language of civic life; the poets of our time, the language of the rhetoricians. Character is that which reveals moral purpose, showing what kind of things a man chooses or avoids. Speeches, therefore, which do not make this manifest, or in which the speaker does not choose or avoid anything whatever, are not expressive of character. Thought, on the other hand, is found where something is proved to be or not to be, or a general maxim is enunciated.

Fourth among the elements enumerated comes Diction; by which I mean, as has been already said, the expression of the meaning in words; and its essence is the same both in verse and prose.

Of the remaining elements Song holds the chief place among the embellishments

The Spectacle has, indeed, an emotional attraction of its own, but, of all the parts, it is the least artistic, and connected least with the art of poetry. For the power of Tragedy, we may be sure, is felt even apart from representation and actors. Besides, the production of spectacular effects depends more on the art of the stage machinist than on that of the poet.

Part VII

These principles being established, let us now discuss the proper structure of the Plot, since this is the first and most important thing in Tragedy.

Now, according to our definition Tragedy is an imitation of an action that is complete, and whole, and of a certain magnitude; for there may be a whole that is wanting in magnitude. A whole is that which has a beginning, a middle, and an end. A beginning is that which does not itself follow anything by causal necessity, but after which something naturally is or comes to be. An end, on the contrary, is that which itself naturally follows some other thing, either by necessity, or as a rule, but has nothing following it. A middle is that which follows something as some other thing follows it. A well constructed plot, therefore, must neither begin nor end at haphazard, but conform to these principles.

Again, a beautiful object, whether it be a living organism or any whole composed of parts, must not only have an orderly arrangement of parts, but must also be of a certain magnitude; for beauty depends on magnitude and order. Hence a very small animal organism cannot be beautiful; for the view of it is confused, the object being seen in an almost imperceptible moment of time. Nor, again, can one of vast size be beautiful; for as the eye cannot take it all in at once, the unity and sense of the whole is lost for the spectator; as for instance if there were one a thousand miles long. As, therefore, in the case of animate bodies and organisms a certain magnitude is necessary, and a magnitude which may be easily embraced in one view; so in the plot, a certain length is necessary, and a length which can be easily embraced by the memory. The limit of length in relation to dramatic competition and sensuous presentment is no part of artistic theory. For had it been the rule for a hundred tragedies to compete together, the performance would have been regulated by the water-clock—as indeed we are told was formerly done. But the limit as fixed by the nature of the drama itself is this: the greater the length, the more beautiful will the piece be by

reason of its size, provided that the whole be perspicuous. And to define the matter roughly, we may say that the proper magnitude is comprised within such limits, that the sequence of events, according to the law of probability or necessity, will admit of a change from bad fortune to good, or from good fortune to bad.

Part VIII

Unity of plot does not, as some persons think, consist in the unity of the hero. For infinitely various are the incidents in one man's life which cannot be reduced to unity; and so, too, there are many actions of one man out of which we cannot make one action. Hence the error, as it appears, of all poets who have composed a *Heracleid*, a *Theseid*, or other poems of the kind. They imagine that as *Heracles* was one man, the story of *Heracles* must also be a unity. But *Homer*, as in all else he is of surpassing merit, here too—whether from art or natural genius—seems to have happily discerned the truth. In composing the *Odyssey* he did not include all the adventures of *Odysseus*—such as his wound on *Parnassus*, or his feigned madness at the mustering of the host—incidents between which there was no necessary or probable connection: but he made the *Odyssey*, and likewise the *Iliad*, to center round an action that in our sense of the word is one. As therefore, in the other imitative arts, the imitation is one when the object imitated is one, so the plot, being an imitation of an action, must imitate one action and that a whole, the structural union of the parts being such that, if any one of them is displaced or removed, the whole will be disjointed and disturbed. For a thing whose presence or absence makes no visible difference, is not an organic part of the whole.

Part IX

It is, moreover, evident from what has been said, that it is not the function of the poet to relate what has happened, but what may happen—what is possible according to the law of probability or necessity. The poet and the historian differ not by writing in verse or in prose. The work of *Herodotus* might be put into verse, and it would still be a species of history, with meter no less than without it. The true difference is that one relates what has happened, the other what may happen. Poetry, therefore, is a more philosophical and a higher thing than history: for poetry tends to express the universal, history the particular. By the universal I mean how a person of a certain type on occasion speak or act, according to the law of probability or necessity; and it is this universality at which poetry aims in the names she attaches to the personages. The particular is—for example—what *Alcibiades* did or suffered. In Comedy this is already apparent: for here the poet first constructs the plot on the lines of probability, and then inserts characteristic names—unlike the lampooners who write about particular individuals. But tragedians still keep to real names, the reason being that what is possible is credible: what has not happened we do not at once feel sure to be possible; but what has happened is manifestly possible: otherwise it would not have happened. Still there are even some tragedies in which there are only one or two well-known names, the rest being fictitious. In others, none are well known—as in *Agathon's Antheus*, where incidents and names alike are fictitious, and yet they give none the less pleasure. We must not, therefore, at all costs keep to the received legends, which are the usual subjects of Tragedy. Indeed, it would be absurd to attempt it; for even subjects that are known are known only to a few, and yet give pleasure to all. It clearly follows that the poet or 'maker' should be the maker of plots rather than of verses; since he is a poet because he imitates, and what he imitates are actions. And even if he chances to take a historical subject, he is none the less a poet; for there is no reason why some events that have actually happened should not conform to the law of the probable and possible, and in virtue of that quality in them he is their poet or maker.

Of all plots and actions the episodic are the worst. I call a plot 'episodic' in which the episodes or acts succeed one

another without probable or necessary sequence. Bad poets compose such pieces by their own fault, good poets, to please the players; for, as they write show pieces for competition, they stretch the plot beyond its capacity, and are often forced to break the natural continuity.

But again, Tragedy is an imitation not only of a complete action, but of events inspiring fear or pity. Such an effect is best produced when the events come on us by surprise; and the effect is heightened when, at the same time, they follows as cause and effect. The tragic wonder will then be greater than if they happened of themselves or by accident; for even coincidences are most striking when they have an air of design. We may instance the statue of Mityls at Argos, which fell upon his murderer while he was a spectator at a festival, and killed him. Such events seem not to be due to mere chance. Plots, therefore, constructed on these principles are necessarily the best.

Part XIII

As the sequel to what has already been said, we must proceed to consider what the poet should aim at, and what he should avoid, in constructing his plots; and by what means the specific effect of Tragedy will be produced.

A perfect tragedy should, as we have seen, be arranged not on the simple but on the complex plan. It should, moreover, imitate actions which excite pity and fear, this being the distinctive mark of tragic imitation. It follows plainly, in the first place, that the change of fortune presented must not be the spectacle of a virtuous man brought from prosperity to adversity: for this moves neither pity nor fear; it merely shocks us. Nor, again, that of a bad man passing from adversity to prosperity: for nothing can be more alien to the spirit of Tragedy; it possesses no single tragic quality; it neither satisfies the moral sense nor calls forth pity or fear. Nor, again, should the downfall of the utter villain be exhibited. A plot of this kind would, doubtless, satisfy the moral sense, but it would inspire neither pity nor fear; for pity is aroused by unmerited misfortune, fear by the misfortune of a man like ourselves. Such an event, therefore, will be neither pitiful nor terrible. There remains, then, the character between these two extremes—that of a man who is not eminently good and just, yet whose misfortune is brought about not by vice or depravity, but by some error or frailty. He must be one who is highly renowned and prosperous—a personage like Oedipus, Thyestes, or other illustrious men of such families.

A well-constructed plot should, therefore, be single in its issue, rather than double as some maintain. The change of fortune should be not from bad to good, but, reversely, from good to bad. It should come about as the result not of vice, but of some great error or frailty, in a character either such as we have described, or better rather than worse. The practice of the stage bears out our view. At first the poets recounted any legend that came in their way. Now, the best tragedies are founded on the story of a few houses—on the fortunes of Alcmaeon, Oedipus, Orestes, Meleager, Thyestes, Telephus, and those others who have done or suffered something terrible. A tragedy, then, to be perfect according to the rules of art should be of this construction. Hence they are in error who censure Euripides just because he follows this principle in his plays, many of which end unhappily. It is, as we have said, the right ending. The best proof is that on the stage and in dramatic competition, such plays, if well worked out, are the most tragic in effect; and Euripides, faulty though he may be in the general management of his subject, yet is felt to be the most tragic of the poets.

In the second rank comes the kind of tragedy which some place first. Like the *Odyssey*, it has a double thread of plot, and also an opposite catastrophe for the good and for the bad. It is accounted the best because of the weakness of the spectators; for the poet is guided in what he writes by the wishes of his audience. The pleasure, however,

thence derived is not the true tragic pleasure. It is proper rather to Comedy, where those who, in the piece, are the deadliest enemies—like Orestes and Aegisthus—quit the stage as friends at the close, and no one slays or is slain.

Part XIV

Fear and pity may be aroused by spectacular means; but they may also result from the inner structure of the piece, which is the better way, and indicates a superior poet. For the plot ought to be so constructed that, even without the aid of the eye, he who hears the tale told will thrill with horror and melt to pity at what takes Place. This is the impression we should receive from hearing the story of the Oedipus. But to produce this effect by the mere spectacle is a less artistic method, and dependent on extraneous aids. Those who employ spectacular means to create a sense not of the terrible but only of the monstrous, are strangers to the purpose of Tragedy; for we must not demand of Tragedy any and every kind of pleasure, but only that which is proper to it. And since the pleasure which the poet should afford is that which comes from pity and fear through imitation, it is evident that this quality must be impressed upon the incidents.

Let us then determine what are the circumstances which strike us as terrible or pitiful.

Actions capable of this effect must happen between persons who are either friends or enemies or indifferent to one another. If an enemy kills an enemy, there is nothing to excite pity either in the act or the intention—except so far as the suffering in itself is pitiful. So again with indifferent persons. But when the tragic incident occurs between those who are near or dear to one another—if, for example, a brother kills, or intends to kill, a brother, a son his father, a mother her son, a son his mother, or any other deed of the kind is done—these are the situations to be looked for by the poet. He may not indeed destroy the framework of the received legends—the fact, for instance, that Clytemnestra was slain by Orestes and Eriphyle by Alcmaeon—but he ought to show of his own, and skilfully handle the traditional material. Let us explain more clearly what is meant by skilful handling.

The action may be done consciously and with knowledge of the persons, in the manner of the older poets. It is thus too that Euripides makes Medea slay her children. Or, again, the deed of horror may be done, but done in ignorance, and the tie of kinship or friendship be discovered afterwards. The Oedipus of Sophocles is an example. Here, indeed, the incident is outside the drama proper; but cases occur where it falls within the action of the play: one may cite the Alcmaeon of Astydamos, or Telegonus in the Wounded Odysseus. Again, there is a third case—[to be about to act with knowledge of the persons and then not to act. The fourth case] is when some one is about to do an irreparable deed through ignorance, and makes the discovery before it is done. These are the only possible ways. For the deed must either be done or not done—and that wittingly or unwittingly. But of all these ways, to be about to act knowing the persons, and then not to act, is the worst. It is shocking without being tragic, for no disaster follows. It is, therefore, never, or very rarely, found in poetry. One instance, however, is in the *Antigone*, where Haemon threatens to kill Creon. The next and better way is that the deed should be perpetrated. Still better, that it should be perpetrated in ignorance, and the discovery made afterwards. There is then nothing to shock us, while the discovery produces a startling effect. The last case is the best, as when in the *Cresphontes* Merope is about to slay her son, but, recognizing who he is, spares his life. So in the *Iphigenia*, the sister recognizes the brother just in time. Again in the *Helle*, the son recognizes the mother when on the point of giving her up. This, then, is why a few families only, as has been already observed, furnish the subjects of tragedy. It was not art, but happy chance, that led the poets in search of subjects to impress the tragic quality upon their plots. They are compelled, therefore, to have recourse to those houses whose history contains moving incidents like these.

Enough has now been said concerning the structure of the incidents, and the right kind of plot.

Part XV

In respect of Character there are four things to be aimed at. First, and most important, it must be good. Now any speech or action that manifests moral purpose of any kind will be expressive of character: the character will be good if the purpose is good. This rule is relative to each class. Even a woman may be good, and also a slave; though the woman may be said to be an inferior being, and the slave quite worthless. The second thing to aim at is propriety. There is a type of manly valor; but valor in a woman, or unscrupulous cleverness is inappropriate. Thirdly, character must be true to life: for this is a distinct thing from goodness and propriety, as here described. The fourth point is consistency: for though the subject of the imitation, who suggested the type, be inconsistent, still he must be consistently inconsistent. As an example of motiveless degradation of character, we have Menelaus in the *Orestes*; of character indecorous and inappropriate, the lament of Odysseus in the *Scylla*, and the speech of Melanippe; of inconsistency, the Iphigenia at Aulis—for Iphigenia the suppliant in no way resembles her later self.

As in the structure of the plot, so too in the portraiture of character, the poet should always aim either at the necessary or the probable. Thus a person of a given character should speak or act in a given way, by the rule either of necessity or of probability; just as this event should follow that by necessary or probable sequence. It is therefore evident that the unraveling of the plot, no less than the complication, must arise out of the plot itself, it must not be brought about by the *Deus ex Machina*—as in the *Medea*, or in the return of the Greeks in the *Iliad*. The *Deus ex Machina* should be employed only for events external to the drama—for antecedent or subsequent events, which lie beyond the range of human knowledge, and which require to be reported or foretold; for to the gods we ascribe the power of seeing all things. Within the action there must be nothing irrational. If the irrational cannot be excluded, it should be outside the scope of the tragedy. Such is the irrational element the Oedipus of Sophocles.

Again, since Tragedy is an imitation of persons who are above the common level, the example of good portrait painters should be followed. They, while reproducing the distinctive form of the original, make a likeness which is true to life and yet more beautiful. So too the poet, in representing men who are irascible or indolent, or have other defects of character, should preserve the type and yet ennoble it. In this way Achilles is portrayed by Agathon and Homer.

These then are rules the poet should observe. Nor should he neglect those appeals to the senses, which, though not among the essentials, are the concomitants of poetry; for here too there is much room for error. But of this enough has been said in our published treatises.

David Hume – On Opinion and Taste

Of the Standard of Taste

The great variety of Taste, as well as of opinion, which prevails in the world, is too obvious not to have fallen under every one's observation. Men of the most confined knowledge are able to remark a difference of taste in the narrow circle of their acquaintance, even where the persons have been educated under the same government, and have early imbibed the same prejudices. But those, who can enlarge their view to contemplate distant nations and remote ages, are still more surprised at the great inconsistency and contrariety. We are apt to call barbarous whatever departs widely from our own taste and apprehension; but soon find the epithet of reproach retorted on us. And the highest arrogance and self-conceit is at last startled, on observing an equal assurance on all sides, and scruples, amidst such a contest of sentiment, to pronounce positively in its own favour.

As this variety of taste is obvious to the most careless inquirer; so will it be found, on examination, to be still greater in reality than in appearance. The sentiments of men often differ with regard to beauty and deformity of all kinds, even while their general discourse is the same. There are certain terms in every language, which import blame, and others praise; and all men, who use the same tongue, must agree in their application of them. Every voice is united in applauding elegance, propriety, simplicity, spirit in writing; and in blaming fustian, affectation, coldness, and a false brilliancy: But when critics come to particulars, this seeming unanimity vanishes; and it is found, that they had affixed a very different meaning to their expressions. In all matters of opinion and science, the case is opposite: The difference among men is there oftener found to lie in generals than in particulars; and to be less in reality than in appearance. An explanation of the terms commonly ends the controversy; and the disputants are surprised to find, that they had been quarrelling, while at bottom they agreed in their judgment.

Those who found morality on sentiment, more than on reason, are inclined to comprehend ethics under the former observation, and to maintain, that in all questions, which regard conduct and manners, the difference among men is really greater than at first sight it appears. It is indeed obvious, that writers of all nations and all ages concur in applauding justice, humanity, magnanimity, prudence, veracity; and in blaming the opposite qualities. Even poets and other authors, whose compositions are chiefly calculated to please the imagination, are yet found, from Homer down to Fenelon, to inculcate the same moral precepts, and to bestow their applause and blame on the same virtues and vices. This great unanimity is usually ascribed to the influence of plain reason; which, in all these cases, maintains similar sentiments in all men, and prevents those controversies, to which the abstract sciences are so much exposed. So far as the unanimity is real, this account may be admitted as satisfactory: But we must also

allow, that some part of the seeming harmony in morals may be accounted for from the very nature of language. The word *virtue*, with its equivalent in every tongue, implies praise; as that of *vice* does blame: And no man, without the most obvious and grossest impropriety, could affix reproach to a term, which in general acceptation is understood in a good sense; or bestow applause, where the idiom requires disapprobation. Homer's general precepts, where he delivers any such, will never be controverted; but it is obvious, that, when he draws particular pictures of manners, and represents heroism in Achilles and prudence in Ulysses, he intermixes a much greater degree of ferocity in the former, and of cunning and fraud in the latter, than Fenelon would admit of. The sage Ulysses in the Greek poet seems to delight in lies and fictions, and often employs them without any necessity or even advantage: But his more scrupulous son, in the French epic writer, exposes himself to the most imminent perils, rather than depart from the most exact line of truth and veracity.

The admirers and followers of the Alcoran insist on the excellent moral precepts interspersed through that wild and absurd performance. But it is to be supposed, that the Arabic words, which correspond to the English, equity, justice, temperance, meekness, charity were such as, from the constant use of that tongue, must always be taken in a good sense; and it would have argued the greatest ignorance, not of morals, but of language, to have mentioned them with any epithets, besides those of applause and approbation. But would we know, whether the pretended prophet had really attained a just sentiment of morals? Let us attend to his narration; and we shall soon find, that he bestows praise on such instances of treachery, inhumanity, cruelty, revenge, bigotry, as are utterly incompatible with civilized society. No steady rule of right seems there to be attended to; and every action is blamed or praised, so far only as it is beneficial or hurtful to the true believers.

The merit of delivering true general precepts in ethics is indeed very small. Whoever recommends any moral virtues, really does no more than is implied in the terms themselves. That people, who invented the word *charity*, and used it in a good sense, inculcated more clearly and much more efficaciously, the precept, *be charitable*, than any pretended legislator or prophet, who should insert such a *maxim* in his writings. Of all expressions, those, which, together with their other meaning, imply a degree either of blame or approbation, are the least liable to be perverted or mistaken.

It is natural for us to seek a *Standard of Taste*; a rule, by which the various sentiments of men may be reconciled; at least, a decision afforded, confirming one sentiment, and condemning another.

There is a species of philosophy, which cuts off all hopes of success in such an attempt, and represents the impossibility of ever attaining any standard of taste. The difference, it is said, is very wide between judgment and sentiment. All sentiment is right; because sentiment has a reference to nothing beyond itself, and is always real, wherever a man is conscious of it. But all determinations of the understanding are not right; because they have a reference to something beyond themselves, to wit, real matter of fact; and are not always conformable to that standard. Among a thousand different opinions which different men may entertain of the same subject, there is one, and but one, that is just and true; and the only difficulty is to fix and ascertain it. On the contrary, a thousand different sentiments, excited by the same object, are all right: Because no sentiment represents what is really in the object. It only marks a certain conformity or relation between the object and the organs or faculties of the mind; and if that conformity did not really exist, the sentiment could never possibly have being. Beauty is no quality in things themselves: It exists merely in the mind which contemplates them; and each mind perceives a different beauty. One person may even perceive deformity, where another is sensible of beauty; and every individual ought to acquiesce in his own sentiment, without pretending to regulate those of others. To seek the real beauty, or real deformity is as fruitless an inquiry, as to pretend to ascertain the real sweet or real bitter. According to the

disposition of the organs, the same object may be both sweet and bitter; and the proverb has justly determined it to be fruitless to dispute concerning tastes. It is very natural, and even quite necessary, to extend this axiom to mental, as well as bodily taste; and thus common sense, which is so often at variance with philosophy, especially with the sceptical kind, is found, in one instance at least, to agree in pronouncing the same decision.

But though this axiom, by passing into a proverb, seems to have attained the sanction of common sense; there is certainly a species of common sense, which opposes it, at least serves to modify and restrain it. Whoever would assert an equality of genius and elegance between Ogilby and Milton, or Bunyan and Addison, would be thought to defend no less an extravagance, than if he had maintained a mole-hill to be as high as Teneriffe, or a pond as extensive as the ocean. Though there may be found persons, who give the preference to the former authors; no one pays attention to such a taste; and we pronounce, without scruple, the sentiment of these pretended critics to be absurd and ridiculous. The principle of the natural equality of tastes is then totally forgot, and while we admit it on some occasions, where the objects seem near an equality, it appears an extravagant paradox, or rather a palpable absurdity, where objects so disproportioned are compared together.

It is evident that none of the rules of composition are fixed by reasonings *a priori*, or can be esteemed abstract conclusions of the understanding, from comparing those habitudes and relations of ideas, which are eternal and immutable. Their foundation is the same with that of all the practical sciences, experience; nor are there anything but general observations, concerning what has been universally found to please in all countries and in all ages. Many of the beauties of poetry, and even of eloquence, are founded on falsehood and fiction, on hyperboles, metaphors, and an abuse or perversion of terms from their natural meaning. To check the sallies of the imagination, and to reduce every expression to geometrical truth and exactness, would be the most contrary to the laws of criticism; because it would produce a work, which, by universal experience, has been found the most insipid and disagreeable. But though poetry can never submit to exact truth, it must be confined by rules of art, discovered to the author either by genius or observation. If some negligent or irregular writers have pleased, they have not pleased by their transgressions of rule or order, but in spite of these transgressions: They have possessed other beauties, which were conformable to just criticism; and the force of these beauties has been able to overpower censure, and give the mind a satisfaction superior to the disgust arising from the blemishes. Ariosto pleases; but not by his monstrous and improbable fictions, by his bizarre mixture of the serious and comic styles, by the want of coherence in his stories, or by the continual interruptions of his narration. He charms by the force and clearness of his expression, by the readiness and variety of his inventions, and by his natural pictures of the passions, especially those of the gay and amorous kind: And however his faults may diminish our satisfaction, they are not able entirely to destroy it. Did our pleasure really arise from those parts of his poem, which we denominate faults, this would be no objection to criticism in general: It would only be an objection to those particular rules of criticism, which would establish such circumstances to be faults, and would represent them as universally blameable. If they are found to please, they cannot be faults; let the pleasure, which they produce, be ever so unexpected and unaccountable.

But though all the general rules of art are founded only on experience, and on the observation of the common sentiments of human nature, we must not imagine, that, on every occasion, the feelings of men will be conformable to these rules. Those finer emotions of the mind are of a very tender and delicate nature, and require the concurrence of many favourable circumstances to make them play with facility and exactness, according to their general and established principles. The least exterior hindrance to such small springs, or the least internal disorder, disturbs their motion, and confounds the operation of the whole machine. When we would make an

experiment of this nature, and would try the force of any beauty or deformity, we must choose with care a proper time and place, and bring the fancy to a suitable situation and disposition. A perfect serenity of mind, a recollection of thought, a due attention to the object; if any of these circumstances be wanting, our experiment will be fallacious, and we shall be unable to judge of the catholic and universal beauty. The relation, which nature has placed between the form and the sentiment, will at least be more obscure; and it will require greater accuracy to trace and discern it. We shall be able to ascertain its influence, not so much from the operation of each particular beauty, as from the durable admiration, which attends those works, that have survived all the caprices of mode and fashion, all the mistakes of ignorance and envy.

The same Homer, who pleased at Athens and Rome two thousand years ago, is still admired at Paris and at London. All the changes of climate, government, religion, and language, have not been able to obscure his glory. Authority or prejudice may give a temporary vogue to a bad poet or orator; but his reputation will never be durable or general. When his compositions are examined by posterity or by foreigners, the enchantment is dissipated, and his faults appear in their true colours. On the contrary, a real genius, the longer his works endure, and the more wide they are spread, the more sincere is the admiration which he meets with. Envy and jealousy have too much place in a narrow circle; and even familiar acquaintance with his person may diminished the applause due to his performances: But when these obstructions are removed, the beauties, which are naturally fitted to excite agreeable sentiments, immediately display their energy; while the world endures, they maintain their authority over the minds of men.

It appears then, that amidst all the variety and caprice of taste, there are certain general principles of approbation or blame, whose influence a careful eye may trace in all operations of the mind. Some particular forms or qualities, from the original structure of the internal fabric, are calculated to please, and others to displease; and if they fail of their effect in any particular instance, it is from some apparent defect or imperfection in the organ. A man in a fever would not insist on his palate as able to decide concerning flavours; nor would one, affected with the jaundice, pretend to give a verdict with regard to colours. In each creature, there is a sound and a defective state; and the former alone can be supposed to afford us a true standard of taste and sentiment. If, in the sound state of the organ, there be an entire or a considerable uniformity of sentiment among men, we may thence derive an idea of the perfect beauty; in like manner as the appearance of objects in day-light, to the eye of a man in health, is denominated their true and real colour, even while colour is allowed to be merely a phantasm of the senses.

Many and frequent are the defects in the internal organs, which prevent or weaken the influence of those general principles, on which depends our sentiment of beauty or deformity. Though some objects, by the structure of the mind, be naturally calculated to give pleasure, it is not to be expected, that in every individual the pleasure will be equally felt. Particular incidents and situations occur, which either throw a false light on the objects, or hinder the true from conveying to the imagination the proper sentiment and perception.

One obvious cause, why many feel not the proper sentiment of beauty, is the want of that *delicacy* of imagination, which is requisite to convey a sensibility of those finer emotions. This delicacy every one pretends to: Every one talks of it; and would reduce every kind of taste or sentiment to its standard. But as our intention in this essay is to mingle some light of the understanding with the feelings of sentiment, it will be proper to give a more accurate definition of delicacy than has hitherto been attempted. And not to draw our philosophy from too profound a source, we shall have recourse to a noted story in Don Quixote.

It is with good reason, says Sancho to the squire with the great nose, that I pretend to have a judgment in wine:

This is a quality hereditary in our family. Two of my kinsmen were once called to give their opinion of a hogshead, which was supposed to be excellent, being old and of a good vintage. One of them tastes it; considers it; and, after mature reflection, pronounces the wine to be good, were it not for a small taste of leather, which he perceived in it. The other, after using the same precautions, gives also his verdict in favour of the wine; but with the reserve of a taste of iron, which he could easily distinguish. You cannot imagine how much they were both ridiculed for their judgment. But who laughed in the end? On emptying the hogshead, there was found at the bottom an old key with a leathern thong tied to it.

The great resemblance between mental and bodily taste will easily teach us to apply this story. Though it be certain, that beauty and deformity, more than sweet and bitter, are not qualities in objects, but belong entirely to the sentiment, internal or external; it must be allowed, that there are certain qualities in objects, which are fitted by nature to produce those particular feelings. Now as these qualities may be found in a small degree, or may be mixed and confounded with each other, it often happens that the taste is not affected with such minute qualities, or is not able to distinguish all the particular flavours, amidst the disorder in which they are presented. Where the organs are so fine, as to allow nothing to escape them; and at the same time so exact, as to perceive every ingredient in the composition: This we call delicacy of taste, whether we employ these terms in the literal or metaphorical sense. Here then the general rules of beauty are of use, being drawn from established models, and from the observation of what pleases or displeases, when presented singly and in a high degree: And if the same qualities, in a continued composition, and in a smaller degree, affect not the organs with a sensible delight or uneasiness, we exclude the person from all pretensions to this delicacy. To produce these general rules or avowed patterns of composition, is like finding the key with the leathern thong; which justified the verdict of Sancho's kinsmen, and confounded those pretended judges who had condemned them. Though the hogshead had never been emptied, the taste of the one was still equally delicate, and that of the other equally dull and languid: But it would have been more difficult to have proved the superiority of the former, to the conviction of every bye-stander. In like manner, though the beauties of writing had never been methodized, or reduced to general principles; though no excellent models had ever been acknowledged; the different degrees of taste would still have subsisted, and the judgment of one man been preferable to that of another; but it would not have been so easy to silence the bad critic, who might always insist upon his particular sentiment, and refuse to submit to his antagonist. But when we show him an avowed principle of art; when we illustrate this principle by examples, whose operation, from his own particular taste, he acknowledges to be conformable to the principle; when we prove that the same principle may be applied to the present case, where he did not perceive or feel its influence: He must conclude, upon the whole, that the fault lies in himself, and that he wants the delicacy, which is requisite to make him sensible of every beauty and every blemish, in any composition or discourse.

It is acknowledged to be the perfection of every sense or faculty, to perceive with exactness its most minute objects, and allow nothing to escape its notice and observation. The smaller the objects are, which become sensible to the eye, the finer is that organ, and the more elaborate its make and composition. A good palate is not tried by strong flavours, but by a mixture of small ingredients, where we are still sensible of each part, notwithstanding its minuteness and its confusion with the rest. In like manner, a quick and acute perception of beauty and deformity must be the perfection of our mental taste; nor can a man be satisfied with himself while he suspects that any excellence or blemish in a discourse has passed him unobserved. In this case, the perfection of the man, and the perfection of the sense or feeling, are found to be united. A very delicate palate, on many occasions, may be a great inconvenience both to a man himself and to his friends: But a delicate taste of wit or beauty must always be a desirable quality, because it is the source of all the finest and most innocent enjoyments

of which human nature is susceptible. In this decision the sentiments of all mankind are agreed. Wherever you can ascertain a delicacy of taste, it is sure to meet with approbation; and the best way of ascertaining it is to appeal to those models and principles which have been established by the uniform consent and experience of nations and ages.

But though there be naturally a wide difference in point of delicacy between one person and another, nothing tends further to increase and improve this talent, than practice in a particular art, and the frequent survey or contemplation of a particular species of beauty. When objects of any kind are first presented to the eye or imagination, the sentiment which attends them is obscure and confused; and the mind is, in a great measure, incapable of pronouncing concerning their merits or defects. The taste cannot perceive the several excellencies of the performance, much less distinguish the particular character of each excellency, and ascertain its quality and degree. If it pronounce the whole in general to be beautiful or deformed, it is the utmost that can be expected; and even this judgment, a person so unpractised will be apt to deliver with great hesitation and reserve. But allow him to acquire experience in those objects, his feeling becomes more exact and nice: He not only perceives the beauties and defects of each part, but marks the distinguishing species of each quality, and assigns it suitable praise or blame. A clear and distinct sentiment attends him through the whole survey of the objects; and he discerns that very degree and kind of approbation or displeasure which each part is naturally fitted to produce. The mist dissipates which seemed formerly to hang over the object: The organ acquires greater perfection in its operations; and can pronounce, without danger or mistake, concerning the merits of every performance. In a word, the same address and dexterity, which practice gives to the execution of any work, is also acquired by the same means, in the judging of it.

So advantageous is practice to the discernment of beauty, that, before we can give judgment on any work of importance, it will even be requisite that that very individual performance be more than once perused by us, and be surveyed in different lights with attention and deliberation. There is a flutter or hurry of thought which attends the first perusal of any piece, and which confounds the genuine sentiment of beauty. The relation of the parts is not discerned: The true characters of style are little distinguished. The several perfections and defects seem wrapped up in a species of confusion, and present themselves indistinctly to the imagination. Not to mention, that there is a species of beauty, which, as it is florid and superficial, pleases at first; but being found incompatible with a just expression either of reason or passion, soon palls upon the taste, and is then rejected with disdain, at least rated at a much lower value.

It is impossible to continue in the practice of contemplating any order of beauty, without being frequently obliged to form *comparisons* between the several species and degrees of excellence, and estimating their proportion to each other. A man, who had had no opportunity of comparing the different kinds of beauty, is indeed totally unqualified to pronounce an opinion with regard to any object presented to him. By comparison alone we fix the epithets of praise or blame, and learn how to assign the due degree of each. The coarsest daubing contains a certain lustre of colours and exactness of imitation, which are so far beauties, and would affect the mind of a peasant or Indian with the highest admiration. The most vulgar ballads are not entirely destitute of harmony or nature; and none but a person familiarised to superior beauties would pronounce their numbers harsh, or narration uninteresting. A great inferiority of beauty gives pain to a person conversant in the highest excellence of the kind, and is for that reason pronounced a deformity: As the most finished object with which we are acquainted is naturally supposed to have reached the pinnacle of perfection, and to be entitled to the highest applause. One

accustomed to see, and examine, and weigh the several performances, admired in different ages and nations, can alone rate the merits of a work exhibited to his view, and assign its proper rank among the productions of genius.

But to enable a critic the more fully to execute this undertaking, he must preserve his mind free from all *prejudice*, and allow nothing to enter into his consideration but the very object which is submitted to his examination. We may observe, that every work of art, in order to produce its due effect on the mind, must be surveyed in a certain point of view, and cannot be fully relished by persons, whose situation, real or imaginary, is not conformable to that which is required by the performance. An orator addresses himself to a particular audience, and must have a regard to their particular genius, interests, opinions, passions, and prejudices; otherwise he hopes in vain to govern their resolutions, and inflame their affections. Should they even have entertained some prepossessions against him, however unreasonable, he must not overlook this disadvantage; but, before he enters upon the subject, must endeavour to conciliate their affection, and acquire their good graces. A critic of a different age or nation, who should peruse this discourse, must have all these circumstances in his eye, and must place himself in the same situation as the audience, in order to form a true judgment of the oration. In like manner, when any work is addressed to the public, though I should have a friendship or enmity with the author, I must depart from this situation; and considering myself as a man in general, forget, if possible, my individual being, and my peculiar circumstances. A person influenced by prejudice, complies not with this condition, but obstinately maintains his natural position, without placing himself in that point of view which the performance supposes. If the work be addressed to persons of a different age or nation, he makes no allowance for their peculiar views and prejudices; but, full of the manners of his own age and country, rashly condemns what seemed admirable in the eyes of those for whom alone the discourse was calculated. If the work be executed for the public, he never sufficiently enlarges his comprehension, or forgets his interest as a friend or enemy, as a rival or commentator. By this means, his sentiments are perverted; nor have the same beauties and blemishes the same influence upon him, as if he had imposed a proper violence on his imagination, and had forgotten himself for a moment. So far his taste evidently departs from the true standard, and of consequence loses all credit and authority.

It is well known, that in all questions submitted to the understanding, prejudice is destructive of sound judgment, and perverts all operations of the intellectual faculties: It is no less contrary to good taste: nor has it less influence to corrupt our sentiment of beauty. It belongs to *good sense* to check its influence in both cases; and in this respect, as well as in many others, reason, if not an essential part of taste, is at least requisite to the operations of this latter faculty. In all the nobler productions of genius, there is a mutual relation and correspondence of parts; nor can either the beauties or blemishes be perceived by him, whose thought is not capacious enough to comprehend all those parts, and compare them with each other, in order to perceive the consistence and uniformity of the whole. Every work of art has also a certain end or purpose for which it is calculated; and is to be deemed more or less perfect, as it is more or less fitted to attain this end. The object of eloquence is to persuade, of history to instruct, of poetry to please, by means of the passions and the imagination. These ends we must carry constantly in our view when we peruse any performance; and we must be able to judge how far the means employed are adapted to their respective purposes. Besides, every kind of composition, even the most poetical, is nothing but a chain of propositions and reasonings; not always indeed, the justest and most exact, but still plausible and specious, however disguised by the colouring of the imagination. The persons introduced in tragedy and epic poetry, must be represented as reasoning, and thinking, and concluding, and acting, suitably to their character and circumstances; and without judgment, as well as taste and invention, a poet can never hope to succeed in so delicate an undertaking. Not to mention, that the same excellence of faculties which contributes to the improvement of reason, the same clearness of conception, the same exactness of distinction, the same vivacity

of apprehension, are essential to the operations of true taste, and are its infallible concomitants. It seldom or never happens, that a man of sense, who has experience in any art, cannot judge of its beauty; and it is no less rare to meet with a man who has a just taste without a sound understanding.

Thus, though the principles of taste be universal, and nearly, if not entirely, the same in all men; yet few are qualified to give judgment on any work of art, or establish their own sentiment as the standard of beauty. The organs of internal sensation are seldom so perfect as to allow the general principles their full play, and produce a feeling correspondent to those principles. They either labour under some defect, or are vitiated by some disorder; and by that means, excite a sentiment, which may be pronounced erroneous. When the critic has no delicacy, he judges without any distinction, and is only affected by the grosser and more palpable qualities of the object: The finer touches pass unnoticed and disregarded. Where he is not aided by practice, his verdict is attended with confusion and hesitation. Where no comparison has been employed, the most frivolous beauties, such as rather merit the name of defects, are the object of his admiration. Where he lies under the influence of prejudice, all his natural sentiments are perverted. Where good sense is wanting, he is not qualified to discern the beauties of design and reasoning, which are the highest and most excellent. Under some or other of these imperfections, the generality of men labour; and hence a true judge in the finer arts is observed, even during the most polished ages, to be so rare a character: Strong sense, united to delicate sentiment, improved by practice, perfected by comparison, and cleared of all prejudice, can alone entitle critics to this valuable character; and the joint verdict of such, wherever they are to be found, is the true standard of taste and beauty.

But where are such critics to be found? By what marks are they to be known? How distinguish them from pretenders? These questions are embarrassing; and seem to throw us back into the same uncertainty, from which, during the course of this essay, we have endeavoured to extricate ourselves.

But if we consider the matter aright, these are questions of fact, not of sentiment. Whether any particular person be endowed with good sense and a delicate imagination, free from prejudice, may often be the subject of dispute, and be liable to great discussion and inquiry: But that such a character is valuable and estimable, will be agreed in by all mankind. Where these doubts occur, men can do no more than in other disputable questions which are submitted to the understanding: They must produce the best arguments, that their invention suggests to them; they must acknowledge, a true and decisive standard to exist somewhere, to wit, real existence and matter of fact; and they must have indulgence to such as differ from them in their appeals to this standard. It is sufficient for our present purpose, if we have proved, that the taste of all individuals is not upon an equal footing, and that some men in general, however difficult to be particularly pitched upon, will be acknowledged by universal sentiment to have a preference above others.

But in reality, the difficulty of finding, even in particulars, the standard of taste, is not so great as it is represented. Though in speculation, we may readily avow a certain criterion in science, and deny it in sentiment, the matter is found in practice to be much more hard to ascertain in the former case than in the latter. Theories of abstract philosophy, systems of profound theology, have prevailed during one age: In a successive period, these have been universally exploded: Their absurdity has been detected: Other theories and systems have supplied their place, which again gave place to their successors: And nothing has been experienced more liable to the revolutions of chance and fashion than these pretended decisions of science. The case is not the same with the beauties of eloquence and poetry. Just expressions of passion and nature are sure, after a little time, to gain public applause, which they maintain for ever. Aristotle, and Plato, and Epicurus, and Descartes, may successively yield to each

other: But Terence and Virgil maintain an universal, undisputed empire over the minds of men. The abstract philosophy of Cicero has lost its credit: The vehemence of his oratory is still the object of our admiration.

Though men of delicate taste be rare, they are easily to be distinguished in society by the soundness of their understanding, and the superiority of their faculties above the rest of mankind. The ascendant, which they acquire, gives a prevalence to that lively approbation, with which they receive any productions of genius, and renders it generally predominant. Many men, when left to themselves, have but a faint and dubious perception of beauty, who yet are capable of relishing any fine stroke which is pointed out to them. Every convert to the admiration of the real poet or orator is the cause of some new conversion. And though prejudices may prevail for a time, they never unite in celebrating any rival to the true genius, but yield at last to the force of nature and just sentiment. Thus, though a civilized nation may easily be mistaken in the choice of their admired philosopher, they never have been found long to err, in their affection for a favourite epic or tragic author.

But notwithstanding all our endeavours to fix a standard of taste, and reconcile the discordant apprehensions of men, there still remain two sources of variation, which are not sufficient indeed to confound all the boundaries of beauty and deformity, but will often serve to produce a difference in the degrees of our approbation or blame. The one is the different humours of particular men; the other, the particular manners and opinions of our age and country. The general principles of taste are uniform in human nature: Where men vary in their judgments, some defect or perversion in the faculties may commonly be remarked; proceeding either from prejudice, from want of practice, or want of delicacy: and there is just reason for approving one taste, and condemning another. But where there is such a diversity in the internal frame or external situation as is entirely blameless on both sides, and leaves no room to give one the preference above the other; in that case a certain degree of diversity in judgment is unavoidable, and we seek in vain for a standard, by which we can reconcile the contrary sentiments.

A young man, whose passions are warm, will be more sensibly touched with amorous and tender images, than a man more advanced in years, who takes pleasure in wise, philosophical reflections, concerning the conduct of life and moderation of the passions. At twenty, Ovid may be the favourite author; Horace at forty; and perhaps Tacitus at fifty. Vainly would we, in such cases, endeavour to enter into the sentiments of others, and divest ourselves of those propensities which are natural to us. We choose our favourite author as we do our friend, from a conformity of humour and disposition. Mirth or passion, sentiment or reflection; which ever of these most predominates in our temper, it gives us a peculiar sympathy with the writer who resembles us.

One person is more pleased with the sublime; another with the tender; a third with raillery. One has a strong sensibility to blemishes, and is extremely studious of correctness: Another has a more lively feeling of beauties, and pardons twenty absurdities and defects for one elevated or pathetic stroke. The ear of this man is entirely turned towards conciseness and energy; that man is delighted with a copious, rich, and harmonious expression. Simplicity is affected by one; ornament by another. Comedy, tragedy, satire, odes, have each its partizans, who prefer that particular species of writing to all others. It is plainly an error in a critic, to confine his approbation to one species or style of writing, and condemn all the rest. But it is almost impossible not to feel a predilection for that which suits our particular turn and disposition. Such preferences are innocent and unavoidable, and can never reasonably be the object of dispute, because there is no standard by which they can be decided.

For a like reason, we are more pleased, in the course of our reading, with pictures and characters that resemble objects which are found in our own age or country, than with those which describe a different set of customs. It is not without some effort, that we reconcile ourselves to the simplicity of ancient manners, and behold princesses

carrying water from the spring, and kings and heroes dressing their own victuals. We may allow in general, that the representation of such manners is no fault in the author, nor deformity in the piece; but we are not so sensibly touched with them. For this reason, comedy is not easily transferred from one age or nation to another. A Frenchman or Englishman is not pleased with the *Andria* of Terence, or *Clitia* of Machiavel; where the fine lady, upon whom all the play turns, never once appears to the spectators, but is always kept behind the scenes, suitably to the reserved humour of the ancient Greeks and modern Italians. A man of learning and reflection can make allowance for these peculiarities of manners; but a common audience can never divest themselves so far of their usual ideas and sentiments, as to relish pictures which nowise resemble them.

But here there occurs a reflection, which may, perhaps, be useful in examining the celebrated controversy concerning ancient and modern learning; where we often find the one side excusing any seeming absurdity in the ancients from the manners of the age, and the other refusing to admit this excuse, or at least admitting it only as an apology for the author, not for the performance. In my opinion, the proper boundaries in this subject have seldom been fixed between the contending parties. Where any innocent peculiarities of manners are represented, such as those above mentioned, they ought certainly to be admitted; and a man, who is shocked with them, gives an evident proof of false delicacy and refinement. The poet's *monument more durable than brass*, must fall to the ground like common brick or clay, were men to make no allowance for the continual revolutions of manners and customs, and would admit of nothing but what was suitable to the prevailing fashion. Must we throw aside the pictures of our ancestors, because of their ruffs and fardingales? But where the ideas of morality and decency alter from one age to another, and where vicious manners are described, without being marked with the proper characters of blame and disapprobation, this must be allowed to disfigure the poem, and to be a real deformity. I cannot, nor is it proper I should, enter into such sentiments; and however I may excuse the poet, on account of the manners of his age, I never can relish the composition. The want of humanity and of decency, so conspicuous in the characters drawn by several of the ancient poets, even sometimes by Homer and the Greek tragedians, diminishes considerably the merit of their noble performances, and gives modern authors an advantage over them. We are not interested in the fortunes and sentiments of such rough heroes; We are displeased to find the limits of vice and virtue so much confounded; and whatever indulgence we may give to the writer on account of his prejudices, we cannot prevail on ourselves to enter into his sentiments, or bear an affection to characters, which we plainly discover to be blameable.

The case is not the same with moral principles as with speculative opinions of any kind. These are in continual flux and revolution. The son embraces a different system from the father. Nay there scarcely is any man, who can boast of great constancy and uniformity in this particular. Whatever speculative errors may be found in the polite writings of any age or country, they detract but little from the value of those compositions. There needs but a certain turn of thought or imagination to make us enter into all the opinions, which then prevail, and relish the sentiments or conclusions derived from them. But a very violent effort is requisite to change our judgment of manners, and excite sentiments of approbation or blame, love or hatred, different from those to which the mind, from long custom, has been familiarized. And where a man is confident of the rectitude of that moral standard, by which he judges, he is justly jealous of it, and will not pervert the sentiments of his heart for a moment, in complaisance to any writer whatsoever.

Of all speculative errors, those which regard religion are the most excusable in compositions of genius; nor is it ever permitted to judge of the civility or wisdom of any people, or even of single persons, by the grossness or refinement of their theological principles. The same good sense, that directs men in the ordinary occurrences of

life, is not hearkened to in religious matters, which are supposed to be placed altogether above the cognisance of human reason. On this account, all the absurdities of the pagan system of theology must be overlooked by every critic, who would pretend to form a just notion of ancient poetry; and our posterity, in their turn, must have the same indulgence to their forefathers. No religious principles can ever be imputed as a fault to any poet, while they remain merely principles, and take not such strong possession of his heart, as to lay him under the imputation of *bigotry* or *superstition*. Where that happens, they confound the sentiments of morality, and alter the natural boundaries of vice and virtue. They are therefore eternal blemishes, according to the principle above mentioned; nor are the prejudices and false opinions of the age sufficient to justify them.

It is essential to the Roman Catholic religion to inspire a violent hatred of every other worship, and to represent all pagans, mahometans, and heretics, as the objects of Divine wrath and vengeance. Such sentiments, though they are in reality very blameable, are considered as virtues by the zealots of that communion, and are represented in their tragedies and epic poems as a kind of divine heroism. This bigotry has disfigured two very fine tragedies of the French theatre, POLIEUCTE and ATHALIA; where an intemperate zeal for particular modes of worship is set off with all the pomp imaginable, and forms the predominant character of the heroes. “What is this,” says the sublime JOAD to JOSABET, finding her in discourse with MATHAN the priest of BAAL, “Does the daughter of DAVID speak to this traitor? Are you not afraid, lest the earth should open and pour forth flames to devour you both? Or lest these holy walls should fall and crush you together? What is his purpose? Why comes that enemy of God hither to poison the air, which we breathe, with his horrid presence?” Such sentiments are received with great applause on the theatre of Paris; but at London the spectators would be full as much pleased to hear Achilles tell Agamemnon, that he was a dog in his forehead, and a deer in his heart; or Jupiter threaten Juno with a sound drubbing, if she will not be quiet.

Religious principles are also a blemish in any polite composition, when they rise up to superstition, and intrude themselves into every sentiment, however remote from any connection with religion. It is no excuse for the poet, that the customs of his country had burthened life with so many religious ceremonies and observances, that no part of it was exempt from that yoke. It must for ever be ridiculous in Petrarch to compare his mistress, LAURA, to JESUS CHRIST. Nor is it less ridiculous in that agreeable libertine, Boccace, very seriously to give thanks to GOD ALMIGHTY and the ladies, for their assistance in defending him against his enemies.

Immanuel Kant – On the Aesthetic Taste

Critique of the Aesthetical Judgement

1: The judgement of taste is aesthetical

In order to decide whether anything is beautiful or not, we refer the representation, not by the Understanding to the Object for cognition but, by the Imagination (perhaps in conjunction with the Understanding) to the subject, and its feeling of pleasure or pain. The judgement of taste is therefore not a judgement of cognition, and is consequently not logical but aesthetical, by which we understand that whose determining ground can be *no other than subjective*. Every reference of representations, even that of sensations, may be objective (and then it signifies the real in an empirical representation); save only the reference to the feeling of pleasure and pain, by which nothing in the Object is signified, but through which there is a feeling in the subject, as it is affected by the representation.

To apprehend a regular, purposive building by means of one's cognitive faculty (whether in a clear or a confused way of representation) is something quite different from being conscious of this representation as connected with the sensation of satisfaction. Here the representation is altogether referred to the subject and to its feeling of life, under the name of the feeling of pleasure or pain. This establishes a quite separate faculty of distinction and of judgement, adding nothing to cognition, but only comparing the given representation in the subject with the whole faculty of representations, of which the mind is conscious in the feeling of its state. Given representations in a judgement can be empirical (consequently, aesthetical); but the judgement which is formed by means of them is logical, provided they are referred in the judgement to the Object. Conversely, if the given representations are rational, but are referred in a judgement simply to the subject (to its feeling), the judgement is so far always aesthetical.

2: The satisfaction which determines the judgement of taste is disinterested

The satisfaction which we combine with the representation of the existence of an object is called interest. Such satisfaction always has reference to the faculty of desire, either as its determining ground or as necessarily connected with its determining ground. Now when the question is if a thing is beautiful, we do not want to know whether anything depends or can depend on the existence of the thing either for myself or for any one else, but how we judge it by mere observation (intuition or reflection). If any one asks me if I find that palace beautiful

which I see before me, I may answer: I do not like things of that kind which are made merely to be stared at. Or I can answer like that Iroquois *sachem* who was pleased in Paris by nothing more than by the cook-shops. Or again after the manner of *Rousseau* I may rebuke the vanity of the great who waste the sweat of the people on such superfluous things. In fine I could easily convince myself that if I found myself on an uninhabited island without the hope of ever again coming among men, and could conjure up just such a splendid building by my mere wish, I should not even give myself the trouble if I had a sufficiently comfortable hut. This may all be admitted and approved; but we are not now talking of this. We wish only to know if this mere representation of the object is accompanied in me with satisfaction, however indifferent I may be as regards the existence of the object of this representation. We easily see that in saying it is *beautiful* and in showing that I have taste, I am concerned, not with that in which I depend on the existence of the object, but with that which I make out of this representation in myself. Every one must admit that a judgement about beauty, in which the least interest mingles, is very partial and is not a pure judgement of taste. We must not be in the least prejudiced in favour of the existence of the things, but be quite indifferent in this respect, in order to play the judge in things of taste.

We cannot, however, better elucidate this proposition, which is of capital importance, than by contrasting the pure disinterested satisfaction in judgements of taste, with that which is bound up with an interest, especially if we can at the same time be certain that there are no other kinds of interest than those which are now to be specified.

3: The satisfaction in the PLEASANT is bound up with interest

That which pleases the senses in sensation is PLEASANT. Here the opportunity presents itself of censuring a very common confusion of the double sense which the word sensation can have, and of calling attention to it. All satisfaction (it is said or thought) is itself sensation (of a pleasure). Consequently everything that pleases is pleasant because it pleases (and according to its different degrees or its relations to other pleasant sensations it is *agreeable, lovely, delightful, enjoyable*, etc.). But if this be admitted, then impressions of Sense which determine the inclination, fundamental propositions of Reason which determine the Will, mere reflective forms of intuition which determine the Judgement, are quite the same, as regards the effect upon the feeling of pleasure. For this would be pleasantness in the sensation of one's state, and since in the end all the operations of our faculties must issue in the practical and unite in it as their goal, we could suppose no other way of estimating things and their worth than that which consists in the gratification that they promise. It is of no consequence at all how this is attained, and since then the choice of means alone could make a difference, men could indeed blame one another for stupidity and indiscretion, but never for baseness and wickedness. For all, each according to his own way of seeing things, seek one goal, that is, gratification.

If a determination of the feeling of pleasure or pain is called sensation, this expression signifies something quite different from what I mean when I call the representation of a thing (by sense, as a receptivity belonging to the cognitive faculty) sensation. For in the latter case the representation is referred to the Object, in the former simply to the subject, and is available for no cognition whatever, not even for that by which the subject *cognises* itself.

In the above elucidation we understand by the word sensation, an objective representation of sense; and in order to avoid misinterpretation, we shall call that, which must always remain merely subjective and can constitute absolutely no representation of an object, by the ordinary term "feeling." The green colour of the meadows belongs to *objective* sensation, as a perception of an object of sense; the pleasantness of this belongs to *subjective* sensation by which no object is represented, *i.e.* to feeling, by which the object is considered as an Object of satisfaction (which does not furnish a cognition of it).

Now that a judgement about an object, by which I describe it as pleasant, expresses an interest in it, is plain from the fact that by sensation it excites a desire for objects of that kind; consequently the satisfaction presupposes not the mere judgement about it, but the relation of its existence to my state, so far as this is affected by such an Object. Hence we do not merely say of the pleasant, *it pleases*; but, *it gratifies*. I give to it no mere approval, but inclination is aroused by it; and in the case of what is pleasant in the most lively fashion, there is no judgement at all upon the character of the Object, for those who always lay themselves out only for enjoyment (for that is the word describing intense gratification) would fain dispense with all judgement.

4: The satisfaction in the GOOD is bound up with interest

Whatever by means of Reason pleases through the mere concept is GOOD. That which pleases only as a means we call *good for something* (the useful); but that which pleases for itself is *good in itself*. In both there is always involved the concept of a purpose, and consequently the relation of Reason to the (at least possible) volition, and thus a satisfaction in the *presence* of an Object or an action, *i.e.* some kind of interest.

In order to find anything good, I must always know what sort of a thing the object ought to be, *i.e.* I must have a concept of it. But there is no need of this, to find a thing beautiful. Flowers, free delineations, outlines intertwined with one another without design and called foliage, have no meaning, depend on no definite concept, and yet they please. The satisfaction in the beautiful must depend on the reflection upon an object, leading to any concept (however indefinite); and it is thus distinguished from the pleasant which rests entirely upon sensation.

It is true, the Pleasant seems in many cases to be the same as the Good. Thus people are accustomed to say that all gratification (especially if it lasts) is good in itself; which is very much the same as to say that lasting pleasure and the good are the same. But we can soon see that this is merely a confusion of words; for the concepts which properly belong to these expressions can in no way be interchanged. The pleasant, which, as such, represents the object simply in relation to Sense, must first be brought by the concept of a purpose under principles of Reason, in order to call it good, as an object of the Will. But that there is [involved] a quite different relation to satisfaction in calling that which gratifies at the same time *good*, may be seen from the fact that in the case of the good the question always is, whether it is mediately or immediately good (useful or good in itself); but on the contrary in the case of the pleasant there can be no question about this at all, for the word always signifies something which pleases immediately. (The same is applicable to what I call beautiful).

Even in common speech men distinguish the Pleasant from the Good. Of a dish which stimulates the taste by spices and other condiments we say unhesitatingly that it is pleasant, though it is at the same time admitted not to be good; for though it immediately *delights* the senses, yet mediately, *i.e.* considered by Reason which looks to the after results, it displeases. Even in the judging of health we may notice this distinction. It is immediately pleasant to every one possessing it (at least negatively, *i.e.* as the absence of all bodily pains). But in order to say that it is good, it must be considered by Reason with reference to purposes; *viz.* that it is a state which makes us fit for all our business. Finally in respect of happiness every one believes himself entitled to describe the greatest sum of the pleasantnesses of life (as regards both their number and their duration) as a true, even as the highest, good. However Reason is opposed to this. Pleasantness is enjoyment. And if we were concerned with this alone, it would be foolish to be scrupulous as regards the means which procure it for us, or [to care] whether it is obtained passively by the bounty of nature or by our own activity and work. But Reason can never be persuaded that the existence of a man who merely lives for *enjoyment* (however busy he may be in this point of view), has a worth in itself; even if he at the same time is conducive as a means to the best enjoyment of others, and shares in all their

gratifications by sympathy. Only what he does, without reference to enjoyment, in full freedom and independently of what nature can procure for him passively, gives an [absolute] worth to his being, as the existence of a person; and happiness, with the whole abundance of its pleasures, is far from being an unconditioned good.

However, notwithstanding all this difference between the pleasant and the good, they both agree in this that they are always bound up with an interest in their object. [This is true] not only of the pleasant (§ 3), and the mediate good (the useful) which is pleasing as a means towards pleasantness somewhere, but also of that which is good absolutely and in every aspect, viz. moral good, which brings with it the highest interest. For the good is the Object of will (*i.e.* of a faculty of desire determined by Reason). But to will something, and to have a satisfaction in its existence, *i.e.* to take an interest in it, are identical.

5: Comparison of the three specifically different kinds of satisfaction

The pleasant and the good have both a reference to the faculty of desire; and they bring with them—the former a satisfaction pathologically conditioned (by impulses, *stimuli*)—the latter a pure practical satisfaction, which is determined not merely by the representation of the object, but also by the represented connexion of the subject with the existence of the object. [It is not merely the object that pleases, but also its existence.] On the other hand, the judgement of taste is merely *contemplative*; *i.e.* it is a judgement which, indifferent as regards the being of an object, compares its character with the feeling of pleasure and pain. But this contemplation itself is not directed to concepts; for the judgement of taste is not a cognitive judgement (either theoretical or practical), and thus is not *based* on concepts, nor has it concepts as its *purpose*.

The Pleasant, the Beautiful, and the Good, designate then, three different relations of representations to the feeling of pleasure and pain, in reference to which we distinguish from each other objects or methods of representing them. And the expressions corresponding to each, by which we mark our complacency in them, are not the same. That which GRATIFIES a man is called *pleasant*; that which merely PLEASES him is *beautiful*; that which is ESTEEMED [or *approved*] by him, *i.e.* that to which he accords an objective worth, is *good*. Pleasantness concerns irrational animals also; but Beauty only concerns men, *i.e.* animal, but still rational, beings—not merely *quâ* rational (*e.g.* spirits), but *quâ* animal also; and the Good concerns every rational being in general. This is a proposition which can only be completely established and explained in the sequel. We may say that of all these three kinds of satisfaction, that of taste in the Beautiful is alone a disinterested and *free* satisfaction; for no interest, either of Sense or of Reason, here forces our assent. Hence we may say of satisfaction that it is related in the three aforesaid cases to *inclination*, to *favour*, or to *respect*. Now *favour* is the only free satisfaction. An object of inclination, and one that is proposed to our desire by a law of Reason, leave us no freedom in forming for ourselves anywhere an object of pleasure. All interest presupposes or generates a want; and, as the determining ground of assent, it leaves the judgement about the object no longer free.

As regards the interest of inclination in the case of the Pleasant, every one says that hunger is the best sauce, and everything that is eatable is relished by people with a healthy appetite; and thus a satisfaction of this sort does not indicate choice directed by taste. It is only when the want is appeased that we can distinguish which of many men has or has not taste. In the same way there may be manners (conduct) without virtue, politeness without good-will, decorum without modesty, etc. For where the moral law speaks there is no longer, objectively, a free choice as regards what is to be done; and to display taste in its fulfilment (or in judging of another's fulfilment of it) is something quite different from manifesting the moral attitude of thought. For this involves a command and

generates a want, whilst moral taste only plays with the objects of satisfaction, without attaching itself to one of them.

EXPLANATION OF THE BEAUTIFUL RESULTING FROM THE FIRST MOMENT

Taste is the faculty of judging of an object or a method of representing it by an *entirely disinterested* satisfaction or dissatisfaction. The object of such satisfaction is called *beautiful*.

SECOND MOMENT: OF THE JUDGEMENT OF TASTE, VIZ. ACCORDING TO QUANTITY

6: The beautiful is that which apart from concepts is represented as the object of a universal satisfaction

This explanation of the beautiful can be derived from the preceding explanation of it as the object of an entirely disinterested satisfaction. For the fact of which every one is conscious, that the satisfaction is for him quite disinterested, implies in his judgement a ground of satisfaction for every one. For since it does not rest on any inclination of the subject (nor upon any other premeditated interest), but since he who judges feels himself quite *free* as regards the satisfaction which he attaches to the object, he cannot find the ground of this satisfaction in any private conditions connected with his own subject; and hence it must be regarded as grounded on what he can presuppose in every other man. Consequently he must believe that he has reason for attributing a similar satisfaction to every one. He will therefore speak of the beautiful, as if beauty were a characteristic of the object and the judgement logical (constituting a cognition of the Object by means of concepts of it); although it is only aesthetical and involves merely a reference of the representation of the object to the subject. For it has this similarity to a logical judgement that we can presuppose its validity for every one. But this universality cannot arise from concepts; for from concepts there is no transition to the feeling of pleasure or pain (except in pure practical laws, which bring an interest with them such as is not bound up with the pure judgement of taste). Consequently the judgement of taste, accompanied with the consciousness of separation from all interest, must claim validity for every one, without this universality depending on Objects. That is, there must be bound up with it a title to subjective universality.

7: Comparison of the Beautiful with the Pleasant and the Good by means of the above characteristic

As regards the Pleasant every one is content that his judgement, which he bases upon private feeling, and by which he says of an object that it pleases him, should be limited merely to his own person. Thus he is quite contented that if he says “Canary wine is pleasant,” another man may correct his expression and remind him that he ought to say “It is pleasant *to me*.” And this is the case not only as regards the taste of the tongue, the palate, and the throat, but for whatever is pleasant to any one’s eyes and ears. To one violet colour is soft and lovely, to another it is faded and dead. One man likes the tone of wind instruments, another that of strings. To strive here with the design of reproving as incorrect another man’s judgement which is different from our own, as if the judgements were logically opposed, would be folly. As regards the pleasant therefore the fundamental proposition is valid, *every one has his own taste* (the taste of Sense).

The case is quite different with the Beautiful. It would (on the contrary) be laughable if a man who imagined anything to his own taste, thought to justify himself by saying: “This object (the house we see, the coat that person wears, the concert we hear, the poem submitted to our judgement) is beautiful *for me*.” For he must not call it *beautiful* if it merely pleases himself. Many things may have for him charm and pleasantness; no one troubles

himself at that; but if he gives out anything as beautiful, he supposes in others the same satisfaction—he judges not merely for himself, but for every one, and speaks of beauty as if it were a property of things. Hence he says “the *thing* is beautiful”; and he does not count on the agreement of others with this his judgement of satisfaction, because he has found this agreement several times before, but he *demand*s it of them. He blames them if they judge otherwise and he denies them taste, which he nevertheless requires from them. Here then we cannot say that each man has his own particular taste. For this would be as much as to say that there is no taste whatever; *i.e.* no aesthetical judgement, which can make a rightful claim upon every one’s assent.

At the same time we find as regards the Pleasant that there is an agreement among men in their judgements upon it, in regard to which we deny Taste to some and attribute it to others; by this not meaning one of our organic senses, but a faculty of judging in respect of the pleasant generally. Thus we say of a man who knows how to entertain his guests with pleasures (of enjoyment for all the senses), so that they are all pleased, “he has taste.” But here the universality is only taken comparatively; and there emerge rules which are only *general* (like all empirical ones), and not *universal*; which latter the judgement of Taste upon the beautiful undertakes or lays claim to. It is a judgement in reference to sociability, so far as this rests on empirical rules. In respect of the Good it is true that judgements make rightful claim to validity for every one; but the Good is represented only *by means of a concept* as the Object of a universal satisfaction, which is the case neither with the Pleasant nor with the Beautiful.

8: The universality of the satisfaction is represented in a judgement of Taste only as subjective

This particular determination of the universality of an aesthetical judgement, which is to be met with in a judgement of taste, is noteworthy, not indeed for the logician, but for the transcendental philosopher. It requires no small trouble to discover its origin, but we thus detect a property of our cognitive faculty which without this analysis would remain unknown.

First, we must be fully convinced of the fact that in a judgement of taste (about the Beautiful) the satisfaction in the object is imputed to *every one*, without being based on a concept (for then it would be the Good). Further, this claim to universal validity so essentially belongs to a judgement by which we describe anything as *beautiful*, that if this were not thought in it, it would never come into our thoughts to use the expression at all, but everything which pleases without a concept would be counted as pleasant. In respect of the latter every one has his own opinion; and no one assumes, in another, agreement with his judgement of taste, which is always the case in a judgement of taste about beauty. I may call the first the taste of Sense, the second the taste of Reflection; so far as the first lays down mere private judgements, and the second judgements supposed to be generally valid (public), but in both cases aesthetical (not practical) judgements about an object merely in respect of the relation of its representation to the feeling of pleasure and pain. Now here is something strange. As regards the taste of Sense not only does experience show that its judgement (of pleasure or pain connected with anything) is not valid universally, but every one is content not to impute agreement with it to others (although actually there is often found a very extended concurrence in these judgements). On the other hand, the taste of Reflection has its claim to the universal validity of its judgements (about the beautiful) rejected often enough, as experience teaches; although it may find it possible (as it actually does) to represent judgements which can demand this universal agreement. In fact for each of its judgements of taste it imputes this to every one, without the persons that judge disputing as to the possibility of such a claim; although in particular cases they cannot agree as to the correct application of this faculty.

Here we must, in the first place, remark that a universality which does not rest on concepts of Objects (not even

on empirical ones) is not logical but aesthetical, *i.e.* it involves no objective quantity of the judgement but only that which is subjective. For this I use the expression *general validity* which signifies the validity of the reference of a representation, not to the cognitive faculty but, to the feeling of pleasure and pain for every subject. (We can avail ourselves also of the same expression for the logical quantity of the judgement, if only we prefix *objective* to “universal validity,” to distinguish it from that which is merely subjective and aesthetical.)

A judgement with *objective universal validity* is also always valid subjectively; *i.e.* if the judgement holds for everything contained under a given concept, it holds also for every one who represents an object by means of this concept. But from a *subjective universal validity*, *i.e.* aesthetical and resting on no concept, we cannot infer that which is logical; because that kind of judgement does not extend to the Object. Hence the aesthetical universality which is ascribed to a judgement must be of a particular kind, because it does not unite the predicate of beauty with the concept of the *Object*, considered in its whole logical sphere, and yet extends it to the whole sphere of judging persons.

In respect of logical quantity all judgements of taste are *singular* judgements. For because I must refer the object immediately to my feeling of pleasure and pain, and that not by means of concepts, they cannot have the quantity of objective generally valid judgements. Nevertheless if the singular representation of the Object of the judgement of taste in accordance with the conditions determining the latter, were transformed by comparison into a concept, a logically universal judgement could result therefrom. *E.g.* I describe by a judgement of taste the rose, that I see, as beautiful. But the judgement which results from the comparison of several singular judgements, “Roses in general are beautiful” is no longer described simply as aesthetical, but as a logical judgement based on an aesthetical one. Again the judgement “The rose is pleasant” (to smell) is, although aesthetical and singular, not a judgement of Taste but of Sense. It is distinguished from the former by the fact that the judgement of Taste carries with it an *aesthetical quantity* of universality, *i.e.* of validity for every one; which cannot be found in a judgement about the Pleasant. It is only judgements about the Good which—although they also determine satisfaction in an object,—have logical and not merely aesthetical universality; for they are valid of the Object, as cognitive of it, and thus are valid for every one.

If we judge Objects merely according to concepts, then all representation of beauty is lost. Thus there can be no rule according to which any one is to be forced to recognise anything as beautiful. We cannot press [upon others] by the aid of any reasons or fundamental propositions our judgement that a coat, a house, or a flower is beautiful. We wish to submit the Object to our own eyes, as if the satisfaction in it depended on sensation; and yet if we then call the object beautiful, we believe that we speak with a universal voice, and we claim the assent of every one, although on the contrary all private sensation can only decide for the observer himself and his satisfaction.

We may see now that in the judgement of taste nothing is postulated but such a *universal voice*, in respect of the satisfaction without the intervention of concepts; and thus the *possibility* of an aesthetical judgement that can, at the same time, be regarded as valid for every one. The judgement of taste itself does not *postulate* the agreement of every one (for that can only be done by a logically universal judgement because it can adduce reasons); it only *imputes* this agreement to every one, as a case of the rule in respect of which it expects, not confirmation by concepts, but assent from others. The universal voice is, therefore, only an Idea (we do not yet inquire upon what it rests). It may be uncertain whether or not the man, who believes that he is laying down a judgement of taste, is, as a matter of fact, judging in conformity with that idea; but that he refers his judgement thereto, and, consequently, that it is intended to be a judgement of taste, he announces by the expression “beauty.” He can be quite certain of this for himself by the mere consciousness of the separation of everything belonging to the Pleasant and the Good

from the satisfaction which is left; and this is all for which he promises himself the agreement of every one—a claim which would be justifiable under these conditions, provided only he did not often make mistakes, and thus lay down an erroneous judgement of taste.

9: Investigation of the question whether in the judgement of taste the feeling of pleasure precedes or follows the judging of the object

The solution of this question is the key to the Critique of Taste, and so is worthy of all attention.

If the pleasure in the given object precedes, and it is only its universal communicability that is to be acknowledged in the judgement of taste about the representation of the object, there would be a contradiction. For such pleasure would be nothing different from the mere pleasantness in the sensation, and so in accordance with its nature could have only private validity, because it is immediately dependent on the representation through which the object is given.

Hence, it is the universal capability of communication of the mental state in the given representation which, as the subjective condition of the judgement of taste, must be fundamental, and must have the pleasure in the object as its consequent. But nothing can be universally communicated except cognition and representation, so far as it belongs to cognition. For it is only thus that this latter can be objective; and only through this has it a universal point of reference, with which the representative power of every one is compelled to harmonise. If the determining ground of our judgement as to this universal communicability of the representation is to be merely subjective, *i.e.* is conceived independently of any concept of the object, it can be nothing else than the state of mind, which is to be met with in the relation of our representative powers to each other, so far as they refer a given representation to *cognition in general*.

The cognitive powers, which are involved by this representation, are here in free play, because no definite concept limits them to a particular rule of cognition. Hence, the state of mind in this representation must be a feeling of the free play of the representative powers in a given representation with reference to a cognition in general. Now a representation by which an object is given, that is to become a cognition in general, requires *Imagination*, for the gathering together the manifold of intuition, and *Understanding*, for the unity of the concept uniting the representations. This state of *free play* of the cognitive faculties in a representation by which an object is given, must be universally communicable; because cognition, as the determination of the Object with which given representations (in whatever subject) are to agree, is the only kind of representation which is valid for every one.

The subjective universal communicability of the mode of representation in a judgement of taste, since it is to be possible without presupposing a definite concept, can refer to nothing else than the state of mind in the free play of the Imagination and the Understanding (so far as they agree with each other, as is requisite for *cognition in general*). We are conscious that this subjective relation, suitable for cognition in general, must be valid for every one, and thus must be universally communicable, just as if it were a definite cognition, resting always on that relation as its subjective condition.

This merely subjective (aesthetical) judging of the object, or of the representation by which it is given, precedes the pleasure in it, and is the ground of this pleasure in the harmony of the cognitive faculties; but on the universality of the subjective conditions for judging of objects is alone based the universal subjective validity of the satisfaction bound up by us with the representation of the object that we call beautiful.

The power of communicating one's state of mind, even though only in respect of the cognitive faculties, carries a pleasure with it, as we can easily show from the natural propension of man towards sociability (empirical and psychological). But this is not enough for our design. The pleasure that we feel is, in a judgement of taste, necessarily imputed by us to every one else; as if, when we call a thing beautiful, it is to be regarded as a characteristic of the object which is determined in it according to concepts; though beauty, without a reference to the feeling of the subject, is nothing by itself. But we must reserve the examination of this question until we have answered another, viz. "If and how aesthetical judgements are possible *a priori*?"

We now occupy ourselves with the easier question, in what way we are conscious of a mutual subjective harmony of the cognitive powers with one another in the judgement of taste; is it aesthetically by mere internal sense and sensation? or is it intellectually by the consciousness of our designed activity, by which we bring them into play?

If the given representation, which occasions the judgement of taste, were a concept uniting Understanding and Imagination in the judging of the object, into a cognition of the Object, the consciousness of this relation would be intellectual (as in the objective schematism of the Judgement of which the Critique treats). But then the judgement would not be laid down in reference to pleasure and pain, and consequently would not be a judgement of taste. But the judgement of taste, independently of concepts, determines the Object in respect of satisfaction and of the predicate of beauty. Therefore that subjective unity of relation can only make itself known by means of sensation. The excitement of both faculties (Imagination and Understanding) to indeterminate, but yet, through the stimulus of the given sensation, harmonious activity, viz. that which belongs to cognition in general, is the sensation whose universal communicability is postulated by the judgement of taste. An objective relation can only be thought, but yet, so far as it is subjective according to its conditions, can be felt in its effect on the mind; and, of a relation based on no concept (like the relation of the representative powers to a cognitive faculty in general), no other consciousness is possible than that through the sensation of the effect, which consists in the more lively play of both mental powers (the Imagination and the Understanding) when animated by mutual agreement. A representation which, as singular and apart from comparison with others, yet has an agreement with the conditions of universality which it is the business of the Understanding to supply, brings the cognitive faculties into that proportionate accord which we require for all cognition, and so regard as holding for every one who is determined to judge by means of Understanding and Sense in combination (*i.e.* for every man).

EXPLANATION OF THE BEAUTIFUL RESULTING FROM THE SECOND MOMENT

The *beautiful* is that which pleases universally, without a concept.

THIRD MOMENT: OF JUDGEMENTS OF TASTE, ACCORDING TO THE RELATION OF THE PURPOSES WHICH ARE BROUGHT INTO CONSIDERATION THEREIN.

10: Of purposiveness in general

If we wish to explain what a purpose is according to its transcendental determinations (without presupposing anything empirical like the feeling of pleasure) [we say that] the purpose is the object of a concept, in so far as the concept is regarded as the cause of the object (the real ground of its possibility); and the causality of a *concept* in respect of its *Object* is its purposiveness (*forma finalis*). Where then not merely the cognition of an object, but the object itself (its form and existence) is thought as an effect only possible by means of the concept of this latter, there we think a purpose. The representation of the effect is here the determining ground of its cause and precedes

it. The consciousness of the causality of a representation, for *maintaining* the subject in the same state, may here generally denote what we call pleasure; while on the other hand pain is that representation which contains the ground of the determination of the state of representations into their opposite [of restraining or removing them].

The faculty of desire, so far as it is determinable only through concepts, *i.e.* to act in conformity with the representation of a purpose, would be the Will. But an Object, or a state of mind, or even an action, is called purposive, although its possibility does not necessarily presuppose the representation of a purpose, merely because its possibility can be explained and conceived by us only so far as we assume for its ground a causality according to purposes, *i.e.* a will which would have so disposed it according to the representation of a certain rule. There can be, then, purposiveness without purpose, so far as we do not place the causes of this form in a will, but yet can only make the explanation of its possibility intelligible to ourselves by deriving it from a will. Again, we are not always forced to regard what we observe (in respect of its possibility) from the point of view of Reason. Thus we can at least observe a purposiveness according to form, without basing it on a purpose (as the material of the *nexus finalis*), and we can notice it in objects, although only by reflection.

11: The judgement of taste has nothing at its basis but the form of the purposiveness of an object (or of its mode of representation)

Every purpose, if it be regarded as a ground of satisfaction, always carries with it an interest—as the determining ground of the judgement—about the object of pleasure. Therefore no subjective purpose can lie at the basis of the judgement of taste. But neither can the judgement of taste be determined by any representation of an objective purpose, *i.e.* of the possibility of the object itself in accordance with principles of purposive combination, and consequently it can be determined by no concept of the good; because it is an aesthetical and not a cognitive judgement. It therefore has to do with no *concept* of the character and internal or external possibility of the object by means of this or that cause, but merely with the relation of the representative powers to one another, so far as they are determined by a representation.

Now this relation in the determination of an object as beautiful is bound up with the feeling of pleasure, which is declared by the judgement of taste to be valid for every one; hence a pleasantness, accompanying the representation, can as little contain the determining ground [of the judgement] as the representation of the perfection of the object and the concept of the good can. Therefore it can be nothing else than the subjective purposiveness in the representation of an object without any purpose (either objective or subjective); and thus it is the mere form of purposiveness in the representation by which an object is *given* to us, so far as we are conscious of it, which constitutes the satisfaction that we without a concept judge to be universally communicable; and, consequently, this is the determining ground of the judgement of taste.

12: The judgement of taste rests on a priori grounds

To establish *a priori* the connexion of the feeling of a pleasure or pain as an effect, with any representation whatever (sensation or concept) as its cause, is absolutely impossible; for that would be a [particular] causal relation which (with objects of experience) can always only be cognised *a posteriori*, and through the medium of experience itself. We actually have, indeed, in the Critique of practical Reason, derived from universal moral concepts *a priori* the feeling of respect (as a special and peculiar modification of feeling which will not strictly correspond either to the pleasure or the pain that we get from empirical objects). But there we could go beyond the bounds of experience and call in a causality which rested on a supersensible attribute of the subject, *viz.* freedom.

And even there, properly speaking, it was not this *feeling* which we derived from the Idea of the moral as cause, but merely the determination of the will. But the state of mind which accompanies any determination of the will is in itself a feeling of pleasure and identical with it, and therefore does not follow from it as its effect. This last must only be assumed if the concept of the moral as a good precede the determination of the will by the law; for in that case the pleasure that is bound up with the concept could not be derived from it as from a mere cognition.

Now the case is similar with the pleasure in aesthetical judgements, only that here it is merely contemplative and does not bring about an interest in the Object, which on the other hand in the moral judgement it is practical. The consciousness of the mere formal purposiveness in the play of the subject's cognitive powers, in a representation through which an object is given, is the pleasure itself; because it contains a determining ground of the activity of the subject in respect of the excitement of its cognitive powers, and therefore an inner causality (which is purposive) in respect of cognition in general without however being limited to any definite cognition; and consequently contains a mere form of the subjective purposiveness of a representation in an aesthetical judgement. This pleasure is in no way practical, neither like that arising from the pathological ground of pleasantness, nor that from the intellectual ground of the represented good. But yet it involves causality, viz. of *maintaining* the state of the representation itself, and the exercise of the cognitive powers without further design. We *linger* over the contemplation of the beautiful, because this contemplation strengthens and reproduces itself, which is analogous to (though not of the same kind as) that lingering which takes place when a [physical] charm in the representation of the object repeatedly arouses the attention, the mind being passive.

13: The pure judgement of taste is independent of charm and emotion

Every interest spoils the judgement of taste and takes from its impartiality, especially if the purposiveness is not, as with the interest of Reason, placed before the feeling of pleasure but grounded on it. This last always happens in an aesthetical judgement upon anything so far as it gratifies or grieves us. Hence judgements so affected can lay no claim at all to a universally valid satisfaction, or at least so much the less claim, in proportion as there are sensations of this sort among the determining grounds of taste. That taste is still barbaric which needs a mixture of *charms* and *emotions* in order that there may be satisfaction, and still more so if it make these the measure of its assent.

Nevertheless charms are often not only taken account of in the case of beauty (which properly speaking ought merely to be concerned with form) as contributory to the aesthetical universal satisfaction; but they are passed off as in themselves beauties, and thus the matter of satisfaction is substituted for the form. This misconception, however, like so many others which have something true at their basis, may be removed by a careful definition of these concepts.

A judgement of taste on which charm and emotion have no influence (although they may be bound up with the satisfaction in the beautiful),—which therefore has as its determining ground merely the purposiveness of the form,—is a *pure judgement of taste*.

14: Elucidation by means of examples

Aesthetical judgements can be divided just like theoretical (logical) judgements into empirical and pure. The first assert pleasantness or unpleasantness; the second assert the beauty of an object or of the manner of representing

it. The former are judgements of Sense (material aesthetical judgements); the latter [as formal] are alone strictly judgements of Taste.

A judgement of taste is therefore pure, only so far as no merely empirical satisfaction is mingled with its determining ground. But this always happens if charm or emotion have any share in the judgement by which anything is to be described as beautiful.

Now here many objections present themselves, which fallaciously put forward charm not merely as a necessary ingredient of beauty, but as alone sufficient [to justify] a thing's being called beautiful. A mere colour, *e.g.* the green of a grass plot, a mere tone (as distinguished from sound and noise) like that of a violin, are by most people described as beautiful in themselves; although both seem to have at their basis merely the matter of representations, *viz.* simply sensation, and therefore only deserve to be called pleasant. But we must at the same time remark that the sensations of colours and of tone have a right to be regarded as beautiful only in so far as they are *pure*. This is a determination which concerns their form, and is the only [element] of these representations which admits with certainty of universal communicability; for we cannot assume that the quality of sensations is the same in all subjects, and we can hardly say that the pleasantness of one colour or the tone of one musical instrument is judged preferable to that of another in the same way by every one.

If we assume with *Euler* that colours are isochronous vibrations (*pulsus*) of the aether, as sounds are of the air in a state of disturbance, and,—what is most important,—that the mind not only perceives by sense the effect of these in exciting the organ, but also perceives by reflection the regular play of impressions (and thus the form of the combination of different representations)—which I still do not doubt—then colours and tone cannot be reckoned as mere sensations, but as the formal determination of the unity of a manifold of sensations, and thus as beauties in themselves.

But “pure” in a simple mode of sensation means that its uniformity is troubled and interrupted by no foreign sensation, and it belongs merely to the form; because here we can abstract from the quality of that mode of sensation (abstract from the colours and tone, if any, which it represents). Hence all simple colours, so far as they are pure, are regarded as beautiful; composite colours have not this advantage, because, as they are not simple, we have no standard for judging whether they should be called pure or not.

But as regards the beauty attributed to the object on account of its form, to suppose it to be capable of augmentation through the charm of the object is a common error, and one very prejudicial to genuine, uncorrupted, well-founded taste. We can doubtless add these charms to beauty, in order to interest the mind by the representation of the object, apart from the bare satisfaction [received]; and thus they may serve as a recommendation of taste and its cultivation, especially when it is yet crude and unexercised. But they actually do injury to the judgement of taste if they draw attention to themselves as the grounds for judging of beauty. So far are they from adding to beauty that they must only be admitted by indulgence as aliens; and provided always that they do not disturb the beautiful form, in cases when taste is yet weak and untrained.

In painting, sculpture, and in all the formative arts—in architecture, and horticulture, so far as they are beautiful arts—the *delineation* is the essential thing; and here it is not what gratifies in sensation but what pleases by means of its form that is fundamental for taste. The colours which light up the sketch belong to the charm; they may indeed enliven the object for sensation, but they cannot make it worthy of contemplation and beautiful. In most

cases they are rather limited by the requirements of the beautiful form; and even where charm is permissible it is ennobled solely by this.

Every form of the objects of sense (both of external sense and also mediately of internal) is either *figure* or *play*. In the latter case it is either play of figures (in space, viz. pantomime and dancing), or the mere play of sensations (in time). The *charm* of colours or of the pleasant tones of an instrument may be added; but the *delineation* in the first case and the composition in the second constitute the proper object of the pure judgement of taste. To say that the purity of colours and of tones, or their variety and contrast, seems to add to beauty, does not mean that they supply a homogeneous addition to our satisfaction in the form because they are pleasant in themselves; but they do so, because they make the form more exactly, definitely, and completely, intuitible, and besides by their charm [excite the representation, whilst they] awaken and fix our attention on the object itself.

Even what we call *ornaments* [parerga], *i.e.* those things which do not belong to the complete representation of the object internally as elements but only externally as complements, and which augment the satisfaction of taste, do so only by their form; as for example [the frames of pictures, or] the draperies of statues or the colonnades of palaces. But if the ornament does not itself consist in beautiful form, and if it is used as a golden frame is used, merely to recommend the painting by its *charm*, it is then called *finery* and injures genuine beauty.

Emotion, *i.e.* a sensation in which pleasantness is produced by means of a momentary checking and a consequent more powerful outflow of the vital force, does not belong at all to beauty. But sublimity [with which the feeling of emotion is bound up] requires a different standard of judgement from that which is at the foundation of taste; and thus a pure judgement of taste has for its determining ground neither charm nor emotion, in a word, no sensation as the material of the aesthetical judgement.

15: The judgement of taste is quite independent of the concept of perfection

Objective purposiveness can only be cognised by means of the reference of the manifold to a definite purpose, and therefore only through a concept. From this alone it is plain that the Beautiful, the judging of which has at its basis a merely formal purposiveness, *i.e.* a purposiveness without purpose, is quite independent of the concept of the Good; because the latter presupposes an objective purposiveness, *i.e.* the reference of the object to a definite purpose.

Objective purposiveness is either external, *i.e.* the *utility*, or internal, *i.e.* the *perfection* of the object. That the satisfaction in an object, on account of which we call it beautiful, cannot rest on the representation of its utility, is sufficiently obvious from the two preceding sections; because in that case it would not be an immediate satisfaction in the object, which is the essential condition of a judgement about beauty. But objective internal purposiveness, *i.e.* perfection, comes nearer to the predicate of beauty; and it has been regarded by celebrated philosophers as the same as beauty, with the proviso, *if it is thought in a confused way*. It is of the greatest importance in a Critique of Taste to decide whether beauty can thus actually be resolved into the concept of perfection.

To judge of objective purposiveness we always need not only the concept of a purpose, but (if that purposiveness is not to be external utility but internal) the concept of an internal purpose which shall contain the ground of the internal possibility of the object. Now as a purpose in general is that whose *concept* can be regarded as the ground of the possibility of the object itself; so, in order to represent objective purposiveness in a thing, the concept

of *what sort of thing it is to be* must come first. The agreement of the manifold in it with this concept (which furnishes the rule for combining the manifold) is the *qualitative perfection* of the thing. Quite different from this is *quantitative* perfection, the completeness of a thing after its kind, which is a mere concept of magnitude (of totality). In this *what the thing ought to be* is conceived as already determined, and it is only asked if it has all its requisites. The formal [element] in the representation of a thing, *i.e.* the agreement of the manifold with a unity (it being undetermined what this ought to be), gives to cognition no objective purposiveness whatever. For since abstraction is made of this unity as *purpose* (what the thing ought to be), nothing remains but the subjective purposiveness of the representations in the mind of the intuiting subject. And this, although it furnishes a certain purposiveness of the representative state of the subject, and so a facility of apprehending a given form by the Imagination, yet furnishes no perfection of an Object, since the Object is not here conceived by means of the concept of a purpose. For example, if in a forest I come across a plot of sward, round which trees stand in a circle, and do not then represent to myself a purpose, *viz.* that it is intended to serve for country dances, not the least concept of perfection is furnished by the mere form. But to represent to oneself a formal *objective* purposiveness without purpose, *i.e.* the mere form of a *perfection* (without any matter and without the *concept* of that with which it is accordant, even if it were merely the Idea of conformity to law in general is a veritable contradiction.

Now the judgement of taste is an aesthetical judgement, *i.e.* such as rests on subjective grounds, the determining ground of which cannot be a concept, and consequently cannot be the concept of a definite purpose. Therefore in beauty, regarded as a formal subjective purposiveness, there is in no way thought a perfection of the object, as a wouldbe formal purposiveness, which yet is objective. And thus to distinguish between the concepts of the Beautiful and the Good, as if they were only different in logical form, the first being a confused, the second a clear concept of perfection, but identical in content and origin, is quite fallacious. For then there would be no *specific* difference between them, but a judgement of taste would be as much a cognitive judgement as the judgement by which a thing is described as good; just as when the ordinary man says that fraud is unjust he bases his judgement on confused grounds, whilst the philosopher bases it on clear grounds, but both on identical principles of Reason. I have already, however, said that an aesthetical judgement is unique of its kind, and gives absolutely no cognition (not even a confused cognition) of the Object; this is only supplied by a logical judgement. On the contrary, it simply refers the representation, by which an Object is given, to the subject; and brings to our notice no characteristic of the object, but only the purposive form in the determination of the representative powers which are occupying themselves therewith. The judgement is called aesthetical just because its determining ground is not a concept, but the feeling (of internal sense) of that harmony in the play of the mental powers, so far as it can be felt in sensation. On the other hand, if we wish to call confused concepts and the objective judgement based on them, aesthetical, we shall have an Understanding judging sensibly or a Sense representing its Objects by means of concepts [both of which are contradictory.] The faculty of concepts, be they confused or clear, is the Understanding; and although Understanding has to do with the judgement of taste, as an aesthetical judgement (as it has with all judgements), yet it has to do with it not as a faculty by which an object is cognised, but as the faculty which determines the judgement and its representation (without any concept) in accordance with its relation to the subject and the subject's internal feeling, in so far as this judgement may be possible in accordance with a universal rule.

16: The judgement of taste, by which an object is declared to be beautiful under the condition of a definite concept, is not pure

There are two kinds of beauty; free beauty (*pulchritudo vaga*) or merely dependent beauty (*pulchritudo*

adhaerens). The first presupposes no concept of what the object ought to be; the second does presuppose such a concept and the perfection of the object in accordance therewith. The first is called the (self-subsistent) beauty of this or that thing; the second, as dependent upon a concept (conditioned beauty), is ascribed to Objects which come under the concept of a particular purpose.

Flowers are free natural beauties. Hardly any one but a botanist knows what sort of a thing a flower ought to be; and even he, though recognising in the flower the reproductive organ of the plant, pays no regard to this natural purpose if he is passing judgement on the flower by Taste. There is then at the basis of this judgement no perfection of any kind, no internal purposiveness, to which the collection of the manifold is referred. Many birds (such as the parrot, the humming bird, the bird of paradise), and many sea shells are beauties in themselves, which do not belong to any object determined in respect of its purpose by concepts, but please freely and in themselves. So also delineations *à la grecque*, foliage for borders or wall-papers, mean nothing in themselves; they represent nothing — no Object under a definite concept,—and are free beauties. We can refer to the same class what are called in music phantasies (*i.e.* pieces without any theme), and in fact all music without words.

In the judging of a free beauty (according to the mere form) the judgement of taste is pure. There is presupposed no concept of any purpose, for which the manifold should serve the given Object, and which therefore is to be represented therein. By such a concept the freedom of the Imagination which disports itself in the contemplation of the figure would be only limited.

But human beauty (*i.e.* of a man, a woman, or a child), the beauty of a horse, or a building (be it church, palace, arsenal, or summer-house) presupposes a concept of the purpose which determines what the thing is to be, and consequently a concept of its perfection; it is therefore adherent beauty. Now as the combination of the Pleasant (in sensation) with Beauty, which properly is only concerned with form, is a hindrance to the purity of the judgement of taste; so also is its purity injured by the combination with Beauty of the Good (*viz.* that manifold which is good for the thing itself in accordance with its purpose).

We could add much to a building which would immediately please the eye, if only it were not to be a church. We could adorn a figure with all kinds of spirals and light but regular lines, as the New Zealanders do with their tattooing, if only it were not the figure of a human being. And again this could have much finer features and a more pleasing and gentle cast of countenance provided it were not intended to represent a man, much less a warrior.

Now the satisfaction in the manifold of a thing in reference to the internal purpose which determines its possibility is a satisfaction grounded on a concept; but the satisfaction in beauty is such as presupposes no concept, but is immediately bound up with the representation through which the object is given (not through which it is thought). If now the judgement of Taste in respect of the beauty of a thing is made dependent on the purpose in its manifold, like a judgement of Reason, and thus limited, it is no longer a free and pure judgement of Taste.

It is true that taste gains by this combination of aesthetical with intellectual satisfaction, inasmuch as it becomes fixed; and though it is not universal, yet in respect to certain purposively determined Objects it becomes possible to prescribe rules for it. These, however, are not rules of taste, but merely rules for the unification of Taste with Reason, *i.e.* of the Beautiful with the Good, by which the former becomes available as an instrument of design in respect of the latter. Thus the tone of mind which is self-maintaining and of subjective universal validity is subordinated to the way of thinking which can be maintained only by painful resolve, but is of objective universal

validity. Properly speaking, however, perfection gains nothing by beauty or beauty by perfection; but, when we compare the representation by which an object is given to us with the Object (as regards what it ought to be) by means of a concept, we cannot avoid considering along with it the sensation in the subject. And thus when both states of mind are in harmony our *whole faculty* of representative power gains.

A judgement of taste, then, in respect of an object with a definite internal purpose, can only be pure, if either the person judging has no concept of this purpose, or else abstracts from it in his judgement. Such a person, although forming an accurate judgement of taste in judging of the object as free beauty, would yet by another who considers the beauty in it only as a dependent attribute (who looks to the purpose of the object) be blamed, and accused of false taste; although both are right in their own way, the one in reference to what he has before his eyes, the other in reference to what he has in his thought. By means of this distinction we can settle many disputes about beauty between judges of taste; by showing that the one is speaking of free, the other of dependent, beauty,—that the first is making a pure, the second an applied, judgement of taste.

Edmund Burke – On the Sublime

A PHILOSOPHICAL INQUIRY INTO THE ORIGIN OF OUR IDEAS OF THE SUBLIME AND BEAUTIFUL

PART I

SECTION VII.

OF THE SUBLIME.

Whatever is fitted in any sort to excite the ideas of pain and danger, that is to say, whatever is in any sort terrible, or is conversant about terrible objects, or operates in a manner analogous to terror, is a source of the *sublime*; that is, it is productive of the strongest emotion which the mind is capable of feeling. I say the strongest emotion, because I am satisfied the ideas of pain are much more powerful than those which enter on the part of pleasure. Without all doubt, the torments which we may be made to suffer are much greater in their effect on the body and mind, than any pleasures which the most learned voluptuary could suggest, or than the liveliest imagination, and the most sound and exquisitely sensible body, could enjoy. Nay, I am in great doubt whether any man could be found, who would earn a life of the most perfect satisfaction at the price of ending it in the torments, which justice inflicted in a few hours on the late unfortunate regicide in France. But as pain is stronger in its operation than pleasure, so death is in general a much more affecting idea than pain; because there are very few pains, however exquisite, which are not preferred to death: nay, what generally makes pain itself, if I may say so, more painful, is, that it is considered as an emissary of this kind of terrors. When danger or pain press too nearly, they are incapable of giving any delight, and are simply terrible; but at certain distances, and with certain modifications, they may be, and they are, delightful, as we every day experience. The cause of this I shall endeavor to investigate hereafter.

PART II.

SECTION I.

OF THE PASSION CAUSED BY THE SUBLIME.

The passion caused by the great and sublime in *nature*, when those causes operate most powerfully, is astonishment: and astonishment is that state of the soul in which all its motions are suspended, with some degree of horror.¹ In this case the mind is so entirely filled with its object, that it cannot entertain any other, nor by consequence reason on that object which employs it. Hence arises the great power of the sublime, that, far from being produced by them, it anticipates our reasonings, and hurries us on by an irresistible force. Astonishment, as I have said, is the effect of the sublime in its highest degree; the inferior effects are admiration, reverence, and respect.

SECTION II.

TERROR.

No passion so effectually robs the mind of all its powers of acting and reasoning as *fear*.² For fear being an apprehension of pain or death, it operates in a manner that resembles actual pain. Whatever therefore is terrible, with regard to sight, is sublime too, whether this cause of terror be endued with greatness of dimensions or not; for it is impossible to look on anything as trifling, or contemptible, that may be dangerous. There are many animals, who, though far from being large, are yet capable of raising ideas of the sublime, because they are considered as objects of terror. As serpents and poisonous animals of almost all kinds. And to things of great dimensions, if we annex an adventitious idea of terror, they become without comparison greater. A level plain of a vast extent on land, is certainly no mean idea; the prospect of such a plain may be as extensive as a prospect of the ocean; but can it ever fill the mind with anything so great as the ocean itself? This is owing to several causes; but it is owing to none more than this, that the ocean is an object of no small terror. Indeed terror is in all cases whatsoever, either more openly or latently, the ruling principle of the sublime. Several languages bear a strong testimony to the affinity of these ideas. They frequently use the same word to signify indifferently the modes of astonishment or admiration and those of terror. [Greek: Thambos] is in Greek either fear or wonder; [Greek: deinos] is terrible or respectable; [Greek: ahideo], to reverence or to fear. *Vereor* in Latin is what [Greek: ahideo] is in Greek. The Romans used the verb *stupeo*, a term which strongly marks the state of an astonished mind, to express the effect either of simple fear, or of astonishment; the word *attonitus* (thunderstruck) is equally expressive of the alliance of these ideas; and do not the French *étonnement*, and the English *astonishment* and *amazement*, point out as clearly the kindred emotions which attend fear and wonder? They who have a more general knowledge of languages, could produce, I make no doubt, many other and equally striking examples.

SECTION III.

OBSCURITY.

To make anything very terrible, obscurity³ seems in general to be necessary. When we know the full extent of any danger, when we can accustom our eyes to it, a great deal of the apprehension vanishes. Every one will be sensible of this, who considers how greatly night adds to our dread, in all cases of danger, and how much the notions of ghosts and goblins, of which none can form clear ideas, affect minds which give credit to the popular

tales concerning such sorts of beings. Those despotic governments which are founded on the passions of men, and principally upon the passion of fear, keep their chief as much as may be from the public eye. The policy has been the same in many cases of religion. Almost all the heathen temples were dark. Even in the barbarous temples of the Americans at this day, they keep their idol in a dark part of the hut, which is consecrated to his worship. For this purpose too the Druids performed all their ceremonies in the bosom of the darkest woods, and in the shade of the oldest and most spreading oaks. No person seems better to have understood the secret of heightening, or of setting terrible things, if I may use the expression, in their strongest light, by the force of a judicious obscurity than Milton. His description of death in the second book is admirably studied; it is astonishing with what a gloomy pomp, with what a significant and expressive uncertainty of strokes and coloring, he has finished the portrait of the king of terrors:

“The other shape,
 If shape it might be called that shape had none
 Distinguishable, in member, joint, or limb;
 Or substance might be called that shadow seemed;
 For each seemed either; black he stood as night;
 Fierce as ten furies; terrible as hell;
 And shook a deadly dart. What seemed his head
 The likeness of a kingly crown had on.”

In this description all is dark, uncertain, confused, terrible, and sublime to the last degree.

SECTION IV.

OF THE DIFFERENCE BETWEEN CLEARNESS AND OBSCURITY WITH REGARD TO THE PASSIONS.

It is one thing to make an idea clear, and another to make it *affecting* to the imagination. If I make a drawing of a palace, or a temple, or a landscape, I present a very clear idea of those objects; but then (allowing for the effect of imitation which is something) my picture can at most affect only as the palace, temple, or landscape, would have affected in the reality. On the other hand, the most lively and spirited verbal description I can give raises a very obscure and imperfect *idea* of such objects; but then it is in my power to raise a stronger *emotion* by the description than I could do by the best painting. This experience constantly evinces. The proper manner of conveying the *affections* of the mind from one to another is by words; there is a great insufficiency in all other methods of communication; and so far is a clearness of imagery from being absolutely necessary to an influence upon the passions, that they may be considerably operated upon, without presenting any image at all, by certain sounds adapted to that purpose; of which we have a sufficient proof in the acknowledged and powerful effects of instrumental music. In reality, a great clearness helps but little towards affecting the passions, as it is in some sort an enemy to all enthusiasms whatsoever...

[T]he Abbé du Bos gives painting the preference to poetry in the article of moving the passions; principally on account of the greater *clearness* of the ideas it represents. I believe this excellent judge was led into this mistake (if it be a mistake) by his system; to which he found it more conformable than I imagine it will be found to experience. I know several who admire and love painting, and yet who regard the objects of their admiration in that art with coolness enough in comparison of that warmth with which they are animated by affecting pieces of poetry or rhetoric. Among the common sort of people, I never could perceive that painting had much influence on their passions. It is true that the best sorts of painting, as well as the best sorts of poetry, are not much understood in that sphere. But it is most certain that their passions are very strongly roused by a fanatic preacher, or by the ballads of Chevy Chase, or the Children in the Wood, and by other little popular poems and tales that are current in that rank of life. I do not know of any paintings, bad or good, that produce the same effect. So that poetry, with all its obscurity, has a more general, as well as a more powerful dominion over the passions, than the other art. And I think there are reasons in nature, why the obscure idea, when properly conveyed, should be more affecting than the clear. It is our ignorance of things that causes all our admiration, and chiefly excites our passions. Knowledge and acquaintance make the most striking causes affect but little. It is thus with the vulgar; and all men are as the vulgar in what they do not understand. The ideas of eternity, and infinity, are among the most affecting we have: and yet perhaps there is nothing of which we really understand so little, as of infinity and eternity. We do not anywhere meet a more sublime description than this justly-celebrated one of Milton, wherein he gives the portrait of Satan with a dignity so suitable to the subject:

“He above the rest

In shape and gesture proudly eminent

Stood like a tower; his form had yet not lost

All her original brightness, nor appeared

Less than archangel ruined, and th’ excess

Of glory obscured :as when the sun new risen

Looks through the horizontal misty air

Shorn of his beams; or from behind the moon

In dim eclipse disastrous twilight sheds

On half the nations; and with fear of change

Perplexes monarchs.”

Here is a very noble picture; and in what does this poetical picture consist?

In images of a tower, an archangel, the sun rising through mists, or in an eclipse, the ruin of monarchs and the revolutions of kingdoms. The mind is hurried out of itself, by a crowd of great and confused images; which affect because they are crowded and confused. For separate them, and you lose much of the greatness; and join them, and you infallibly lose the clearness. The images raised by poetry are always of this obscure kind; though in

general the effects of poetry are by no means to be attributed to the images it raises; which point we shall examine more at large hereafter.⁴ But painting, when we have allowed for the pleasure of imitation, can only affect simply by the images it presents; and even in painting, a judicious obscurity in some things contributes to the effect of the picture; because the images in painting are exactly similar to those in nature; and in nature, dark, confused, uncertain images have a greater power on the fancy to form the grander passions, than those have which are more clear and determinate. But where and when this observation may be applied to practice, and how far it shall be extended, will be better deduced from the nature of the subject, and from the occasion, than from any rules that can be given.

I am sensible that this idea has met with opposition, and is likely still to be rejected by several. But let it be considered that hardly anything can strike the mind with its greatness, which does not make some sort of approach towards infinity; which nothing can do whilst we are able to perceive its bounds; but to see an object distinctly, and to perceive its bounds, is one and the same thing. A clear idea is therefore another name for a little idea. There is a passage in the book of Job amazingly sublime, and this sublimity is principally due to the terrible uncertainty of the thing described: *In thoughts from the visions of the night, when deep sleep falleth upon men, fear came upon me and trembling, which made all my bones to shake. Then a spirit passed before my face. The hair of my flesh stood up. It stood still, but I could not discern the form thereof; an image was before mine eyes; there was silence; and I heard a voice — Shall mortal man be more just than God?* We are first prepared with the utmost solemnity for the vision; we are first terrified, before we are let even into the obscure cause of our emotion: but when this grand cause of terror makes its appearance, what is it? Is it not wrapt up in the shades of its own incomprehensible darkness, more awful, more striking, more terrible, than the liveliest description, than the clearest painting, could possibly represent it? When painters have attempted to give us clear representations of these very fanciful and terrible ideas, they have, I think, almost always failed; insomuch that I have been at a loss, in all the pictures I have seen of hell, to determine whether the painter did not intend something ludicrous. Several painters have handled a subject of this kind, with a view of assembling as many horrid phantoms as their imagination could suggest; but all the designs I have chanced to meet of the temptations of St. Anthony were rather a sort of odd, wild grotesques, than any thing capable of producing a serious passion. In all these subjects poetry is very happy. Its apparitions, its chimeras, its harpies, its allegorical figures, are grand and affecting; and though Virgil's Fame and Homer's Discord are obscure, they are magnificent figures. These figures in painting would be clear enough, but I fear they might become ridiculous.

SECTION V.

POWER.

Besides those things which *directly* suggest the idea of danger, and those which produce a similar effect from a mechanical cause, I know of nothing sublime, which is not some modification of power. And this branch rises, as naturally as the other two branches, from terror, the common stock of everything that is sublime. The idea of power, at first view, seems of the class of those indifferent ones, which may equally belong to pain or to pleasure. But in reality, the affection arising from the idea of vast power is extremely remote from that neutral character. For first, we must remember⁵ that the idea of pain, in its highest degree, is much stronger than the highest degree of pleasure; and that it preserves the same superiority through all the subordinate gradations. From hence it is, that where the chances for equal degrees of suffering or enjoyment are in any sort equal, the idea

of the suffering must always be prevalent. And indeed the ideas of pain, and, above all, of death, are so very affecting, that whilst we remain in the presence of whatever is supposed to have the power of inflicting either, it is impossible to be perfectly free from terror. Again, we know by experience, that, for the enjoyment of pleasure, no great efforts of power are at all necessary; nay, we know that such efforts would go a great way towards destroying our satisfaction: for pleasure must be stolen, and not forced upon us; pleasure follows the will; and therefore we are generally affected with it by many things of a force greatly inferior to our own. But pain is always inflicted by a power in some way superior, because we never submit to pain willingly. So that strength, violence, pain, and terror, are ideas that rush in upon the mind together. Look at a man, or any other animal of prodigious strength, and what is your idea before reflection? Is it that this strength will be subservient to you, to your ease, to your pleasure, to your interest in any sense? No; the emotion you feel is, lest this enormous strength should be employed to the purposes of⁶ rapine and destruction. That power derives all its sublimity from the terror with which it is generally accompanied, will appear evidently from its effect in the very few cases, in which it may be possible to strip a considerable degree of strength of its ability to hurt. When you do this, you spoil it of everything sublime, and it immediately becomes contemptible. An ox is a creature of vast strength; but he is an innocent creature, extremely serviceable, and not at all dangerous; for which reason the idea of an ox is by no means grand. A bull is strong too; but his strength is of another kind; often very destructive, seldom (at least amongst us) of any use in our business; the idea of a bull is therefore great, and it has frequently a place in sublime descriptions, and elevating comparisons. Let us look at another strong animal, in the two distinct lights in which we may consider him. The horse in the light of an useful beast, fit for the plough, the road, the draft; in every social useful light, the horse has nothing sublime; but is it thus that we are affected with him, *whose neck is clothed with thunder, the glory of whose nostrils is terrible, who swalloweth the ground with fierceness and rage, neither believeth that it is the sound of the trumpet?* In this description, the useful character of the horse entirely disappears, and the terrible and sublime blaze out together. We have continually about us animals of a strength that is considerable, but not pernicious. Amongst these we never look for the sublime; it comes upon us in the gloomy forest, and in the howling wilderness, in the form of the lion, the tiger, the panther, or rhinoceros. Whenever strength is only useful, and employed for our benefit or our pleasure, then it is never sublime; for nothing can act agreeably to us, that does not act in conformity to our will; but to act agreeably to our will, it must be subject to us, and therefore can never be the cause of a grand and commanding conception. The description of the wild ass, in Job, is worked up into no small sublimity, merely by insisting on his freedom, and his setting mankind at defiance; otherwise the description of such an animal could have had nothing noble in it. *Who hath loosed (says he) the bands of the wild ass? whose house I have made the wilderness and the barren land his dwellings. He scorneth the multitude of the city, neither regardeth he the voice of the driver. The range of the mountains is his pasture.* The magnificent description of the unicorn and of leviathan, in the same book, is full of the same heightening circumstances: *Will the unicorn be willing to serve thee? canst thou bind the unicorn with his band in the furrow? wilt thou trust him because his strength is great? — Canst thou draw out leviathan with an hook? will he make a covenant with thee? wilt thou take him for a servant forever? shall not one be cast down even at the sight of him?* In short, wheresoever we find strength, and in what light soever we look upon power, we shall all along observe the sublime the concomitant of terror, and contempt the attendant on a strength that is subservient and innoxious. The race of dogs, in many of their kinds, have generally a competent degree of strength and swiftness; and they exert these and other valuable qualities which they possess, greatly to our convenience and pleasure. Dogs are indeed the most social, affectionate, and amiable animals of the whole brute creation; but love approaches much nearer to contempt than is commonly imagined; and accordingly, though we caress dogs, we borrow from them an appellation of the most despicable kind, when we employ terms of reproach; and this appellation is the common mark of the last vileness and contempt in every language. Wolves have not more strength than several species of

dogs; but, on account of their unmanageable fierceness, the idea of a wolf is not despicable; it is not excluded from grand descriptions and similitudes. Thus we are affected by strength, which is *natural* power. The power which arises from institution in kings and commanders, has the same connection with terror. Sovereigns are frequently addressed with the title of *dread majesty*. And it may be observed, that young persons, little acquainted with the world, and who have not been used to approach men in power, are commonly struck with an awe which takes away the free use of their faculties. *When I prepared my seat in the street, (says Job,) the young men saw me, and hid themselves.* Indeed so natural is this timidity with regard to power, and so strongly does it inhere in our constitution, that very few are able to conquer it, but by mixing much in the business of the great world, or by using no small violence to their natural dispositions. I know some people are of opinion, that no awe, no degree of terror, accompanies the idea of power; and have hazarded to affirm, that we can contemplate the idea of God himself without any such emotion. I purposely avoided, when I first considered this subject, to introduce the idea of that great and tremendous Being, as an example in an argument so light as this; though it frequently occurred to me, not as an objection to, but as a strong confirmation of, my notions in this matter. I hope, in what I am going to say, I shall avoid presumption, where it is almost impossible for any mortal to speak with strict propriety. I say then, that whilst we consider the Godhead merely as he is an object of the understanding, which forms a complex idea of power, wisdom, justice, goodness, all stretched to a degree far exceeding the bounds of our comprehension, whilst we consider the divinity in this refined and abstracted light, the imagination and passions are little or nothing affected. But because we are bound, by the condition of our nature, to ascend to these pure and intellectual ideas, through the medium of sensible images, and to judge of these divine qualities by their evident acts and exertions, it becomes extremely hard to disentangle our idea of the cause from the effect by which we are led to know it. Thus, when we contemplate the Deity, his attributes and their operation, coming united on the mind, form a sort of sensible image, and as such are capable of affecting the imagination. Now, though in a just idea of the Deity, perhaps none of his attributes are predominant, yet, to our imagination, his power is by far the most striking. Some reflection, some comparing, is necessary to satisfy us of his wisdom, his justice, and his goodness. To be struck with his power, it is only necessary that we should open our eyes. But whilst we contemplate so vast an object, under the arm, as it were, of almighty power, and invested upon every side with omnipresence, we shrink into the minuteness of our own nature, and are, in a manner, annihilated before him. And though a consideration of his other attributes may relieve, in some measure, our apprehensions; yet no conviction of the justice with which it is exercised, nor the mercy with which it is tempered, can wholly remove the terror that naturally arises from a force which nothing can withstand. If we rejoice, we rejoice with trembling; and even whilst we are receiving benefits, we cannot but shudder at a power which can confer benefits of such mighty importance. When the prophet David contemplated the wonders of wisdom and power which are displayed in the economy of man, he seems to be struck with a sort of divine horror, and cries out, *fearfully and wonderfully am I made!* An heathen poet has a sentiment of a similar nature; Horace looks upon it as the last effort of philosophical fortitude, to behold without terror and amazement, this immense and glorious fabric of the universe[.]

Lucretius is a poet not to be suspected of giving way to superstitious terrors; yet, when he supposes the whole mechanism of nature laid open by the master of his philosophy, his transport on this magnificent view, which he has represented in the colors of such bold and lively poetry, is overcast with a shade of secret dread and horror...

But the Scripture alone can supply ideas answerable to the majesty of this subject. In the Scripture, wherever God is represented as appearing or speaking, everything terrible in nature is called up to heighten the awe and solemnity of the Divine presence. The Psalms, and the prophetic books, are crowded with instances of this kind. *The earth shook, (says the Psalmist,) the heavens also dropped at the presence of the Lord.* And what is

remarkable, the painting preserves the same character, not only when he is supposed descending to take vengeance upon the wicked, but even when he exerts the like plenitude of power in acts of beneficence to mankind. *Tremble, thou earth! at the presence of the Lord; at the presence of the God of Jacob; which turned the rock into standing water, the flint into a fountain of waters!* It were endless to enumerate all the passages, both in the sacred and profane writers, which establish the general sentiment of mankind, concerning the inseparable union of a sacred and reverential awe, with our ideas of the divinity. Hence the common maxim, *Primus in orbe deos fecit timor*. This maxim may be, as I believe it is, false with regard to the origin of religion. The maker of the maxim saw how inseparable these ideas were, without considering that the notion of some great power must be always precedent to our dread of it. But this dread must necessarily follow the idea of such a power, when it is once excited in the mind. It is on this principle that true religion has, and must have, so large a mixture of salutary fear; and that false religions have generally nothing else but fear to support them. Before the Christian religion had, as it were, humanized the idea of the Divinity, and brought it somewhat nearer to us, there was very little said of the love of God. The followers of Plato have something of it, and only something; the other writers of pagan antiquity, whether poets or philosophers, nothing at all. And they who consider with what infinite attention, by what a disregard of every perishable object, through what long habits of piety and contemplation it is that any man is able to attain an entire love and devotion to the Deity, will easily perceive that it is not the first, the most natural, and the most striking effect which proceeds from that idea. Thus we have traced power through its several gradations unto the highest of all, where our imagination is finally lost; and we find terror, quite throughout the progress, its inseparable companion, and growing along with it, as far as we can possibly trace them. Now, as power is undoubtedly a capital source of the sublime, this will point out evidently from whence its energy is derived, and to what class of ideas we ought to unite it.

SECTION VI.

PRIVATION.

ALL *general* privations are great, because they are all terrible; *vacuity, darkness, solitude, and silence*. With what a fire of imagination, yet with what severity of judgment, has Virgil amassed all these circumstances, where he knows that all the images of a tremendous dignity ought to be united at the mouth of hell! Where, before he unlocks the secrets of the great deep, he seems to be seized with a religious horror, and to retire astonished at the boldness of his own design:

SECTION VII.

VASTNESS.

Greatness⁷ of dimension is a powerful cause of the sublime. This is too evident, and the observation too common, to need any illustration; it is not so common to consider in what ways greatness of dimension, vastness of extent or quantity, has the most striking effect. For, certainly, there are ways and modes wherein the same quantity of extension shall produce greater effects than it is found to do in others. Extension is either in length, height, or depth. Of these the length strikes least; a hundred yards of even ground will never work such an effect as a tower a hundred yards high, or a rock or mountain of that altitude. I am apt to imagine, likewise, that height is less grand

than depth; and that we are more struck at looking down from a precipice, than looking up at an object of equal height; but of that I am not very positive. A perpendicular has more force in forming the sublime, than an inclined plane, and the effects of a rugged and broken surface seem stronger than where it is smooth and polished. It would carry us out of our way to enter in this place into the cause of these appearances, but certain it is they afford a large and fruitful field of speculation. However, it may not be amiss to add to these remarks upon magnitude, that as the great extreme of dimension is sublime, so the last extreme of littleness is in some measure sublime likewise; when we attend to the infinite divisibility of matter, when we pursue animal life into these excessively small, and yet organized beings, that escape the nicest inquisition of the sense; when we push our discoveries yet downward, and consider those creatures so many degrees yet smaller, and the still diminishing scale of existence, in tracing which the imagination is lost as well as the sense; we become amazed and confounded at the wonders of minuteness; nor can we distinguish in its effect this extreme of littleness from the vast itself. For division must be infinite as well as addition; because the idea of a perfect unity can no more be arrived at, than that of a complete whole, to which nothing may be added.

SECTION VIII.

INFINITY.

Another source of the sublime is *infinity*; if it does not rather belong to the last. Infinity has a tendency to fill the mind with that sort of delightful horror, which is the most genuine effect, and truest test of the sublime. There are scarce any things which can become the objects of our senses, that are really and in their own nature infinite. But the eye not being able to perceive the bounds of many things, they seem to be infinite, and they produce the same effects as if they were really so. We are deceived in the like manner, if the parts of some large object are so continued to any indefinite number, that the imagination meets no check which may hinder its extending them at pleasure.

Whenever we repeat any idea frequently, the mind, by a sort of mechanism, repeats it long after the first cause has ceased to operate.⁸ After whirling about, when we sit down, the objects about us still seem to whirl. After a long succession of noises, as the fall of waters, or the beating of forge-hammers, the hammers beat and the waters roar in the imagination long after the first sounds have ceased to affect it; and they die away at last by gradations which are scarcely perceptible. If you hold up a straight pole, with your eye to one end, it will seem extended to a length almost incredible.⁹ Place a number of uniform and equi-distant marks on this pole, they will cause the same deception, and seem multiplied without end. The senses, strongly affected in some one manner, cannot quickly change their tenor, or adapt themselves to other things; but they continue in their old channel until the strength of the first mover decays. This is the reason of an appearance very frequent in madmen; that they remain whole days and nights, sometimes whole years, in the constant repetition of some remark, some complaint, or song; which having struck powerfully on their disordered imagination, in the beginning of their frenzy, every repetition reinforces it with new strength, and the hurry of their spirits, unrestrained by the curb of reason, continues it to the end of their lives.

SECTION IX.

SUCCESSION AND UNIFORMITY.

Succession and *uniformity* of parts are what constitute the artificial infinite. 1. *Succession*; which is requisite that the parts may be continued so long and in such a direction, as by their frequent impulses on the sense to impress the imagination with an idea of their progress beyond their actual limits. 2. *Uniformity*; because, if the figures of the parts should be changed, the imagination at every change finds a check; you are presented at every alteration with the termination of one idea, and the beginning of another; by which means it becomes impossible to continue that uninterrupted progression, which alone can stamp on bounded objects the character of infinity. It is in this kind of artificial infinity, I believe, we ought to look for the cause why a rotund has such a noble effect.¹⁰ For in a rotund, whether it be a building or a plantation, you can nowhere fix a boundary; turn which way you will, the same object still seems to continue, and the imagination has no rest. But the parts must be uniform, as well as circularly disposed, to give this figure its full force; because any difference, whether it be in the disposition, or in the figure, or even in the color of the parts, is highly prejudicial to the idea of infinity, which every change must check and interrupt, at every alteration commencing a new series. On the same principles of succession and uniformity, the grand appearance of the ancient heathen temples, which were generally oblong forms, with a range of uniform pillars on every side, will be easily accounted for. From the same cause also may be derived the grand effect of the aisles in many of our own old cathedrals. The form of a cross used in some churches seems to me not so eligible as the parallelogram of the ancients; at least, I imagine it is not so proper for the outside. For, supposing the arms of the cross every way equal, if you stand in a direction parallel to any of the side walls, or colonnades, instead of a deception that makes the building more extended than it is, you are cut off from a considerable part (two thirds) of its *actual* length; and, to prevent all possibility of progression, the arms of the cross taking a new direction, make a right angle with the beam, and thereby wholly turn the imagination from the repetition of the former idea. Or suppose the spectator placed where he may take a direct view of such a building, what will be the consequence? the necessary consequence will be, that a good part of the basis of each angle formed by the intersection of the arms of the cross, must be inevitably lost; the whole must of course assume a broken, unconnected figure; the lights must be unequal, here strong, and there weak; without that noble gradation which the perspective always effects on parts disposed uninterruptedly in a right line. Some or all of these objections will lie against every figure of a cross, in whatever view you take it. I exemplified them in the Greek cross, in which these faults appear the most strongly; but they appear in some degree in all sorts of crosses. Indeed, there is nothing more prejudicial to the grandeur of buildings than to abound in angles; a fault obvious in many; and owing to an inordinate thirst for variety, which, whenever it prevails, is sure to leave very little true taste.

SECTION X.

MAGNITUDE IN BUILDING.

To the sublime in building, greatness of dimension seems requisite; for on a few parts, and those small, the imagination cannot rise to any idea of infinity. No greatness in the manner can effectually compensate for the want of proper dimensions. There is no danger of drawing men into extravagant designs by this rule; it carries its own caution along with it. Because too great a length in buildings destroys the purpose of greatness, which it was intended to promote; the perspective will lessen it in height as it gains in length; and will bring it at last to a point; turning the whole figure into a sort of triangle, the poorest in its effect of almost any figure that can be presented to the eye. I have ever observed, that colonnades and avenues of trees of a moderate length were,

without comparison, far grander than when they were suffered to run to immense distances. A true artist should put a generous deceit on the spectators, and effect the noblest designs by easy methods. Designs that are vast only by their dimensions are always the sign of a common and low imagination. No work of art can be great, but as it deceives; to be otherwise is the prerogative of nature only. A good eye will fix the medium betwixt an excessive length or height (for the same objection lies against both), and a short or broken quantity: and perhaps it might be ascertained to a tolerable degree of exactness, if it was my purpose to descend far into the particulars of any art.

SECTION XI.

INFINITY IN PLEASING OBJECTS.

Infinity, though of another kind, causes much of our pleasure in agreeable, as well as of our delight in sublime images. The spring is the pleasantest of the seasons; and the young of most animals, though far from being completely fashioned, afford a more agreeable sensation than the full-grown; because the imagination is entertained with the promise of something more, and does not acquiesce in the present object of the sense. In unfinished sketches of drawing, I have often seen something which pleased me beyond the best finishing; and this I believe proceeds from the cause I have just now assigned.

SECTION XII.

DIFFICULTY.

Another source of greatness is *difficulty*.¹¹ When any work seems to have required immense force and labor to effect it, the idea is grand. Stonehenge, neither for disposition nor ornament, has anything admirable; but those huge rude masses of stone, set on end, and piled each on other, turn the mind on the immense force necessary for such a work. Nay, the rudeness of the work increases this cause of grandeur, as it excludes the idea of art and contrivance; for dexterity produces another sort of effect, which is different enough from this.

SECTION XIII.

MAGNIFICENCE.

Magnificence is likewise a source of the sublime. A great profusion of things, which are splendid or valuable in themselves, is *magnificent*. The starry heaven, though it occurs so very frequently to our view never fails to excite an idea of grandeur. This cannot be owing to the stars themselves, separately considered. The number is certainly the cause. The apparent disorder augments the grandeur, for the appearance of care is highly contrary to our ideas of magnificence. Besides, the stars lie in such apparent confusion, as makes it impossible on ordinary occasions to reckon them. This gives them the advantage of a sort of infinity. In works of art, this kind of grandeur which consists in multitude, is to be very cautiously admitted; because a profusion of excellent things is not to be attained, or with too much difficulty; and because in many cases this splendid confusion would destroy all use, which should be attended to in most of the works of art with the greatest care; besides, it is to be considered,

that unless you can produce an appearance of infinity by your disorder, you will have disorder only without magnificence. There are, however, a sort of fireworks, and some other things, that in this way succeed well, and are truly grand. There are also many descriptions in the poets and orators, which owe their sublimity to a richness and profusion of images, in which the mind is so dazzled as to make it impossible to attend to that exact coherence and agreement of the allusions, which we should require on every other occasion. I do not now remember a more striking example of this, than the description which is given of the king's army in the play of Henry IV.:—

“All furnished, all in arms,

All plumed like ostriches that with the wind

Baited like eagles having lately bathed:

As full of spirit us the month of May,

And gorgeous as the sun in midsummer,

Wanton as youthful goats, wild as young bulls.

I saw young Harry with his beaver on

Rise from the ground like feathered Mercury;

And vaulted with such ease into his seat,

As if an angel dropped down from the clouds

To turn and wind a fiery Pegasus.”

In that excellent book, so remarkable for the vivacity of its descriptions, as well as the solidity and penetration of its sentences, the Wisdom of the Son of Sirach, there is a noble panegyric on the high-priest Simon the son of Onias; and it is a very fine example of the point before us:—

How was he honored in the midst of the people, in his coming out of the sanctuary! He was as the morning star in the midst of a cloud, and as the moon at the full; as the sun shining upon the temple of the Most High, and as the rainbow giving light in the bright clouds: and as the flower of roses in the spring of the year, as lilies by the rivers of waters, and as the frankincense-tree in summer; as fire and incense in the censer, and as a vessel of gold set with precious stones; as a fair olive-tree budding forth fruit, and as a cypress which groweth up to the clouds. When he put on the robe of honor, and was clothed with the perfection of glory, when he went up to the holy altar, he made the garment of holiness honorable. He himself stood by the hearth of the altar, compassed with his brethren round about; as a young cedar in Libanus, and as palm-trees compassed they him about. So were all the sons of Aaron in their glory, and the oblations of the Lord in their hands, &c.

SECTION XIV.

LIGHT.

Having considered extension, so far as it is capable of raising ideas of greatness; *color* comes next under consideration. All colors depend on *light*. Light therefore ought previously to be examined; and with it its opposite, darkness. With regard to light, to make it a cause capable of producing the sublime, it must be attended with some circumstances, besides its bare faculty of showing other objects. Mere light is too common a thing to make a strong impression on the mind, and without a strong impression nothing can be sublime. But such a light as that of the sun, immediately exerted on the eye, as it overpowers the sense, is a very great idea. Light of an inferior strength to this, if it moves with great celerity, has the same power; for lightning is certainly productive of grandeur, which it owes chiefly to the extreme velocity of its motion. A quick transition from light to darkness, or from darkness to light, has yet a greater effect. But darkness is more productive of sublime ideas than light. Our great poet was convinced of this; and indeed so full was he of this idea, so entirely possessed with the power of a well-managed darkness, that in describing the appearance of the Deity, amidst that profusion of magnificent images, which the grandeur of his subject provokes him to pour out upon every side, he is far from forgetting the obscurity which surrounds the most incomprehensible of all beings, but

“With majesty of *darkness* round

Circles his throne.”

And what is no less remarkable, our author had the secret of preserving this idea, even when he seemed to depart the farthest from it, when he describes the light and glory which flows from the Divine presence; a light which by its very excess is converted into a species of darkness:—

“*Dark* with excessive *light* thy skirts appear.”

Here is an idea not only poetical in a high degree, but strictly and philosophically just. Extreme light, by overcoming the organs of sight, obliterates all objects, so as in its effect exactly to resemble darkness. After looking for some time at the sun, two black spots, the impression which it leaves, seem to dance before our eyes. Thus are two ideas as opposite as can be imagined reconciled in the extremes of both; and both, in spite of their opposite nature, brought to concur in producing the sublime. And this is not the only instance wherein the opposite extremes operate equally in favor of the sublime, which in all things abhors mediocrity.

SECTION XV.

LIGHT IN BUILDING.

As the management of light is a matter of importance in architecture, it is worth inquiring, how far this remark is applicable to building. I think, then, that all edifices calculated to produce an idea of the sublime, ought rather to be dark and gloomy, and this for two reasons; the first is, that darkness itself on other occasions is known by experience to have a greater effect on the passions than light. The second is, that to make an object very striking, we should make it as different as possible from the objects with which we have been immediately conversant; when therefore you enter a building, you cannot pass into a greater light than you had in the open air; to go into one some few degrees less luminous, can make only a trifling change; but to make the transition thoroughly striking, you ought to pass from the greatest light, to as much darkness as is consistent with the uses of architecture. At

night the contrary rule will hold, but for the very same reason; and the more highly a room is then illuminated, the grander will the passion be.

SECTION XVI.

COLOR CONSIDERED AS PRODUCTIVE OF THE SUBLIME.

Among colors, such as are soft or cheerful (except perhaps a strong red, which is cheerful) are unfit to produce grand images. An immense mountain covered with a shining green turf, is nothing, in this respect, to one dark and gloomy; the cloudy sky is more grand than the blue; and night more sublime and solemn than day. Therefore in historical painting, a gay or gaudy drapery can never have a happy effect: and in buildings, when the highest degree of the sublime is intended, the materials and ornaments ought neither to be white, nor green, nor yellow, nor blue, nor of a pale red, nor violet, nor spotted, but of sad and fuscous colors, as black, or brown, or deep purple, and the like. Much of gilding, mosaics, painting, or statues, contribute but little to the sublime. This rule need not be put in practice, except where an uniform degree of the most striking sublimity is to be produced, and that in every particular; for it ought to be observed, that this melancholy kind of greatness, though it be certainly the highest, ought not to be studied in all sorts of edifices, where yet grandeur must be studied; in such cases the sublimity must be drawn from the other sources; with a strict caution however against anything light and riant; as nothing so effectually deadens the whole taste of the sublime.

SECTION XVII.

SOUND AND LOUDNESS.

The eye is not the only organ of sensation by which a sublime passion may be produced. Sounds have a great power in these as in most other passions. I do not mean words, because words do not affect simply by their sounds, but by means altogether different. Excessive loudness alone is sufficient to overpower the soul, to suspend its action, and to fill it with terror. The noise of vast cataracts, raging storms, thunder, or artillery, awakes a great and awful sensation in the mind, though we can observe no nicety or artifice in those sorts of music. The shouting of multitudes has a similar effect; and by the sole strength of the sound, so amazes and confounds the imagination, that, in this staggering and hurry of the mind, the best established tempers can scarcely forbear being borne down, and joining in the common cry, and common resolution of the crowd.

SECTION XVIII.

SUDDENNESS.

A sudden beginning, or sudden cessation of sound of any considerable force, has the same power. The attention is roused by this; and the faculties driven forward, as it were, on their guard. Whatever, either in sights or sounds, makes the transition from one extreme to the other easy, causes no terror, and consequently can be no cause of greatness. In everything sudden and unexpected, we are apt to start; that is, we have a perception of danger, and

our nature rouses us to guard against it. It may be observed that a single sound of some strength, though but of short duration, if repeated after intervals, has a grand effect. Few things are more awful than the striking of a great clock, when the silence of the night prevents the attention from being too much dissipated. The same may be said of a single stroke on a drum, repeated with pauses; and of the successive firing of cannon at a distance. All the effects mentioned in this section have causes very nearly alike.

SECTION XIX.

INTERMITTING.

A low, tremulous, intermitting sound, though it seems, in some respects, opposite to that just mentioned, is productive of the sublime. It is worth while to examine this a little. The fact itself must be determined by every man's own experience and reflection. I have already observed, that night¹² increases our terror, more perhaps than anything else; it is our nature, when we do not know what may happen to us, to fear the worst that can happen; and hence it is that uncertainty is so terrible, that we often seek to be rid of it, at the hazard of a certain mischief. Now some low, confused, uncertain sounds, leave us in the same fearful anxiety concerning their causes, that no light, or an uncertain light, does concerning the objects that surround us...

But light now appearing, and now leaving us, and so off and on, is even more terrible than total darkness; and a sort of uncertain sounds are, when the necessary dispositions concur, more alarming than a total silence.

SECTION XX.

THE CRIES OF ANIMALS.

Such sounds as imitate the natural inarticulate voices of men, or any animals in pain or danger, are capable of conveying great ideas; unless it be the well-known voice of some creature, on which we are used to look with contempt. The angry tones of wild beasts are equally capable of causing a great and awful sensation...

It might seem that those modulations of sound carry some connection with the nature of the things they represent, and are not merely arbitrary; because the natural cries of all animals, even of those animals with whom we have not been acquainted, never fail to make themselves sufficiently understood; this cannot be said of language. The modifications of sound, which may be productive of the sublime, are almost infinite. Those I have mentioned are only a few instances to show on what principles they are all built.

SECTION XXI.

SMELL AND TASTE. — BITTERS AND STENCHES.

Smells and *tastes* have some share too in ideas of greatness; but it is a small one, weak in its nature, and confined in its operations. I shall only observe that no smells or tastes can produce a grand sensation, except excessive bitters, and intolerable stench. It is true that these affections of the smell and taste, when they are in their full

force, and lean directly upon the sensory, are simply painful, and accompanied with no sort of delight; but when they are moderated, as in a description or narrative, they become sources of the sublime, as genuine as any other, and upon the very same principle of a moderated pain. “A cup of bitterness”; “to drain the bitter cup of fortune”; “the bitter apples of Sodom”; these are all ideas suitable to a sublime description.

...[I]t is one of the tests by which the sublimity of an image is to be tried, not whether it becomes mean when associated with mean ideas; but whether, when united with images of an allowed grandeur, the whole composition is supported with dignity. Things which are terrible are always great; but when things possess disagreeable qualities, or such as have indeed some degree of danger, but of a danger easily overcome, they are merely *odious*; as toads and spiders.

SECTION XXII.

FEELING. — PAIN.

Of *feeling* little more can be said than that the idea of bodily pain, in all the modes and degrees of labor, pain, anguish, torment, is productive of the sublime; and nothing else in this sense can produce it. I need not give here any fresh instances, as those given in the former sections abundantly illustrate a remark that, in reality, wants only an attention to nature, to be made by everybody.

Having thus run through the causes of the sublime with reference to all the senses, my first observation (Sect. 7) will be found very nearly true; that the sublime is an idea belonging to self-preservation; that it is, therefore, one of the most affecting we have; that its strongest emotion is an emotion of distress; and that no pleasure¹³ from a positive cause belongs to it.

FOOTNOTES:

¹ Part I. sect. 3, 4, 7.

² Part IV. sect. 3, 4, 5, 6.

³ Part IV. sect. 14, 15, 16.

⁴ Part V.

⁵ Part I. sect. 7.

⁶ Vide Part III. sect. 21.

⁷ Part IV. sect. 9.

⁸ Part IV. sect. 11.

⁹ Part IV. sect. 13.

¹⁰ Mr. Addison, in the Spectators concerning the pleasures of the imagination, thinks it is because in the rotund at one glance you see half the building. This I do not imagine to be the real cause.

¹¹ Part IV. sect. 4, 5, 6.

¹² Sect. 3.

¹³ Vide Part I. sect. 6.

PART III.

SECTION XII.

THE REAL CAUSE OF BEAUTY.

Having endeavored to show what beauty is not, it remains that we should examine, at least with equal attention, in what it really consists. Beauty is a thing much too affecting not to depend upon some positive qualities. And since it is no creature of our reason, since it strikes us without any reference to use, and even where no use at all can be discerned, since the order and method of nature is generally very different from our measures and proportions, we must conclude that beauty is, for the greater part, some quality in bodies acting mechanically upon the human mind by the intervention of the senses. We ought, therefore, to consider attentively in what manner those sensible qualities are disposed, in such things as by experience we find beautiful, or which excite in us the passion of love, or some correspondent affection.

SECTION XIII.

BEAUTIFUL OBJECTS SMALL.

The most obvious point that presents itself to us in examining any object is its extent or quantity. And what degree of extent prevails in bodies that are held beautiful, may be gathered from the usual manner of expression concerning it. I am told that, in most languages, the objects of love are spoken of under diminutive epithets. It is so in all the languages of which I have any knowledge. In Greek the [Greek: ion] and other diminutive terms are almost always the terms of affection and tenderness. These diminutives were commonly added by the Greeks to the names of persons with whom they conversed on terms of friendship and familiarity. Though the Romans were a people of less quick and delicate feelings, yet they naturally slid into the lessening termination upon the same occasions. Anciently, in the English language, the diminishing *ling* was added to the names of persons and things that were the objects of love. Some we retain still, as *darling* (or little dear), and a few others. But to this day, in ordinary conversation, it is usual to add the endearing name of *little* to everything we love; the French and Italians make use of these affectionate diminutives even more than we. In the animal creation, out of our own species, it is the small we are inclined to be fond of; little birds, and some of the smaller kinds of beasts. A great beautiful thing is a manner of expression scarcely ever used; but that of a great ugly thing is very common. There

is a wide difference between admiration and love. The sublime, which is the cause of the former, always dwells on great objects, and terrible; the latter on small ones, and pleasing; we submit to what we admire, but we love what submits to us; in one case we are forced, in the other we are flattered, into compliance. In short, the ideas of the sublime and the beautiful stand on foundations so different, that it is hard, I had almost said impossible, to think of reconciling them in the same subject, without considerably lessening the effect of the one or the other upon the passions. So that, attending to their quantity, beautiful objects are comparatively small.

SECTION XIV.

SMOOTHNESS.

The next property constantly observable in such objects is *smoothness*;¹⁵ a quality so essential to beauty, that I do not now recollect anything beautiful that is not smooth. In trees and flowers, smooth leaves are beautiful; smooth slopes of earth in gardens; smooth streams in the landscape; smooth coats of birds and beasts in animal beauties; in fine women, smooth skins; and in several sorts of ornamental furniture, smooth and polished surfaces. A very considerable part of the effect of beauty is owing to this quality; indeed the most considerable. For, take any beautiful object, and give it a broken, and rugged surface; and, however well formed it may be in other respects, it pleases no longer. Whereas, let it want ever so many of the other constituents, if it wants not this, it becomes more pleasing than almost all the others without it. This seems to me so evident, that I am a good deal surprised that none who have handled the subject have made any mention of the quality of smoothness in the enumeration of those that go to the forming of beauty. For, indeed, any ruggedness, any sudden, projection, any sharp angle, is in the highest degree contrary to that idea.

SECTION XV.

GRADUAL VARIATION.

But as perfectly beautiful bodies are not composed of angular parts, so their parts never continue long in the same right line.¹⁶ They vary their direction every moment, and they change under the eye by a deviation continually carrying on, but for whose beginning or end you will find it difficult to ascertain a point. The view of a beautiful bird will illustrate this observation. Here we see the head increasing insensibly to the middle, from whence it lessens gradually until it mixes with the neck; the neck loses itself in a larger swell, which continues to the middle of the body, when the whole decreases again to the tail; the tail takes a new direction, but it soon varies its new course, it blends again with the other parts, and the line is perpetually changing, above, below, upon every side. In this description I have before me the idea of a dove; it agrees very well with most of the conditions of beauty. It is smooth and downy; its parts are (to use that expression) melted into one another; you are presented with no sudden protuberance through the whole, and yet the whole is continually changing. Observe that part of a beautiful woman where she is perhaps the most beautiful, about the neck and breasts; the smoothness, the softness, the easy and insensible swell; the variety of the surface, which is never for the smallest space the same; the deceitful maze through which the unsteady eye slides giddily, without knowing where to fix, or whither it is carried. Is not this a demonstration of that change of surface, continual, and yet hardly perceptible at any point, which forms one of the great constituents of beauty? It gives me no small pleasure to find that I can strengthen my theory in

this point by the opinion of the very ingenious Mr. Hogarth, whose idea of the line of beauty I take in general to be extremely just. But the idea of variation, without attending so accurately to the *manner* of the variation, has led him to consider angular figures as beautiful; these figures, it is true, vary greatly, yet they vary in a sudden and broken manner, and I do not find any natural object which is angular, and at the same time beautiful. Indeed, few natural objects are entirely angular. But I think those which approach the most nearly to it are the ugliest. I must add, too, that so far as I could observe of nature, though the varied line is that alone in which complete beauty is found, yet there is no particular line which is always found in the most completely beautiful, and which is therefore beautiful in preference to all other lines. At least I never could observe it.

SECTION XVI.

DELICACY.

An air of robustness and strength is very prejudicial to beauty. An appearance of *delicacy*, and even of fragility, is almost essential to it. Whoever examines the vegetable or animal creation will find this observation to be founded in nature. It is not the oak, the ash, or the elm, or any of the robust trees of the forest which we consider as beautiful; they are awful and majestic, they inspire a sort of reverence. It is the delicate myrtle, it is the orange, it is the almond, it is the jasmine, it is the vine which we look on as vegetable beauties. It is the flowery species, so remarkable for its weakness and momentary duration, that gives us the liveliest idea of beauty and elegance. Among animals, the greyhound is more beautiful than the mastiff, and the delicacy of a jennet, a barb, or an Arabian horse, is much more amiable than the strength and stability of some horses of war or carriage. I need here say little of the fair sex, where I believe the point will be easily allowed me. The beauty of women is considerably owing to their weakness or delicacy, and is even enhanced by their timidity, a quality of mind analogous to it. I would not here be understood to say, that weakness betraying very bad health has any share in beauty; but the ill effect of this is not because it is weakness, but because the ill state of health, which produces such weakness, alters the other conditions of beauty; the parts in such a case collapse, the bright color, the *lumen purpureum juventæ* is gone, and the fine variation is lost in wrinkles, sudden breaks, and right lines.

SECTION XVII.

BEAUTY IN COLOR.

As to the colors usually found in beautiful bodies, it may be somewhat difficult to ascertain them, because, in the several parts of nature, there is an infinite variety. However, even in this variety, we may mark out something on which to settle. First, the colors of beautiful bodies must not be dusky or muddy, but clean and fair. Secondly, they must not be of the strongest kind. Those which seem most appropriated to beauty, are the milder of every sort; light greens; soft blues; weak whites; pink reds; and violets. Thirdly, if the colors be strong and vivid, they are always diversified, and the object is never of one strong color; there are almost always such a number of them (as in variegated flowers) that the strength and glare of each is considerably abated. In a fine complexion there is not only some variety in the coloring, but the colors: neither the red nor the white are strong and glaring. Besides, they are mixed in such a manner, and with such gradations, that it is impossible to fix the bounds. On the same principle it is that the dubious color in the necks and tails of peacocks, and about the heads of drakes, is so very

agreeable. In reality, the beauty both of shape and coloring are as nearly related as we can well suppose it possible for things of such different natures to be.

SECTION XVIII.

RECAPITULATION.

On the whole, the qualities of beauty, as they are merely sensible qualities, are the following: First, to be comparatively small. Secondly, to be smooth. Thirdly, to have a variety in the direction of the parts; but, fourthly, to have those parts not angular, but melted, as it were, into each other. Fifthly, to be of a delicate frame, without any remarkable appearance of strength. Sixthly, to have its colors clear and bright, but not very strong and glaring. Seventhly, or if it should have any glaring color, to have it diversified with others. These are, I believe, the properties on which beauty depends; properties that operate by nature, and are less liable to be altered by caprice, or confounded by a diversity of tastes, than any other.

SECTION XIX.

THE PHYSIOGNOMY.

The *physiognomy* has a considerable share in beauty, especially in that of our own species. The manners give a certain determination to the countenance; which, being observed to correspond pretty regularly with them, is capable of joining the effect of certain agreeable qualities of the mind to those of the body. So that to form a finished human beauty, and to give it its full influence, the face must be expressive of such gentle and amiable qualities, as correspond with the softness, smoothness, and delicacy of the outward form.

SECTION XX.

THE EYE.

I have hitherto purposely omitted to speak of the *eye*, which has so great a share in the beauty of the animal creation, as it did not fall so easily under the foregoing heads, though in fact it is reducible to the same principles. I think, then, that the beauty of the eye consists, first, in its *clearness*; what *colored* eye shall please most, depends a good deal on particular fancies; but none are pleased with an eye whose water (to use that term) is dull and muddy.¹⁷ We are pleased with the eye in this view, on the principle upon which we like diamonds, clear water, glass, and such like transparent substances. Secondly, the motion of the eye contributes to its beauty, by continually shifting its direction; but a slow and languid motion is more beautiful than a brisk one; the latter is enlivening; the former lovely. Thirdly, with regard to the union of the eye with the neighboring parts, it is to hold the same rule that is given of other beautiful ones; it is not to make a strong deviation from the line of the neighboring parts; nor to verge into any exact geometrical figure. Besides all this, the eye affects, as it is expressive of some qualities of the mind, and its principal power generally arises from this; so that what we have just said of the physiognomy is applicable here.

SECTION XXI.

UGLINESS.

It may perhaps appear like a sort of repetition of what we have before said, to insist here upon the nature of *ugliness*; as I imagine it to be in all respects the opposite to those qualities which we have laid down for the constituents of beauty. But though ugliness be the opposite to beauty, it is not the opposite to proportion and fitness. For it is possible that a thing may be very ugly with any proportions, and with a perfect fitness to any uses. Ugliness I imagine likewise to be consistent enough with an idea of the sublime. But I would by no means insinuate that ugliness of itself is a sublime idea, unless united with such qualities as excite a strong terror.

SECTION XXII.

GRACE.

Gracefulness is an idea not very different from beauty; it consists in much the same things. Gracefulness is an idea belonging to *posture* and *motion*. In both these, to be graceful, it is requisite that there be no appearance of difficulty; there is required a small inflection of the body; and a composure of the parts in such a manner, as not to incumber each other, not to appear divided by sharp and sudden angles. In this case, this roundness, this delicacy of attitude and motion, it is that all the magic of grace consists, and what is called its *je ne sçai quoi*; as will be obvious to any observer, who considers attentively the Venus de Medicis, the Antinous or any statue generally allowed to be graceful in a high degree.

SECTION XXIII.

ELEGANCE AND SPECIOUSNESS.

When any body is composed of parts smooth and polished, without pressing upon each other, without showing any ruggedness or confusion, and at the same time affecting some *regular shape*, I call it *elegant*. It is closely allied to the beautiful, differing from it only in this *regularity*; which, however, as it makes a very material difference in the affection produced, may very well constitute another species. Under this head I rank those delicate and regular works of art, that imitate no determinate object in nature, as elegant buildings, and pieces of furniture. When any object partakes of the above-mentioned qualities, or of those of beautiful bodies, and is withal of great dimensions, it is full as remote from the idea of mere beauty; I call *fine* or *specious*.

SECTION XXIV.

THE BEAUTIFUL IN FEELING.

The foregoing description of beauty, so far as it is taken in by the eye, may be greatly illustrated by describing

the nature of objects, which produce a similar effect through the touch. This I call the beautiful in *feeling*. It corresponds wonderfully with what causes the same species of pleasure to the sight. There is a chain in all our sensations; they are all but different sorts of feelings calculated to be affected by various sorts of objects, but all to be affected after the same manner. All bodies that are pleasant to the touch, are so by the slightness of the resistance they make. Resistance is either to motion along the surface, or to the pressure of the parts on one another: if the former be slight, we call the body smooth; if the latter, soft. The chief pleasure we receive by feeling, is in the one or the other of these qualities; and if there be a combination of both, our pleasure is greatly increased. This is so plain, that it is rather more fit to illustrate other things, than to be illustrated itself by an example. The next source of pleasure in this sense, as in every other, is the continually presenting somewhat new; and we find that bodies which continually vary their surface, are much the most pleasant or beautiful to the feeling, as any one that pleases may experience. The third property in such objects is, that though the surface continually varies its direction, it never varies it suddenly. The application of anything sudden, even though the impression itself have little or nothing of violence, is disagreeable. The quick application of a finger a little warmer or colder than usual, without notice, makes us start; a slight tap on the shoulder, not expected, has the same effect. Hence it is that angular bodies, bodies that suddenly vary the direction of the outline, afford so little pleasure to the feeling. Every such change is a sort of climbing or falling in miniature; so that squares, triangles, and other angular figures are neither beautiful to the sight nor feeling. Whoever compares his state of mind, on feeling soft, smooth, variated, unangular bodies, with that in which he finds himself, on the view of a beautiful object, will perceive a very striking analogy in the effects of both; and which may go a good way towards discovering their common cause. Feeling and sight, in this respect, differ in but a few points. The touch takes in the pleasure of softness, which is not primarily an object of sight; the sight, on the other hand, comprehends color, which can hardly be made perceptible to the touch: the touch, again, has the advantage in a new idea of pleasure resulting from a moderate degree of warmth; but the eye triumphs in the infinite extent and multiplicity of its objects. But there is such a similitude in the pleasures of these senses, that I am apt to fancy, if it were possible that one might discern color by feeling (as it is said some blind men have done) that the same colors, and the same disposition of coloring, which are found beautiful to the sight, would be found likewise most grateful to the touch. But, setting aside conjectures, let us pass to the other sense; of hearing.

SECTION XXV.

THE BEAUTIFUL IN SOUNDS.

In this sense we find an equal aptitude to be affected in a soft and delicate manner; and how far sweet or beautiful sounds agree with our descriptions of beauty in other senses, the experience of every one must decide. Milton has described this species of music in one of his juvenile poems.¹⁸ I need not say that Milton was perfectly well versed in that art; and that no man had a finer ear, with a happier manner of expressing the affections of one sense by metaphors taken from another. The description is as follows:—

“And ever against eating cares,

Lap me in *soft* Lydian airs;

In notes with many a *winding* bout

Of linked sweetness long drawn out;
 With wanton heed, and giddy cunning,
 The melting voice through mazes running;
 Untwisting all the chains that tie
 The hidden soul of harmony.”

Let us parallel this with the softness, the winding surface, the unbroken continuance, the easy gradation of the beautiful in other things; and all the diversities of the several senses, with all their several affections, will rather help to throw lights from one another to finish one clear, consistent idea of the whole, than to obscure it by their intricacy and variety.

To the above-mentioned description I shall add one or two remarks. The first is; that the beautiful in music will not hear that loudness and strength of sounds, which may be used to raise other passions; nor notes which are shrill, or harsh, or deep; it agrees best with such as are clear, even, smooth, and weak. The second is; that great variety, and quick transitions from one measure or tone to another, are contrary to the genius of the beautiful in music. Such¹⁹ transitions often excite mirth, or other sudden or tumultuous passions; but not that sinking, that melting, that languor, which is the characteristic effect of the beautiful as it regards every sense. The passion excited by beauty is in fact nearer to a species of melancholy, than to jollity and mirth. I do not here mean to confine music to any one species of notes, or tones, neither is it an art in which I can say I have any great skill. My sole design in this remark is to settle a consistent idea of beauty. The infinite variety of the affections of the soul will suggest to a good head, and skilful ear, a variety of such sounds as are fitted to raise them. It can be no prejudice to this, to clear and distinguish some few particulars that belong to the same class, and are consistent with each other, from the immense crowd of different and sometimes contradictory ideas, that rank vulgarly under the standard of beauty. And of these it is my intention to mark such only of the leading points as show the conformity of the sense of hearing with all the other senses, in the article of their pleasures.

SECTION XXVI.

TASTE AND SMELL.

This general agreement of the senses is yet more evident on minutely considering those of taste and smell. We metaphorically apply the idea of sweetness to sights and sounds; but as the qualities of bodies by which they are fitted to excite either pleasure or pain in these senses are not so obvious as they are in the others, we shall refer an explanation of their analogy, which is a very close one, to that part wherein we come to consider the common efficient cause of beauty, as it regards all the senses. I do not think anything better fitted to establish a clear and settled idea of visual beauty than this way of examining the similar pleasures of other senses; for one part is sometimes clear in one of the senses that is more obscure in another; and where there is a clear concurrence of all, we may with more certainty speak of any one of them. By this means, they bear witness to each other; nature is, as it were, scrutinized; and we report nothing of her but what we receive from her own information.

SECTION XXVII.

THE SUBLIME AND BEAUTIFUL COMPARED.

On closing this general view of beauty, it naturally occurs that we should compare it with the sublime; and in this comparison there appears a remarkable contrast. For sublime objects are vast in their dimensions, beautiful ones comparatively small; beauty should be smooth and polished; the great, rugged and negligent: beauty should shun the right line, yet deviate from it insensibly; the great in many cases loves the right line; and when it deviates, it often makes a strong deviation: beauty should not be obscure; the great ought to be dark and gloomy: beauty should be light and delicate; the great ought to be solid, and even massive. They are indeed ideas of a very different nature, one being founded on pain, the other on pleasure; and, however they may vary afterwards from the direct nature of their causes, yet these causes keep up an eternal distinction between them, a distinction never to be forgotten by any whose business it is to affect the passions. In the infinite variety of natural combinations, we must expect to find the qualities of things the most remote imaginable from each other united in the same object. We must expect also to find combinations of the same kind in the works of art. But when we consider the power of an object upon our passions, we must know that when anything is intended to affect the mind by the force of some predominant property, the affection produced is like to be the more uniform and perfect, if all the other properties or qualities of the object be of the same nature, and tending to the same design as the principal.

“If black and white blend, soften, and unite

A thousand ways, are there no black and white?”

If the qualities of the sublime and beautiful are sometimes found united, does this prove that they are the same; does it prove that they are any way allied; does it prove even that they are not opposite and contradictory? Black and white may soften, may blend; but they are not therefore the same. Nor, when they are so softened and blended with each other, or with different colors, is the power of black as black, or of white as white, so strong as when each stands uniform and distinguished.

FOOTNOTES:

¹⁵ Part IV. sect. 20.

¹⁶ Part IV. sect. 23.

¹⁷ Part IV. sect. 25.

¹⁸ L'Allegro.

¹⁹ “I ne'er am merry, when I hear sweet music.” – Shakespeare

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